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2	COMMONWEALTH OF PENNSYLVANIA HOUSE OF REPRESENTATIVES		
3	COMMITTEE ON JUDICIARY		
4	In re: HR 226 - State Correctional Institution		
5	at Camp Hill		
6	* * * *		
7	Stenographic report of hearing held in Room 8E, Main Capitol Building,		
8	Harrisburg, PA		
9	Wednesday March 7, 1990		
10	10:00 a.m.		
11	HON. THOMAS R. CALTAGIRONE, CHAIRMAN Hon. Kevin Blaum, Subcommittee Chairman on Crime and Corrections		
12			
13	MEMBERS OF COMMITTEE ON JUDICIARY		
14	Hon. Michael E. BortnerHon. Jeffrey PiccolaHon. Lois S. HagartyHon. John Pressmann		
15	Hon. Lois S. HagartyHon. John PressmannHon. Richard HaydenHon. Karen RitterHon. Joseph Lashinger, Jr.Hon. Michael VeonHon. Nicholas B. MoehlmannHon. Christopher Wogan		
16	Hon. Nicholas B. Moehlmann Hon. Christopher Wogan		
17	<u>Also Present</u> :		
18	Hon. Jerry Nailor		
19	William H. Andring, Majority Counsel Mary R. Woolley, Minority Counsel Mary Both Managhik, Bagaarah Apaluat		
20	Mary Beth Marschik, Research Analyst Paul Dunkelberger, Research Analyst Katherine Manucci, Staff		
21			
22	Reported by: Janice Glenn, Reporter		
23			
24	ANN-MARIE P. SWEENEY 536 Orrs Bridge Road		
25	Camp Hill, PA 17011		
	22/8		

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3 CHAIRMAN CALTAGIRONE: This is the 1 House Judiciary Committee hearing on House 2 Resolution 226. 3 I am Representative Caltagirone, 4 Chairman, from Berks County. 5 I would like Co-Chairman Nick 6 Moehlmann to introduce himself and the other members 7 of the Panel and the Members of the House that are 8 here. 9 REPRESENTATIVE MOEHLMANN: Nick 10 Moehlmann, Lebanon County. Minority Chairman of the 11 Committee. 12 **REPRESENTATIVE HAGARTY:** Louis 13 Hagarty, Montgomery County, Republican Subcommittee 14 Chairman of Crimes and Corrections. 15 REPRESENTATIVE LASHINGER: Joe 16 Lashinger, Montgomery County. 17 **REPRESENTATIVE NAILOR: I'm Jerry** 18 Nailor from the 88th District 19 MS. WOOLLEY: Mary Woolley, Republican 20 Counsel of the Committee. 21 MR. ANBRING: Bill Anbring, Democratic 22 Counsel of the Committee. 23 REPRESENTATIVE BLAUM: Kevin Blaum, 24 City of Wilkes-Barre. 25

REPRESENTATIVE PICCOLA: Jeff Piccolo. 1 Dauphin County, House of Representatives. 2 CHAIRMAN CALTAGIRONE: If you would 3 like to introduce yourself for the record and if you 4 would care to make an opening statement. 5 My name is Thomas MR. CAMPBILL: 6 Campbill. I have been employed as a Corrections 7 Officer at the State Correctional Institution at 8 Camp Hill since July 1983. I have been assigned as 9 a Modular Unit Officer since October 1986. 10 My duties on that job were to 11 supervise approximately sixty to sixty-seven inmates 12 from two in the afternoon till ten o'clock in the 13 evening. 14 My duties were to make rounds inside 15 Make sure laundry and linen and so on was the Unit. 16 handled properly. 17 I had to be aware of where each inmate 18 Whether it was working at the carpentry shop, was. 19 at school, or whatever. 20 On October 25th of last year I 21 reported to my job at two in the afternoon in 22 Modular Unit Four. 23 Approximately three o'clock that 24 afternoon I overheard on my two-way radio there 25

5 was a disturbance in Groups 2 and 3. 1 I heard there was an officer down and 2 the other officers were calling for assistance. At 3 this time my main concern was keeping control of my 4 Making sure that inmates didn't get out of Unit. 5 hand. 6 To make sure that my Unit was secure, 7 locked up where I wouldn't have people coming and 8 going that I couldn't account for. Q Approximately seven or eight o'clock 10 that evening a lieutenant and several other officers 11 came through the Modular Unit and evacuated the 12 inmates in my Unit. 13 They were taken to the large stockade 14 field where they could be controlled easier. And I 15 was told to stay in my Unit. 16 About eleven that evening, I believe 17 it was around eleven o'clock, they were returned in 18 an orderly manner. 19 They were escorted by State Troopers. 20 They were allowed to shower. I believe there were 21 hamburgers or some type of sandwiches and milk 22 brought around to them. 23 And I had to stay on duty till six 24 o'clock the morning of October 26th. 25

6 At that time I was properly relieved. 1 I went home and went to sleep and so on. And I 2 reported back to duty in Modular Unit Four at two 3 o'clock in the afternoon. Now this was Thursday, 4 October 26th. 5 Approximately three o'clock that 6 Now this afternoon I did have another afternoon--7 officer with me in the Unit, Officer Mower. There 8 was two of us. 9 I overheard on the radio, the two-way 10 radio, that inmates were coming out of one of the 11 cellblocks in Groups 2 and 3. 12 At this time I overheard one Captain, 13 I believe it was Captain Keith, say over his radio, 14 leave the cellblock and lock the doors behind you. 15 I also heard Captain Stotelmeyer 16 announce on his radio to the Tower Officers, if you 17 have to shoot to protect the officers on the ground, 18 say any officers that were fleeing the inmates, do 19 80. 20 At this time I looked out of my window 21 in Modular Four and I saw probably thirty to forty 22 inmates at the Control Room door. They were 23 pounding on the door. They were yelling and 24 carrying on. 25

7 Officer Mower approached me and said, 1 Mr. Campbill, what do we do now?" I was kind of 2 stuck for words at that time. I didn't know what 3 to do. 4 I saw all the inmates over at the 5 Control Center and I knew that if it wasn't secure 6 there probably wasn't any place within the 7 Institution that would be secure. 8 At this time an inmate came up to the 9 Modular door and started pounding on it and breaking 10 the glass with a two by four. Probably eight foot 11 long. 12 Officer Mower and myself retreated to 13 the bathroom inside of the office and we had the 14 office door locked and we had the bathroom door 15 locked. 16 I would say within a minute the 17 inmates had broken through the office door and had a 18 fire extinguisher. And they were spraying the fire 19 extinguisher underneath the bathroom door to try to 20 flush us out. At this time we laid our jackets on 21 the floor to prevent them from doing this. 22 I would say within a minute they had 23 broken the door knob off the door and took us 24 hostage. 25

8 I had an inmate hold each of my arms. 1 Another inmate came through the entrance and hit me 2 on my head with a two by four. 3 I didn't fall down because two other 4 inmates were holding me up. 5 At this time they put a pillow case 6 over my head and escorted me over to E Block, which 7 is in Group 2. 8 While I was in R Block I was held 9 hostage with four other officers. And I would guess 10 we were in there maybe an hour in E Block. 11 Several of the officers were hurt 12 pretty severely at that time. 13 I would say a half an hour later 14 Officer Allen and myself were taken out to the, like 15 a courtyard between Group 2 and Group 3. 16 At this time I overheard inmates 17 saying to one another, we're going to show the press 18 that we have hostages. We're doing this for the 19 press. 20 They sat us down on the lawn with rags 21 tied around our heads and our eyes so that we 22 couldn't see what was going on. 23 There was a lot of confusion at this 24 There was a helicopter very close to us. Ι time. 25

9 heard inmates. Some inmates I heard say we should 1 kill the hostages now. We don't really need any 2 hostages. 3 It sounded to me like the people that 4 were running the show really didn't want any major 5 harm to come to us. 6 After about twenty minutes Officer 7 Allen and I were separated and I was taken to н 8 Block. 9 There I was put in a cell by myself. 10 I was lying on my stomach on one of the inmate's 11 I had a wooi blanket folded over my head and beds. 12 at this time they had removed my belt and tied my 13 ankles together with my belt. 14 After I was in this cell maybe twenty 15 minutes I heard inmates whispering outside my cell. 16 I couldn't really understand or make out what they 17 Most of the voices I heard were were saying. 18 Hispanic voices at this time. 19 I heard my cell door being opened and 20 all of a sudden I was being beaten on my back. Ι 21 can't say for sure what they were using, either a 22 board or a bat. 23 And also at this time I received 24 puncture wounds in my back and I started bleeding 25

10 I could feel this wet on my shirt and so on. a lot. 1 This went on maybe five minutes. 2 Then these inmates, it had to be more 3 than one because one person couldn't strike me that 4 often that quick. 5 About ten minutes later I heard a man 6 come up to the inmate that was guarding my cell. Ι 7 suppose his job was to keep other inmates from 8 coming in and harming me. 9 I heard an argument take place and 10 then I heard a fist fight. And of course at this 11 time I was just hoping that the man who was on my 12 side would win this fight. I didn't want to go 13 through this again. Which evidently he did because 14 that didn't happen again in H Block. 15 An inmate who was acting as a medic 16 came in and looked at my wounds. And I heard him 17 telling another inmate, which seemed to be one of 18 the ringleaders, he told this inmate, he said, "This 19 man is hurt very bad. He's losing a lot of blood. 20 We should take him out to the gate and release him. 21 I'm afraid he may go into shock and we might lose 22 him." 23 Then I really couldn't tell too much 24 more of their conversation. But they opened up 25

11 the cell door and they had my arms and they removed 1 me from that cell and out of the Block. 2 At this time I was not blindfolded. 3 This time I really had my hopes up. I thought maybe 4 they are going to release me. 5 As they took me out of H Block there 6 were a lot of inmates gathered around and they were 7 pretty wound up again. 8 I heard one inmate say, "We're not 9 going to release anymore hostages. We left enough 10 go aiready." 11 So they took me to K Block. When I 12 was in K Block I was kept in the barber's cell. Now 13 this was like a temporary set up which is used for 14 inmates receiving their haircuts and so on. 15 At this time the inmate who was acting 16 as the medic came in and he was very comforting to 17 me. 18 He cleaned my wounds on my back. He 19 put clean dressing on them. I received I believe a 20 clean white sheet, which I wrapped around my chest 21 to stop any bleeding. 22 And an inmate's brown shirt I put on 23 because like I mentioned earlier, mine was soaken 24 wet with blood. 25

12 I was sat in the barber's chair with a 1 blanket over my shoulders. And I can't say that I 2 really felt that bad. I wasn't in any severe pain 3 or anything. 4 At that time I was hoping again, well, 5 maybe the worst of this is over with. Maybe they'll 6 negotiate and maybe this is it for me. 7 Throughout the evening, I would guess 8 it was probably midnight when I was taken to K 9 Throughout the evening quite a few inmates Block. 10 came by my cell offering me coffee, cakes, or 11 A lot of the inmates were very comforting whatever. 12 to me. 13 There were several inmates that would 14 come by my cell and they would make a statement such 15 as, we're going to kill your ass come morning. Or 16 we're going to get you at daylight. 17 So I was kind of, I wasn't sure what 18 was going to happen to me. I was hoping, like I 19 mentioned earlier, that they would negotiate. That 20 the worst had already happened to me. 21 When it started getting daylight out 22 the inmates got pretty riled up again. I heard them 23 barricading the front door in K Block. They used 24 Day Room furniture which was wooden tables and 25

13 benches and so on. 1 I also heard some shots fired. So of 2 course this got the inmates very riled up. They 3 started yelling and hollering they're coming. At 4 this time I knew that if anything was going to 5 happen to me it wouldn't be too long. 6 A little bit later there were four 7 inmates right outside my cell and I overheard the 8 one say to the others. "You all know your targets." 9 That's what I heard them say. And then I thought 10 well there's going to be trouble real soon. 11 Several minutes later my cell door 12 opened and an inmate was coming after me with a 13 baseball bat. 14 I had a barber shop bench, or a 15 cabinet rather, that is used to store barber shop 16 equipment, clippers and combs and so on. 17 There was a sterilization box sitting 18 This was used to sterilize the on top of this. 19 combs and so on. 20 The first inmate that came into the 21 cell, I turned around and I hit him on the head with 22 this sterilization box. 23 At this time I was standing up and 24 another inmate came in with a baseball bat and I 25

14 turned the barber's chair over in front of him. 1 At this time he pulled the woolen mask 2 off of his head and he came after me again with the 3 baseball bat. 4 I put my arms up to protect myself 5 from being hit. And he hit me over the arms and 6 knocked me to the floor. 7 There were other inmates came in 8 behind him with bats. At this time I crawled into 9 this barber shop cabinet. 10 I would say it protected me from my 11 waist up. It had a rim around the inside that was 12 like a sheif. 13 I held onto this rim with my fingers 14 and the inmates were beating my legs. They were 15 beating this box. 16 . At one time an inmate, two inmates 17 grabbed hold of my legs and another inmate or two 18 grabbed hold of the box, and they were trying to 19 pull me out of this. 20 I thought to myself well if they pull 21 me out of this box they're going to kill me. And I 22 thought this is the only protection I have. This is 23 the only thing that's going to save me is to stay in 24 this box. So needless to say I held on pretty 25

15 tight. 1 They got very worked up. They were 2 pounding my legs with the bats. They were pounding 3 the box. A I held my hand between my head and the 5 top of the box to make sure if they hit the top of 6 the box they wouldn't knock me unconscious and then 7 be able to pull me out. 8 One of the inmates tied something 9 around my ankle and pulled me out of the cell onto 10 the tier, which is a concrete floor. 11 At this time I had several inmates 12 beating my legs and my knees. Once in a while they 13 would stop and walk away. 14 And I would be there and I would think 15 to myself, you're still breathing, you're going to 16 They're not going to kill you. make it. 17 Maybe two or three minutes later they 18 would come back. They would sneak up on me whereas 19 I wouldn't hear them approach me and all of a sudden 20 they would just start beating me again, beating my 21 legs and also the box. 22 About this time the beating on my legs 23 wasn't that painful because my legs were getting 24 numb by then. 25

16 So they left me alone again. All this 1 time I kept hoping, well, the State Police are going 2 to be in here any minute. This is going to be over 3 with. 4 Several minutes later a man approaches 5 me in a very soft voice and says, "Oh, my God, its 6 an officer." 7 Now I thought all along if the Police 8 come in I'll know about it because they'll be, you 9 know, they'll be doing some yelling and shouting and 10 so on. 11 So this man walked up to the box and 12 he said, "Here, let me help you." He takes hold of 13 my arm above my elbow and starts to pull my arm out 14 of the box. And my hand cleared the box and he 15 tried to lay my arm over this way. When he did that 16 I pulled away from him and I got cut on the top of 17 my wrist. I would imagine he used a razor blade. 18 Of course I pulled my arm back in the 19 box and that was the last that I was assaulted or 20 beat. 21 At this time I knew something was 22 going on outside the cellblock. I heard a lot of 23 shouting by the Police and by the Officers. 24 And I didn't know exactly where I was 25

17 in the cellblock. Like I said, I was inside this 1 box. But it got very quite inside there. 2 So next what I did was I used my one 3 leg and I pushed my body around and the box and I 4 held my arm up like this so I could see out the 5 bottom of this box. 6 I made a 360 degree turn. I saw there 7 weren't anymore inmates. Where I was, I was in the 8 Day Room between the J and K block. 9 I didn't see any inmates in there so I 10 thought well it's safe to come out of this box now. 11 I came out of the box. 12 I went over to the windows which were 13 in the direction of the yard where the State 14 Troopers were assembling all these inmates. 15 I hollered out the window to one of 16 the State Troopers. I said, "I'm an officer, can 17 you come in and help me?" Of course I didn't 18 realize at the time I had a brown inmate shirt on. 19 So I started walking or trying to walk 20 out to the barber shop cell where I had remembered 21 removing my uniform shirt. 22 I made it out to the barber shop cell. 23 I looked through some blankets and sheets and so on, 24 and I found my uniform shirt. 25

18 I picked up my uniform shirt, which 1 wasn't gray anymore, and I made it out to the 2 entrance of K Block. 3 And I held my shirt up in my hand 4 showing the Keystone patch on the shoulder. And I 5 hollered, "I'm an officer. Can someone come in here 6 and help me?" 7 At that time Captain Kerstetter and 8 another Officer came in and assisted me to where I 9 could receive medical attention. 10 That's mostly my story of what 11 happened to me that evening. It was a very 12 frightful experience. One that is going to live 13 with me the rest of my life. 14 CHAIRMAN CALTAGIRONE: Yes. Question. 15 **REPRESENTATIVE MOEHLMANN: Thank you.** 16 Approximately what time then did help 17 finally arrive for you? 18 MR. CAMPBILL: I think it was about 19 nine o'clock Friday morning. 20 CHAIRMAN CALTAGIRONE: Question. 21 REPRESENTATIVE BLAUM: Officer, the 22 inmates who did this to you, is this being 23 investigated criminally? 24 MR. CAMPBILL: Yes. 25

19 REPRESENTATIVE BLAUM: Those you were 1 able to identify, are they being identified? 2 MR. CAMPBILL: Yes they are. 3 I mean I don't REPRESENTATIVE BLAUM: 4 know how the Commonwealth can thank you for what 5 you've done or repay you for what you went through 6 that evening, except to just prosecute these people, 7 these animals to the fullest extent of the law. And 8 hopefully to punish them as severely as the law will 9 allow. 10 MR. CAMPBILL: That's what I would 11 like to see. I would like to see justice. 12 **REPRESENTATIVE BORTNER:** Mr. 13 Chairman. 14 I would just like to follow up on that 15 if I could for a minute or two. 16 Originally as I heard your testimony 17 you were assaulted prior to the time that there was 18 a pillow case put on your head, is that correct? 19 MR. CAMPBILL: That's correct. 20 **REPRESENTATIVE BORTNER:** And I think 21 you said that an inmate came towards you with a two 22 by four? 23 MR. CAMPBILL: That's right. 24 **REPRESENTATIVE BORTNER:** Can you 25

20 identify those people? 1 MR. CAMPBILL: No I can't. 2 **REPRESENTATIVE BORTNER:** Now you said 3 you were blindfolded but at some point that was 4 removed? 5 MR. CAMPBILL: That's right. 6 REPRESENTATIVE BORTNER: And you also 7 indicated that at one point somebody assaulted you 8 that removed a stocking type hat, is that correct? 9 MR. CAMPBILL: That's right. That was 10 when I was assaulted in the barber shop cell early 11 Friday morning. 12 **REPRESENTATIVE BORTNER:** Can you 13 identify that person? 14 MR. CAMPBILL: Yes. 15 I know that REPRESENTATIVE BORTNER: 16 the State Police, that there's an ongoing 17 investigation. 18 I talked to one of the investigators 19 from the York Barracks who has been there since the 20 time of the riots and expects to be there be longer. 21 Are they using any photographs of all 22 the inmates to assist you in being able to identify 23 the perpetrators of these outrageous acts? 24 MR. CAMPBILL: Yes they are. Ι 25

21 have like a summary of my injuries I would like to 1 read here. 2 REPRESENTATIVE BORTNER: I'd be 3 interested to know that. 4 MR. CAMPBILL: Okay. This is from the 5 Hershey Medical Center. I was flown over to Hershey 6 with the Life Line helicopter Friday morning. 7 They have here bruises. Broken left 8 Possible broken right wrist. Surgery knee. 9 received on his legs to relieve water build up. 10 Puncture wounds on the back, legs. Surgery done on 11 his stomach to ascertain if internal organs were 12 Burns and abrasions on his right ankle. damaged. 13 I received over sixty stitches. And I 14 was in the Hershey Medical Center for twelve days. 15 **REPRESENTATIVE BORTNER:** I was going 16 to ask you that. You were in the hospital for 17 twelve days? 18 MR. CAMPBILL: Yes. I was in 19 Intensive Care for three days. 20 **REPRESENTATIVE BORTNER:** I know this 21 is very difficult, perhaps impossible for you to 22 answer, but the period of time that you had placed 23 yourself in that cabinet for protection when this 24 sort of continuing intermittent beatings occurred, 25

22 how long did that take place? 1 MR. CAMPBILL: Well it seemed like 2 forever, but I would imagine it probably was half an 3 hour to forty-five minutes I would guess. 4 **REPRESENTATIVE BORTNER:** And do you 5 have any idea what you were being assaulted with? 6 MR. CAMPBILL: Well I saw the inmates 7 with baseball bats in their hands when they 8 originally came into the barber shop cell. 9 REPRESENTATIVE BORTNER: One other 10 thing I did want to ask you as well. You've 11 identified this inmate that acted as a medic. 12 MR. CAMPBILL: Umhum. 13 **REPRESENTATIVE BORTNER:** Has he also 14 been identified to the State Police? 15 MR. CAMPBILL: Yes he has. 16 **REPRESENTATIVE BORTNER:** Because one 17 of my interests and one of my concerns is that from 18 what I'm hearing it is obvious that not all inmates 19 participated in this. 20 Those inmates that either didn't 21 participate or more importantly, may have tried to 22 actually protect employees and guards, I certainly 23 hope every effort is being made to separate those 24 from the perpetrators. 25

23 And I think to the extent that you've 1 provided that information that would be certainly be 2 helpful too. 3 I can't imagine going through what you 4 went through. And when you say you'll live with 5 that, I have not doubt that you will. 6 You've asked for justice. In my 7 opinion there is probably no way to do justice 8 because the only thing that can happen is additional 9 terms of imprisonment for these people, and I'm not 10 sure that's enough to truly do justice. 11 But I can tell you this, if I were a 12 judge and these cases came before me these people 13 would go away so far they'd have to pump air to 14 And I hope that the Judges who hear the cases them. 15 involving the assaults that you're referring to 16 approach it the same way. 17 Again, I certainly think you ought to 18 be commended for the way that you held up and 19 withstood the situation. 20 Thank you. 21 **REPRESENTATIVE MOEHLMANN:** Thank you, 22 Mr. Chairman. 23 Officer Campbill, I had the very brief 24 opportunity to be introduced to you upstairs before 25

24 we came down here and I was pleased to hear you say 1 that you're feeling reasonably well now. 2 But I believe you said that you are 3 not back to work. You are in therapy, is that A correct? 5 MR. CAMPBILL: That's correct. Ŧ 6 haven't been released by my orthopedic surgeon. And 7 I'm receiving counseling from the Psychiatry 8 Department at Hershey once a week. 9 REPRESENTATIVE MOEHLMANN: I wanted to 10 ask you something about, you said that the prisoners 11 entered Modular Unit Four. 12 And apparently the prisoners who came 13 after you while you were locked in the bathroom were 14 not prisoners who had been held in Modular Unit Four 15 but were prisoners who came from outside that Unit? 16 MR. CAMPBILL: That's correct. These 17 prisoners came from Groups 2 and 3. What we call 18 general population. 19 The prisoners that I had, that I was 20 in charge of in Modular Four were mostly short time, 21 or they had short sentences or they weren't too far 22 away from their release date in the Modular. 23 **REPRESENTATIVE MOBHLMANN:** Having 24 toured the facility after the riot, I am at least 25

25 visually familiar with the locking mechanisms in the 1 blocks. 2 We didn't make it through the Modular 3 Units so I have no idea what the locking mechanisms 4 were and what the security was in the Modular Units. 5 Is it generally true that the Modular 6 Units which were destroyed were destroyed by inmates 7 from outside of those Units? 8 MR. CAMPBILL: I would say there's no 9 doubt about that. The inmates that were housed in 10 the Modular Units, a lot of them were as frightened 11 as I was when they were broken into. 12 They had their radios, TV's, their 13 personal belongings. And like I said, they were 14 very frightened. They didn't want this to happen. 15 REPRESENTATIVE MOEHLMANN: Were the 16 Modular Units generally more secure in terms of 17 their locking mechanisms and the fact that they may 18 have been locked up than the cell blocks turned out 19 to be? 20 MR. CAMPBILL: What was that question 21 again? 22 **REPRESENTATIVE MOEHLMANN:** Were the 23 Modular Units, their locking mechanism particularly, 24 were they more secure than the cellblocks turned 25

26 out to be? 1 MR. CAMPBILL: Well I would say that 2 the Modulars were not very secure at all. It was a 3 matter of breaking the glass out of the doors, or A pounding the door handle off. 5 REPRESENTATIVE MOEHLMANN: So it was 6 no better than the cellblocks? 7 MR. CAMPBILL: No. 8 REPRESENTATIVE MOBHLMANN: I'm also 9 interested; you referred to ringleaders or people 10 you thought sounded like somebody that may have been 11 in control or somebody that had a specific job to do 12 among the inmates? 13 MR. CAMPBILL: Right. 14 **REPRESENTATIVE MOEHLMANN:** I'm 15 interested in knowing what your reaction was to the 16 degree or organization within in the inmates 17 regarding the riot, having to do basically with the 18 degree to which it appeared to you to have been 19 planned and carried forward according to plan. 20 MR. CAMPBILL: Well I think they were 21 pretty well organized. I'm sure that this was 22 planned. 23 It happened at the right time, not too 24 long after it got dark, which was to their 25

27 advantage. 1 They certainly didn't have a hard time 2 coming out of their cells. It was a matter of 3 minutes from the first radio transmission that I 4 heard until they were all over the Institution. 5 They were over at the Control Center 6 door within a matter of minutes. 7 REPRESENTATIVE MOEHLMANN: Thank you, 8 Officer Campbill. 9 CHAIRMAN CALTAGIRONE: Yes. 10 REPRESENTATIVE HAGARTY: Thank you. 11 I may have read this or heard it, but 12 I don't recall now. How many hostages were taken 13 all together? 14 MR. CAMPBILL: I can't be sure. Ι 15 would guess six or eight on Thursday evening. 16 **REPRESENTATIVE HAGARTY:** And were 17 there injuries, severe injuries, do you know? 18 MR. CAMPBILL: Not that I know of. 19 **REPRESENTATIVE HAGARTY:** Were they 20 also hospitalized? 21 MR. CAMPBILL: Yes. Most everyone was 22 hospitalized. 23 REPRESENTATIVE HAGARTY: You indicated 24 you're not back to work yet. When you do return to 25

28 work are you planning to return to prison work? 1 MR. CAMPBILL: Well I really couldn't 2 answer that yet. 3 **REPRESENTATIVE HAGARTY:** I just want 4 to indicate that I think that the Commonwealth 5 should be prepared to help you with another field 6 that is more appropriate. 7 I would imagine it would be difficult 8 at this point for you return to that environment. 9 MR. CAMPBILL: Well you're right about 10 that. It would be very hard for me to go in there 11 knowing that this could happen to me again. Or even 12 the possibility of this ever happening to me again. 13 REPRESENTATIVE HAGARTY: I just want 14 to join the other members of the Committee I guess 15 in thanking you for being here today and your 16 service to this Commonwealth, and to offer whatever 17 we could offer to be helpful to you in the future. 18 MR. CAMPBILL: Thank you. 19 CHAIRMAN CALTAGIRONE: Jeff. 20 REPRESENTATIVE PICCOLA: Thank you, 21 Mr. Chairman. 22 I share the comments of my colleagues 23 and expression of gratitude for your service. And 24 in that line I would ask if you have received any 25

29 communication or commendation, or expression of 1 gratitude from either the Governor or the 2 Commissioner of Corrections with respect to what you 3 went through at Camp Hill in October? 4 MR. CAMPBILL: Yes I have. 5 REPRESENTATIVE PICCOLA: Could you 6 describe that please? 7 MR. CAMPBILL: Well Governor Casey 8 called me while I was in the Hershey Medical Center 0 wanting to know if there was anything he could go 10 for me. 11 I was very well taken care of during 12 my stay there. I had a nurse, a private duty nurse 13 throughout the day, twenty-four hours a day. 14 I received, I believe it was flowers 15 from ex-Commissioner Owens with a little card. 16 **REPRESENTATIVE PICCOLA:** Did 17 Commissioner Owens communicate with you directly 18 other than the flowers? 19 MR. CAMPBILL: No. 20 **REPRESENTATIVE PICCOLA:** Have you had 21 any communication from Acting Commissioner DeRamus? 22 MR. CAMPBILL: No I haven't. 23 **REPRESENTATIVE PICCOLA:** Mr. Campbill, 24 as a Correction Officer you receive certain training 25

30 I believe prior to entering into service. And then 1 I believe you also receive periodic refresher 2 training courses. 3 Could you just very briefly describe 4 that training in terms of what the content of it was 5 initially and what the content of the refresher 6 courses are? 7 MR. CAMPBILL: Well my training 8 actually lasted for eleven or twelve months. When I 9 went to work at the Correctional Institution I was a 10 trainee for the first year. 11 I was put on different assignments. Ι 12 almost always had an officer or a supervisor 13 supervising me. 14 I wasn't really put out on assignments 15 that I wasn't familiar with. It was more of a 16 'training period. 17 Every year we have like maybe a 18 refresher course on CPR, on baton training. Which I 19 really never thought amounted to too much in my own 20 personal opinion. 21 It was mandatory like your Red Cross 22 and so on. 23 REPRESENTATIVE PICCOLA: Did any of 24 that training involve contingency training for how 25

31 you should behave or act, or should do in a hostage 1 situation either as a hostage or attempting to 2 retrieve hostages? 3 MR. CAMPBILL: I don't recall ever 4 having any training like that. 5 **REPRESENTATIVE PICCOLA:** Was there 6 ever any that you're aware of, any policy statement, 7 written memorandum, anything in terms of official 8 Department of Corrections policy or either informal 0 Department of Corrections policy that would have 10 given you some guidance as to how you should act or 11 behave as a hostage either in your interest or in 12 the interest of other hostages of the Institution? 13 MR. CAMPBILL: None that I was aware 14 oť. 15 REPRESENTATIVE PICCOLA: None 16 whatsoever? 17 MR. CAMPBILL: No. 18 REPRESENTATIVE PICCOLA: Could you 19 tell me where these baseball bats came from? It 20 sounded from your testimony that there were an awful 21 lot of them. 22 MR. CAMPBILL: Well the baseball bats 23 and gloves and the basketballs and so on more than 24 likely came from the stockade fields, which were 25

32 probably on each stockade field. 1 I believe there's three of them there. 2 And they all had like an athletic shed or whatever. 3 It would be like an outdoor shed. And of course it 4 wouldn't take too much to knock the lock off of that 5 and grab them. 6 REPRESENTATIVE PICCOLA: I assume 7 those shed were within the fence of the Institution? 8 MR. CAMPBILL: Yea. 9 **REPRESENTATIVE PICCOLA:** They were 10 inside the Institution? 11 MR. CAMPBILL: Right. 12 REPRESENTATIVE PICCOLA: But they're 13 fairly adjacent to the cellblocks, are they not? 14 MR. CAMPBILL: That's correct. 15 REPRESENTATIVE PICCOLA: Were the 16 baseball bats in evidence the first night to the 17 best of your recollection? 18 I couldn't answer that. MR. CAMPBILL: 19 **REPRESENTATIVE PICCOLA:** You weren't 20 there? 21 MR. CAMPBILL: That's right. 22 **REPRESENTATIVE PICCOLA:** You were at 23 the Modulars then? 24 MR. CAMPBILL: Umhum. 25

33 **REPRESENTATIVE PICCOLA:** Did it ever 1 occur to anyone either prior to the riot or between 2 the two riots that the baseball bats might have been 3 used as weapons? 4 MR. CAMPBILL: Well I don't know that 5 it did; but it should have. 6 **REPRESENTATIVE PICCOLA:** We've heard a 7 lot, and this is probably opinion testimony from 8 you, we've heard a lot as to the attitude of the 9 Department of Corrections toward inmates, that they 10 were too treatment oriented. That they bent over 11 backwards for the inmates and didn't really support 12 the guards. 13 Do you have any opinion in that 14 general area in terms of what the attitude of the 15 Agency was generally speaking in terms of its • 16 overall policy? 17 MR. CAMPBILL: No, I don't have any 18 opinion about that. 19 **REPRESENTATIVE PICCOLA:** Well 20 unfortunately the Commonwealth doesn't have a - at 21 least I'm not aware of any - medal of honor or some 22 sort of like award we could give to you. But in my 23 estimation you should be a prime candidate for some 24 sort of commendation from the Commonwealth and we 25

34 all thank you for your service. 1 Thank you, Mr. Chairman. 2 CHAIRMAN CALTAGIRONE: Yes. 3 REPRESENTATIVE LASHINGER: Thank you, 4 Mr. Chairman. 5 Officer, I also share the Panei's 6 gratitude for your service to the Commonwealth. 7 Just briefly, two questions. When you 8 were moving from block to block were you ever within 9 the view of the Tower Guards? 10 MR. CAMPBILL: Yes I was. 11 **REPRESENTATIVE LASHINGER:** Was there 12 any communication to the inmates from the Tower? 13 MR. CAMPBILL: No. As I stated 14 earlier, I was paraded in front of -- Officer Allen 15 and myself were paraded for the press and at that 16 time Officer Arnold who was working in the Highway 17 Tower identified me. 18 REPRESENTATIVE LASHINGER: To follow 19 up on one of the other questions, have you been 20 informed by the Department or anyone with the 21 Commonwealth whether you are eligible for 22 compensation, victim's compensation? 23 MR. CAMPBILL: Well I am being 24 I'm under Act 632 right now. I'm compensated. 25

35 still receiving my pay and so on. 1 REPRESENTATIVE LASHINGER: Any 2 additional compensation for your injuries? 3 MR. CAMPBILL: No. 4 REPRESENTATIVE LASHINGER: Thank you. 5 CHAIRMAN CALTAGIRONE: Question. 6 REPRESENTATIVE PRESSMANN: Officer, 7 you have been a Corrections Officer since 1983, 8 which included I guess several Commissioners of đ Correction. At least Mr. Jeffes was there from 10 1984 I believe. I'm not sure when he came on. 11 Have you noticed any great change in 12 the procedures or whatever between the 13 Administration of Mr. Jeffes and Mr. Owens? 14 MR. CAMPBILL: No, I can't say that I 15 have. 16 **REPRESENTATIVE PRESSMANN:** So a lot of 17 the problems that you say under the Owens 18 administration were there during the Jeffes 19 administration? 20 MR. CAMPBILL: Well I couldn't really 21 answer that. 22 REPRESENTATIVE PRESSMANN: Thank you. 23 The week that this incident happened 24 had you worked any overtime? 25

36 MR. CAMPBILL: Yes. 1 **REPRESENTATIVE PRESSMANN:** How many 2 hours overtime had you worked approximately? 3 MR. CAMPBILL: I would say 4 approximately eight. 5 **REPRESENTATIVE PRESSMANN:** Were you 6 doing double shifts or half shifts? 7 MR. CAMPBILL: I did a double shift 8 the evening of the first riot. 9 **REPRESENTATIVE PRESSMANN:** Because of 10 the riot or? 11 MR. CAMPBILL: Because of the riot. 12 That was Wednesday evening. 13 REPRESENTATIVE PRESSMANN: Had you 14 been doing much overtime before that? 15 MR. CAMPBILL: I believe up until that 16 date I worked thirty or thirty-one shifts in 1989, 17 extra shifts, overtime shifts. 18 **REPRESENTATIVE PRESSMANN:** Were the 19 other CO's that you were working with experiencing 20 also a lot of overtime at that time? 21 MR. CAMPBILL: I really couldn't 22 answer that. 23 **REPRESENTATIVE PRESSMANN:** Do you 24 think that the overtime had any kind of effect on 25

37 the lack of security or the unpreparedness that 1 guards were over extended? 2 MR. CAMPBILL: I wouldn't think so. Ι 3 couldn't answer for sure. 4 REPRESENTATIVE PRESSMANN: Did you 5 feel overextended? 6 MR. CAMPBILL: No. 7 **REPRESENTATIVE PRESSMANN:** Thank you. 8 CHAIRMAN CALTAGIRONE: Any other 9 questions? 10 (No further questions.) 11 CHAIRMAN CALTAGIRONE: Officer 12 Campbill, I want to thank you very much for your 13 appearance here today before the Committee. 14 I also share the sentiments of the 15 Members of this Committee. 16 I'm sorry. Jerry. 17 **REPRESENTATIVE NAILOR:** Mr. Campbill, 18 I'm Jerry Nailor. 19 The Camp Hill Institution falls in my 20 Legislative District and many of your co-workers and 21 officers and employees there I grew up with or they 22 know my family, or they come to talk with me, and 23 maybe you're aware of that. One was a hostage that 24 was held with you and he came and spoke with me on 25

several occasions

_ ו ∥	several occasions.
2	I just want to, if I could, ask you a
3	few questions concerning the security issue, I won't
4	hold you up, ask you some questions concerning the
5	security issue.
6	On day two when you came back, on the
7	26th, you reported back to work after the first
8	night of rioting, you had some sleep, you had gone
9	home, at that time were there general concerns about
10	the security of the Institution that you heard when
11	you came back to work?
12	MR. CAMPBILL: No there weren't at
13	that time. But then again, I'd like to point out
14	that I did not work in the general population.
15	I was in a group more or less to
16	itself. I didn't have a chance to observe the
17	damage that had been done the day before in Groups 2
18	and 3.
19	REPRESENTATIVE NAILOR: When you
20	reported back to work and later on that day before
21	the inmates actually came out of their cells in a
22	matter of minutes as you described, did you hear
23	anything at all later in the day about them being
24	out of their cells, or about the panels being
25	removed above their cells and they were therefore

38

39 able to release themselves when they wanted to? 1 MR. CAMPBILL: No. I didn't 2 personally hear anything like that. 3 **REPRESENTATIVE NAILOR:** We've heard a 4 lot of testimony about a group called the Fruits of 5 Islam. Were you aware of that group? 6 MR. CAMPBILL: Yes. 7 REPRESENTATIVE NAILOR: Did you 8 perceive any unrest from this so called militant 9 group? 10 MR. CAMPBILL: No, I didn't. I didn't 11 perceive anything. 12 **REPRESENTATIVE NAILOR:** Thank you very 13 much. 14 CHAIRMAN CALTAGIRONE: Again, Officer 15 Campbill, I want to thank you very much for 16 appearing here today. 17 (The testimony of Officer Campbill was 18 concluded.) 19 CHAIRMAN CALTAGIRONE: If the next two 20 witnesses, would you care to come to the table, 21 Kerstetter and Stotelmeyer. Captains. 22 Would you introduce yourselves for the 23 record please. 24 If you have statements that you care 25

40 to make you can do so and then we will open for some 1 questioning. 2 CAPTAIN KERSTETTER: May name is 3 Gerald E. Kerstetter. I'm a Captain at the State A Correctional Institution at Camp Hill. I have been 5 employed there since October of 1971. I have no 6 statement. 7 CAPTAIN STOTELMEYER: I'm Captain 8 Robert E. Stotelmeyer. I have been at the 9 Institution since April 10, 1969. 10 CHAIRMAN CALTAGIRONE: Questions from 11 the Committee? 12 REPRESENTATIVE MOEHLMANN: 1969 did 13 you say? 14 CAPTAIN STOTELMEYER: Yes. sir. 15 REPRESENTATIVE PRESSMANN: Gentlemen. 16 I guess to start out, you were both on duty during 17 the situation at Camp Hill, the riots? 18 CAPTAIN KERSTETTER: On October 25th 19 I was not. I was at the Training Academy on the day 20 of October 25th, 1989, till about 3:00 p.m. And the 21 class was dismissed and I was on my way home. 22 And I don't live too far from the 23 In fact I go right by the Institution. Institution. 24 And of course as I was going by the Institution I 25

41 did see what was going on. 1 And I stopped and talked to one of the 2 Security Lieutenants momentarily and he informed me 3 there was a riot, a disturbance in progress. 4 So I went home and changed my clothes 5 and I'd estimate that I returned to the Institution 6 about 3:30 on October 25th, 1989. 7 And after I returned I went to the 8 rear gate, as I know that's our staging area for the 9 State Police or other employees coming into the 10 Institution, in case we would have such a 11 disturbance or riot. 12 REPRESENTATIVE PRESSMANN: The 13 incidence that led up to the riot and was alluded to 14 in the last question about the activities of a group 15 of inmates in the Institution, the Fruits of Islam. 16 In your opinion or in your experience 17 was this group directly involved, organized this, 18 and was this foreseeable? 19 CAPTAIN KERSTETTER: I would say that 20 they were directly involved. There's no doubt in 21 my mind. 22 I can make that statement as I was 23 standing at the-- When they eventually brought in 24 the second wave of State Police I took charge of the 25

42 Institution on Groups 2 and 3 side. I might add by 1 default from another Captain who apparently did not 2 want to take charge. 3 Throughout the afternoon and evening the inmates were throwing all sorts of items over 5 the E Gate fence at myself, the other Corrections 6 Officers and the State Police. 7 Some of those being oranges, 8 grapefruits, metal objects, rocks. You name it. 9 Anything that they could throw they threw at us. 10 At one point when negotiations were 11 underway some of the inmates that came out to 12 negotiate I knew were FOI members. 13 When I was informed that they would 14 come out to do that I got a contingent of officers 15 up there to search them down. Pat search them 16 thoroughly. 17 You have to understand as a Captain or 18 Shift Commander we're not on the line in the 19 cellblocks eight hours a shift. And we do not know 20 all of the inmates as do the Lieutenants and 21 Sergeants and CO I's. 22 However, I knew at least two of those 23 individuals that came through the fence were FOI 24 members. 25

43 REPRESENTATIVE PRESSMAN: Had you 1 dealt directly with the FOI before as 2 representatives of the inmates where they would be 3 designated as spokesmen before this incident? 4 CAPTAIN KERSTETTER: They never spoke 5 to me, but some of those individuals, yes, I know 6 that they have spoken to some of the people at the 7 Institution. 8 **REPRESENTATIVE PRESSMANN:** The 9 situation in the Institution that led up to rioting, 10 including overtime, a lot of overtime, security 11 problems, you've been in the Department of 12 Obviously you're a very Corrections since 1971. 13 experienced officer. Has all your time been there 14 at Camp Hill? 15 CAPTAIN KERSTETTER: Yes it has. 16 REPRESENTATIVE PRESSMANN: Was that 17 still a juvenile facility when you started there? 18 CAPTAIN KERSTETTER: Yes it was. 19 **REPRESENTATIVE PRESSMANN:** During the 20 time that you've been involved in Corrections at 21 Camp Hill you've seen it change from being a 22 juvenile facility to I guess what was supposed to 23 considered a less dangerous offender facility, who 24 from what we've learned during the riots there 25

4 4 were a lot of people who maybe shouldn't have been 1 in a facility like that. 2 Did you see a marked increase in the 3 security in the facility with the change of inmate. A or did it pretty much stay the same? 5 CAPTAIN KERSTETTER: I would say that 6 up until around 1983 when we experienced numerous 7 escapes and escape attempts there was very little 8 additional security at that Institution. 9 REPRESENTATIVE PRESSMANN: Are you 10 talking about the external security or internal? 11 CAPTAIN KERSTETTER: External and 12 Both. internal. 13 **REPRESENTATIVE PRESSMANN:** Both? 14 CAPTAIN KERSTETTER: Yes. And then 15 after we had a serious assault on an officer we 16 attempted to put more officers in the cellblocks. 17 We put two officers in a celiblock 18 instead of just one. And we had sergeants in the 19 celiblocks. 20 We tried to get sergeants on both 21 shifts in the cellblocks. And then of course we had 22 the addition of the security perimeter where we 23 added a second fence and the intrusion system. 24 **REPRESENTATIVE PRESSMANN:** Do you 25

45 think that the staffing was adequate? 1 CAPTAIN KERSTETTER: No. 2 REPRESENTATIVE PRESSMANN: Because of 3 what was -- And when you say it was not adequate, do 4 you mean that the procedures weren't adequate or 5 they just weren't providing enough staff and you 6 were maybe shorthanded or whatever? 7 Were you shorthanded? 8 CAPTAIN KERSTETTER: Weil I'd say we 9 needed more officers. You have to understand that 10 the Department of Corrections for many years had a 11 formula and they staffed their Institutions to 12 eighty-five percent or thereabout. 13 Considering people that are, 14 considering retirements, transfers, people finding 15 other jobs, or just outright quitting, we never 16 reached our full complement that I ever knew of. 17 And I'm talking about the eighty-five percent. 18 **REPRESENTATIVE PRESSMANN:** And did you 19 reach that eighty-five percent by the use of 20 overtime? 21 CAPTAIN KERSTETTER: That's what we 22 had to do, yes. 23 REPRESENTATIVE PRESSMANN: Were all 24 positions of that eighty-five percent always 25

46 filled by overtime? 1 On a given shift were there as many 2 guards as there were supposed to be under that 3 eighty-five percent formula? 4 CAPTAIN KERSTETTER: Yes. 5 REPRESENTATIVE PRESSMANN: But a 6 number of those would be people working overtime 7 shifts? 8 CAPTAIN KERSTETTER: Yes. We have 9 roster for each shift and we know how many posts we 10 have to cover and so on and so forth. And we would 11 reach that by hiring overtime. 12 REPRESENTATIVE PRESSMANN: Is there a 13 maximum amount of hours that a CO can work in a 14 week? 15 CAPTAIN KERSTETTER: They're only 16 allowed to work two shifts per day, sixteen hours a 17 day. 18 REPRESENTATIVE PRESSMANN: Could they 19 work seven, sixteen hour shifts a week? 20 CAPTAIN KERSTETTER: Well you have to 21 understand we have an agreement with the Union 22 whereby we have overtime equalization. 23 So in other words the overtime is 24 distributed equally among the officers. So one 25

47 person to work a double shift seven days, that 1 couldn't happen. 2 **REPRESENTATIVE PRESSMANN:** The desire 3 for overtime, is that competitive among the 4 officers? They want the overtime? 5 CAPTAIN KERSTETTER: I would say 6 there's a certain like core group of officers that 7 always are signing up or wanting to work overtime. 8 REPRESENTATIVE PRESSMANN: Can 9 officers refuse overtime? 10 CAPTAIN KERSTETTER: Yes. Unless--11 REPRESENTATIVE PRESSMANN: Unless you 12 don't have anybody else? 13 CAPTAIN KERSTETTER: Right. 14 **REPRESENTATIVE PRESSMANN:** So you have 15 to go through a list from like, you know, one to ten 16 or whatever, and if officers one through nine 17 refused, officer ten refuses, but he's the--What, 18 is it on a seniority basis? 19 CAPTAIN KERSTETTER: Yes. 20 REPRESENTATIVE PRESSMANN: He would 21 have to take it then? 22 CAPTAIN KERSTETTER: Yes. 23 **REPRESENTATIVE PRESSMANN:** Do you 24 think there was too much overtime being done? 25

48 CAPTAIN KERSTETTER: My personal 1 opinion, yes. 2 REPRESENTATIVE PRESSMANN: Were the 3 guards too tired or Prison personnel too tired, 4 serving too much overtime and maybe weren't as alert 5 as they should have been? 6 CAPTAIN KERSTETTER: No, I don't think 7 Because as I stated previously, that was the case. 8 one or two or three in the Prison don't do all the 9 overtime. It's done on an equalization basis so 10 it's scattered. 11 **REPRESENTATIVE PRESSMANN:** A previous 12 statement was made that the Department seemed more 13 interested in treatment of the prisoners than 14 backing up the Corrections personnel. 15 Would you agree with that statement? 16 CAPTAIN KERSTETTER: Yes I would. 17 **REPRESENTATIVE PRESSMANN:** Would you 18 care to elaborate on that? 19 CAPTAIN KERSTETTER: Well I feel the 20 Department of Corrections and under the previous 21 administration there was a totally hands off policy. 22 And if you got involved in situations 23 where inmates had to be extracted from their cells 24 for various reasons, causing disruptions, assaulting 25

49 officers as they walked by, you had to be extremely 1 - and I would like to emphasize that - extremely 2 careful. 3 Regardless of what the inmate may be 4 throwing at you or what he may have in his hand to 5 hit you with, you had to be extremely careful not to 6 in any way, shape or form mishandle the inmate. 7 Because we pretty much knew that come 8 Monday morning - and I use this as a cliche - Monday 9 morning quarterbacking would take place. 10 **REPRESENTATIVE PRESSMANN:** When you 11 say previous administration, are you talking about 12 Mr. Freeman? 13 CAPTAIN KERSTETTER: I'm talking about 14 all three of them. 15 **REPRESENTATIVE PRESSMANN:** All three 16 of who? 17 CAPTAIN KERSTETTER: Freeman. Deputy 18 Smith and Deputy Henry. 19 REPRESENTATIVE PRESSMANN: How long 20 was Mr. Freeman the director there? 21 CAPTAIN KERSTETTER: I would say I 22 think he came in about '84. About six years. 23 **REPRESENTATIVE PRESSMANN:** Did you 24 notice a change in policy between the 25

50 administration of Mr. Jeffes and Mr. Owens as the 1 Director of Corrections? 2 CAPTAIN KERSTETTER: Well I would say 3 that the biggest difference that I saw was Mr. Owens 4 was more for the inmates. 5 REPRESENTATIVE PRESSMAN: In what way? 6 CAPTAIN KERSTETTER: Well I know 7 personally that he came to our Institution to visit 8 a few of them. 9 I don't know what type of relationship 10 existed there, but. And I have heard, and I don't 11 know if this is true, that he went to other 12 Institutions also to visit inmates. 13 To me that doesn't show confidence or 14 your priorities are not in the right place. 15 REPRESENTATIVE PRESSMANN: Thank you. 16 CHAIRMAN CALTAGIRONE: Lois. 17 REPRESENTATIVE HAGARTY: Thank you. 18 Officer Kerstetter, we had the 19 opportunity, this Committee, last time the hearings 20 were held to hear the radio log that I believe was 21 your voice radio logging back after the first riot 22 and before the second as to the inmates being in 23 their cells and secure. 24 And I am curious what was the 25

51 process that you were going through as you were 1 radioing back as to the status of the inmates? 2 CAPTAIN KERSTETTER: Well I believe 3 that was my voice that you heard. And quite frankly 4 I refer to that as the Camp Hill tapes, somewhat in 5 They were the same vein as the Watergate tapes. 6 missing eighteen minutes; the Camp Hill tape was 7 missing about ten hours. 8 Which you have to understand that the 0 tapes were only running on Wednesday evening. And 10 you have to understand that in regards to this tape, 11 I was basically in charge of Groups 2 and 3 and 12 every transmission, whether it was myself or anybody 13 that had a radio was being recorded. 14 I was at one point ordered to come 15 back over to Deputy Smith's office. And I was given 16 specific instructions at that time, and those 17 instructions were - you have to understand this is 18 after the inmates were locking up - my instructions 19 were to go back over to Groups 2 and 3 with our 20 emergency squad and lock the inmates down in their 21 cells, secure them in their cells. 22 REPRESENTATIVE HAGARTY: At what point 23 in time was this that you're referring to? 24 You met with Officer Smith and he 25

52 directed you back to secure the inmates? 1 CAPTAIN KERSTETTER: That's correct. 2 Deputy Smith. 3 **REPRESENTATIVE HAGARTY:** Deputy Smith. 4 I'm sorry. 5 CAPTAIN KERSTETTER: And I would say 6 that was right around seven o'clock. 7 **REPRESENTATIVE HAGARTY:** On Wednesday 8 evening? 9 CAPTAIN KERSTETTER: Wednesday 10 evening. 11 **REPRESENTATIVE HAGARTY:** And where did 12 he direct you back to? 13 CAPTAIN KERSTETTER: I was to go back 14 over to Groups 2 and 3 and then what I was to do was 15 to secure all the inmates in their cells. 16 And my question to Deputy Smith was at 17 that time, does it matter how many inmates are in a 18 And his reply was no. And I said well then cell? 19 as long as I get two, three, four, five and six 20 secured in a cell that's fine, because we're going 21 to basically sort them out later. And the answer 22 was to the affirmative. 23 So what I did was --24 **REPRESENTATIVE HAGARTY:** Just so I 25

53 understand, where were the inmates when you arrived 1 back then to cells two and three? 2 CAPTAIN KERSTETTER: Okay. The 3 inmates were in the process of, they had undergone 4 negotiations with Deputy Henry and they agreed to 5 release the hostages and return to the cellblock. 6 So I went back over to Groups 2 and 3. 7 There were inmates out in the yard between what we 8 call Groups 2 and 3, in the courtyard. 9 And I have it written down here, I 10 think it was like at 7:40 the Compound over there 11 was clear of all the inmates. 12 **REPRESENTATIVE HAGARTY:** By Compound 13 you're referring to outside? 14 CAPTAIN KERSTETTER: Between Groups 2 15 and 3. 16 I would say it was right around that 17 time that I went back over to Groups 2 and 3. And I 18 started the process of locking the inmates down. 19 **REPRESENTATIVE HAGARTY:** Can you 20 describe that process for us? 21 Well what I did CAPTAIN KERSTETTER: 22 was I got the Assistant Commanders of the SERT Team. 23 I got them lined up in front of E I got them up. 24 Because E Block is sitting on a step that's Block. 25

54 high. 1 So I stood up on the step so I could 2 speak and everybody could see me and they could hear 3 ne. 4 And what I did was I told them what we 5 were going to do. We were going to enter the ٨ cellblock and we were going to go down the tiers, 7 Tier One, Two, Three and Four. 8 And we were going to pull the doors to 9 make sure that they were locked and couldn't be 10 And any inmates out on the tiers we were opened. 11 going to lock them in cells. 12 Now I had, I'm just guessing, a 13 hundred, a hundred and fifty State Troopers behind 14 SCIC Offices that were going to do the same thing. 15 So as we entered, we opened the door 16 The cellblocks were dark. and entered. The 17 overhead tier lights had been knocked out. There 18 was no lighting in the cellblock. 19 The trash on the tier was built up 20 probably two to three feet. Just as I stood up 21 front I could see radios and TV's. And I don't mean 22 Institutional radios, I mean like radios, AM and FM 23 radios. 24 I saw radios. TV's. Sheets. Blankets. 25

55 All kinds of inmate clothing. Day Room furniture. 1 I mean you name it, it was like the whole way down 2 that tier. 3 So what the Officers did, they went 4 down Tier One and Two. and then Three and Four. And 5 I announced that I would announce on the radio. 6 We entered the cellblocks. Half way 7 down the cellblocks the inmates are cooperative. 8 Because I fully expected to go in there and have to 9 get into some type of fight of physical contact with 10 the inmates, but that did not occur. 11 So immediately when you go in the 12 cell blocks you focus on the inmates. And all the 13 inmates in every cellblock that we went in, they 14 were all generally standing to the back of the ward 15 or the cellblock. 16 So as you're going down the tiers 17 you're checking the doors but you're also looking at 18 the inmates to make sure that they're not going to 19 throw something at you or all of a sudden attack. 20 So basically my focus was the inmates 21 and the officers going down the tiers checking the 22 doors. 23 **REPRESENTATIVE HAGARTY:** Some of the 24 doors I take it the locks were broken on, is that 25

56 right? 1 CAPTAIN KERSTETTER: That's correct. 2 **REPRESENTATIVE HAGARTY:** And then I 3 take it you put inmates into cells where the locks 4 were not broken? 5 CAPTAIN KERSTETTER: That is also 6 correct. 7 REPRESENTATIVE HAGARTY: Did you 8 notice-- There's been a lot of testimony obviously 9 about these panels. Did you notice at that time 10 that covers were off the panels above the cells? 11 CAPTAIN KERSTETTER: No I did not. 12 REPRESENTATIVE HAGARTY: We have some 13 testimony I believe in which they said there were 14 actually officers that actually had to step over 15 some of these broken panels on the ground. 16 CAPTAIN KERSTETTER: That's what I 17 understand. 18 **REPRESENTATIVE HAGARTY:** Did you 19 observe any broken panels on the ground? 20 CAPTAIN KERSTETTER: No I did not. 21 REPRESENTATIVE HAGARTY: When you--22 CAPTAIN KERSTETTER: You have to 23 understand there was no lighting. And the other 24 point that I would like to make is that the SERT 25

57 Team that I took in there were wearing riot 1 equipment, helmets, shields, vests. I had none. 2 And I walked down to about twelve or thirteen cells 3 in E Block and I looked around and I thought, I 4 don't have any equipment on. 5 I'm in charge of this thing, I'm 6 staying up front so when we're done then I can tell 7 them we're done. And then we'll move on to the next 8 cellblock. o So I didn't go down the tiers. If I 10 would have had equipment on I probably would have 11 gone down the tiers. 12 REPRESENTATIVE HAGARTY: Who went down 13 the tiers then? 14 CAPTAIN KERSTETTER: The CO's. The 15 Corrections Officers and Lieutenants. 16 Now there was testimony before the 17 Senate hearing, Lieutenant Sunday testified in the 18 process of locking inmates down not only in the 19 cellblocks, the initial lockdown. 20 But then there was other lockdowns 21 that followed that. I was directing Lieutenant 22 Sunday and Lieutenant Cooney to go back into those 23 cellblocks and lock other inmates down that were 24 scattered throughout the Institution. 25

58 And of course by that time inmates are 1 in their cells and they have their lights on. 2 There's lights illuminating out on the tiers. 3 You have to understand, I mean it was 4 pitch dark when we went in the Institution first. 5 So Lieutenant Sunday testified he then 6 saw lock box panels down. And he also testified 7 under oath that he reported that. He didn't report 8 it to me. 9 **REPRESENTATIVE HAGARTY:** Do you know 10 who he reported it to? 11 CAPTAIN KERSTETTER: He reported it to 12 Deputy Smith. 13 REPRESENTATIVE HAGARTY: So no one 14 reported to you these lock box panels were down? 15 That's correct. CAPTAIN KERSTETTER: 16 **REPRESENTATIVE HAGARTY:** If you had 17 known that they were down would you know that they 18 had the potential of the inmate reaching around from 19 the inside of the cell and letting himself out? 20 CAPTAIN KERSTETTER: Yes. As did 21 Lieutenant Sunday and some of the other ones that 22 reported it to him, and he then obviously saw it and 23 he went and verbally reported it. 24 REPRESENTATIVE HAGARTY: Do you have 25

59 any independent knowledge other than the Senate 1 testimony that the CO's reported to Deputy Smith 2 these locking panels were down? 3 CAPTAIN KERSTETTER: There's other 4 Officers that reported it to Deputy Smith. 5 REPRESENTATIVE HAGARTY: Did you know 6 that at the time? 7 CAPTAIN KERSTETTER: No. 8 REPRESENTATIVE HAGARTY: When did you 9 first learn that these reports were in fact made? 10 CAPTAIN KERSTETTER: Well I would say 11 either Monday or Tuesday of the following week. 12 Because I was off then the rest of the day Friday 13 and Saturday. I didn't go back to work till Sunday. 14 I knew Monday or Tuesday of that week. 15 **REPRESENTATIVE HAGARTY:** You referred 16 when you started to testify as to the radio log 17 information that was missing. When did that occur? 18 CAPTAIN KERSTETTER: Well basically 19 what had occurred there, after we initially locked 20 down the six cellblocks and secured the inmates in 21 their cells, I was asked by the Major of the Guard 22 what cellblocks over there are secured. And secured 23 meaning what cellblocks do I have the inmates locked 24 And I told him at that time the cellblocks in. 25

60 were secured. 1 Meaning the inmates that were out of 2 their cells are now in their cells and locked in. 3 It strikes me funny that I was asked a ₫ question of that nature. And anybody that knows in 5 Corrections, that depending on the circumstances 6 secured can mean many different things. And in that 7 circumstance it meant were the inmates locked in 8 their cells. o Later on that evening I know the tape 10 wasn't played, but Deputy Smith called me on the 11 radio and he said, and I quote this, "Cap, do you 12 have Groups 2 and 3 completely secured?" 13 Captain Kerstetter, my reply, "No. We 14 have these twelve inmates yet to put in their cells 15 and we have the twenty-nine from above the Band 16 I don't know where Lieutenant Sunday is on Room. 17 that yet." 18 Now, what does that mean? Does that 19 mean the whole Institution is not secure? No. It 20 means simply what I said there, that we still had 21 inmates to put in their cells. 22 When I told them that they were 23 secure, I was unaware that we had inmates in the 24 Furniture Factory. We had inmates in the Band Room. 25

61 We had inmates in the Education Building. We had 1 inmates at the rear gate. We had inmates at the 2 Greenhouse. We had inmates at the Chapel. We had 3 inmates in Group 1. 4 We had inmates scattered throughout 5 the Institution that were basically caught up in the 6 riot and they were secured in those areas. 7 In other words kept in those areas and 8 I was unaware of that when I told them that the 9 Institution was secured in regards to the inmates 10 being locked in. 11 **REPRESENTATIVE HAGARTY:** But you're 12 indicating that in your later communication with 13 Deputy Smith, that we did not have the opportunity 14 to hear, that you then were aware or did indicate to 15 him then that there were inmates that were not 16 secured? 17 CAPTAIN KERSTETTER: There were many 18 inmates that were not secured. That was just at 19 that point in time I knew about twelve and then 20 another twenty-nine, and then there was even more 21 after that. 22 **REPRESENTATIVE HAGARTY:** Did you 23 communicate to Deputy Smith then on other occasions 24 that you learned before the second riot that there 25

62 were other inmates who were not secured also? 1 CAPTAIN KERSTETTER: Well, yes. 2 **REPRESENTATIVE HAGARTY:** Other than 3 the twelve you're telling us about? '4 CAPTAIN KERSTETTER: Yes. There were 5 twelve, twenty-nine. There were inmates at the 6 Furniture Factory. There were inmates scattered 7 throughout--8 REPRESENTATIVE HAGARTY: I understand 9 that. My question is did you communicate that? 10 CAPTAIN KERSTETTER: Well sure. 11 Because when Mr. Campbill said we evacuated Modular 12 Units on Wednesday night, we put the inmates on the 13 main stockade field and in Group 1 stockade field. 14 Those inmates then had to be secured. 15 So I got specific instructions from 16 Deputy Smith on how to lock those inmates back in 17 the Mods. 18 **REPRESENTATIVE HAGARTY:** Was there any 19 time in which you believed that all the inmates were 20 secured? 21 CAPTAIN KERSTETTER: Yes, about three 22 o'clock. 3:00 a.m. Thursday morning, yes. That's 23 I would say the approximate time that we had all the 24 inmates locked either in the Mods or in their 25

63 cells. 1 REPRESENTATIVE HAGARTY: Let me get 2 back to one other question. Would the normal chain 3 of command procedure have been for Officer, I 4 believe it was Sunday who testified--5 CAPTAIN KERSTETTER: Sunday. 6 **REPRESENTATIVE HAGARTY:** Sunday. То 7 have reported to you as the Captain, not to have 8 reported to Deputy Smith the fact that the panel 9 boxes were off? 10 CAPTAIN KERSTETTER: That's correct. 11 However, the time he reported that to Deputy Smith 12 he knew that we had had all the inmates locked up at 13 the time, they were then secured. 14 And he knew that I was down in the 15 staff dining room and he was coming over to that 16 side of the Institution. 17 Instead of coming down to staff dining 18 room and telling me, he just went straight in Deputy 19 Smith's office. 20 So he violated the chain of command. 21 But with matters that are as important as that, 22 there's no problem there. 23 REPRESENTATIVE HAGARTY: So when are 24 you indicating that he communicated that to Deputy 25

64 Smith? 1 CAPTAIN KERSTETTER: Around three 2 o'clock. 3 REPRESENTATIVE HAGARTY: I believe it 4 was Thursday morning we saw pictures that the State 5 Police had taken of these panel boxes being off. 6 Were you aware of who from Corrections 7 toured with the State Police when those pictures 8 were taken? 9 CAPTAIN KERSTETTER: I'm not really 10 too sure, but I would guess it would have been 11 Sergeant Diehl and maybe the officers from the 12 Security Office. 13 **REPRESENTATIVE HAGARTY:** When did you 14 go off duty, Captain? 15 CAPTAIN KERSTETTER: I went off duty 16 Friday morning, or Thursday morning about 6:15. 17 REPRESENTATIVE HAGARTY: Thank you. 18 And thank you for your service to the Commonwealth 19 on this difficult occasion. 20 CHAIRMAN CALTAGIRONE: Jeff. 21 **REPRESENTATIVE PICCOLA:** Thank you, 22 Mr. Chairman. 23 Could either one of you, I don't 24 really care which one, describe what the chain of 25

65 command was and how you two fit into it going from 1 Freeman to Smith and so forth? 2 CAPTAIN STOTELMEYER: The chain of 3 command in the Institution starts with the 4 Superintendent. 5 'Next in the chain is the Deputy 6 Superintendent For Operations. And then the Deputy 7 Superintendent For Treatment. 8 Then the Major of the Guards. 9 Lieutenants. Sergeants. CO I's. Captains. 10 REPRESENTATIVE PICCOLA: And Mr. 11 Stotelmeyer you were what at the time? 12 A captain, sir. CAPTAIN STOTELMEYER: 13 REPRESENTATIVE PICCOLA: And you 14 reported in the chain of command to Smith? 15 CAPTAIN STOTELMEYER: No, sir. Ι 16 would report to the Major of the Guards. 17 **REPRESENTATIVE PICCOLA:** Major of the 18 Guards? 19 CAPTAIN STOTELMEYER: Yes. 20 **REPRESENTATIVE PICCOLA:** And that was 21 whom? 22 CAPTAIN STOTELMEYER: Major John R. 23 Stover. 24 REPRESENTATIVE PICCOLA: Major 25

66 Stover. He has since I believe retired? 1 CAPTAIN STOTELMEYER: Yes. sir. 2 **REPRESENTATIVE PICCOLA:** The Major 3 reported to Smith? 4 CAPTAIN STOTELMEYER: Yes. sir. 5 **REPRESENTATIVE PICCOLA:** Okay. 6 There's been a lot of testimony as to what was 7 reported and Mrs. Hagarty got into some of it. 8 Sergeant Baker. Do you know Sergeant 9 **Baker**? 10 CAPTAIN STOTELMEYER: Yes sir, I do. 11 **REPRESENTATIVE PICCOLA:** And what was 12 Sergeant Baker's position at the time of the 13 incident at Camp Hill? 14 CAPTAIN STOTELMEYER: I couldn't 15 I was on vacation on the 25th. I was answer that. 16 on vacation from the previous Thursday I think. 17 And on Wednesday, the 25th, I was at 18 my camp in Centre County. And I arrived home, to my 19 home about midnight on the 25th. And my wife made 20 me aware of what was happening at the Institution. 21 Till I got in the door it was closer 22 to one o'clock in the morning. And I had been up 23 since 4:00 a.m. that morning because I was hunting. 24 And rather than get showered and shaved and 25

67 change and drive to work, I would have been 1 worthless anyway, so I elected to lay down for a few 2 Which I did. And I reported to work at 6:00 hours. 3 a.m. on the 26th. 4 So I can't give you any firsthand 5 information on what transpired the evening of the 6 25th. 7 REPRESENTATIVE PICCOLA: Okay. But 8 you were on duty on the 26th? 9 CAPTAIN STOTELMEYER: Yes, sir. 10 **REPRESENTATIVE PICCOLA:** From Thursday 11 morning through --12 CAPTAIN STOTELMEYER: Yes, sir. As a 13 matter of fact when I went in I relieve Captain 14 Kerstetter. He went home shortly after I got 15 there. 16 **REPRESENTATIVE PICCOLA:** Was Sergeant 17 Baker on duty on the 26th? 18 CAPTAIN STOTELMEYER: I don't know. 19 Sergeant Baker at the time was on the second shift, 20 two in the after till ten in the evening. 21 I mean now I know that he was there 22 the evening of the 25th, but I'm not sure whether he 23 was working the evening of the 26th or not. 24 CAPTAIN KERSTETTER: I believe he 25

68 was. 1 REPRESENTATIVE PICCOLA: He was 2 working the evening of the 26th? 3 CAPTAIN KERSTETTER: I believe so. 4 REPRESENTATIVE PICCOLA: He testified 5 before the Senate Committee that the Day Shift 6 Lieutenants said that they notified the Shift 7 Commander of the defective locks. 8 Were either of you notified by 9 Sergeant Baker of the defective locks as he 10 testified? 11 CAPTAIN STOTELMEYER: I was not. No 12 sir, I wasn't. I had no communication with 13 Sergeant Baker that day at all as a matter of fact. 14 **REPRESENTATIVE PICCOLA:** Were either 15 of you involved or have any knowledge or notice--16 Let me ask a preliminary question. 17 Are either one of you familiar or both 18 of you familiar with a former Chaplain at the 19 Institution by the name of Quadir Sabir? 20 CAPTAIN STOTELMEYER: Yes, sir. 21 **REPRESENTATIVE PICCOLA:** And when did 22 Mr. Sabir come to work for the Institution to the 23 best of your knowledge? 24 CAPTAIN STOTELMEYER: I couldn't begin 25

69 to give you a date. I'd say he was there 1 approximately a year, maybe a little less than a 2 year. I'm not really sure. It was a pretty short 3 period of time. 4 **REPRESENTATIVE PICCOLA:** Did you ever 5 have any difficulties with Mr. Sabir? 6 CAPTAIN STOTELMEYER: The only 7 personal contact or the only personal involvement I 8 ever had with him concerning an incident was, I 9 think it was May 9th or May 10th. 10 There was an inmate in the RHU, 11 Restricted Housing Unit, by the name of Mitchell, 12 who the previous day had thrown mule kick, which is 13 a caustic substance they use to open drains. It's a 14 plumber's drain opener. 15 Somehow they secured some of that in 16 their cells and they threw mule kick on an officer, 17 several officers, and they caused them to get 18 caustic burns on their faces and neck areas. 19 The day after that incident somehow 20 Inmate Mitchell managed to get a toilet brush 21 handle, a wooden handle which was about so long, and 22 he was using that as a club. 23 And he was throwing feces and urine 24 and other things on the officers. And he had tied 25

70 his cell door shut on my shift. Ł And we had to move him and that was in 2 Tier Two in the Restricted Housing Unit, which is 3 upstairs. 4 We were going to move him downstairs 5 into the Disciplinary Custody Maximum Unit, which is 6 a cell that holds ten cells, which is commonly 7 referred to as the hole. 8 Mitchell fought us the whole, time we 9 were trying to extract him from the cell. In the 10 process I gave Lieutenant Shipley the order to mace 11 Just spray him with methylethylphatone, which him. 12 is nothing more than mace. 13 We got Mitchell out. Got him 14 downstairs. Went through the established routine. 15 He had the medical attention. We just took care of 16 the incident. 17 But right after it was over where we 18 had Mitchell downstairs in the cell, Chaplain Sabir 19 approached me. 20 I was standing right beside Deputy 21 Smith as a matter of fact in front of the RHU. He 22 approached me in an indignant manner and he told me, 23 he said, "You're not allowed to mace that man." 24 And I said, "I certainly am allowed to mace that 25

man. I'm the Shift Commander. That decision is mine to make." And he wasn't very pleased with that answer.

But I mean he told me in no uncertain 4 terms that it was what I was not allowed to do. And 5 I didn't want to get into a real lengthy 6 conversation with him, but I just told him that I 7 have the authority to do that and I deemed at that 8 point that that needed done to save the officers 9 from being injured going in that cell to get that 10 man. 11

I mean we made an inmate in that circumstance as a last resort. I only made an individual in a cell if they have some kind of a weapon that they can injure my officers going through the door to get them out.

If they don't have a weapon. If they're just throwing feces or water or urine, or whatever they have, I mean we have protective clothing, shields, helmets. They can usually go in and restrain the individual and pin him.

But if they have a weapon, a club, or a broken up block, or they'll break a toilet off the wall and have large pieces of porcelain.

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Anything that I construe as a weapon

71

72 that could hurt one of my officer, I'll use mace 1 before I let that happen. 2 Anyway, he got into an argument with 3 me about me having the authority to do that. And I 4 told him that I did. And he walked away very 5 disgruntled and that was my only personal 6 involvement with the man. 7 **REPRESENTATIVE PICCOLA:** Did you have 8 any involvement with him after returning to duty on 9 Was he in the Institution to your the 26th? 10 knowledge? 11 CAPTAIN STOTELMEYER: I couldn't say. 12 **REPRESENTATIVE PICCOLA:** Were either 13 of you aware of an internal security investigation 14 of Mr. Sabir that was commenced sometime in the 15 summer of 1989? 16 CAPTAIN STOTELMEYER: I wasn't 17 officially informed that he was being investigated. 18 But through the jailhouse grapevine I knew he was. 19 **REPRESENTATIVE PICCOLA:** What did you . 20 know about it? 21 CAPTAIN STOTELMEYER: I don't know, 22 just through rumor of Control I was told or heard 23 that he was being investigated for some involvement 24 in the Institution. 25

73 It even went to the extent of his 1 prior employment, whether that was in the 2 Philadelphia area, in Holmesburg, or wherever he was 3 employed before he came to Camp Hill. 4 I just knew that there was an 5 investigation being done on him. But no one 6 formally told me that or I didn't see it in writing. 7 **REPRESENTATIVE PICCOLA:** Were either 8 of you ever questioned by any of the individuals 9 conducting the investigation? 10 CAPTAIN STOTELMEYER: I was not. 11 **REPRESENTATIVE PICCOLA:** There was a. 12 as I understand it, a meeting, or I guess it was 13 more like a meeting and a conference call sometime 14 in the afternoon of the 26th in the, I believe it 15 was in the Superintendent's office in which I 16 believe both of you were present. 17 CAPTAIN KERSTETTER: I was present at 18 that. 19 CAPTAIN STOTELMEYER: I wasn't. 20 **REPRESENTATIVE PICCOLA:** You were not 21 present? 22 CAPTAIN STOTELMEYER: No, sir. 23 Because they called the people who were on duty the 24 night of the 25th, the first riot. 25

74 **REPRESENTATIVE PICCOLA:** I see. 1 CAPTAIN STOTELMEYER: They were called 2 to the Commissioner's complex to receive a 3 conference call from the Governor. And I wasn't 4 involved in the night of the 25th so I didn't go to 5 the Commissioner's office. Captain Kerstetter was 6 there. 7 CAPTAIN KERSTETTER: I was there. 8 **REPRESENTATIVE PICCOLA:** And that was 9 the one in which the Governor spoke by speaker phone 10 I guess? 11 CAPTAIN KERSTETTER: That's correct. 12 **REPRESENTATIVE PICCOLA:** During the 13 course of that meeting -- Well, let me ask you. To 14 the best of your recollection who all was present at 15 that meeting? 16 CAPTAIN KERSTETTER: Superintendent 17 Deputy Smith. Deputy Henry. Freeman. Major 18 Captain Bowser. Commissioner Owens. Stover. 19 I think there was a couple of the 20 counselors were up there. 21 There was about, oh, fifteen to 22 eighteen of us there maybe. I think Lieutenant 23 Barrett was there. 24 **REPRESENTATIVE PICCOLA:** When were 25

75 you summoned to that meeting and how? 1 CAPTAIN KERSTETTER: I went home about 2 6:15 that morning, Thursday morning. And then the 3 Deputy's Assistant called my house around ten or 4 eleven o'clock, somewhere in that area, and I was 5 told to be in the Superintendent's office around one 6 o'clock so we could go up to the Commissioner's 7 complex. And that was around two. 8 So I was told that morning about ten, 9 eleven o'clock. 10 REPRESENTATIVE PICCOLA: And you 11 arrived around two o'clock? 12 CAPTAIN KERSTETTER: I arrived around 13 1:30 I think. I went down to the Superintendent's 14 office first and then we all walked up to the 15 Commissioner's complex together. 16 **REPRESENTATIVE PICCOLA:** Was there any 17 discussion either preliminarily to the meeting or 18 during the meeting about the security concern at the 19 Institution at that time? 20 CAPTAIN KERSTETTER: That I remember 21 or that I've been told that took place? 22 REPRESENTATIVE PICCOLA: No. That you 23 overheard or that you participated in? 24 CAPTAIN KERSTETTER: No, I don't--25

76 If you want my honest opinion on this, I would have 1 rather stayed in bed until twelve o'clock, 12:30. 2 because I just got home at 6:15 after running all 3 over that Institution, fifty-two acres all night A long. 5 And I was quite tired. And to tell 6 you the truth I really was not paying any attention 7 as to what other people were saying. I was there 8 because I was requested to be there. 9 REPRESENTATIVE PICCOLA: But I presume 10 you didn't express any concerns about the security? 11 CAPTAIN KERSTETTER: No I did not. At 12 that time I didn't. I didn't express any concern 13 until after I re-entered the Institution. 14 Put it this way, as I walked down 15 through the parking lot, coming from the Bureau 16 building, back to the State Correctional 17 Institution--18 REPRESENTATIVE PICCOLA: After the 19 meeting? 20 CAPTAIN KERSTETTER: After the 21 meeting. 22 **REPRESENTATIVE PICCOLA:** Okay. 23 CAPTAIN KERSTETTER: As I looked 24 around the parking lot I didn't see any State 25

77 Police cars. And I just assumed, and I know that's 1 a bad word, but I assumed that they were probably 2 parked at the rear gate. 3 So after I entered the Institution I 4 went into the Captain's office and I spoke with 5 Captain Stotelmeyer basically to get an update on 6 What had occurred since I was what's going on. 7 off. 8 And much to my dismay I found out that 9 they did not even as much as move the inmates to 10 their proper cells. 11 Conduct any type of shakedown. And 12 every more disturbing I found out that they only 13 kept twenty-five State Troopers and they were housed 14 over at the Manor House; not inside the Institution. 15 And Captain Stotelmeyer and I 16 discussed that momentarily. And I then proceeded 17 over to Deputy Smith's office to ask him what was 18 going on. 19 And he informed me that they had a 20 priority list. And I asked him what was the 21 priorities, because at that point Captain 22 Stotelmeyer had told me about trying to acquire 23 locks in the Institution to lock cell doors. 24 And he told me the priority list was 25

78 to get the truck out of the gate or the fence where 1 they tried to ram and breach the security fence. 2 The inner fence. 3 I would like to emphasize the inner fence. 5 Move a golf cart that was burned out 6 and take pictures of the cellblocks. 7 And I asked him then where are the 8 State Police, and he informed me over at the Manor 9 House. 10 I asked him why they weren't inside 11 and he said the decision had been made that they're 12 going to stay out there. 13 And I asked him if Captain Stotelmeyer 14 is trying to get locks to put on cell doors, why 15 isn't that on the top of the priority list? And he 16 told me the decision has already been made to keep 17 that priority list intact. Which I thought was kind 18 of ludicrous. 19 But I feel as though I had my say and 20 I brought it to his attention. So apparently he 21 wasn't going to do anything about it. 22 So then at one point I remember he had 23 Captain Stotelmeyer and I both over there. 24 **REPRESENTATIVE PICCOLA:** Could you 25

79 tell us what time that was? 1 CAPTAIN KERSTETTER: That was around 2 5:30. Five or 5:30. 3 And he told us that we're going to 4 have to start working with the inmates again. So 5 therefore he was giving me instructions to get 6 inmates out of their cells and start to clean the 7 debris off the tiers and the cellblocks. 8 At which point I asked him if he was 9 crazy because just the night before they tried to 10 kill us. And he said no, he was not. 11 **REPRESENTATIVE PICCOLA:** Could I 12 interrupt you for a moment. Where is Major Stover 13 while all this is going on? He's the interim in 14 your chain of command as I understand it. 15 CAPTAIN KERSTETTER: He was sitting in 16 the office with us. 17 **REPRESENTATIVE PICCOLA:** He's there 18 also? 19 CAPTAIN KERSTETTER: Yes. 20 **REPRESENTATIVE PICCOLA:** Okay. 21 CAPTAIN KERSTETTER: So basically I 22 wouldn't say that I disobeyed his orders because he 23 never really gave me any orders. He said we should 24 start doing this and I didn't think it was such a 25

80 good idea. So I left the office at that point. 1 And then we had a problem in the RHU. 2 They were starting fires up there on the tiers. So 3 I took some officers up there with--4 **REPRESENTATIVE PICCOLA:** Excuse me. 5 What time was that? 6 CAPTAIN KERSTETTER: I'd say that was 7 around six. 8 REPRESENTATIVE PICCOLA: Which was 9 before the second disruption and they were starting 10 fires in the RHU? 11 CAPTAIN KERSTETTER: That's correct. 12 The inmates were confined to their 13 And on Wednesday evening we didn't have any cells. 14 problem in what we would call Group 1 of the 15 Institution, which is Cellblock A, B and the RHU. 16 So I went up there and basically I 17 told the officers to stay off the tiers, stay up 18 front, and we video taped what was going on. 19 It wasn't a real big problem. We've 20 had those problems like that where inmates --21 REPRESENTATIVE PICCOLA: May I 22 interrupt just a moment? 23 CAPTAIN KERSTETTER: Sure. 24 **REPRESENTATIVE PICCOLA:** You video 25

81 taped people setting fires in the RHU, inmates 1 setting fires in the RHU before the second riot 2 began? 3 CAPTAIN KERSTETTER: Yes. 4 **REPRESENTATIVE PICCOLA:** Where are 5 those video tapes now? 6 CAPTAIN KERSTETTER: I have no idea. 7 **REPRESENTATIVE PICCOLA:** Who video 8 taped them? 9 CAPTAIN KERSTETTER: Sergeant Diehl. 10 REPRESENTATIVE PICCOLA: Sergeant? 11 CAPTAIN KERSTETTER: Diehl. 12 REPRESENTATIVE PICCOLA: Sergeant 13 Diehl? 14 CAPTAIN KERSTETTER: Yes. I believe 15 it was Sergeant Diehl. 16 Basically what they were doing is 17 lighting papers and throwing them out on the tier, 18 which really doesn't amount to a whole lot. I mean 19 they've done that before. 20 And then of course then they throw 21 water out and then whatever's burning then starts to 22 smoke and so on. It's not a real big problem. 23 REPRESENTATIVE PICCOLA: Excuse me 24 again for interrupting, but you're giving us some 25

82 information that I'd like to follow up on. 1 Was there any video taping of inmates 2 out of their cells at that time? 3 CAPTAIN KERSTETTER: No, there was no 4 inmates out of their cells. They were all locked in 5 their cells. And they were yelling and rattling 6 bars like goes on every now and then. 7 I mean it was not a real-- It wasn't 8 anything that really got me excited or I saw a real 9 concern with it other than keeping the officers off 10 the tiers, because I didn't want anybody to get hit 11 or get hurt. 12 I mean they were confined to their 13 cells and they were just, I don't know. I don't 14 know, maybe they just woke up and discovered what 15 happened the night before and they just thought they 16 were going to pitch in. I have no idea what the 17 problem was but they just started that. 18 So then it calmed down after about 19 five minutes, ten minutes. And I left the RHU 20 because everything got quiet then. 21 I mean it calmed down to what I would 22 consider to be normal range of noise. And I told 23 the officers to keep me posted if anything further 24 occurred. 25

83 So then I think I returned to the 1 Captain's office and I sat down and I was thinking 2 about--3 REPRESENTATIVE PICCOLA: Captain 4 Stotlemeyer's office? 5 CAPTAIN KERSTETTER: It's called the 6 Captain's office. We share. It's a common room for 7 all the Captains. 8 So then at that point I believe we 9 were called back over to the Deputy's office. 10 REPRESENTATIVE PICCOLA: **Deputy Smith?** 11 CAPTAIN KERSTETTER: Deputy Smith's 12 office. And he said something again to me about 13 getting the inmates out. And I looked at my watch 14 and I said well it's too late now. It's almost 15 dark. How about we'll wait for tomorrow. 16 **REPRESENTATIVE PICCOLA:** Excuse me 17 again for interrupting, but what, if you know, did 18 he have in mind when he was talking about getting 19 the inmates out? 20 CAPTAIN KERSTETTER: Well he wanted 21 to get them out and clean up the debris on the 22 cellblocks. 23 **REPRESENTATIVE PICCOLA:** Was there any 24 discussion of shakedown at that point in time? 25

84 CAPTAIN KERSTETTER: I had already 1 asked him earlier if they had. I said. "It's 2 obvious you didn't have a shakedown." Because Bob 3 Stotelmeyer told me that we didn't even put the A inmates back to their proper cells yet. 5 And he said, "No, it was decided we 6 weren't going to have a shakedown right away." And 7 I said, "Well, you know, I stood over there at 8 Groups 2 and 3 and watched them take all kinds of 0 items out of the kitchen." 10 I said, "Don't you think that we 11 should do that?" And he said, "Well we will do that 12 but we're not going to do it right now." 13 You have to understand that under the 14 administration of Deputy Smith if it wasn't his idea 15 it didn't count. I don't know how else to put it. 16 If it wasn't his idea it didn't count 17 or it didn't carry any weight. 18 So I thought, well, my experience with 19 him has been that you argue with him, you're 20 automatically put on that list. 21 So I thought well I'm not going to 22 argue with him because it's obvious he's not going 23 to change his mind. So I didn't argue with him. 24 So we went back over there and he 25

85 told us, well watch the video tapes of what occurred 1 last night. 2 So I was watching the video tape along 3 with Captain Stotelmeyer and there was some other 4 people in there. 5 And Deputy Smith and Captain Keith 6 left the office. And I really didn't know where 7 they were going. Okay. 8 But then around seven o'clock that 9 night - now this is October 26th, 1989 - I heard the 10 transmission on my radio, and actually his radio, 11 both our radios, that there was inmates coming out 12 of their cells in E Block. 13 And I identified that voice as being 14 Lieutenant Renninger. And he was the Group 2 and 3 15 Lieutenant that night. 16 And he like yelled in the radio, like 17 rapid fire six times, all the officers get out of 18 your cellblocks, lock your doors. Like rapid fire 19 like six times he repeated that. 20 And I could tell by the inflection in 21 his voice that there was definitely a problem in 22 Groups 2 and 3. And there was more than just two or 23 three inmates coming out of their cells. 24 So I left the Deputy's office. Ι 25

86 got about three-quarters of the way to the Education 1 Building now. And I saw somebody, two individuals 2 running at us, which I identified them as Deputy 3 Smith and Captain Keith. ₫ And they ran by me and, oh, it was 5 myself and probably fifteen officers. They ran by 6 us saying that the inmates were coming out of their 7 cells. 8 So I stood there momentarily and I 9 looked at Group 2 and 3 and I saw individuals 10 forming. 11 I saw some individuals gathering on 12 our side of the gate and I remember I looked at 13 Lieutenant Gavigan and I said do you see what I see? 14 And he said, yes, they're officers there. 15 And I watched and then some other 16 officers that were with me started pointing out who 17 some of the officers were. And they weren't there 18 but a minute or two and they ran towards us. 19 So we waited for them and as they 20 arrived and then ran past us I saw inmates like 21 fifty, a hundred. Then it was like 200 inmates in 22 the Compound. 23 So I gave the order to return to the 24 Control Desk, which we did. And then we waited at 25

67 the outside of the Control Desk for five minutes or 1 ten minutes. I don't know. 2 Captain Stotelmeyer was there and he 3 had instructed some of the employees that had 4 vehicles in there, because they had taken sandwiches 5 over in a panel truck, to get that vehicle out, out 6 the main gate. 7 The State Police was there. Corporal 8 Piscotty. He was over getting the State Police 9 radios and retrieving them from the night before. 10 Got his keys. Got that vehicle out. 11 There was a dumptruck that was used 12 that morning and afternoon picking up trash over in 13 Groups 2 and 3. We got that vehicle out. 14 And then we waited for a couple 15 minutes, basically to see if there was any other 16 employees coming our direction. 17 There wasn't any so we locked the 18 security doors, the CO doors on the Control Desk 19 entrance. 20 I went into the Control Desk itself 21 and then I learned like within a minute or two after 22 that, the Sergeant I think did come to the Control 23 area. I think he had like eleven inmates with him, 24 eleven or twelve inmates, and we left them in. 25

88 They were non-hostile. They didn't 1 want anything to do with what was occurring. So we 2 left them in. 3 I had given the orders to get the 4 security doors locked in the Group 1 hallway. In 5 fact I even put the key out through the Key Room 6 window to have that done. 7 We tried to lock everything around us 8 with not only the locking mechanism itself, but we 9 put chains around us. That's in our emergency plan 10 to do those kind of things. So we did that. And - -11 REPRESENTATIVE PICCOLA: Excuse me. 12 Deputy Smith was the senior party at that time, was 13 he not? 14 CAPTAIN KERSTETTER: Yes he was. 15 So we were in the Control Desk and 16 then the next I remember a transmission coming 17 across that all the inmates are coming towards the 18 Control Desk. 19 And so we just kind of waited. There 20 wasn't anything we could do. And it wasn't but a 21 couple minutes after that they were beating on the 22 doors. 23 I walked over what we call the bubble. 24 You can look out through. I looked out at the 25

89 entrance and one of the inmates had shoved, it was 1 either a stick or a mop that was burning. 2 They had broken like a little window, 3 real thick glass, they broke that out and they 4 shoved, I think it was a mop in. I'm not sure. It 5 was something on a stick. ٨ So then we put on helmets. And that 7 Thursday I think Captain Stotelmeyer had baseball 8 So we had baseball bats in there. bats picked had. 9 So we got those. And the next thing I know there 10 was inmates beating on the Control Desk door. 11 There's only two doors that go in 12 there. One main door and one back behind the 13 Deputy's office. 14 So they were beating on the door which 15 surprised me. So we basically-- There wasn't a 16 whole lot said. 17 We basically knew what we were going 18 to do if they came through the door. We were going 19 to defend ourselves with the baseball bats and 20 whatever we had. Obviously. 21 So then all of a sudden black smoke 22 came down out of the vents, the ceiling vents. Ι 23 jumped up on a chair to try to shut the vent off and 24 there was no shut off on it. 25

90 So everybody moved away from the door 1 and then whoever was outside beating on the door, I 2 guess it got smoky out there for them also, so they 3 left apparently. 4 So everybody moved around and moved to 5 the front of the Control Desk up by the bubble area 6 and the hallway. 7 And I stood there and I watched that 8 smoke coming in, just all around the ceiling. And 9 it wasn't white smoke, it was heavy black smoke. 10 And it was only thirty seconds and it 11 was like right at my - I'm six foot even. So I 12 remember I got down on my one knee so I could 13 And I had no idea, but the people in breathe. 14 there, they panicked. 15 There was a lot of confusion. People 16 were yelling we're going to die. How are we getting 17 out of here and all that. 18 And I remember very distinctly looking 19 up right above me and there was a fluorescent light 20 and I couldn't see it because of the heavy smoke. 21 And I remember looking back down at 22 the floor and I have no idea but for some reason I 23 yelled for everybody to go out the Key Room window, 24 out into the hallway. 25

91 And the Key Room window is two feet by 1 two feet, something like that. So I remember after 2 I yelled that, I was knocked down on the ground. Ι 3 was on one knee but I was pushed over and in fact 4 somebody, they stepped on my foot and my ankle a 5 couple times. 6 They got into the Key Room and we all 7 exited. I finally got my turn and we went out. 8 I remember as I was standing next in 9 line thinking well we can't stay out there, because 10 I was a Security Lieutenant for three years and the 11 Security Office right next door has an air 12 conditioner in the window. And I thought we have 13 to go upstairs in the Treatment area. 14 So when I got out there were people 15 helping us as we came through the window. I went 16 over to the door that leads upstairs and I 17 instructed the Sergeant not to open that door until 18 I told him to. 19 And I remember looking back and seeing 20 that black smoke coming out of the Key Room window, 21 coming out of the little hole that's in the 22 plexiglass that we crawled through. And finally 23 somebody yelled, everybody's out. 24 And after I had gotten everybody in 25

92 there with sticks and we had the baseball bats, I 1 told them all to gather around the door because I 2 felt sure there was inmates on the other side. But 3 when we opened the door to our surprise there wasn't 4 So we ran upstairs and we locked the door none. 5 behind us. 6 Basically we stayed up there and we 7 had to lay on the floor there because the smoke from 8 the flames below, from the fires below was getting 9 pretty bad. 10 And we were up there fifteen minutes 11 maybe or thereabouts and the smoke was becoming real 12 heavy. 13 In fact I was told that the Major had 14 been overcome by smoke inhalation and a couple of 15 other employees. 16 So they broke out some windows. Ι 17 think they took an air conditioner out of a window 18 to get fresh air in. 19 And we eventually then heard shots so 20 we thought probably the State Police or somebody was 21 coming in. 22 And we waited and waited and waited 23 and then somebody lowered, they put their belts 24 together. And I remember going in one of the 25

93 counselor's offices and ripping an extension out of 1 the wall and taking it over and they put all those 2 together and made a rope. And eventually the State 3 Police did come in and they made a hook on the end 4 with a coat hanger I think and we got two 38's and 5 two shotguns up. Because there were inmates trying 6 to get in, in Counseling. 7 In fact one did come in the office, 8 but I think he saw Captain Stotelmeyer standing Q there with a baseball bat, and he saw me standing 10 there with a baseball bat, and I think that 11 convinced him to turn around and exit the window. 12 But we saw a lot of inmates. I saw a 13 lot of inmates running on the roofs with fire in 14 their hands. 15 The one area right beside the Control 16 Desk, which is the Central Classification Diagnostic 17 Center, that was on fire. 18 The Modular Units were on fire, five 19 and six, which were right behind us. 20 The Education Building was on fire, 21 which was in front of us. 22 So it seemed like every place I looked 23 there was fire. Plus there was inmates laying 24 around on the roofs and I heard what some of the 25

94 inmates were yelling in the windows at us, which 1 gave me the idea that if they came in we would have 2 a problem, they wanted to kill us. 3 Then eventually somebody brought a 4 ladder in and we got everybody out, including the 5 inmates that we had handcuffed. 6 We went to the inmates and re-7 handcuffed them from behind them to the front of 8 them so they could go down the ladder. 9 I believe one of those inmates, Inmate 10 Walborn who I believe testified last week over at 11 the Senate, I think he was happy to come into the 12 Control Desk. Because had he stayed out there I'm 13 sure he would have had a problem. 14 So we eventually exited and then, well 15 I remember at one point I hit the ground. I turned 16 around and I saw a line of State Police at the main 17 gate down in the proximity of the officer's dining 18 room. So I feit pretty safe at that point. 19 Do you want me to tell you what I did 20 the rest of the night? 21 **REPRESENTATIVE PICCOLA: Well maybe.** 22 Let me get back. 23 CAPTAIN KERSTETTER: Okay. 24 **REPRESENTATIVE PICCOLA:** Go back to 25

95 the afternoon meeting that you and Captain 1 Stotelmeyer and Major Stover and Deputy Smith had 2 after your conference call with the Governor. 3 Is there any record, written record of 4 that meeting? 5 CAPTAIN STOTELMEYER: No sir, it was 6 I mean it wasn't around the table. very informal. 7 There were people standing, sitting, whatever. But 8 there was no minutes taken. 9 **REPRESENTATIVE PICCOLA:** Okay. Now--10 CAPTAIN STOTELMEYER: The other two 11 people I remember that were there were Trooper 12 Piscotty and Major Hazen of the State Police. 13 REPRESENTATIVE PICCOLA: Okay. Now 14 just listening to the two of you describe that 15 meeting, I just get a sense that it's dialogue 16 between you guys and Smith and Stover, who should be 17 in the chain of command I guess, is sort off on the 18 side not even participating. Is that an accurate 19 impression? 20 CAPTAIN STOTELMEYER: I would say so, 21 yes. 22 **REPRESENTATIVE PICCOLA:** Was that a 23 problem at the Institution before October? 24 CAPTAIN STOTELMEYER: Yes, sir. But 25

96 I think I should give you a little background maybe 1 and you would understand more why he was taking such 2 a passive role, or at least I feel he was. 3 **REPRESENTATIVE PICCOLA:** Okay. CAPTAIN STOTELMEYER: As Captain 5 Kerstetter mentioned earlier, you didn't argue with 6 the Deputy. You--7 REPRESENTATIVE PICCOLA: Excuse me. 8 When you say you don't argue with the Deputy, as I 9 understand the chain of command, and its been a long 10 time since I was involved in a Military situation 11 but this is what we're talking about here, you're 12 not even supposed to argue with the Deputy, you're 13 supposed to argue with the Major. 14 CAPTAIN STOTELMEYER: Yes sir. but 15 when the Deputy gives you a direct order to do 16 something that is a very poor security practice, or 17 something you know is going to get you in trouble, I 18 mean you don't just ignore him and turn around and 19 tell the Major. You have to address it with that 20 gentleman. 21 **REPRESENTATIVE PICCOLA:** All right. 22 CAPTAIN STOTELMEYER: What I mean to 23 preempt in this is the reason that I feel the Major 24 was sitting there taking a very passive role, I 25

97 mean it's common knowledge that the Deputy tried to 1 fire the Major just because he didn't agree with 2 his--3 That day? REPRESENTATIVE PICCOLA: ⅈ CAPTAIN STOTELMEYER: No. sir. Prior 5 Once prior to this. I mean he threatened to this. 6 to fire me personally because he told me to do 7 something--8 REPRESENTATIVE PICCOLA: The Deputy? 9 CAPTAIN STOTELMEYER: Yes. Because he 10 told me to do something that was asinine that was 11 going to get us in trouble and I said I wouldn't do 12 that unless he put in writing. And he threatened to 13 fire me unless I did it. 14 I mean I've been through this with 15 this gentleman before and--16 **REPRESENTATIVE PICCOLA:** When did this 17 occur? 18 CAPTAIN STOTELMEYER: Oh, several 19 months prior to this. I mean that incident was-- I 20 have to set this up for you a little bit. 21 When you run a main line which is 22 feeding all the inmates for one meal. You feed the 23 entire Institution. Say it's a supper main line. 24 All right. You're feeding 2500 people. 25

98 At any one point in time in the large 1 dining room you have 500 or 600 inmates at once. 2 That dining room is being run by one commissioned 3 officer who is a lieutenant. One sergeant. And he may have four or five correctional officer one's in 5 there other than the culinary staff. 6 But at any rate you have inmates 7 entering and exiting constantly to get everyone fed 8 in an hour, an hour and a haif. 9 Well that lieutenant is supervising 10 this and running it, calling people down, kitchen 11 Making sure that it flows smoothly and people out. 12 it doesn't get cumbersome and that it just works 13 out. 14 The Deputy called me into his office 15 one day and he said the Superintendent had gotten 16 some complaints from inmates in K Block because they 17 weren't getting their telephone calls. 18 And he said to me, now I know you're 19 not going to agree with this, but what I want you to 20 do is send the lieutenant up from the dining room to 21 monitor inmate telephone calls. 22 And I tried to explain to the Deputy 23 that that wasn't a very good idea. That it was 24 really poor security practice because I have a real 25

99 large volume of inmates out of their cells, 500, 600 1 inmates in the dining room and that's where the 2 lieutenant should be to run things down there and 3 see that things go properly. 4 And he said well he wanted him up 5 monitoring phone calls. And I tried to further 6 explain, you know, I said if something happens down 7 there, and I mean we do have a lot - it's not 8 uncommon to have an incident in the dining room just 9 because you have so many people out and that aren't 10 locked down at that particular time. 11 I mean you have fights. It can erupt 12 into 500 people throwing trays at one time. You can 13 have some major problems in a setting like that. 14 **REPRESENTATIVE PICCOLA:** What would be 15 the purpose of monitoring inmate telephone calls? 16 CAPTAIN STOTELMEYER: See I wasn't 17 real sure about that either. I'm trying to explain 18 to this gentleman that the man belongs in the dining 19 room where the action is. Not up watching that an 20 inmate gets a telephone call. 21 I couldn't convince him of that. To 22 end up by reducing it, I told him if you put that in 23 writing I don't have any problem with it. 24 You give me direction in writing 25

100 through a memorandum or an order, or whatever you 1 want to do, and I'll comply with that. 2 That way when something happens in the 3 dining room I'm not responsible. But if I have the lieutenant monitoring a phone call when I'm the 5 shift commander responsible for the entire shift, 6 and something major happens in the dining room, I'm 7 at fault. 8 And I said that I've been in this 9 business long enough to know that you're not going 10 to put your hand up and say wait a minute, I told 11 So that's where that was. him to do that. 12 The next day, I guess he thought about 13 it overnight. I didn't take the lieutenant out of 14 But he called me in his office and the dining room. 15 he under no uncertain terms told me that I was just 16 the captain, he was the deputy, I was to take his 17 orders verbal, written, whatever they were. 18 He didn't come out and say he would 19 He said he knows a lot more politicians fire me. 20 and people than I know and he can cover his ass 21 better than I can. And that he'll see me gone if I 22 don't comply with his orders. I mean I didn't 23 argue with him. 24 Now we're back to this meeting. Ι 25

101 mean going through situations like that with that 1 gentleman, I mean I'm definitely not going to 2 attempt to put myself in a position where I have to 3 go through that type of thing, because I know what 4 the end result is going to be. 5 I mean Stover found out what it was 6 He gave him a letter. He called him going to be. 7 in and told him, you know, if you don't do these 8 nineteen things, if you don't do this or that I 9 suggest you look for alternative employment. 10 Now he's given the Major thirty years 11 of that. I mean he literally castrated the Major as 12 far as any power went. 13 So Stover's just sitting back being 14 bland and doing nothing. And that's what Stover did 15 because Smith didn't allow him to do anymore than 16 that. And he didn't allow us to do anymore than 17 that. 18 I mean we're at the meeting then and I 19 suggested taking a count. I said we haven't had a 20 count for twenty-four hours. 21 You have two inmates, five inmates, 22 six inmates in a cell. We don't know even if we 23 have everybody inside the Institution. And that 24 wasn't priority. 25

102 He said we're not doing that. The 1 priority is to clean the cell blocks. And I'm not 2 going to argue with him because I know what you get 3 into when you get into an argument with him. 4 **REPRESENTATIVE PICCOLA:** Captain 5 Stotelmeyer, you were on duty during the morning of 6 the 26th on through the day, right? 7 Yes, sir. CAPTAIN STOTELMEYER: 8 REPRESENTATIVE PICCOLA: During the Q course of that time up through, including I guess 10 and beyond the meeting we've just been talking 11 about, did you make any recommendations either to 12 Stover or to Smith, or for that matter to anyone 13 higher in the chain of command, that the State 14 Police should be kept in the Institution or that a 15 shakedown ought to be commenced immediately? 16 CAPTAIN STOTELMEYER: No. sir. To 17 answer your question, no. But I mean I was there 18 when the discussion took place in the Deputy's 19 office about where to put the State Police. 20 As a matter of fact I was instructed 21 to move the new contingent of State Police who were 22 arriving at the Institution. Move the twenty-five 23 officers who were there, the State Police Officers, 24 from the officer's dining room inside the wire. 25

103 Move them out and then when the new 1 contingent showed up to put them in the Manor House 2 which was directly behind the Institution outside of 3 the enclosure. 4 I mean Deputy Smith decided that's 5 where he wanted them and he instructed me to do 6 that. And that I did it. 7 **REPRESENTATIVE PICCOLA:** Let's focus 8 on that meeting a moment. Where did that meeting 9 take place? 10 CAPTAIN STOTELMEYER: It was in the 11 Deputy's office. 12 REPRESENTATIVE PICCOLA: Deputy 13 Smith's office? 14 Yes, sir. CAPTAIN STOTELMEYER: 15 **REPRESENTATIVE PICCOLA:** And who was 16 present? 17 CAPTAIN STOTELMEYER: The only ones I 18 distinctly recall that were present were myself, 19 Smith, Stover, Major Hazen of the Pennsylvania State 20 Police. 21 **REPRESENTATIVE PICCOLA:** Superin-22 tendent Freeman was not present? 23 CAPTAIN STOTELMEYER: He may have 24 been, but I don't recall. There may have been 25

104 several other people there. They're the only ones 1 that I can remember that were there. 2 CHAIRMAN CALTAGIRONE: If I can 3 interrupt just a minute please. 4 We were going to take lunch at twelve. 5 I'd like to take lunch at 12:30 and be back here at 6 one. 7 REPRESENTATIVE PICCOLA: I'll try to 8 finish up. I don't have too many more questions. 9 CHAIRMAN CALTAGIRONE: Okay. 10 **REPRESENTATIVE PICCOLA:** Do the two of 11 you believe that the inmates planned the second 12 Do you have an opinion on that? breakout? 13 CAPTAIN STOTELMEYER: I do. I think 14 they did. 15 REPRESENTATIVE PICCOLA: How do you 16 think they communicated that with each other? 17 CAPTAIN KERSTETTER: I think they just 18 talked from cell to cell. 19 CAPTAIN STOTELMEYER: At the time, I 20 mean it's not hard to establish a chain of 21 communication that way there. 22 But I mean after the fact now that we 23 know what's been discovered with Reverend Sabir, I 24 mean I think he had a good bit to do with 25

105 organizing the second part of it also. 1 **REPRESENTATIVE PICCOLA:** Did either of 2 you receive reports during the day that the inmates 3 were at least taking about this second breakout? 4 CAPTAIN STOTELMEYER: The 5 Superintendent, the Deputy, Superintendent Bringman, 6 Deputy Smith, Captain Bowser and Deputy Henry I 7 think were there. 8 They had six or seven inmates, the 9 ones that they were negotiating with the night 10 before. And I think they were primarily FOI 11 members. 12 They had them over into Deputy Smith's 13 office about one o'clock that afternoon for a 14 meeting. 15 When they returned those people the 16 officers that I had escort those people back to 17 their respective cellblocks on the other side, 18 reported to me verbally and in writing that some of 19 those people made statements such as the war wasn't 20 over, or the shit's going to hit the fan again, or 21 whatever. 22 **REPRESENTATIVE PICCOLA:** What did you 23 do with that information? 24 CAPTAIN STOTELMEYER: I passed it on 25

106 to the Major of the Guards. 1 REPRESENTATIVE PICCOLA: Major Stover? 2 CAPTAIN STOTELMEYER: Yes. T don't 3 even recall-- I found out later now it's one of two 4 people. It was either Sergeant Haley or Officer 5 Raveneii. 6 I'm almost sure it was Officer 7 Ravenell who communicated that to me verbally. And 8 I told him at that juncture to put in on the daily 9 incident report and get in to me as soon as 10 possible. And he did that within I would say an 11 hour or so. 12 And just coincidentally when he handed 13 it to me I read it. I said that's fine, thank you. 14 And Major Stover was standing right beside me 15 because after I read it I handed it to him and he 16 So that's what I did with that read it. 17 information. 18 REPRESENTATIVE PICCOLA: General 19 question, and this will be my final question, Mr. 20 Chairman. 21 Could both of you comment on this. 22 What in your opinion and from your perspective as 23 Captains in the Institution at the time, and 24 longstanding employees of the Department, what 25

107 could we do or what should we do to prevent this 1 from occurring again? 2 When I say this, I mean the kind of 3 situation that occurred at Camp Hill. ₫ CAPTAIN STOTELMEYER: Just 'instill 5 more discipline in the Institution. I mean the 6 whole Department of Corrections. 7 Commissioner Owens was a very inmate 8 oriented individual. I mean he, it seemed like his 9 goal was to give them as much as he could possibly 10 give them. 11 And I mean to have order in any 12 organization, especially an organization the size of 13 an Institution where you have 2500 inmates, to have 14 any kind of order you have to have discipline. 15 And when you don't have any discipline 16 you don't have any order naturally. It's just like 17 trying to manage a mob and you can't manage a mob. 18 You've got to have rules and a certain 19 order in the way you do things. And unless you 20 follow those and instill discipline in people 21 they're not going to listen to what you say. 22 I mean I personally feel that the 23 whole Department got too inmate oriented and there 24 was too much of a kill them with kindness program 25

108 going on. 1 I mean everybody worried about if an 2 inmate was assaulted. But if an officer was 3 assaulted, it's part of the job. That's what 4 they're paying you for. 5 You know, nobody was arrested. The 6 incident in '87 where Superintendent Freeman fired a 7 captain and two lieutenants. I mean that was 8 because they put their hands on an inmate who 9 assaulted the captain. But there were no inmates 10 charged in that. 11 I mean under Mr. Owens the whole 12 system got slanted and leaned`that way. And I feel 13 that was a large part of the problem. And it's 14 going to continue to be a problem in the State if we 15 keep heading in that direction. 16 REPRESENTATIVE PICCOLA: I agree with 17 you. 18 Captain Kerstetter. 19 CAPTAIN KERSTETTER: I agree with what 20 Captain Stotelmeyer has stated. I also think that 21 we have to take a very serious look, and I mean a 22 very serious look at the Institution at Camp Hill. 23 I personally do not believe that the 24 cell blocks in E, F, G, H, J and K, I think they 25

109 should be razed. 1 The reason I say that is because there 2 has been severe damage in two of those cellblocks. G 3 and K in particular, or G and H. 4 Considering that if we are going to 5 continue to accept inmates that are medium security 6 or even higher, the cellblocks themselves are not 7 adequate. They go right through the walls as we 8 know now. 9 I mean maintenance at that Institution 10 is on a daily basis. And over in Groups 2 and 3, 11 and in Group 1, daily with plumbing and electrical 12 problems that just keeps everybody tied up. 13 And what we keep doing is patching, 14 patching and patching. Well the patching comes 15 apart. · 16 Economically, just from the standpoint 17 of heating those six cellblocks, it's astronomical 18 because the were built in 1939, 1940, '41. We're 19 not talking about any type of efficiency there. 20 So to continue to think about 21 refurbishing those cellblocks, that is ridiculous. 22 I would like to see them razed. 23 And I don't care if they send us 24 maximum security inmates. Just give us tools 25

110 and we can do the job. 1 And of course in order to do that it 2 takes you gentlemen to give us the money, to give 3 enough money to the Department of Corrections to do 4 that. 5 We can't pull money out of nowhere. 6 It doesn't grow on trees. We need the money and we 7 have to get away from this business of staffing the 8 Institutions at eighty-five, ninety percent. 9 We have to staff them at one hundred 10 percent so we have full staff there. That's what it 11 takes. 12 **REPRESENTATIVE PICCOLA:** For the Court 13 Reporter I think his razed is r-a-z-e-d. 14 Thank you. 15 CHAIRMAN CALTAGIRONE: Kevin. 16 REPRESENTATIVE BLAUM: Thank you, Mr. 17 Chairman. 18 Captain Kerstetter, who to your 19 knowledge by word of mouth I would assume informed 20 Deputy Smith that panels were missing from above the 21 cells? 22 Do you know of anybody who said they 23 reported that? 24 CAPTAIN KERSTETTER: Yes. 25

111 REPRESENTATIVE BLAUM: Who? 1 CAPTAIN KERSTETTER: Sergeant Conrad 2 reported it. Lieutenant Sunday reported that. 3 REPRESENTATIVE BLAUM: When did you 4 become aware that they reported it? 5 CAPTAIN KERSTETTER: Afterwards, 6 like, you know, a week or so. 7 Like in any job information starts 8 going back and forth. And there were many 9 individuals on the afternoon shift that had stayed 10 over and had been placed in the cellblocks while I 11 was instructing supervisory officers to gather 12 inmates and bring them to me. 13 **REPRESENTATIVE BLAUM:** But this wasn't 14 something that you were aware of on the 25th and 15 26th? 16 CAPTAIN KERSTETTER: No it was not. 17 **REPRESENTATIVE BLAUM:** Captain 18 Stotelmeyer, did you know that if the panels were 19 removed that inmates could find a way to unlock 20 their cells? 21 We've had testimony from people before 22 the Committee who have testified that they did not 23 know even though the panels were removed that 24 inmates could find their way out of the cells. 25

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,	CAPTAIN STOTELMEYER: Yes sir, I did.
2	REPRESENTATIVE BLAUM: You were
3	familiar enough with the locking system?
4	CAPTAIN STOTELMEYER: Yes, sir.
5	REPRESENTATIVE BLAUM: When did you
6	become aware that panels were missing?
7	CAPTAIN STOTELMEYER: Thursday between
8	ten and eleven in the morning. I got the time after
9	we got the State Police out.
10	After I got everybody out of the
11	Institution who had already worked a double shift I
12	made it around to the other side, and like Captain
13	Kerstetter said, I went, well I went to E, excluding
14	the other places I went in the cellblocks, I went to
15	E, F, G, K, J and H round the horn and they were
16	dark, all of them. And the trash on them was like
17	two and a haif feet deep. I mean you could not
18	begin to walk down the tiers.
19	And I asked all the officers how they
20	were. They said fine. Nobody had any problems at
21	that point.
22	I got around to H Block and Officer
23	Ressler was there. I asked him if he was having any
24	problems, Bob? How are things? He said yeah, I had problems with some of the locking mechanisms to
25	brobtems with some of the tocying mechanisms fo

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113 the point where if I hit a cell door, he said it 1 might open four doors. 2 If I hit seven cell it might not open 3 seven cell, but it will open nine, eleven, fourteen, 4 or it might open seven and nine or twelve. You just 5 didn't know. 6 And I could see some of the panels 7 were off in the front of his cellblock in Tiers 1 8 and 2. 9 So I told him the only thing I knew to 10 correct that was to put bands and locks on the 11 doors. 12 We have steel bands that are so long, 13 just like a big horseshoe. It goes around both cell 14 doors, you put a padlock through it and that enables 15 you to lock it. It doesn't matter if they do hit 16 the door, the cell door won't open. 17 And I said I'll get as many of them 18 over to you as I can. And I went to the other side 19 and I informed Lieutenant Gavigan to collect all the 20 bands he could find on that side. 21 So he got nineteen of them. I got 22 nineteen new padlocks off of Sergeant Osterling. 23 Sent those to the other side and I informed Major 24 Stover of that while we were putting the bands and 25

114 locks together. I told him what I had found over 1 there. 2 And I said that I'm sending nineteen 3 That's all we have at this point. bands over. If he finds a door that opens when he doesn't want it 5 open, we'll band that one shut. 6 And sometime within the next I would 7 say two hours on the outside I had run into Deputy 8 Smith and I told him the same thing. And his only 9 response was good. That's all he said to me and 10 kept on going. 11 REPRESENTATIVE BLAUM: Why, and it's 12 all twenty-twenty hindsight, but if the panels were 13 off and people know that inmates can reach up twist 14 them and open the doors why isn't, you know, 15 somebody jumping around hollering and screaming, a 16 corrections officer, anybody, saying, I mean these 17 guys can get out at will, you know, this can't exist 18 Or wasn't it something that everybody this way? 19 was aware of? 20 CAPTAIN STOTELMEYER: Nobody can 21 convince me at this point. I walked into that thing 22 like eighteen hours after the original riot. It 23 happened at three o'clock in the afternoon. 24 I was over there and saw this myself. 25

115 Ten o'clock the following morning there were 1 Lieutenants, Captains, Majors, the Deputy, they even 2 had people go in and take a video of the cell 3 Take I don't know how many still photos. blocks. 4 Everybody knew that eighteen hours 5 before I showed up inside the Institution. And what 6 I'm saying is that I know you don't get into arguing 7 I mean it's-- I'm not going through with Smith. 8 that again. 9 **REPRESENTATIVE BLAUM:** I understand. 10 CAPTAIN STOTELMEYER: You just don't. 11 So I mean what else do you do? 12 CAPTAIN KERSTETTER: I'd like to add 13 something to that because I know some of the 14 Maintenance people were over there and reported to 15 Deputy Smith Thursday morning before lunch, told 16 them some of the extensive damage. 17 Because of the main priority, let's 18 get the truck out of the fence, taking pictures and 19 moving a cart that's burned, was not changed, that's 20 why, gentlemen. 21 CAPTAIN STOTELMEYER: The Maintenance 22 Superintendent told me he reported to Deputy Smith 23 verbally and in writing, he gave him the work orders 24 showing just what was wrong with those locking 25

116 devices early Thursday. So I mean I knew he knew 1 it. 2 CAPTAIN KERSTETTER: I'd also like to 3 add to that if you've read the Adams Commission 4 Report, Superintendent Freeman's report of October 5 26, 1989, obviously he wrote this report sometime 6 after 5:00 a.m. 7 The last page, damage is extensive. 8 The Commissary, Furniture Factory. Office Complex. 9 E-Gatehouse. Equipment Shed. Dispensary Two and 10 Three. Vehicles were destroyed. 11 There is fire damage to the main 12 auditorium. C Block basement. B Block basement. 13 Main kitchen and cell blocks. 14 Extensive damage was done to locking 15 mechanisms in H Block and it will be sometime before 16 the full extent of all block damage has been 17 determined. 18 However, initial evaluation is that 19 there is major damage. All fires have been 20 extinguished. 21 The Institution was under control at 22 10:00 p.m. 23 I disagree with that. At 10:00 p.m. I 24 was still locking inmates up. 25

117 And all inmates were locked down by 1 1:00 a.m. 2 I disagree with that also. 3 And on Thursday, October 26th, feeding 4 was completed by 5:00 a.m. I would agree with that 5 statement, that sentence. 6 He obviously wrote this after 5:00 7 a.m. on Thursday. 8 So he's telling everybody there is 9 extensive damage. He's telling the Commissioner of 10 Corrections what the disturbance entailed. 11 I mean if you get the Adams Commission 12 Report, pull out Exhibit H, you'll read it. You'll 13 see it. 14 If he knew that -- If there's damage 15 in H there quite frankly could be damage in J and K, 16 E, F and G. But yet they have the Maintenance 17 Department running around extracting vehicles from 18 the fence, interior fence. 19 If we can keep an inmate in his cell 20 you don't have to worry about the fence. 21 REPRESENTATIVE BLAUM: Is this 22 something that, you know, because of the list of 23 priorities in your opinion - and this is only your 24 opinion - that this is something they were going to 25

118 get to, or is this something that they just didn't 1 believe that these cell doors could be opened? 2 CAPTAIN KERSTETTER: I have no idea. 3 CAPTAIN STOTELMEYER: Yes sir. in my opinion they knew that they were going to get to 5 them eventually because sometime during that day 6 when I made Deputy Smith, after I had told him about 7 the bands for H Block--8 **REPRESENTATIVE BLAUM:** What time was 9 that? 10 CAPTAIN STOTELMEYER: Guessing roughly 11 twelve, one o'clock, something like that. 12 But he told me that after they got the 13 truck out of the fence the priority was to get the 14 people into H Block to repair those locking 15 mechanisms. 16 And obviously they never got into H 17 Block or any other block. But talking to the 18 Maintenance Superintendent II, who was Mr. Stanley 19 Smith, the guy that actually personally directs all 20 the work, talking to him after this, you know, after 21 the whole thing happened, I asked Stan if he had 22 gotten directions from Smith to do that work, to get 23 them into H Block, or I said did I just imagine 24 that. 25

119 He said no, we were to get the truck 1 out of the fence and get into H Block to do the 2 locking mechanisms. But prior to doing that nobody 3 thought about it, we have to put lights in all the 4 cellblocks because you can't work on anything in the 5 dark. 6 And they were still working on fixing 7 and putting lighting in the cellblocks when the riot 8 happened the second time. 9 REPRESENTATIVE BLAUM: Thank you very 10 much. 11 What you said in response to 12 Representative Piccola's question on what could we 13 do, the increased discipline in the Pennsylvania 14 prisons is a statement of yours that I agree with 15 and I think that it's something that should be 16 done. 17 I've read an awful lot over the past 18 four, almost five months now since this incident and 19 too much of the tone I believe is blame the inmates 20 Everybody else first and the inmate last. last. 21 The most disgusting example of that I 22 heard a few days ago, was a Pennsylvania State 23 Senator said that he would hold hearings until the 24 cows came home into whether or not inmates had 25

120 been threatened not to come here, or were abused by 1 the guards. 2 That statement was allowed to be made 3 without the presence of any guard or rebuttal from 4 guards. 5 You two gentlemen have been on duty 6 and have you seen any evidence of that? 7 CAPTAIN STOTELMEYER: No, sir. I'm 8 there from 6:00 a.m. and so is Jerry. One of the 9 two of us are there seven days a week from 6:00 a.m. 10 to 6:00 p.m. daily, twelve hours a day. 11 And I'll sit here and look at any of 12 you ladies or gentleman right in the eye and tell 13 you I know of nobody being abused over there. 14 There's nobody being beaten on a 15 regular basis or abused in any way. 16 REPRESENTATIVE BLAUM: I'm happy that 17 this Committee--18 CAPTAIN KERSTETTER: I'd like to add 19 something to that. 20 REPRESENTATIVE BLAUM: Please. 21 CAPTAIN KERSTETTER: You obviously do 22 not know our procedures at that Institution. We 23 have nurses go into every cellblock every day, 24 several times a day, to deliver medications to 25

121 They go from cell to cell. Tier One. inmates. 1 Tier Two. Tier Three. Tier Four. Escorted by the 2 Pennsylvania State Police. 3 And if I was punched in the face. If 4 I were an inmate and I was punched in the face or 5 injured or hurt, or beat around, as some of the more 6 descriptive terms were, I would ask the nurse for 7 some treatment. 8 And I have no knowledge, because if 9 they would have to do those kind of items they have 10 to fill out a form and so on and so forth, etcetera. 11 I have no knowledge of any inmate 12 telling nurses, hey look, they just beat us up in 13 here. 14 I think it's a lot of BS, which does 15 not stand for Bachelor of Science. 16 **REPRESENTATIVE BLAUM:** I'm happy that 17 you two gentleman had an opportunity to say that on 18 behalf of the Corrections Officers, not only at Camp 19 Hill but across the Commonwealth before this 20 Committee. 21 I think to let that statement go 22 unanswered for well over a week now was not the 23 right thing to do. 24 I want to thank you gentlemen for 25

122 your testimony and for your service to the 1 Commonwealth. 2 And hopefully we can provide those 3 funds to make your complement what it should be and 4 to increase the discipline at not only Camp Hill but 5 across the other Institutions in Pennsylvania. 6 CHAIRMAN CALTAGIRONE: Thank you, 7 gentiemen. 8 Thank you for testifying today. 9 We will now recess until one o'clock 10 and we'll start exactly at one o'clock. 11 (Whereupon the hearing was in recess.) 12 13 AFTERNOON SESSION 14 1:10 p.m. 15 CHAIRMAN CALTAGIRONE: We'll get 16 started. I know the Members will be coming in and 17 we'll start off with James Thomas, the Executive 18 Director of the Pennsylvania Commission on Crime and 19 Delinquency. And anybody else that would like to 20 sit there with you, Jim. 21 MR. THOMAS: Thank you, 22 Representative. Gentlemen. 23 There is additional members of my 24 staff here and if there are questions that 25 particularly as relates to some of the data or

123 analysis, I'll feel comfortable to call them to the 1 table. So that we don't get too crowded, however, 2 I'll go ahead and start. 3 Mr. Chairman and Members of the House ٠4 Judiciary Committee, thank you for the opportunity 5 to testify before you on the serious implications of 6 crowding in our correctional system. 7 My name is James Thomas and I am the 8 Executive Director of the Pennsylvania Commission on 9 Crime and Delinquency. 10 On July 17th, 1989, in testimony 11 before this Committee, I stated that "A principal 12 concern for the PCCD for at least the past six years 13 has been the crowding of our prisons and jails and 14 the overburdening of our probation and parole 15 resources. 16 We continue to be alarmed by the 17 growth in the number of offenders supervised and the 18 lack of support for increasing the resources 19 available to deal with this burgeoning offender 20 populations." 21 Unfortunately, I am here today to give 22 you the same message. 23 During the past decade our 24 correctional population has grown dramatically. 25

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1	More alarming is that overcrowding will continue to
2	be the future of corrections in the Commonwealth
3	unless immediate and far reaching remedial steps are
4	taken.
5	Evidence the following:
6	- On December 31, 1980, the population
7	of our state and local system was
8	83,576. As of December 31, 1989,
9	this figure rose to 175,954
10	offenders, or 111% increase.
11	Our projections indicate that by the
12	year 1993, there will be close to
13	one-quarter million offenders in
14	our correctional system.
15	Now this is looking at the system as a
16	whole. 'Let's take a look at breaking it down by
17	prisons and jails and parole and probation.
18	- From 1980 to 1987, the Department
19	of Corrections' population grew by
20	an average of 1,151 inmates per
21	year. In 1988, it grew by 1,627
22	inmates; at the time that was the
23	largest annual growth in the
24	state prison history. That was
25	of course very short lived when
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1	in 1989 the population grew by
2	2,561 inmates.
3	Our projections indicate that this
4	growth will not slow and we can
5	expect a minimum of between 25,000
6	and 27,000 inmates by 1993. The
7	current population is 21,034 inmates
8	at the end of February.
9	Let me say that the end of December
10	that population was 20,094. That means that by that
11	current rate of over 250 inmates a month, that by
12	the end of this year if that rate that we've
13	experience in the first two months would continue
14	we would be over 32,000 inmates on an increase this
15	year.
16	Do you appreciate what I'm saying?
17	Everyone that comes into the System, there's a
18	certain amount of discharges and by the end of that
19	time we would experience that kind of increase just
20	in the first two months.
21	- From 1980 to 1989, county jail
22	populations have risen from
23	7,553 to 16,150 and at their
24	present rate of growth, could be
25	housing over 25,000 inmates by

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,	1993.
2	Again, it's useful to pause and look
3	at that. That would mean that the county jails
4	could become on par in terms of size as the state
5	prison system is.
6	- Offenders under probation and
7	parole supervision have grown from
8	67,780 in 1980 to 139,314 in
9	1989, and at the present rate of
10	growth, will approach 200,000
11	offenders by 1993.
12	As a number of the members of this
13	Committee are aware, in February of 1985, the PCCD
14	issued a report titled, "A Strategy to Alleviate
15	Overcrowding in Pennsylvania's Prisons and Jails."
16	That recommended a comprehensive
17	strategy for addressing the problem of overcrowding
18	in those prisons and jails.
19	As you know, very little has been done
20	to implement the recommendations of that report.
21	In 1987, the Governor's
22	Interdepartmental Task Force on Corrections, and in
23	1988 the Legislative Budget and Finance Committee,
24	each released reports that emphasized the
25	overcrowding problem and echoed many of the same

127 recommendations on overcrowding presented in our 1 1985 report. 2 Very little has been done to implement 3 the recommendations of these reports. ₫ On October 25th and 26th, 1989, the 5 inmates at the Camp Hill State Correctional 6 Institution carried out a riot that destroyed about 7 a thousand cells in the prison, further exacerbating 8 the crowding problem. 9 A commission established by the 10 Governor identified some of the specific incidents 11 that let that situation get out of hand, but also 12 made some recommendations to reduce overcrowding. 13 And again, those recommendations were addressed in 14 PCCD's 1985 report. 15 What currently concerns us is that the 16 results of the various investigations into the riot 17 may not address the fundamental problem of crowding 18 in our correctional system. For that reason, we are 19 pleased to be invited to this final hearing. 20 In order to alleviate crowding it is 21 vital that the populations of our prisons and jails 22 be brought into compatibility with their capacity. 23 The Commonwealth's clear and strong 24 desire to punish and contain its offenders imposes 25

128 an obligation to pay the costs of accomplishing this 1 desire. 2 Either state and local policymakers 3 must pay the costs of confinement (which are clearly staggering), or they must develop and implement a 5 mixture of actions which, while adding some capacity 6 to the system, can also reduce the confined 7 population by returning to the community those who 8 can be responsibly returned. 9 To do otherwise most certainly invites 10 further disturbances and federal court intervention 11 to control and reduce this prison population. 12 Following the Camp Hill riot, Governor 13 Casey asked the PCCD to offer suggestions for 14 dealing with prison crowding. 15 Chairman Caltagirone and 16 Representative Hagarty have also requested us to 17 devise a plan to address the overcrowding crisis on 18 both a short and long term basis. 19 In response to these requests, we 20 formed a small but very knowledgeable group of 21 individuals whose perspectives cover the entire 22 criminal justice system; Dr. Alfred Blumstein, the 23 PCCD Chairman; Mr. Fred Jacobs, Chairman of the 24 Board of Probation and Parole; Dr. John Kramer, 25

129 Executive Director of the Pennsylvania Commission on 1 Sentencing; Warden Arthur Wallenstein, Bucks County 2 Prison; Mr. James MacElree, Chester County District 3 Attorney and President of the District Attorney's 4 Association; Mrs. Charlotte Arnold, Executive 5 Director of The Program for Female Offenders; Mr. 6 Allen Hornblum, Philadelphia Prison Board Trustee; 7 and before his resignation, Corrections Commissioner 8 David Owens. 9 The report of our Committee is in the 10 final editing stage and is now targeted for public 11 release next Tuesday. 12 However, I can preview for you today 13 the general tone and direction our Committee has 14 taken in the report. 15 While the Committee supports some new 16 prison and jail construction, it more importantly 17 calls for a re-examination and restructuring of the 18 use of our limited prison and jail space. 19 The objective of our correctional . 20 system should be to provide secure confinement for 21 those offenders who continue to be violent and pose 22 a risk to society, while also providing an 23 opportunity for those who do not constitute a 24 violent or high risk to be held in facilities and 25

130 programs more commensurate with their security needs 1 and reducing their criminality. 2 State and local corrections must be 3 viewed as one system in developing strategies to resolve overcrowding. 5 We need to restructure state and local 6 responsibility for offenders by expanding the 7 punishment options available between traditional 8 probation and incarceration extremes for low-risk 0 non-violent offenders without compromising public 10 safety and to accomplish the sought-after reduction 11 in the incarcerated population. 12 Public safety is the primary 13 consideration in our recommendations and we believe 14 public safety can be improved by reducing 15 criminality through the development and expansion of 16 intervention programs aimed at reducing recidivism 17 among low-risk offenders. 18 Also, the relationship between public 19 safety, recidivism, and drug abuse is significant in 20 addressing overcrowding. 21 Our Committee found that: 22 (1)The exent of overcrowding at our 23 county jails is a significant contributing factor to 24 overcrowding at state prisons. 25

131 (2) When all currently authorized new 1 state construction is completed, our prison system 2 will still be woefully short of the capacity to hold 3 the projected number of inmates. 4 (3) Substance abuse is a major 5 contributing factor to the increase in both new 6 court commitments and parole violators returned to 7 correctional facilities. 8 (4) Recidivists, and in particular 9 returned parole violators, are a significant 10 contributing factor to overcrowding in correctional 11 facilities. 12 There is a significant need to (5) 13 expand the punishment options available for low-risk 14 offenders without unduly compromising public safety. 15 The Committee found that the following 16 factors are driving the recent dramatic growth we 17 have witnessed in the correctional system: 18 More offenders are being sentenced 19 to correctional institutions. In 20 1980, 42% of offenders convicted of 21 crimes were sentenced to jail or 22 prison. 23 In 1989, this figure rose to 69%. 24 In 1989, the DOC received a record 25

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1	5,979 new court commitments. This
2	compares to 4,193 in 1988 and
3	only 3,158 in 1980.
4	For those offenders sentenced to
5	incarceration, more are remaining
6	under correctional supervision for
7	a longer period of time.
8	For example, the average minimum
9	sentence of offenders received in
10	the Department of Corrections has
11	risen from 30.5 months in 1980 to
12	41.8 months in 1988. In that
13	intervening period we're holding
14	offenders on the average eleven
15	more months.
16	Also, inmates are serving more
17	time beyond the expiration of
18	their minimum sentences.
19	Prior to 1985, inmates were
20	generally released on the average
21	at their minimum whereas currently
22	the average inmate serves six
23	months beyond their minimum release
24	date.
25	More offenders have substance

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	problems. Drug arrests increased
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2	87% from 1984 to 1988. From 1987
3	to 1989, drug commitments to the
4	Department of Corrections grew by
5	over 200% (from 436 in 1987 to
6	1,520 in 1989).
7	Driving Under The Influence
8	sentences to county jails have
9	risen over 800% from 1,055 in 1980
10	to 9,621 in 1988.
11	In 1989, the Pennsylvania Board of
12	Probation and Parole reports that
13	over 70% of the offenders released
14	on parole had a prior history of
15	drug and alcohol problems.
16	- More of the same offenders are
17	"recirculating" in the correc-
18	tional system. The number of
19	parole violators recommitted to
20	prison and jail has grown
21	dramatically.
22	In 1980, 931 were returned,
23	compared to 2,392 in 1989 (a 157%
24	increase). Much of this growth is
25	attributable to technical parole

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	violators.
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2	Our Committee's recommendations might
3	conveniently fit into five broad areas.
4	The first is to limit the number of
5	low-risk offenders in the Department of Corrections'
6	medium and maximum security institutions.
7	Included here would be the
8	establishment of new minimum security Department of
9	Corrections facilities and "boot camps" for selected
10	low-risk offenders.
11	Placing these offenders in minimum
12	security facilities would free space in the higher
13	security institutions for higher risk inmates.
14	Second is to affect some reduction in
15	the length of incarceration. Included here in the
16	implementation of earned time and releasing more
17	inmates at the expiration of their minimum sentence.
18	The third area is to reduce
19	overcrowding in county jails. Included here is
20	establishing alternative housing for DUI offenders,
21	revision of the sentencing guidelines, and providing
22	assistance to counties to significantly expand local
23	sanctions for offenders.
24	A Community Corrections Act, such as
25	Senate Bill 718 proposes, would provide local

135 punishment options, resulting in fewer offenders 1 being sent to prison and jail without reducing 2 public safety or increasing crime. 3 Fourth is to reduce the impact of 4 recidivists on overcrowding. Included here is 5 expanding programs for technical parole violators. 6 And the final area is simply to 7 improve our correctional system planning. 8 Aside from the construction program 0 already announced by Governor Casey - and by the way 10 supported by the Committee - implementation of our 11 recommendations would require capital expenditures 12 of approximately \$19,500,000 and ultimately the 13 operating costs of approximately \$83,500. A 14 staggering figure just in itself. 15 However, if we were to attempt to 16 provide the requisite cell space for the inmate 17 population we expect to have by 1993, it could cost 18 us approximately \$1,000,000,000 and over 19 \$225,000,000 to run the facilities necessary to 20 house these offenders. 21 Our correctional system has grown 22 dramatically as a result of efforts to improve 23 public safety by incarcerating more offenders for a 24 longer period of time. 25

136 And it is now well past the time that 1 we begin to improve our public safety by putting the 2 resources in place to manage the burgeoning 3 correctional population at the state and local levels. 5 Though the PCCD's last published 6 report on prison and jail overcrowding was issued in 7 1985, the Commission has never wavered in their 8 interest and sensitivity to the issue. 9 When the former PCCD task force issued 10 its report, there was much hope that bi-partisan 11 political will would be found in the General 12 Assembly to deal with the problem in conjunction 13 with the Governor's Office. 14 Senator Michael Fisher chaired that 15 task force, which had active participation by 16 Senator Michael O'Pake and former Representative 17 David Sweet. 18 As we all know, the 1985 19 recommendations went nowhere, though the report 20 still provides a good framework for action. 21 Over the intervening years, the 22 Commission has not been eager to expand the time and 23 energy necessary to generate simply one more report 24 on the subject, though they were well aware that 25

137 crowding has become dramatically worse. 1 However, as the Governor and this 2 Committee made requests to the Commission to revisit 3 the issues and provide recommendations, the 4 Commission was pleased to do so and will publicly 5 issue its report next Tuesday. 6 I can tell you that the Commission 7 hopes that the energy and political will is now 8 present to set a course to deal with this problem. 9 There are no easy solutions to the 10 We can't afford to build enough cells and issue. 11 even if we could afford to build them, we can't 12 build them fast enough. 13 We must realize that the county 14 correctional system greatly influences the state 15 system and vice versa. 16 We must understand that public safety 17 can be improved most directly through the placement 18 of significant resources in the community to monitor 19 offenders once they are released and to help them 20 stay drug-free and crime-free. 21 Public safety is poorly served by 22 cramming offenders in prisons for longer periods of 23 time with less treatment and education resources and 24 then releasing these same offenders back into 25

138 society with limited resources for either helping 1 the offender or even for keeping tabs on them. 2 More prison and jail disturbances and 3 federal court intervention are our future if we fail 4 to act. 5 I will be happy to answer any 6 questions you have. 7 CHAIRMAN CALTAGIRONE: Thank you. 8 Let my just indicate my commitment to 9 make sure that we can accomplish something in this 10 legislative session. 11 As you well know in discussion with 12 you earlier this week we have a Committee meeting 13 scheduled for March 13th. At which time we are in 14 fact going to deal with a couple of these issues 15 that we have heard. 16 I spoke with the County Commissioners 17 Association yesterday, along with Senator Shumaker, 18 and I indicated to them that I think it's time for a 19 joint venture with the State and the counties in 20 developing the alternative sentencing type of pro-21 grams that we should collectively work together on. 22 I think there's a great deal of 23 interest from the County Commissioners in doing just 24 that. 25

139 Last summer, as I indicated to you 1 also, we do have an inventory of all the surplus 2 State buildings that are available throughout our 3 Commonwealth. 4 And I think it's time that we started 5 an effort with the budget season upon us to develop 6 a plan, number one. 7 Number two, implementation at least on 8 a trial basis somewhere in the State of that type of 9 cooperative venture between the State and the 10 counties, to utilize the resources that the State 11 has available and tie that in with the county or 12 counties in that regional area. 13 And put those non-violent less 14 offenders in such a facility to see if whether or 15 not it would have the kind of impact that I think 16 many of us feel that it would. 17 First of all easing the overcrowding. 18 Second of all providing the intensified counseling, 19 education, vocation types of things that I think 20 everybody agrees is needed in order to try to turn 21 the lifestyle around of the individuals. 22 I'm thinking back to my father, who is 23 now deceased, when I used to watch him make sausages 24 at home, he had indicated to me the rind, when he 25

140 was putting the sausage mix into the machine and 1 turning it, he would say, "Tommy, always remember, 2 you can't put more sausage into that machine because 3 it will bust the rind and ruin the sausage." 4 You know it's the same parallel that 5 we have today with the prisons. You just can't 6 continue to push them in there because something is 7 going to bust. 8 And I take to heart many of the 9 recommendations that you have in here. I hope that 10 we can make converts of many of the members of the 11 General Assembly and put the politics aside to get 12 on with these recommendations, and to implement as 13 many of them as humanly as possible. And of course 14 the budget constraints have to be kept in mind. But 15 I do think in the long run it's really going to save 16 us a lot more money than continuing to attempt to 17 build a way out of this situation. 18 MR. THOMAS: Let me say that the 19 Commission is sincerely appreciative of both yours 20 and Representative Hagarty's request to revisit the 21 issue. 22 The '85 report provides a good 23 framework, but on the other hand as one starts 24 talking about the '85 report so it doesn't lose 25

141 some of its significance we've updated it. We have 1 a current framework and that's what we have. 2 All the things that you're speaking of 3 certainly fits within that framework. And the A specifics, the actual bills you can go through. 5 If we can get agreement in the 6 framework I would think that the specifics can 7 easily follow afterwards. 8 I can tell you from a person who's got 9 about twenty years in watching the system that I'm 10 I'm seeing things in the last two years worried. 11 that we just wouldn't have dreamt of in terms of the 12 numbers that are coming in. 13 You get a little desensitized as you 14 keep looking at these numbers, and my testimony is 15 full with them. What do they mean? 16 Think about that. We're taking 200, 17 250 more inmates net every month and there's just no 18 way we can dig out way out of it. 19 I think the difference between our 20 testimony or the report that I'm giving a hint at 21 that will come out next Tuesday and the '85 report, 22 probably channels us in two additional directions. 23 That is one that you'll certainly hear 24 more testimony on, and that is perhaps there's 25

142 something we can do with the sentencing guidelines, 1 keeping in mind we aren't talking about reducing the 2 guideline sentences on violent and predatory 3 offenders. A But there's something there that's 5 really worth perusing and that we can expect to be 6 recommended by that Committee. 7 The other issue that I think is a bit 8 different than what we saw in '85 is on the crunch 9 that's occurring in the parole system, and the 10 effect that that's having in terms of the 11 recommitment rate. And the late release from 12 parole, where we're going about six months past the 13 minimum. 14 I think that's two fertile grounds 15 that we're pointing out and will be pointing out in 16 our new report. 17 CHAIRMAN CALTAGIRONE: Questions. 18 **REPRESENTATIVE HAGARTY:** Thank you, 19 Tom. 20 MR. THOMAS: Jim. 21 REPRESENTATIVE HAGARTY: No, I was 22 thanking him. 23 MR. THOMAS: I'm sorry. 24 REPRESENTATIVE HAGARTY: I was going 25

143 to thank you next. Everything in turn. 1 Sincerely, I have had the opportunity 2 as a Member of the Pennsylvania Commission on Crime 3 and Delinquency to review the draft report. 4 I think it is an excellent blueprint 5 and I congratulate you for Corrections in 6 Pennsylvania. 7 I have to indicate that the 8 alternatives that are proposed in that draft report 9 are in the form of bills. 10 So this is not the situation where we 11 have a report that will not receive action. 12 Those bills have already been 13 introduced and were introduced almost a year ago by 14 myself and Representative Piccola. And Chairman 15 Caltagirone I believe has sponsored those bills 16 And they have largely been passed by the also. 17 Senate, introduced by Senator Fisher there. 18 So I believe that this blueprint from 19 the professionals indicating, as I understand the 20 report to be the priorities are to continue on 21 public safety, but to provide a continuum of 22 treatment leading from less treatment up to maximum 23 security incarceration where necessary, and increase 24 cell capacity. 25

144 That we will at least attempt to begin 1 dealing more realistically with what has become a 2 very changed and enlarged prison population. 3 So I believe we are very ready to go 4 forward with this. 5 I wanted to ask specifically though on 6 the comments with regard to the parole violators. 7 Your comments were that the minimum time used to be 8 in those instances the time minimum that was imposed 9 by the judge with the time served. That today that 10 is no longer true and many more inmates are staying 11 past that minimum. 12 MR. THOMAS: That's correct. 13 **REPRESENTATIVE HAGARTY:** Do you know, 14 and I recognize that Ray Jacobs from Parole would 15 have been a better person to answer this inasmuch as 16 he was on the PCCD report, can you share with us why 17 inmates are no longer leaving the prison on their 18 parole date? 19 MR. THOMAS: Let me speculate some and 20 say what we find is that the average release date is 21 six months after minimum, and that wasn't the case 22 eight years ago. It was most inmates on the average 23 went out on parole after minimum. 24 There's a number of influences that 25

145 are occurring. And to sort them out, where we've 1 been struggling for a month is trying to figure out 2 exactly what numbers are counted by what influence. 3 But the influences have to do with 4 one, that as an offender has a drug and alcohol 5 problem, as they're a sex offender, as they're 6 having no participation in education and they come 7 before the Parole Board for the decision and they 8 see no program participation, it results in a Parole Q Board action which says go back and get the 10 requisite treatment or program participation and 11 we'll consider you six months from now or nine 12 months from now. That's one of the influences 13 that's happening. 14 **REPRESENTATIVE HAGARTY:** Is that 15 influence, in other words that these people should 16 not be paroled because they haven't demonstrated 17 during their time in prison that they are ready for 18 Is that what you're indicating? parole? 19 MR. THOMAS: I'm suggesting that the 20 Parole Board by policy views the lack of that 21 participation as significant enough to delay the 22 hearing. 23 The question of course has to be in 24 that intervening six or nine months does a person 25

146 get that treatment, and does that treatment really ł count for something in terms of recidivism? 2 We know that those resources in the 3 State Correctional System are terribly overburdened. 4 They were overburdened ten years ago and they're 5 worse now. 6 And one could, for instance, develop 7 the resources, the same exact resources that's not 8 in those institutions, we could develop them in the 0 communities and let the person be paroled into a 10 drug treatment program. 11 It gets him out of the prison. It 12 gets him committed into the treatment and failure 13 there of course is back into the institution. You 14 have to risk a lot but it's a chance to get the 15 treatment. 16 REPRESENTATIVE HAGARTY: But the 17 problem in either event is we ought to have to 19 present for people who are in prison with drug 19 problems, you're indicating we either have to do 20 something about the drug problem in prison or out of 21 prison. 22 If we don't, what you're telling us is 23 that that's the reason the recidivism is so much 24 higher. 25

147 MR. THOMAS: Umhum. 1 REPRESENTATIVE HAGARTY: Because the 2 drug problem is not being dealt with. Is that 3 right? A MR. THOMAS: I think that's certainly 5 my assumption, is that we're not doing a very good 6 job of dealing with substance abuse problems and 7 that is attributing to recidivism. 8 My testimony doesn't say that but it's 9 certainly my assumption. 10 What I am saying, on the longer time 11 it's taking past the minimum for paroles to be 12 granted is that the resources necessary to show, to 13 demonstrate program participation are available. 14 One of the influences is that when the 15 Parole Board makes the actual review they see lack 16 of participation in that program, and therefore they 17 won't grant it for another, you know, they set 18 another review date. 19 That's only one of the influences. 20 REPRESENTATIVE HAGARTY: Do you know, 21 before we go on to the second one, do programs exist 22 in prison for that inmate who would avail himself of 23 a program? 24 MR. THOMAS: There are certainly 25

148 programs in the prisons. They have waiting lists. 1 There is not sufficient resources by far to satisfy 2 the demand that could otherwise be there. 3 **REPRESENTATIVE HAGARTY:** Can I assume 4 when you refer to the great increase in technical 5 violations, are those technical violations drug 6 related? Or do you know? 7 MR. THOMAS: That's a question that we 8 will certainly answer for you, but I don't have that 9 with me. 10 Drug violations are clearly a good 11 percentage of that. Although I'm not going to 12 venture on what the percentage is. 13 But from everything we can tell it's 14 not simply being caught on drugs one time that 15 results in the technical recommitment. 16 You have to have a series of hot 17 urines on their urinalysis screening. The agent in 18 charge has to be pretty much convinced that he's 19 going to lose this guy to further crimes if he 20 doesn't commit and that's where the decision comes. 21 It's not so bureaucratic or automatic, 22 that as soon as you see a hot urine that the fellow 23 goes back into the prison. 24 **REPRESENTATIVE HAGARTY:** What 25

149 percentage of our inmates in the Correctional System 1 today do you believe are there for drug related 2 crimes or drug problems themselves? 3 MR. THOMAS: The percentage that I 4 have heard is seventy percent of all the offenders 5 in the institutions have substance abuse problems. 6 That has been an historic figure. Its 7 been around forever. I know of no research that 8 substantiates that. 9 But other than talking with other 10 inmates, talking with correctional officers, talking 11 with administrators, they all cling to that figure. 12 There's no one challenging it. 13 REPRESENTATIVE HAGARTY: Was that the 14 same figure if I would have asked that question in 15 1980? 16 MR. THOMAS: Yes. 17 **REPRESENTATIVE HAGARTY:** You would 18 have given me the same figure then? 19 MR. THOMAS: Yes. I don't believe 20 there's any precisian on the number. I think there 21 just isn't any precisian. It's a large percentage 22 of the population. We of course have given it more 23 numbers now so it's a much bigger problem, but that 24 number has been around for many years. 25

150 **REPRESENTATIVE HAGARTY:** But the 1 nature of the prison population isn't changing, just 2 the numbers you're indicating? 3 MR. THOMAS: Oh the nature is changing 4 dramatically. It has to do with the drug of choice 5 now. 6 And certainly the evidence we have on 7 crack usage causes an affect of much more violent 8 behavior. 9 It's much more readily available. It 10 doesn't get the, using heroin where you're going to 11 kind of sit things out for a while. 12 The crack abusers really don't put you 13 into a violent state. And I would say that the 14 offenders that we are having the most difficulty 15 with are the young offenders who are the crack 16 users, crack sellers. 17 **REPRESENTATIVE HAGARTY:** Jim, I know 18 you did not review all of the recommendations in 19 here with regard to alternatives to incarceration, 20 but the one you did mention was the boot camp 21 proposal, which as you know I'm a sponsor of that 22 proposal. 23 Has PCCD studied the recidivism rate 24 under boot camp proposals? 25

151 MR. THOMAS: We haven't studied them. 1 We've familiarized ourselves with the literature 2 that's available. 3 REPRESENTATIVE HAGARTY: We heard that 4 the Committee, as you may know, did have a hearing 5 on that. And while I believe the results were 6 encouraging they were not as encouraging as we might 7 have hoped. 8 I'm wondering what your understanding 9 of the literature on the recidivism rate is? 10 MR. THOMAS: Our understanding is that 11 there's no demonstrable evidence that the boot camps 12 provide lower recidivism rates. 13 I guess the other way of looking at 14 it, it doesn't provide any worse recidivism rates. 15 It's cheaper in the sense that you can 16 process more offenders through a shorter stay than a 17 longer stay. So in the same facility you can get a 18 lot more offenders through it. 19 I know of no evidence that says that 20 the boot camps are dramatically different than 21 regular incarceration. 22 I think it's probably also useful to 23 note that there's no evidence to suggest that they 24 are worse either. 25

152 REPRESENTATIVE HAGARTY: Have there 1 been any--2 MR. THOMAS: I think the key on this 3 one is that certainly boot camps ought to be tried. ∡ We ought to move forward as your legislation would 5 suggest. 6 Our preference would be that they be 7 under the Department of Corrections judgment as to 8 who goes in. To give them that control rather than 9 putting it in the Judiciary which could have the 10 effect of expanding the number of people that we're 11 dealing with. 12 REPRESENTATIVE HAGARTY: I agree with 13 that. 14 MR. THOMAS: And that we certainly 15 ought to try it. I think the nature of my 16 testimony, the nature of the report next week is 17 boy, there just isn't any silver bullets out here. 18 There's not one thing that's going to do it and so 19 let's do boot camps along with a series of other 20 things as the package of legislation suggests. 21 **REPRESENTATIVE HAGARTY:** Do we have 22 any evidence on anything that helps recidivism? 23 Boy, I'll tell you, back MR. THOMAS: 24 in the '70's we used to worry, about that. 25

153 Recidivism was a thing that we were trying to get on 1 top of any tried to study. 2 And back then even when the resources 3 were being devoted to it somewhat in our own state 4 and certainly nationally, it was really, really 5 difficult to say this particular intervention will 6 work. 7 I know an old sage of the Prison 8 System used to tell me that people coming into the 0 System, there is rehabilitation in the System. 10 There is without a question. 11 Some day that person just turns around 12 and he's on a different course. And whether it's 13 because he's finally aged out, he's got mature, he's 14 found religion, whatever it is, it happens. It's 15 But trying to link that back to a for real. 16 specific program is impossible. 17 Some common sense things tell you if 18 he doesn't have an education he's not going to have 19 He's not going to be very employable except a job. 20 in a lucrative profession like selling drugs. 21 And if he's a sex offender and you put 22 him in and release him without ever dealing with 23 that trait, you can expect him to be a sex offender 24 again. 25

If he comes in as a substance abuser 1 whose kind of lost his mind and we put him back out 2 as a substance abuser, we can expect him to get in 3 trouble. A **REPRESENTATIVE HAGARTY:** There's no 5 question that the philosophy of Corrections has gone 6 from at the time that we did worry about recidivism 7 to what we're doing today, and that is 8 incapacitating people for longer periods of time so 9 that they can't be recidivists because they're not 10 on the street. 11 And if we're going to consider again 12 some type of alternative it just I guess becomes a 13 recurring question if we are prepared to say - I 14 don't know that we are - but that there are certain 15 types of inmates who we may not want to incapacitate 16 for as long as we have been because we're not 17 prepared to spend a billion dollars on prison cells. 18 MR. THOMAS: I guess I'm looking at 19 that same issue but from a different side. And that 20 is that it's not a question of whether or not you're 21 going to leave the offender out or not. 22 He's clearly coming out. We're 23 climbing over ten percent now of our population is 24 lifers. 25

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155 Life in the State means life. 1 Therefore it's just by numbers, except for those 2 that die in the system, they're coming out. 3 So ninety percent of the offenders are 4 coming out. The only question is from a public 5 safety standpoint do we want them to go out, either 6 max out or come out somewhere after their minimum 7 and go out into a system where the parole resources 8 and the community service centers are so minimal and 9 so overworked that they can't even keep tabs on the 10 offenders very well. 11 It would seem to be from a citizen, I 12 don't feel safe with the system we've got in place. 13 I'm going to tell you that. 14 It makes only sense from the public 15 safety standpoint to have a broad range of options, 16 from case loads which would have very limited 17 periodic reviews, annual reviews perhaps, clean into 18 the maximum security institution. 19 And those people that are coming out, 20 you ought to give the Correctional System, the 21 Probation and Parole and the Prison authorities that 22 ability with good classification to be able to place 23 that person in the system and begin gradually moving 24 him out. 25

156 When he goes through a community 1 service center, which requires a residence, requires 2 a job, and he starts screwing up, change his 3 direction. Put him back in. ٨ As he keeps coming out and he's in 5 parole in intensive supervision, you're watching 6 him. And you're getting some urine analysis and 7 you're keeping him straight that long, to the point 8 where you can have some confidence that if he does 9 screw up you're going to catch him, and if he 10 doesn't then fine, that's a discharge. 11 And by setting up that sort of system 12 we're not giving up control; we're giving up 13 location. 14 And we're taking him out of the 15 maximum and we're getting him out of this system, 16 and the maximum is what is causing all our problems. 17 Let's reserve that maximum for the people that 18 really need it. 19 REPRESENTATIVE HAGARTY: Do you know 20 whether other States have kind of moved in this 21 direction to what you're I guess describing as a 22 continuum? 23 The idea of the MR. THOMAS: Yes. 24 continuum has been I guess seen as the most 25

157 reasonable and credible way to handle corrections 1 ever since we ever started to talk about needs to 2 control our populations. 3 Delaware. And so there's many states 4 that in fact do try to establish that sort of 5 system. 6 **REPRESENTATIVE HAGARTY:** And we've had 7 that because we've had parole, but its been either 8 in or out. 9 MR. THOMAS: In or out, right. 10 That's right. 11 You really in the State don't have 12 that range of alternatives. You just put them on 13 parole. Except for the couple intensive parole 14 units we've established you don't have real good 15 tracking of those offenders. 16 We certainly don't have the help and 17 the resources that we've needed out in the 18 community. 19 Part of the problem is once this 20 Parole Board, once an agent finds an offender that's 21 screwing up and he knows he might not see this guy 22 again for another week, or that he might not have 23 the money in his budget to be able to acquire the 24 urinalysis, he's not going to overly so, is not 25

158 going to risk that person not committing a violent 1 act or creating that new crime. So he's going to 2 revoke it. 3 **REPRESENTATIVE HAGARTY:** You're 4 indicating our citizenry would be safer if we moved 5 more to a continuum of options in Corrections? 6 MR. THOMAS: Oh, absolutely. 7 Absolutely. I'm frightened by the system we have 8 I'd feel much safer with me and my family if now. 0 we were putting some more help out on the street. 10 Ninety percent of them are coming out. 11 What the hell, we're letting them out now and 12 without that help. I mean if they're crazy on the 13 inside, they're crazy on the outside. Let's get 14 some support. And we clearly aren't talking about 15 let's make everybody lifers. 16 And that's the only way that I could 17 feel safe in our system would be let's get a real 18 tight perimeter of security and dump everybody in 19 there for life. 20 And I mean that's of course an absurd 21 We couldn't afford it nor would our suggestion. 22 Constitution allow it, and we live with it. 23 REPRESENTATIVE HAGARTY: Thank you. 24 REPRESENTATIVE BORTNER: Mr. Chairman. 25

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1	CHAIRMAN CALTAGIRONE: Yes.
2	REPRESENTATIVE BORTNER:. Mr. Thomas,
3	I have a couple questions.
4	The first one is kind of a technical
5	clarification. On page seven you were saying that
6	one of your recommendations for additional
7	construction will require capital expenditures of
8	\$19,500,000. And then you said operating costs of
9	approximately \$83,500. But I'm reading \$83,500,000.
10	MR. THOMAS: Thank you. That should
n	be \$83,500,000.
12	REPRESENTATIVE BORTNER: My question
13	then is, the second part to that, I mean is that
14	over what period of time?
15	MR. THOMAS: Well that suggestion
16	means is that once all the recommendations were in
17	place and you actually hired the staff to satisfy
18	the requirements that we're suggesting, more parole
19	officers, more correctional officers in some of the
20	minimum security facilities we may be recommending,
21	once you actually have all those alternatives in
22	place it would be \$83,000,000 per year increase from
23	what we are today.
24	So what we would assume is that we
25	can't do this overnight in any event, so maybe in

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160 in about three years if the General Assembly adopted 1 it, the Governor adopted it, maybe in about three 2 years you'd end up with that additional cost over 3 what you're at today. **REPRESENTATIVE BORTNER:** At the point 5 of time that that is in place and the additional 6 personnel would be required to operate it, we would 7 be looking at an additional annual expenditure of 8 \$83,500,000. Am I reading that correctly? 9 MR. THOMAS: That's correct. 10 **REPRESENTATIVE BORTNER:** I want to 11 explore the parole situation with you. 12 Representative Hagarty has actually asked most of 13 the questions I had and you clarified a good bit of 14 it. 15 It does not sound to me as though this 16 is the result of any kind of planned change in 17 Parole Board policies or procedures. That it just 18 Would that be correct? kind of happened. 19 MR. THOMAS: I think that's probably a 20 better question to direct to the Chairman. 21 One of the other factors was what 22 we're calling a recirculation problem. We know 23 we're getting more recommitments for violations and 24 some of the violations are criminal acts. 25

161 That's occurring. They're out on 1 parole. They're committing new crimes. And they're 2 going back in on a new sentence. 3 Now as they come back up for parole 4 review that's a bad risk. The person already served 5 their sentence once. They were paroled. And they 6 fouled up on parole and by policy that's going to 7 make it a poor risk. 8 So you're just getting a recirculation 9 of the offenders and that is contributing to part of 10 that average six months past the minimum release. 11 **REPRESENTATIVE BORTNER:** I guess what 12 I'm curious about is whether-- I'm assuming that, 13 it's my understanding that the Parole Board 14 essentially is doing business the way they have 15 been. 16 I guess I'm curious whether, you know, 17 hearing officers are doing hearings differently, or 18 whether they are, you know, anything in the system 19 that is a decision making process has changed or 20 it's just kind of the circumstances that you kind of 21 And I agree with you, that's probably set up? 22 better put to the Chairman of that Board. 23 MR. THOMAS: It is. And whether or 24 not there is a--I'm certain that the Parole 25

162 Board accepts its mission as being one to protect 1 the public. 2 And I think the situation as we see 3 it, it's very difficult to assure public protection 4 given the lack of resources that the Parole Board 5 has at its disposal. And so, you know, there's a 6 little bit of the chicken and the egg on it. 7 **REPRESENTATIVE BORTNER:** What I'm 8 hearing you saying is that you found that people are 9 being denied parole when they come before the Board 10 for a lack of participation in drug and alcohol 11 rehabilitation programs that they aren't able to 12 participate in because there aren't enough resources 13 within the Correctional System. 14 So there's a certain irony I think in 15 that situation. 16 MR. THOMAS: I agree with you. 17 **REPRESENTATIVE BORTNER:** In your 18 report, if you're at liberty to say, have you looked 19 at the additional use of community service centers? 20 MR. THOMAS: We have. We do 21 believe community service centers ought to be 22 expanded. 23 **REPRESENTATIVE BORTNER:** I would just 24 say my experience with a community service center 25

163 in York. Nobody knows it's there. 1 I think if you polled people on the 2 street you would find almost nobody knows that there 3 is a community service center in downtown York where 4 prisoners are being housed. 5 And that's exactly what they are. 6 They're still serving, you know, they're serving 7 sentences. And if they walk away, which does happen 8 frequently, they're actually escapees. 9 To me that is not only a good 10 alternative in the sense that it gets somebody on 11 sort of a gradual basis back in the community. Its 12 got to be a less costly option. 13 And it's sure as heck a lot cheaper to 14 have people living in a house or group home type 15 setting than it is with all the requirements that 16 you have at a State prison. 17 MR. THOMAS: I believe the capacity of 18 the centers is approaching 400 in a population 19 that's 20,000. 20 I would think that's one of the 21 options that is greatly under used in the State. It 22 certainly fits in with this continual monologue. 23 You can make all releases go through a 24 center and you have the opportunity to see how they 25

164 are going to perform in the community in a 1 residential setting before they go on parole. 2 It makes absolute sense to require 3 that of every discharge before they go on parole. 4 MR. THOMAS: Well I think you made a 5 If you assume, as you said, that very good point. 6 everybody is going to come out, which in most cases 7 they are, and if you're truly concerned about 8 protecting the public it seems to me that this is an Q option that makes sense. 10 You know obviously there's got to be 11 some screening and some very careful consideration 12 as to who is ready to go back into the community and 13 at what point. 14 But I'll be very interested to see 15 what your report says about that. I frankly think 16 that that kind of expanded approach in the community 17 service centers would make a great deal of sense. 18 MR. THOMAS: Just a clarification on 19 the issue of where you were saying there's a Center 20 in York that you're sure no one even appreciates. 21 That's been our experience with the 22 fifteen Centers that exist. Once they exist we have 23 very little problem. There's been a couple places 24 where they've had to be moved. 25

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1	But generally
2	REPRESENTATIVE BORTNER: Yes,
3	locating them is akin to hazardous waste.
4	MR. THOMAS:it's that location in
5	the first instance. So it's not something that even
6	if we would sit on a case of let's quadruple the
7	number of Centers, that we're going to be able to
8	accomplish that in a rapid fashion. But certainly
9	it would give us a framework and we'd know what
10	we're working for.
11	REPRESENTATIVE BORTNER: We focused
12	here on the Parole Board and the impact that that's
13	having on these problems.
14	Have you looked at either through this
15	report or in other work the, I guess the viability
16	of continuing the present parole system, or the need
17	to continue that given the fact that we kind of now
18	switched increasingly to mandatory and guideline
19	type sentencing?
20	The Government as I understand it has
21	done away with or is in the process of phasing out
22	the whole parole system and parole agent system as
23	they change their sentencing policies.
24	MR. THOMAS: Of course we're going
25	back to the debate we had probably six years ago,

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166 seven years ago or so, should parole be abolished 1 and have a set release date and go to the kind of 2 just desserts model. And of course that didn't go 3 very far in the State either. It seems reasonable for me, and the 5 Committee has not dealt with that issue, that we 6 have a parole system. 7 It seems reasonable to me that the 8 community intervention that we have available to us 9 by the parole agents in the field ought to be 10 working in harmony and in conjunction with those 11 community service centers that we have in the 12 Department of Corrections. 13 And there ought to be just a 14 commingling of that function. And administratively 15 I guess I just don't really care whether that occurs 16 under the Department of Corrections or Parole Board. 17 It is important, as the current system 18 does, to preserve that independence of parole 19 That parole review should never be part of review. 20 the Department of Corrections. But in terms of the 21 community resources it clearly has to be there. 22 **REPRESENTATIVE BORTNER:** I think what 23 I'm hearing from you is that there needs to be some 24 sort of system for follow up treatment or 25

167 monitoring once people are released back into the 1 community. 2 And if you're talking about reducing 3 recidivism there has to be some mechanism there to A assure that people have available to them and if 5 necessary will take advantage of these kinds of 6 programs that presumably will reduce the number of 7 people that are returned to the prison system. 8 I think that's all the questions I 9 I'll certainly be waiting very eagerly to have. 10 review your report when it becomes available next 11 week. 12 Thank you. 13 CHAIRMAN CALTAGIRONE: Jeff. 14 **REPRESENTATIVE PICCOLA:** Thank you, 15 Mr. Chairman. 16 Jim, welcome. I apologize for not 17 hearing your testimony, but I think I have a pretty 18 good idea after working with you all these years 19 what you said. And I did peruse it briefly. 20 My chief concern about overcrowding, 21 and the reason I'm concerned about it is I'm not 22 looking at it from the inmates' point of view to 23 make them more comfortable. I'm looking at it from 24 the security of the Commonwealth's point of view in 25

168 that I see courts coming in and - primarily Federal 1 courts - taking over prison systems. 2 In fact we have a tentative order in 3 Western Pennsylvania, Judge Colville - Cohill, Colville is the DA. excuse me. I get them all 5 mixed up. Maybe he will be a judge some day; 6 probably will be a judge some day. 7 But at any rate, has PCCD ever done 8 any analysis or compiled the various court, Federal 9 court decisions across the country to determine what 10 basic criteria the courts look to in terms of 11 deciding when they would come in and take over a 12 system and when they would not? 13 MR. THOMAS: We haven't done detailed 14 analysis on court orders in other states. We've 15 looked at them. 16 One of the things we know is that it's 17 not as simplistic as one man in one cell. Therefore 18 if you're in violation of that you can have court 19 interventions and it's the totality of the 20 circumstances within a prison. And therefore it's 21 going to change on every order. 22 Overcrowding is playing a major impact 23 in the judgment of whether or not the courts will 24 But it really takes a case by case intervene. 25

169 review. 1 As you raise the Pittsburgh 2 institution, the overcrowding is playing a role 3 there because in the Judge's order he's putting a A cap and he is talking one man, one cell. 5 But if you walk the north and south 6 blocks, you know, they're pretty small cells. 7 Particularly in the upper tiers. 8 So that's a specific order related to 9 that particular prison, if that helps you. 10 One of the things as you may recall is 11 we dealt with these issues back in the '84 and in 12 the '85 report, was what was driving that task force 13 was some efforts of people who might want to move us 14 to a model of emergency release. The idea that once 15 you go over a certain capacity figure let them out. 16 The other thing that was driving that 17 task force was some real fear because of the very 18 active involvement of the Federal courts at that 19 time of the courts doing it. 20 Setting a cap and then saying you 21 either can't come in or whoever there is out. And 22 that was really the basis for that construction of 23 that framework for doing something rather than 24 waiting until events overtake us. 25

170 And I guess my testimony only says the 1 catch word, Federal court intervention, it's clearly 2 a real fear. 3 If the Federal courts take over we lost. I mean we don't have any chance of getting us 5 out of this problem at that point. 6 In a way it's going to be embarrassing 7 for offenders simply to be released out without the 8 resources in place. 9 REPRESENTATIVE PICCOLA: I absolutely 10 agree with you and that is my main concern in 11 dealing with overcrowding. 12 I just briefly scanned your testimony 13 and I don't think there was anything in here about 14 private prisons. 15 MR. THOMAS: No there is not. 16 REPRESENTATIVE PICCOLA: Has the PCCD 17 done anything in terms of looking at the concept of 18 private prisons as an alternative, or as a partial 19 way to address prison overcrowding? 20 MR. THOMAS: As I've done with a 21 couple questions, I can tell you what I think. The 22 Committee that's preparing the report for release 23 next week did not deal with the issue. 24 **REPRESENTATIVE PICCOLA:** Did not? 25

171 MR. THOMAS: Did not deal with that 1 Nor does the Commission itself have a issue. 2 position on it. 3 But the private enterprise in my 4 opinion could play a useful role as we start talking 5 about community service centers, as we talk about 6 residential centers in the community. As we talk 7 about a minimum security facility that has a 8 treatment aspect to it. 9 And the other side of that is I don't 10 believe they have any business running maximum 11 security institutions. 12 REPRESENTATIVE PICCOLA: I would tend 13 to agree with you. And I have had some discussions 14 privately with the Chairman and I know his concern 15 about treatment. And we've heard testimony that 16 eighty to ninety percent of the people coming into 17 the system have drug and alcohol problems for 18 Not to mention all the other kinds of example. 19 problems. And we simply can't deal with it in the 20 existing institutions. 21 Could you give us, and I know you work 22 with this and this is probably a little bit off 23 base, but our juvenile system functions almost 24 exclusively with private profit and non-profit 25

organizations providing the treatment.

1 Given that do you see any parallel. 2 and could you give us specifics, parallel uses for 3 the private profit and non-profit organizations to come in and provide the same kind of things to the 5 adult system? ٨ MR. THOMAS: Well the juvenile system 7 in Pennsylvania as well as across the country has 8 been in the private providers, principally non-0 profit but some profit, for as long as I can recall. 10 I don't want to set a date on it but 11 they have a very good track record. And indeed we 12 know if this was a different hearing we'd be talking 13 about the juvenile system and the Capitol WDY's and 14 the problems that that's creating Philadelphia and 15 others. 16 And we know that one of the ways that 17 the State has been able to handle the problem is to 18 move privately, to move with - the word's slipping 19 me - but the frontier - Vision Quest experience. 20 It's the Vision Quest program is 21 what's caused a great deal of relief that otherwise 22 would be on the State system. 23 Vision Quest being a private group 24 that really runs the kids through either out on a 25

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173 boat teaching them how to work a boat, and therefore 1 instilling some work ethic in them and discipline, 2 or other wagon train sorts of things. 3 The other thing that's in the juvenile 4 system is Warnersville which is a secure institution 5 And that Institution has been used for for profit. 6 I believe like fifteen years. 7 Excellent track record. Not having 8 any of the kind of fears we have that treatment may 9 suffer because of that profit motive. 10 It's a prime example in the juvenile 11 It clearly is an option that we need to area. 12 explore. 13 REPRESENTATIVE PICCOLA: If I can ask 14 you without having you divulge confidences, why your 15 Committee did not include that in their report? 16 MR. THOMAS: I guess probably the tone 17 in what we were trying to do with the report, we're 18 trying to lay out a framework of different options, 19 different things to pursue. Speaking more of the 20 intervention and who should provide it. And maybe 21 it's as simple as no one really had the question 22 posed so they didn't deal with it. 23 I can't really address--I'd be 24 arraid to venture what the Committee itself might 25

174 say. 1 REPRESENTATIVE PICCOLA: I just read 2 an article in, I think it was the National Law 3 Journal, I think it was in Texas, where they had a private facility operated exclusively for parole 5 violators. 6 And it looked like a jail. It smelled 7 like a jail. But it was a private facility. In 8 fact I think it was originally a public facility and 9 was sold to a private concern. 10 Do you see a role or could you 11 possibly see a role for the private sector in 12 housing parole violators? 13 Staff tells me there were 200 parole 14 violators at Camp Hill at the time of the riot. In 15 one cell block there was no programming. 16 And in fact I had the parents I think 17 of one of them - No, I'm mistaken. I'm thinking of 18 another situation. But these guys were there on 19 parole violations getting no treatment, no 20 vocational training, nothing. 21 MR. THOMAS: My position on whether it 22 is to be private or public has solely to do with the 23 amount of security that is necessary. 24 And if you're talking about parole 25

175 violators that are appropriately released in a 1 minimum security kind of setting, maybe some 2 perimeter security, but really if they wanted to get 3 out they could very easily get out, then 4 philosophically I have no problem with that being in 5 the private sector. 6 You start really depriving someone of 7 liberty where you may increase the likelihood you're 8 going to have to use some force and physical 9 restraint, my belief is that's an appropriate 10 function of the Commonwealth to provide where there 11 is counties, and that's where it ought to be. 12 So I guess to answer your question I 13 think it's worth exploring as an option. 14 **REPRESENTATIVE PICCOLA:** Thank you. 15 Thank you, Mr. Chairman. 16 CHAIRMAN CALTAGIRONE: Thank you. 17 Another question? 18 **REPRESENTATIVE BORTNER:** This is not 19 so much a question, Tom, but I would be very happy 20 to have the Committee come down to York and visit a 21 true private prison, which is not just for 22 juveniles, but for adult women, called Atkins House. 23 Which again serves very very well without any 24 controversy and without any great publicity. 25

176 And it is doing a very very good job 1 dealing with women offenders who are there under 2 sentence. Not as part of a parole or probation 3 plans but are actually serving a sentence. 4 Perhaps we can work that out. 5 CHAIRMAN CALTAGIRONE: Yes. 6 REPRESENTATIVE PICCOLA: Just so we're 7 not kicking off your senate campaign. 8 **REPRESENTATIVE BORTNER:** No. I've 9 talked to Mike about that. 10 CHAIRMAN CALTAGIRONE: Let's see if we 11 can work out a date that is reasonable and we will 12 schedule that. 13 Thank you. 14 MR. THOMAS: Thank you. 15 CHAIRMAN CALTAGIRONE: Gentlemen, if 16 you would like to introduce yourselves and proceed. 17 MR. KRAMER: Thank you. I'm John 18 Kramer, Executive Director of the Pennsylvania 19 Commission on Sentencing. 20 To my right is Judge Ted McKee from 21 Philadelphia Court of Common Pleas. And to my left 22 is Mr. Rich Lewis, the District Attorney of Dauphin 23 County. 24 On behalf of the Pennsylvania 25

1Commission on Sentencing I would like to address my2remarks particularly to a request we had on November36th from Representative Lois Hagarty and Jeff4Piccola asking the Commission to in view of the5crisis at Camp Hill, to make recommendations to6address the population crisis in our State7Correctional System.

And that came out I think of a remark or a few remarks I had made at a hearing last summer regarding the Commission and its willingness and ability to review at the request of the Legislature, the sentencing guidelines and the way in which they can be used to assist in the current Correctional crisis.

As a result of that particular letter the meeting of the Commission on December 1st, the Commission debated the issue, the letter, reviewed the guidelines, the staff came forward with a series of possible proposals at that particular session.

Reviewed the possible implications for the Commission and whether it fell within the Commission's mandate to respond to issues related to the Correctional population.

And in fact determined to respond to the letter by coming forward with a possible

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strategy that could be used by way of setting up a
 dialogue with the House and Senate Judiciary
 Committee.

It is important to note that not only the letter that prompted this particular action was 5 there but also the Commission by its enabling 6 legislation, which was Act 219 of 1978, the 7 Commission was established and as part of that 8 establishment was given a mandate to assist the 9 Legislature and to make recommendations to the 10 General Assembly in order to create a more 11 efficient, more effective and more humane 12 correctional system. 13 So this sits well within the confines 14

of the prerogative of the Commission to deal with these particular issues.

Today what I would like to do by way of setting up my presentation, and I have provided you a brief outline which is intended to be one, an information device, and secondly, a possible strategy in its impact.

But to provide you with a perspective at this particular Commission and looking at its strategy, and I use that word as opposed to proposal to bring to a point that what we're doing is

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179 trying to provide you an option, to give you some 1 information about how the guidelines could be 2 changed and how that change can bring about an 3 impact by identifying fairly non-dangerous 4 offenders, offenders who have fairly minor prior 5 records, etcetera. 6 So I think there are some options in 7 front of your there that as we go forward today will 8 be heipful. Q To give you some idea, part of it is 10 an information about what the guidelines say. Iť 11 you look at the handout I provided you, there were 12 basically five impacts that the guidelines have had. 13 First, and one of our mandates was to 14 reduce sentencing disparity. The guidelines which 15 went into effect in 1982 have in fact reduced 16 sentencing disparity. 17 A second part of that was to increase 18 And certainly we are a part of the prison severity. 19 overcrowding crisis problem. 20 We were a source of increasing 21 severity of sentences particularly for violent 22 crimes. 23 We began that process in 1982 and as 24 you can see the prison populations that grew 25

180 particulary in the mid-80's is a consequence of 1 those particular changes. 2 Third, and this is important as we go 3 forward with concerns about changing the numbers in The guidelines as part of its disparity prison. 5 issue increased the uniformity and consistency of 6 sentencing throughout the Commonwealth. 7 And that basically was one of its 8 major parts of its mandate. And I think that 9 establishing benchmarks for all judges across all 10 counties in the Commonwealth has been an important 11 step forward in consistency and fairness. 12 We've also as part of that process and 13 part of the enforcement mechanism have increased 14 judicial accountability. 15 If the judge looks at a guideline, if 16 the judge departs from that guideline, and the judge 17 can, the judge must provide written reason and 18 justification for that departure, be it above the 19 guidelines or be it below the guidelines, whichever. 20 Finally, and I think part of what 21 we're seeing as we see the numbers of prisons, the 22 source of the problem, the Commission as part of its 23 mandate has established a statistical information 24 data base on sentencing. 25

181 That information I think has been 1 helpful to not only the Commission on Sentencing, 2 but the Commission on Crime and Delinguency and 3 other agencies, as we look at the problem of prison 4 overcrowding and what's happened to sentencing over 5 the last eight or nine years. 6 The next page, just by way of 7 background for those of you who are not, I know most 8 of you are familiar with the guidelines, so let me 0 just take one moment to kind of just go through the 10 important components of the guidelines so you 11 understand the process. 12 The guidelines are composed of two 13 major criteria. The first, and the Commission spent 14 many hours doing this, establishing offense gravity 15 scores, which basically translates into the severity 16 of the conviction offense or offenses. And that's 17 measured on a scale of one to ten. 18 Secondly, the Commission looks at the 19 seriousness and the frequency of prior convictions. 20 And those are also tabulated in the process. And 21 that's part of our information base. 22 Meaning that when a person is 23 sentenced in our information base, we are cognizant 24 of the current conviction offense as well as the 25

182 kind and severity and the frequency of prior 1 convictions. It helps us to in any proposed change 2 to identify non-dangerous offenders. 3 That leads us, and we always speak in the guidelines of minimum, sentence. We're talking 5 about a range of sentences of minimum periods of 6 confinement. 7 The Commission in 1981 did that 8 because at that point in time the feeling was that 9 the minimum sentence was basically going to be the 10 time served and we used that as our model. 11 We thought that was the best 12 particular parameter to be used in terms of setting 13 the guidelines and that's why we chose the minimum 14 rather than the maximum sentence as part of the 15 guideline range. 16 There also are enhancements that help 17 us also in terms of the dangerousness of a 18 particular offender. 19 If the person possessed a deadly 20 weapon that enhances the sentence range in that 21 particular case by twelve to twenty-four months. 22 If it's distributing drugs to minors 23 that enhances the range. If it is dealing in drugs 24 near schools that also enhances the range. 25

183 So we are dealing with enhancements. 1 It give us a better sense of more severe kinds of 2 behaviors on the part of offenders. 3 The next particular page is the 4 sentence chart that that leads to. Now the 5 enhancements are not calculated in here, but if you 6 look at that particular chart the most serious 7 offenses are at the top, the ten's, the nine's and 8 the eight's. The least serious are the one's, the 0 two's and the three's. 10 You're going basically from a ten 11 would be a murder three. Nine would be rape. 12 Armed robbery with serious bodily injury. 13 The enhancements are added to the 14 So when I mentioned the enhancements ranges. 15 earlier, if a deadly weapon is possessed in the 16 commission of a crime, all these numbers get longer. 17 They're not calculated in this particular chart. 18 What it means is every defendant that 19 falls on this particular matrix, and there's a 20 guideline recommendation that's attached to this. 21 One of the reasons that, before I go 22 into the proposed strategy that may be considered in 23 terms of reducing prison and jail populations, one 24 of the reasons that I believe the guideline process 25

184 is particular appropriate for mandating, and many 1 other States are doing it. 2 In Pennsylvania the Commission has not 3 been involved in dealing with jail overcrowding 4 issues, but other states have. Louisiana. 5 Minnesota. Washington. South Carolina. Tennessee. 6 Florida and others were all created with the intent 7 of making the most efficient, most effective use of 8 correctional space. 9 So in the process of doing that the 10 guidelines are written cognizant of how much space 11 do we think we can provide and how are we going to 12 best use that space. And the guidelines are 13 intended in part as a management tool. 14 Part of the reason for that is that 15 the guideline process because it is statewide and 16 applies to all judges and applies to all defendants, 17 ensures that any kinds of changes are applied 18 equitable and fairly to all defendants across the 19 Commonwealth. 20 Secondly, as I've indicated, you can 21 identify the seriousness of the current conviction 22 offense. We have information regarding the prior 23 convictions of the defendant. 24 Those are the two key parameters in 25

185 the sentencing decision. And it also means that as 1 part of that practice the public safety issue is 2 part of the way we set up our guidelines. 3 So when you look at one of our 4 strategies we very explicitly demarcate choices for 5 the Legislature which would not basically affect the 6 more severe offender, the dangerous violent 7 offender. 8 Third, as you go through a guideline 9 process it applies statewide. Once it goes into 10 affect it applies to all cases. So you affect a 11 large number of cases relatively rapidly. 12 And if there were any changes in the 13 guidelines they would apply to offenses committed 14 after a particular date. We've always applied it 15 that way and it's a Constitutional issue. 16 Fourth, if we look at the guidelines 17 we also set the type, whether it's an incarceration 18 sentence or not, and the length of sentence. 19 That means you can manage length. You 20 can manage in/out decisions. And by managing 21 lengths in Pennsylvania in general you also manage 22 the location of the sentence, whether it's a state 23 or a county type of sentence. 24 Let me go through, and this is 25

basically I want to emphasize that the Commission is
setting this forward so that you can get an idea of
the kinds of opportunities and the kinds of latitude
you have in using the guidelines as a vehicle for
management purposes.

If we wanted to reduce the commitments to state prisons, and that maybe is one of the issues obviously that we have before us, through the guidelines we can identify the less serious crimes and the less serious criminals. And by that latter term I mean the less serious criminals in terms of their prior convictions.

If they have a prior conviction for a
 dangerous offense, even though their current offense
 may be non-violent, this may suggest to us to
 provide caution.

We can reduce the guideline ranges for 17 And if we took all the guidelines in the example. 18 matrix and reduce those numbers from twelve to 19 eleven and a half months, basically a fifteen day 20 change in the sentence, we would move the number of 21 offenders who are currently getting a twelve month 22 minimum sentence and are being sent to state prison 23 - not all people who get a twelve month minimum 24 sentence go to state prison - but of those that do 25

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187 we would be changing the location of sentence. 1 We'd be moving him from a state to a 2 county prison. That would be a management tool. 3 The fifteen day change is what we're talking about. 4 Those offenders would basically be 5 moved into a jail environment, a county prison 6 environment, rather than a state situation. 7 Obviously you can't back this problem 8 onto the counties. Another component of this 9 particular strategy would be to reduce commitments 10 to county jails. 11 Now there's many different ways of 12 doing that and I'll just present one by way as an 13 example. 14 You identify the least serious 15 The least serious criminal crimes. offenders. 16 Current conviction. And also those who have 17 relatively minor prior records. No felony 18 convictions for example would be one criteria. 19 You prescribe for those offenders an 20 alternative sentence to county jail such as 21 community sanctions. And the examples I list here, 22 electronic monitoring, intensive probation, house 23 arrest. 24 Various choices are out there that 25

188 can be prescribed as part of that latitude. 1 What that would mean, if you turn the 2 page and look at the chart one more time, if you 3 first look at the eleven and a half, where 4 previously there was twelve months you would now be 5 changing that twelve to eleven and a half months. 6 That would be the only change. Those 7 letters, those numbers that are darkened there, the 8 twelve has been changed to eleven and a half. 9 On the next chart, which would be the 10 total chart, you see that incorporated as well as 11 down at the least serious offenses and the least 12 serious prior conviction numbers you would see a 13 "CS" and that basically refers to a community 14 sanction. 15 Again it could be electronic 16 monitoring, house arrest, intensive probation, 17 Basically calling for some sort of nonetcetera. 18 county jail sentence. 19 To give you finally some sense of what 20 that impact would be in terms of maximum impact, 21 this is by commitments to those locations. It is 22 not average daily population. It's commitments to 23 those facilities. 24 The maximum impact with those 25

relatively minor changes would be almost a thousand 1 to state prison. And to admissions to county 2 prisons it would be a change, a total change because 3 you move some back in, you would be talking about a A total number of reduction of commitments of 5 approximately 2300, almost 2400. 6 Again, that's taking into account 7 you're bumping down the number in there. So 8 actually you're talking about almost 3300. And by 9 putting more in we've reduced that number to 2300. 10 So that as you'll see on the last 11 chart, the summary of the Guideline Strategies, you 12 see that that reduction at maximum, and this is 13 again in talking about maximum reduction of 956 per 14 year, a maximum reduction of jail commitments by 15 2374. 16 We think those kinds of things can be 17 done and they serve, again, as a strategy. You can 18 look at the way in which you can maintain public 19 safety. 20 You're not talking about violent 21 offenders. You're not talking about taking time off 22 the sentence for people who are convicted of rape 23 and murder and robberies, etcetera. Those people 24 are not being touched by these particular 25

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190 provisions. 1 You are making I think more efficient 2 use of the Correctional resources that are 3 available. And I think that's important. 4 And I think one of the most important 5 things as we go forward is that we look to having 6 fair, consistent and equitable standards. 7 Any kind of policy that does not 8 assure that the judges across the Commonwealth will 9 fairly apply the standards, then you're just hopeful 10 they'll be used. Through the guideline process such 11 as a community sanction, development of community 12 alternatives within the guideline framework you can 13 encourage, in fact prescribe, but you can very 14 strongly encourage the utilization across all the 15 counties. 16 Basically I hope that opens up some 17 dialogue and questions and comments from the 18 Commission. 19 Now before I totally turn over the 20 microphone, I would like to provide an opportunity, 21 Rich Lewis is the Chair Subcommittee, and Judge 22 McKee to make a remark about the proposal so you see 23 their position. 24 JUDGE McKEE: John said it well. Ι 25

191 think our purpose in being here really is a response 1 to you, a response to a request that came from you. 2 And we are certainly cognizant of the 3 fact that we are a quasi-legislative entity. Ι 4 think there is some expertise within the Commission. 5 Certainly Mr. Kramer and his staff are 6 very mindful of a lot of the research and 7 information that's out there. 8 I think the composition of the 9 Commission is such because it does represent active 10 Rich is a DA. Judges. There's Commissions. 11 defense attorneys on the Commission. 12 There's a cross-section there if you 13 will of expertise and professions and different 14 approaches through which we view the problem and I 15 think that can be helpful to you if you should 16 decide to ask us to explore this kind of problem 17 solving tool, if you will. 18 I think the other advantage of it, as 19 John said, is that there is some sanction of law 20 behind it in that the guidelines reach the 21 individual sentencing judges. 22 And the bottom line which so often 23 gets lost I think in discussions like this because 24 it doesn't always play well in Peoria, and that is 25

fairness.

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2	And to the extent that any kind of
3	change is going to be initiated, be it through
4	abuse, overcrowding or simply to refine the system
5	we have in place, fairness I think all of us would
6	agree has got to be a key component of that so it's
7	not just a change in form, but a change in
8	substance. And that the quality of what we're doing
9	doesn't get lost.
10	The guidelines I think provide a way
n	of doing that with checks and balance built in it.
12	If the Commission does something which the
13	Legislature feels is inappropriate, it's not
14	responsive to the way that you want to go, or is
15	inconsistent with the way you want to go, the check
16	and balance is built in because the recommendations
17	do come back to you for final approval.
18	It does seem like something that we
19	would simply ask you to consider as you address this
20	incredibly complicated and weighty problem, which I
21	don't really envy you for having to address.
22	We as Judges sometimes have to address
23	it, we can always kind of push it off and blame in
24	on somebody else, it's a Parole problem, or it's a
25	DA's problem, or it's some other kind of problem.

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193 And you're really in a position where 1 you can't do that. You have to kind of look at the 2 buck at the stop sign as your desk. 3 And to the extent that you're stuck 4 with the buck and have to address the problem, I 5 think that the kinds of things that are brought to 6 your attention for comment really might be helpful 7 to you in your considerations. 8 DISTRICT ATTORNEY LEWIS: Thank you, 9 Judge. 10 Mr. Chairman, very briefly, I guess 11 I'm here wearing two hats. As a prosecutor I 12 usually sit here and suggest that perhaps the answer 13 is to build more jails and so forth. 14 But as a member of the Sentencing 15 Commission, I'm here to basically say that the 16 Sentencing Commission through the guidelines with 17 the check and balance of the Legislature can adjust 18 - I hate to use the word manipulate, I prefer the 19 word adjust - but can adjust the sentencing 20 guideline ranges to achieve any end that you would 21 desire. 22 We could adjust them up and swell the 23 prison population to twice its size. We can relax 24 them a little bit as we did in this particular 25

194 example just to show how a minor adjustment, a 1 hairline adjustment can effect ultimate prison 2 population over a period of a year or two. 3 But I guess the reason we are here is 4 to just throw this particular proposal out to see 5 how it bounces off this Committee. 6 Is this something that you want the 7 Sentencing Commission to meet upon, to firm this 8 proposal up and to come back to you with it in more 9 definitive form? Or is it something that the 10 Committee is just going to reject outright and tell 11 us to go home and think of some other way to affect 12 prison population? 13 And I guess we're here to get your 14 guidance, your input and your reaction to this. 15 Whenever you're relaxing sentencing, 16 as we are here, even though it's very very slight it 17 is a relaxing of the sentencing guidelines, you 18 automatically create I think a perception problem. 19 Whether it's real or imagined it is a perception 20 problem. 21 But in response to that I think it is 22 important to again re-emphasize that all we're 23 talking about here is pulling from the bottom of the 24 prison population the least offensive offender and 25

195 releasing that particular offender to a community 1 sanction. 2 And again, there is great latitude in 3 setting up community sanction type programs. 4 And Representative Piccola pointed out 5 that one of his fears is a Federal court coming into 6 Pennsylvania and some judge penning an order which 7 supersedes your efforts and any effort anyone else 8 in local or state government could make as far as 9 controlling prison population. 10 The attraction of such a proposal such 11 as this, maybe not this exact proposal, but some 12 proposal to adjust the guidelines, puts you in 13 control ultimately, the Legislature, as opposed down 14 the road to some Federal judge being in control of 15 prison population. 16 That I think is the true attraction to 17 this type of proposal. 18 CHAIRMAN CALTAGIRONE: If I may 19 comment. I for one, and I certainly will not speak 20 for the Committee, they can speak for themselves, 21 looking at this problem very realistically and 22 knowing how the Legislature responds, we've got 23 legislation in the Committee that we've been working 24 on, some of it of course has been in for a number 25

196 of years, a number of sessions. 1 I think the key is to the short term 2 for the immediate solution to this problem that we 3 face of overcrowding, that this is just one of those 4 solutions. 5 And I think it's a very small step and 6 I agree with you and I think that it's an 7 alternative rather than a relaxation. 8 It's an alternative to the tough 9 decision that we're going to have to make possibly 10 yet in this term of the Legislature with some of the 11 legislation and/or other tax dollars. 12 And I would feel myself personally 13 much more comfortable allowing the judges utilizing 14 community sanctions at their level to develop that 15 at least in a short term alternative until we can 16 start to get some of the legislation approved, and 17 whatever funding that is going to have to follow 18 that. 19 Because we all realize we're not going 20 to build ourselves out of this. As was stated 21 earlier, we've got a shopping menu of a lot of 22 different alternatives to try to implement. 23 But you have it within your power 24 though too to help ease the overcrowding at both 25

197 the local and the state level almost immediately, 1 that we wouldn't have trying to get the agreement in 2 working off legislation. 3 And with that I'll got off my soapbox. 4 If I could just make one MR. KRAMER: 5 comment. This particular strategy as presented does 6 not apply in any way to any drug convictions. That 7 is a separate chart, a separate component to the 8 guidelines. 9 CHAIRMAN CALTAGIRONE: Mike. 10 **REPRESENTATIVE BORTNER:** Thank you, 11 Mr. Chairman. 12 I am a member of the Pennsylvania 13 Commission on Sentencing and I enjoy very much 14 serving with John and Rich and Judge McKee and the 15 other members of the Commission. 16 And I had some involvement in these 17 discussions in trying to develop this strategy and 18 other strategies to deal with the prison population 19 and the overcrowding problem. 20 I would just like to make a couple 21 comments and then ask John a question or two. 22 I think the Sentencing Commission is 23 very very well equipped to work with this Committee 24 and with the Legislature as a whole. 25

I have been very impressed with the 1 work that they do and their ability to really 2 forecast to you just about exactly what any 3 legislation we pass is going to do to the prison system. 5 I've seen John show it to me and other 6 members of the Commission before, if we do this, if 7 we do that, this mandatory is going to mean this, 8 this change in the guidelines is going to do this, I 9 mean in terms of the number of bodies that are going 10 to be coming in and how long they'll be there. 11 And I think we ought to use them. and 12 I think we have used them and I think we ought to 13 use them to perhaps to an even greater extent. 14 Secondly, we all know I guess as 15 politicians the way we perhaps approach, and others 16 do, reducing prison sentences. 17 But I guess I think that we're at a 18 point where we need to also become maybe - I don't 19 want to say more responsible, but in a sense that's 20 the best word I can think of as we look at this 21 problem. 22 And while this is not etched in stone, 23 I think this kind of a very minimal approach, albeit 24 a relaxation of two weeks in sentences, 25

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199 could make what would amount to a fairly dramatic 1 difference in prison population at the state and 2 local ievel. 3 With that I'd like to ask John just 4 one or two questions. 5 Could you comment a little on the 6 kinds of offenses that fall into these categories 7 for example when we're talking about community 8 sanctions? Q Because it's my recollection that 10 while this was largely driven by the goal of 11 reducing or trying to deal with the prison 12 population, it seems to me that there was also some 13 concern about some little glitches or disparities 14 that also seemed to perhaps not fit into the right 15 boxes, and that was also one of the reasons for 16 trying to adjust some of these numbers. Is that 17 right? 18 MR. KRAMER: Yes. If you look 19 basically, that one refers to an offense gravity 20 score of one. Those are basically misdemeanor 21 three's. So you're talking about relatively minor 22 theft offenses. 23 I've got a listing in my briefcase 24 which I don't have in front of me right now. But 25

200 the theft offense gradings are the ones that are 1 going to be the most frequent. 2 As you go up the chart, two's refer to 3 in most cases you're talking about misdemeanor two's. 5 In most cases you're talking about 6 again theft types of activities. It may be in the 7 form of shoplifting or it may be in the form of 8 theft with a certain value. It again depends upon 9 the category. 10 When you get to a three you do get I 11 believe, the one case, I'm trying to think if that's 12 where terroristic threats came in on one of those. 13 And that's one that we debated about in the 14 consideration of it. 15 But that was one of the offenses that 16 when we looked at, I think it was December 27th that 17 we reviewed that, that I think we expressed some 18 concern about and debated that issue about whether 19 to leave it there or not. We have it in there at 20 this point in time but that's one of those things. 21 So we're focusing here mostly on 22 trying to look at non-violent offenses and keeping 23 with the prior record score that would indicate 24 maybe a prior misdemeanor conviction, but not in 25

201 general any kind of prior felony conviction. 1 **REPRESENTATIVE BORTNER:** Basically 2 the first offenders or people with a fairly minor 3 prior record? 4 MR. KRAMER: Now you That's right. 5 can even refine that more specifically by those 6 caveats by making sure what the prior record is and 7 specifying even more clearly, more precisely if you 8 want. 0 There are various ways of approaching 10 For simplicity purposes we approached this by it. 11 taking the whole cell. 12 You could also put other limitations 13 on it in terms of who this would be appropriate for 14 within a particular category. 15 **REPRESENTATIVE BORTNER:** John, what 16 is the compliance rate that we see from judges 17 across the state? 18 MR. KRAMER: Perhaps I should ask Rob. 19 MR. LUBITZ: Right now for 1989 it's 20 overall 86 percent. 21 MR. KRAMER: It's about 86 percent. 22 **REPRESENTATIVE BORTNER:** The reason 23 for the question is that making this kind of a 24 change you can feel fairly certain that if the 25

202 judges continue to comply with the guideline 1 recommendations as they have historically. you will 2 see the kinds of changes that you're predicting. 3 Right? ٨ Yes. MR. KRAMER: And I think one 5 thing that shouldn't be overlooked, one of the 6 things that the Commission does, and it's why we 7 work with the Commissioners and write the 8 guidelines, one of the things that it has is done is 9 working with the Judiciary. 10 I think one of the things that we will 11 be doing in the next six to eight months is having 12 If want to do any changes it will symposium. 13 require careful working with the counties in terms 14 of this implementation process. 15 So we don't basically just take 16 something, put it down in writing and say there, now 17 see what you want to do with it and implement it. 18 We go through a process of training 19 and working with the jurisdictions, answering 20 questions regarding the application of the 21 guidelines. 22 And I think if we looked at a 23 community sanction kind of alternative we would have 24 to expand that to also work with the Commission on 25

Crime Delinquency and the technical assistance that would provide to help the counties develop some of those strategies.

One of the things that I know, and I earlier met with Representative Piccola, his concern about well maybe those things aren't out there. It's kind of a chicken and egg problem.

8 9 You sort of need to stimulate people 9 to develop them and I think that's one of the things 10 the guidelines would have to do, and work with the 11 counties in initiating some of these intermediate 12 sanctions.

Jim Thomas I think earlier used the term nicely. And Delaware is developing those nicely as part of their guideline process.

The intermediate sanctions that are severe but not so costly. And I think that's what we're looking at here in these community sanction alternatives.

REPRESENTATIVE BORTNER: Well I know that one of the things that when we were discussing this, John also brought forward some statistics, which were very surprising to me to see some what I would consider offenders-- Well, what I would consider inappropriately placed in a state

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204 institution. Whether it was for driving under 1 suspension, retail theft, some others. 2 That's not to say that those people 3 shouldn't be in jail. But I certainly question whether those people should be taking up the very 5 expensive kinds of places in the State institutions 6 when we have the kind of overcrowding situation that 7 we've been hearing about for several days. 8 And I don't know that this will 9 completely resolve that problem, because there are 10 still those oddball cases and a judge here and there 11 who may decide to send somebody to the State 12 institution for one reason or another. 13 But I certainly think that this might 14 provide some assistance with that. 15 MR. KRAMER: I think we see, we get in 16 our office probably over 50,000 sentencing forms a 17 year and one of the things that is not uncommon is 18 for us to go through a particular form, looking at a 19 sentence or whatever, and express some concern about 20 whether or not that is necessarily appropriate for a 21 State prison sentence. 22 I'm saying that as a personal 23 observation looking at cases in which there's 24 nothing about the record. There's nothing about 25

205 the current conviction offense that would suggest 1 that a State prison cell for a period of a year or 2 longer is necessarily a wise decision. 3 One of the things I think that you 4 might look at and think about as you look at the 5 chart, it's an exchange in some respects. 6 The more people you pile in at the 7 lower end of the State prison, the more pressure 8 you're going to have to let the people out at the 9 upper end. And we're talking about the more serious 10 offenses. 11 And I think when I testified in July I 12 said I could not in good conscience in the current 13 frame of what the Legislature has provided in terms 14 of space and capacity and where we're going, could 15 not in good conscience go back and suggest any 16 increases in some of these lengths up above. Even 17 though there may be times when there's a feeling 18 that that may be appropriate. 19 And I think we've made choices. We 20 looked at some comparisons with some other 21 jurisdictions and we found that Pennsylvania was 22 particularly tough on the less serious offenders. 23 The guidelines were tougher on less 24 serious offenders. And in many respects not as 25

206 severe on the more serious offenders. I'm talking 1 about the more personal crimes. 2 That in my particular conscience is a 3 little bothersome. And I would rather see that data look a differently than that in terms of use of 5 prison space and the way in which we establish our 6 sentencing policy. 7 That's just a personal reflection. 8 That's not reflecting on the Commission at all. 9 It's just my personal observation. 10 **REPRESENTATIVE BORTNER:** On the 11 Commission are we going to be looking at that aspect 12 as well? 13 MR. KRAMER: There's two things. This 14 is basically done with the idea of being a short 15 term process and something that would not undermine 16 the current integrity of the guidelines. 17 The Commission is also undertaking a 18 much longer process of review which we're beginning 19 at the end of this fiscal year and will go through 20 the next two years, which is a process of 21 reassessment, re-evaluation and basically a re-22 writing of the guidelines. 23 With consideration at that point in 24 time, how do we feel about the current 25

207 recommendations for some of the more violent 1 offenders. And I think that takes a little longer 2 time to deal with something. 3 This current proposal was designed to 4 be something that affected commitments, because 5 that's the fastest way you can respond to 6 overcrowding issues, is numbers of people going in. 7 In the long term I think the 8 Commission and others, and the Commission has 9 adopted this approach, is to carefully re-evaluate 10 all the ways in which we've ranked crimes and prior 11 record, as well as the lengths that are attached to 12 that. And so I think the long term agenda is much 13 more significant to that. 14 **REPRESENTATIVE BORTNER:** Thank you. 15 CHAIRMAN CALTAGIRONE: Representative 16 Hagarty. 17 **REPRESENTATIVE HAGARTY:** Thank you, 18 Mr. Chairman. 19 Thank you, John, and members of the 20 Commission for sharing these results today. 21 John, are you indicating that 22 disparity - I'm curious - continues? 23 I recall the days before sentencing 24 guidelines and the sentences for example coming 25

208 out of Philadelphia were quite different for 1 committing the same crime in another part of the 2 state. 3 Are we continuing though even though we have the guidelines to see disparity? 5 Is that what you were indicating by 6 saying that the State sentences are inappropriate? 7 MR. KRAMER: No. I think in part that 8 it maybe the guidelines in certain areas provide 9 perhaps more latitude in that respect than they 10 should. 11 I think the observation there is that 12 disparity has been reduced. I mean the guidelines 13 are intended as a benchmark and you have judges will 14 be part and judges will make decisions. 15 Some of those cases by the way relate 16 to offenses not covered by the guidelines. That may 17 be covered by DUI for example, mandatory penalties. 18 We deleted our guidelines for driving 19 under the influence and we've had some interesting 20 cases come through. 21 Not homicide by vehicle DUI. DUI one 22 Two consecutive one to two's for a to two years. 23 two to four year sentence to State prison on two DUI 24 convictions. 25

	209
1	With an exacerbating circumstance
2	being driving across some lawns. And that suggests
3	to me, I mean when I see a particular case like that
4	I think that does raise some concern about two years
5	of minimum sentence to State prison.
6	A DUI conviction is certainly serious,
7	it's an endangering kind of circumstance. It may
8	not be one which we want to tie up two years at
9	least of State prison time to house that person.
10	REPRESENTATIVE HAGARTY: I guess my
11	curiosity is if we or if the Sentencing Commission
12	recommends reducing sentences by this very short
13	period, are we going to be helping disparity because
14	some of those sentences are a little bit longer and
15	from another county probably wouldn't have been that
16	long anyway?
17	MR. KRAMER: We're really just trying
18	to change the location. That particular case where
19	it was tweive before, moving it to eleven and a haif
20	is really not changing much the length.
21	Right now the guidelines allow up to
22	twelve months. We're saying let's make it eleven
23	and a half months, so it's only a two week change.
24	REPRESENTATIVE HAGARTY: So that's
25	only a two week change for changing the location.

210 So the real change that we're doing then is that 1 this recommendation would call for community 2 sanctions for those, and you've indicated that 3 they're primarily thefts? 4 I think primarily theft MR. KRAMER: 5 offense. I can give you a specific listing of all 6 of those. 7 **REPRESENTATIVE HAGARTY:** Where those 8 persons would now be in a county prison? 9 MR. KRAMER: In those cells a number 10 of people are getting alternatives now. There are 11 about 3300 that are getting a jail or prison 12 sentence, a State prison or a jail sentence. And 13 That that's where the number of 2300 comes from. 14 would be reducing the jail numbers admissions for a 15 particular year. 16 REPRESENTATIVE HAGARTY: And you 17 indicated it would not be dealing with DUI offenses? 18 MR. KRAMER: We're not dealing with 19 any drug offenses, almost no drug offenses. There's 20 a couple of drug offenses that fall in here, but 21 basically we have a separate matrix for trafficking, 22 manufacturing and possession. And no DUI. 23 Because of the mandatory the 24 guidelines don't deal with DUI whatsoever. 25

211 JUDGE McKEE: And it doesn't deal with 1 any other mandatory sentence either. 2 MR. KRAMER: No. No other mandatory 3 sentence. 4 REPRESENTATIVE HAGARTY: Do your 5 recommendations, or have you considered what type of 6 community sanction you're talking about? 7 MR. KRAMER: Well we mention the 8 electronic monitoring. House arrest is being used 9 in some jurisdictions. Intensive probation. Those 10 would be some of those other options. 11 You could use a halfway inhouse, such 12 as the Atkins house would be another type of 13 facility that would be appropriate. 14 REPRESENTATIVE HAGARTY: So it would 15 be your hope though that these persons who are now 16 receiving community sanctions would not be on 17 probation as we classically know it, but they would 18 be under some type of quite restricted activity in 19 their life that might be comparable but served in a 20 different location to incarceration? 21 JUDGE McKEE: I think that's true. It 22 might be a bit misleading because probation, 23 depending on the kind of probation can be very, very 24 restrictive. 25

212 If it's probation where a condition of 1 the probation is tantamount to house arrest, 2 confining the person to the residence absent some 3 kind of verifiable employment and not allowing them to be out of the residence only to commute back and 5 forth to work and religious services and that kind 6 of thing. And enforcing that with electronic 7 monitoring. 8 That's really probation. We can also 9 call that house arrest. Or we can call it a 10 community sanction. 11 I think the idea here is not so much 12 the label of it, but to make certain that there is 13 some sort of accountability and control. 14 And I think it really goes back to 15 what Jim Thomas was saying earlier, so that the 16 person begins to show some indication that they are 17 not ready for the relaxation of those controls. 18 Call that probation or call that simply release from 19 control, that's somebody who could be identified to 20 go back into a jail cell or a prison cell. 21 And you could justify the expenditure 22 of those resources on that individual because of the 23 need to protect perhaps the community from that 24 individual. 25

213 And I think looking at it that way 1 might help in terms of understanding what we're 2 saying a little bit more. 3 **REPRESENTATIVE HAGARTY:** I guess my 4 thought is that, going back to the days when I was 5 an Assistant District Attorney, if someone didn't 6 get jail, they got probation. And getting probation 7 was getting off. 8 And I don't want to see a return to 9 people who we have finally gotten to the point of 10 incarcerating because we want to make a statement 11 about that activity and that person, getting 12 probation. 13 But I'm now understanding and I think 14 it's important to understand that we're not talking 15 about probation as I know it. 16 What you're recommending and I guess I 17 would urge that the Commission proceed to consider 18 is perhaps being quite specific about what type of 19 community sanctions you are talking about. 20 **REPRESENTATIVE BORTNER:** May I just 21 say something? 22 **REPRESENTATIVE HAGARTY:** Yes, Mike. 23 **REPRESENTATIVE BORTNER:** I was going 24 to try to answer that but you've already put your 25

finger on it. But probation as sort of the classic 1 or traditional sentence as you and I thought of it 2 which is six months probation, which means as long 3 as you don't do something wrong in that six months you're off, is not what is being anticipated here. 5 It is something I think much more that 6 involves or actively involves the system much more. 7 whether it's actually intensive probation, which I 8 know we do that in York County and I think that 0 again means different things. 10 But it means actively seeing a 11 probation officer on some very regular basis or some 12 sort of monitoring, or some sort of other kinds of 13 sanction that involves you do to something in 14 addition to just keeping your nose clean for that 15 three or four six months. 16 REPRESENTATIVE HAGARTY: I mean I 17 think and my own feeling is that it's worth pursuing 18 if we're going to provide someone with a sanction 19 that not only is a real punishment, but also 20 hopefully will put in place a real supervision or a 21 kind of system that might help enable them to not 22 commit a future crime. 23 And that would be worth putting 24 resources into in and in fact maybe be a 25

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215 better use of our resources than incarceration. 1 MR. KRAMER: We were kind of throwing 2 this out to see if there as a positive reaction to 3 it, and I think you're picking up on an issue that 4 we need to think through very carefully, and that's 5 how we specify the use of those sanctions and what 6 they mean and how they are to be applied. 7 And I think that's something we have 8 not invested time in at this point in time. But I 9 think any future proposal has to be much more 10 specific than this particular document would 11 indicate. 12 REPRESENTATIVE HAGARTY: I just wanted 13 to indicate someone recently made a comment to me 14 and I thought it was of great interest, I don't know 15 if it's true of not, that some people who have been 16 placed under house arrest have found it more 17 difficult than the jail experience, because it can 18 be a very isolating difficult experience. 19 And I thought that might be important 20 if people have experience with that in order to 21 communicate that. 22 Because I don't think that we want 23 after ten years of toughening sentencing in the 24 Commonwealth to indicate that we are moving in any 25

216 direction but to continue to toughen sentences. 1 JUDGE McKEE: That's a very valid 2 point. Every discussion I've had, and in 3 Philadelphia there's a ISP, Intensively Supervised ₫ Probation, and in every discussion I've had with the 5 probation officers who are involved in that program, ٨ and many defendants, has been exactly that. 7 To the point that if the situation is 8 such that the defendant knows the judge is serious 9 about coming back and violating, there are now some 10 defense attorneys, very good defense attorneys, who 11 will advise their client, whether they take his 12 advice or not, take the penalty time, do not take 13 the intensively supervised probation time. 14 Because it's easier in the county jail 15 and the chance of your screwing up, if you'll pardon 16 the expression, is less than the kind of onerous, 17 oppressive, isolated experience and the temptation 18 to try to beat the system that you have with house 19 arrest. 20 And I think that part of our function 21 may be an educational one. And to the extent we let 22 people know exactly that house arrest does not mean 23 you go home, you turn on the TV, you have somebody 24 bring you pizza when you're hungry. 25

217 It's much more than that and it's a 1 very difficult, perhaps more difficult situation 2 than being incarcerated in the county jail. 3 And if the public understands that, 4 understands that it's not getting off and that there 5 is a built in consideration for the safety of the 6 person living next door to this turkey who is on 7 house arrest, who doesn't want to have to come home 8 and wonder whether or not Joe on house arrest has ٥ now reappropriate your TV system to his house. 10 To the extent the community can be 11 informed, I really don't think that would be a 12 concern. 13 I think once people begin to 14 understand it a bit better those kinds of feelings 15 we can alleviate. There will probably be some other 16 rational and irrational fears, but I think a lot of 17 that can be alleviated. 18 **REPRESENTATIVE HAGARTY:** Thank you. 19 CHAIRMAN CALTAGIRONE: Representative 20 Blaum. 21 Thank you, Mr. REPRESENTATIVE BLAUM: 22 Chairman. 23 John, I would like to thank you 24 because I think you came to us with something 25

218 that's realistic --1 MR. KRAMER: Thank you. 2 **REPRESENTATIVE BLAUM:** In the 3 atmosphere in which we are and I think you presented 4 it in a responsible manner. 5 More than that, I think it may even be 6 doable sometime down the line. And I think that's 7 perhaps the most important thing. 8 We have recommendations brought to us 9 from time to time over the years that are not doable 10 and are unrealistic. 11 But I think when it gets down to what 12 District Attorney Lewis said, and that is he wants 13 to know whether or not this Commission is going to 14 be wasting its time if it continues, for the last 15 four months inmates at Camp Hill I think have had 16 both sides of this building doing contortions. 17 And I do not want to see lumped on top 18 of that earned time and reduced sentences. I want 19 to separate the two. I want to separate what 20 happened in October. 21 I'm against earned time period, but 22 this proposal that you brought to us is something 23 that I think has merit. And I hope I would speak 24 for a number of people on this Committee and would 25

219 urge you to proceed and go forward. 1 That's not to suggest that everything 2 you suggest will be accepted. But you I think have 3 shown us that by tiny, tiny incremental reductions 4 you bring about very serious and very positive 5 results. 6 And I think that is something which 7 will appeal not only to the members of the 8 Committee, but perhaps the membership of the House 9 as a whole. 10 So would urge you to go forward. Ι 11 for one do not want to see it done as part of this 12 But it's something that I would hope that report. 13 this Judiciary Committee would undertake very 14 seriously as our next step after the requirements of 15 the Resolution that was passed by the House are 16 completed. 17 MR. KRAMER: Thank you very much, 18 Representative Blaum. 19 This is really an apart. I think we 20 had a conversation a couple of years ago about a 21 previous overcrowding situation, and when I caught 22 you at the end of that session it was this kind of 23 concept that I was trying to suggest, although I 24 think it was hard to picture at that time. 25

220 I would hope that in terms of my image 1 of the way we would go forward would be for the 2 Commission to go back and now think this through 3 more precisely. Come back to the Committee. 4 And as I said at the beginning I would 5 hope that before any particular proposal is 6 submitted for acceptance or non-acceptance, that we 7 would have a working relationship and go through 8 these proposals, debate them, talk about their 9 impact, and work this out in a process over the next 10 I'm not sure in terms of the few months, whatever. 11 timeframe. 12 But I appreciate your comments. And 13 the Commission appreciates them as well. And I 14 think it's something that other states have done and 15 looked at and have been fairly successful with. 16 And again, it maintains that across 17 the board fairness, equity and proportionality which 18 I think is very important as far as justice is 19 concerned. 20 REPRESENTATIVE BLAUM: One other 21 You mentioned about not wanting to raise comment. 22 any of the offenses at the top as a matter of 23 personal velocity. 24 MR. KRAMER: Well a personal concern 25

221 about where we stand in the current crunch. 1 **REPRESENTATIVE BLAUM:** That may be 2 necessary as a give and take in order to obtain 3 approval of the suggestions that you have. 4 One way to do that would be to say in 5 these certain cases they actually toughen sentences 6 handed down and still maintain the dramatic 7 reductions that your forecasting. And that might be 8 something to keep in your back pocket to bring out Q at a time when maintenance is there. 10 My last question would be on the 11 sentencing guideline chart for reducing prison and 12 jail sentence. 13 Number seven, which is the 8-11.5, 14 what kind of offenses would we be talking about 15 MR. KRAMER: Well first off there's 16 not many cases that happen to get a 12-20. I think 17 there's twenty some odd cases in those particular 18 two cells if I'm not mistaken. 19 You get, and this is your most serious 20 category under the change obviously, and you've got 21 some forms of aggravated assault and you've got some 22 forms of robbery that fall into that particular 23 category. 24 Those are probably the two - and a 25

222 burgiary. The most serious form of burglary that 1 we have classified falls into the seven category. 2 And we debated dropping that and not 3 including it as part of it, but felt at this point 4 in time to leave it in and include it as part of 5 this particular submission. 6 But it does incorporate the most 7 relatively serious crimes. 8 REPRESENTATIVE BLAUM: Thank you very 9 much. You did a good job. 10 DISTRICT ATTORNEY LEWIS: Mr. Blaum. 11 just one point. That will not exclude a judge from 12 giving a state sentence of course. 13 **REPRESENTATIVE BLAUM:** Okay. 14 DISTRICT ATTORNEY LEWIS: Just by the 15 law of averages it will probably reduce some of 16 those to county sentences. That's I think what 17 we're projecting. The judge is still free to go the 18 State sentence route. 19 REPRESENTATIVE BLAUM: Thank you. Ι 20 would just urge you to proceed. 21 DISTRICT ATTORNEY LEWIS: Thank you. 22 CHAIRMAN CALTAGIRONE: Representative 23 Piccola. 24 **REPRESENTATIVE PICCOLA:** Thank you, 25

223 Mr. Chairman. 1 Gentlemen, I do appreciate your coming 2 forward and I think I agree with my fellow 3 neanderthal, Mr. Blaum. 4 I think you are proposing a reduction 5 And I think that's how it will be in sentencing. 6 painted. 7 I have never consciously at any rate 8 supported that, but I would not say that I would 9 oppose this particular proposition or some similar 10 proposition you bring before us. 11 And I would encourage you to develop 12 it further. But I do not think this should be our 13 first response to prison overcrowding. 14 Because as Mr. Blaum indicated, and as 15 I just indicated it is a symbolic, even though it's 16 an adjustment, it is a symbolic reduction in 17 sentencing and it simply should not be our first 18 response to this problem. 19 We have put forward a whole host of 20 other alternatives, some of which you have 21 discussed, John, electronic monitoring, house 22 arrest, parole, intensive parole. 23 I have proposed -- Representative 24 Hagarty proposed boot camp. 25

224 **REPRESENTATIVE HAGARTY:** You notice he 1 didn't say we on that. 2 **REPRESENTATIVE PICCOLA:** Representa-3 tive Hagarty has proposed boot camp. 4 Private prisons I have discussed and I 5 have advocated and I see coming sooner or later. 6 You've got an administration that we just heard this 7 morning up until recent events was apparently inmate 8 oriented. 9 At least that's the testimony we're 10 getting and I would want assurances that whoever the 11 new commissioner of Corrections is, he is not going 12 to be inmate oriented. He's going to be public 13 security oriented. 14 We have a building program that we 15 have to get underway and we can't even figure out 16 which counties we're putting these prisons in. 17 When these issues are, if not resolved 18 as least underway toward resolution, then I think we 19 can seriously consider adopting it. And I would 20 encourage you to develop some alternatives. 21 I'd like to see as part of that 22 analysis what the impact is going to be not only on 23 state overcrowding, but on county overcrowding. 24 And you're going to dump a lot of 25

225 these guys into the parole system both at the county 1 and at the state level. What is that impact going 2 to be? 3 All of these alternative programs, I 4 think you called them community sanctions, I think 5 that was the euphemism. I'm not sure what a 6 community sanction is, but whatever it is, I'd like 7 to know what that's going to cost Dauphin County. 8 I see dollar signs behind these 9 community sanctions and I see the Commonwealth not 10 following these community sanctions to the counties 11 with dollars. 12 And I hear my County Commissioners 13 coming to me and saying where are we going to come 14 up with the money to create these community 15 sanctions. 16 So all of these issues I think have to 17 Do go be explored as well. But do move forward. 18 forward. 19 I want to see some support for a lot 20 of these other base issues before I can guarantee I 21 would support anything that's along these lines. 22 And also I'd like to have additional information 23 along the lines that I have indicated. 24 JUDGE McKEE: Let me say also that 25

226 what I think we're saying in part is not to view any 1 one proposal by the Commission in isolation. 2 I think the Commission can work with 3 you to the extent you'd like us to in a whole host of various things, including the boot camp approach 5 and how you identify and who makes that 6 identification, how can you build that into some 7 sort of regulation. Those kinds of things. 8 Taking this proposal totally aside, I 9 think as you said, all of us neanderthals have to 10 stick together. 11 There is a way I think that the 12 Commission in its broad based approach to things can 13 perhaps help. 14 And at the same time I think all of us 15 are mindful of the sensitivity that you're 16 expressing in terms of the tit for tat approach. 17 And within the guidelines, and I think 18 Representative Bortner said it, there are other 19 areas that may well need adjustment so that even if 20 we were not to go in and fine tool with the 21 objective of creating a tit for tat, it's possible 22 that in simply refining certain areas and bringing 23 the guidelines more into light with the severity we 24 perceive of certain kinds of offenses, that may 25

227 well be the natural result where certain numbers are 1 going to begin to increase anyhow because we're 2 taking a much more grave view of certain kinds of 3 things than we did nineteen years ago. 4 REPRESENTATIVE PICCOLA: I didn't mean 5 to suggest, and maybe I didn't make it clear, that 6 you folks are responsible for all of these other 7 things. We collectively are responsible not only to 8 the Legislature but the Administration as well. 9 You're doing a fine job and I 10 understand your role. Your role is more or less as 11 a servant to the Legislature. And I didn't mean to 12 admonish you. 13 I just wanted you to understand where 14 my support or lack of it would come for something 15 along these lines, and the other circumstances that 16 I would have to see before I could support it. 17 MR. KRAMER: Well principally in part 18 of the recommendations is support for the 19 development of some of these other sanctions, and 20 that's an important part, so I see this comment and 21 this discussion as being endorsement of some of the 22 specific things. 23 We haven't looked at legislation but 24 I'm sure we'd be glad to do that and discuss ways 25

228 that we might be helpful. 1 And I think the feeling is that 2 there's an important problem in front of 3 Pennsylvania and this is something that we can help 4 I think the Legislature. 5 And I think as a legislative agency it 6 is our responsibility to work with you in a 7 bipartisan way to go forward and identify the best, 8 most efficient and effective use of our Correctional 9 resources and that's our task. 10 REPRESENTATIVE BLAUM: Mr. Chairman, I 11 would just like to say I think it's a message that 12 this Committee wants to send, and hopefully both 13 Chambers, that riots in Pennsylvania do not work. 14 And having said that I think that what 15 you just said is right, John. I think if you can 16 get Piccola and Blaum saying move forward, you came 17 here today and you got a major victory. 18 MR. KRAMER: I appreciate that. Thank 19 you. 20 CHAIRMAN CALTAGIRONE: Thank you. 21 We'll now adjourn the hearing. 22 Thank you, gentlemen. 23 MR. KRAMER: Thank you very much. 24 (Whereas at 3:15 p.m. the hearing 25 was concluded.)

<u>C E R T I F I C A T E</u> I hereby certify that the proceedings and evidence are contained fully and accurately in the notes taken by me during the hearing of the within cause, and that this is a true and correct transcript of the same. Janice L. Glenn Court Reporter The foregoing certification does not apply to any reproduction of the same by any means unless under the direct control and/or supervision of the certifying reporter.