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1	COMMONWEALTH OF PENNSYLVANIA
2	HOUSE OF REPRESENTATIVES COMMITTEE ON JUDICIARY
3	In re: Oversight Hearing with the Department of Corrections
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6	Stenographic report of hearing held in Room 8A East Wing, Main Capitol Building, Harrisburg, Pennsylvania
7	
8	Thursday, April 4, 1991
9	10:00 a.m.
10	HON. THOMAS R. CALTAGIRONE, CHAIRMAN Hon. Kevin Blaum, Subcommittee Chairman on Crime
11	and Corrections
12	Hon. Karen A. Ritter, Secretary
13	MEMBERS OF COMMITTEE ON JUDICIARY
14	Hon. Lois S. Hagarty Hon. Robert D. Reber Hon. David J. Mayernik Hon. Chris Wogan
15	Hon. Christopher K. McNally
16	31 no Dunananh
17	Also Present:
18	David Krantz, Executive Director Galina Milahov, Research Analyst
19	Mary Woolley, Republican Counsel Paul Dunkleberger, Republican Research Analyst
20	Mary Beth Marschik, Republican Research Analyst
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22	Reported by: Ann-Marie P. Sweeney, Reporter
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CHAIRMAN CALTAGIRONE: Commissioner

Lehman, I thank you for being here today, and if you would like to start, sir.

COMMISSIONER LEHMAN: Certainly. Good morning, Chairman Caltagirone and other committee members.

We appreciate the opportunity to spend this morning with you in an overview review of the Department of Corrections and its activities. I want to begin by introducing to you this morning members of my staff, executive staff with the Department of Corrections, who join me here today.

Executive Deputy Commissioner of the Department of Corrections. Behind and to my left in the corner there is Lee Ann Lebecky. Lee Ann is the Director of Planning and Research for the Department of Corrections. To my right is Ben Livingwood. Ben, of course, is the Press Secretary for the Department of Corrections. And Scott Thornsley is right here. Scott, of course, is the Legislative Liaison.

What we'd like to do is you have a booklet in front of you that is a briefing document. We are not going to read this to you. What we would share with you, though, is the information in it and we

will use it as a format by which we will share or go through the department today. So that what we're going to do, frankly, is I am going to talk a little bit, Larry Reed is going to, in fact, join in on subject issues, and frankly, Larry and I will just trade off in terms of going over the material. We will go briefly over an historical review of the department, give some basic data in terms of how we're structured, our personnel complement, how many inmates, maybe some population trends, without trying to in fact overwhelm you with detail, just pull out the highlights in terms of those activities.

We want to share with you today a little bit about where we are on the capacity expansion. The capacity expansion is a significant piece of business that the Department of Corrections is going through in terms of building cell space. We want to talk a little bit about what are the current challenges in terms of the most immediate future in terms of the Department of Corrections on a day-to-day basis. We want to talk a little bit about legislative initiatives, some of which you are already familiar with, and I am not going to belabor that at this point.

I would like to share with you a little bit about what we're doing in terms of significant

policy initiatives, and that's basically changes in terms of how we're going about business in the Department of Corrections. And then, of course, simply open it up for discussion on your part in terms of your agenda, and it really ought to be to a great extent, I would hope, this morning your agenda that would drive, as I see it, the learning process in terms of what the department's up to.

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In terms of an historical overview, in terms of the department, the Department of Corrections was elevated to a cabinet level agency, I'm sure most of you are aware, by Executive Order in 1984. Prior to that it was a Bureau of Corrections. Between 1953, I believe, and 1984, it was a Bureau of Corrections at one time under the Attorney General, and another portion of the time under the General Counsel. Before 1953, the Bureau of Corrections really was comprised of seven institutions that were under the Department of Public Welfare. They were really overseen by boards of trustees for each of the institutions. And that's, basically in terms of a structure in State government, that's, as I understand it, the history of Corrections.

Over the years we've, in terms of the institutions that have been part of the Department of Corrections, certainly one of the most important

institutions in early years was the Eastern State Penitentiary in Philadelphia. In fact, that's significant because it is regarded as the first true penitentiary in the United States, and in fact there is discussion today about making that an historical site in terms of retaining it for perpetuity. penitentiary was closed. We have gone from the days of 1953 with 7 institutions to today with 16 institutions, the 16th institution being Cambridge Springs, the one we are opening, which is a woman's facility. We have 15 community corrections centers that are spread all over the Commonwealth that provide the critical and important transition process for inmates as they go from a prison environment in terms of total confinement to the community. We've gone, as you all know, from approximately 8,000 inmates in the '80's to over 22,600 today, crammed into facilities with a design capacity at least as of today of 14,300. So we, in fact, have some major initiatives ahead of us.

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As an introduction to the department, a good place may be to start with the organizational structure. Now, my perspective on organizational structures are they should, in fact, facilitate the mission of the organization. They should, in fact, facilitate getting the job done. They should also

influence, in a positive way, the lines of communication and certainly the lines of authority and responsibility. What you have here, of course, is the Department of Corrections operates with myself as the Secretary.

I have various staff functions that report directly to me, and that would include certainly a lot, if you were to look at this in terms of an external-internal orientation, in terms of who reports to the Secretary and who reports to the Executive Deputy, there's an external-internal orientation, basically. I have a lot of the staff roles that have to do with the external environment, have a lot of the people who report in terms of planning, in terms of activities of the future. I have certainly the administration in terms of the budget and planning and data processing report directly to me, and also personnel in terms of human resources.

There were -- there was a conscious decision on my part when I reorganized the department shortly after I got here to look at some critical functions that needed to be addressed and to pull those in to me at least temporarily. Some of those, for example, are in the Bureau of Operations, which show as a unit that reports to me. That would include -- the

bureau would have oversight responsibility for all the capital construction activities. So as you can see, that's a major activity and a concern to the department in terms of that process. It would, frankly, also have responsibility for the basic security oversight of the institutions, including emergency response preparedness. Those were pulled into that bureau reporting to me so that we could focus on those as critical activities and move forward.

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Larry Reed is the Executive Deputy Commissioner. As you can see, he basically has the day-to-day operational responsibilities in terms of overseeing the institutions which are divided into an east and west region, which are headed up by a Deputy Commissioner, overseeing the Community Corrections Bureau, which encompasses the 15 community corrections centers, overseeing the Bureau of Correctional Industries, which is a work, as you know, activity that takes place in basically all of our institutions, as well as the Bureau of Inmate Services. Those are. in fact, direct service areas - classification, there is a staff oversight in terms of medical services, food services, mental health, inmate activities, programs, education - those staff roles in terms of the direct inmate programs are located there.

1 We feel that the most significant issue 2 regarding this organizational structure is it frankly signals a difference in how we do business. 3 Prior to the time that I came to Pennsylvania, the 5 organizational structure was that you had a Commissioner and two Deputy Commissioners. One was a 6 Deputy Commissioner of Operations, and the other one 7 was a Deputy Commissioner of Programs. Those -- that 8 represented the traditional dichotomy within prison 9 10 systems between security and treatment. We're going to 11 talk a little bit later about one of our internal 12 policy initiatives is changing the structure of the 13 institutions to unit management. This is a forerunner 14 of this. We no longer have the distinction between 15 custody and security and treatment at the headquarters level. You have Larry Reed is the Executive Deputy 16 17 Commissioner and two Regional Commissioners who are 18 responsible for total programming, so that you don't 19 get the bifurcation in a dichotomy in terms of a 20 structure between treatment and security.

At this point, I'd like to ask Larry to share a little bit about the personnel complement and some other information that's provided in your booklets regarding the population and population trends.

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MR. REED: Okay, Commissioner, thank you

very much.

You can follow along too, if you will, on I believe it's page 8 of the handbook, and basically you will see the same things that you will see up there on the screen. On the lefthand side column you will basically find all the SCI's known facilities. It comes to a total of 16 in all. The top area you will find that we have -- there are eight categories, I believe, of job classifications. We have a total staff complement of 7,104 - 5,200 of which are the CO's. I'm not going to add a lot to that. If there's anybody that wants to find out anything more about it, I'd be happy to, just state so.

REPRESENTATIVE HAGARTY: Well, I guess I have a question on the staff complements.

What are the -- as we're hearing about the cutback in staff now as a result of the Governor's budget directives--

MR. REED: I knew you'd ask that.

REPRESENTATIVE HAGARTY: Maybe you're planning to get to that, or do you want to share that with us? One of my, I guess, concerns or one of the things I thought we ought to learn more about is that when we were visiting Camp Hill, I guess one of the concerns that was expressed was the cutback in terms of

the teachers and programs, and so I wondered where we were in terms of staff cuts?

COMMISSIONER LEHMAN: Representative, in terms of the Department of Corrections' complement, the department furloughed or did away with 23 positions.

The 23 comprised a majority of all but 4 management positions.

REPRESENTATIVE HAGARTY: Out of 7,000 you're saying in the whole system--

COMMISSIONER LEHMAN: Only 23.

REPRESENTATIVE HAGARTY: You only

furloughed 23?

importantly, the only positions that were furloughed from the institutions in the fields, where really that's where the business goes on, were in the smaller institutions. The Security Lieutenant position was abolished, and we felt we could do that, frankly, because we had a Security Captain. We had just created a specialized position full-time at lieutenant level to deal with emergency preparedness. Those are the only positions that were taken from the field.

REPRESENTATIVE HAGARTY: What did I hear then, and I don't know whether it was in the nature of a rumor or a remark, about education positions being

furloughed?

COMMISSIONER LEHMAN: Oh, I'm saying in terms of our complement.

REPRESENTATIVE HAGARTY: Oh, okay.

Education provides educational services to the Department of Corrections, and there in fact were a number of teachers that were furloughed. And the impact of that, frankly, I think was a reduced enrollment availability that impacted about 600 inmates. We are working with the Department of Education in fact to do the best that we can to increase the programming particularly after the first of the year, but in fact a number of teachers were furloughed from the Department of Education's complement.

particularly concerned about that in light of our efforts to pass, as part of the parole bill, an earned time package, because I think this committee or most of us have always felt that there should be an earned component to good time, and therefore it's particularly important that programs exist that inmates can participate in. I think the programming was already, at least it was my impression, was short, availability

was short as a result of the overcrowding, so I wondered, could you comment on what you think the impact is of this teacher cutback in the prisons?

commissioner Lehman: I think basically what we ought -- maybe what we ought to do, we do have some information on inmate programming that might shed some light on that, so if you could hold that.

REPRESENTATIVE HAGARTY: Okay. Thank you. Sure.

MR. REED: Would you turn to page 28, please? You can follow along there, too.

I guess I'd like to start by saying that 20 years ago we had 8,000 inmates in the system, and we were talking then about what we were going to do with so many inmates. Little did we know that that number was going to almost triple. As you can see in the bottom column there, the total is we have -- of 14,344 cells, now, those are single cells, we have a current population of 22,600-plus. We predict that by 1994, even projections show that by 1994 we will probably be close to 30,000 inmates. I believe it's 29,900. You will probably be hearing about the new cells that are going to be coming on line between now and 1994, and you will also be hearing that they will add up to about 10,000 cells. In the meantime, between now and 1994,

we will be adding about 10,000 inmates.

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The population trends, we don't have a handout for that, but I wanted to give you an idea of we picked out seven issues that we would like to speak about to you, or tell you about anyway. That there has been an increase in the number of inmates that go out on the street and violate. That has gone from 20 percent in 1980 to 31 percent in 1989. More than half of the inmates in our system come from two counties -Allegheny County and Philadelphia County - and they account for approximately 38 percent. Since 1980, the female population has grown at a rate of 247 percent, where the male population has grown at a rate of 168 percent. Also since 1980, the number of the elderly inmates, and I hope I don't hurt anybody's feelings, that's age 50 and older, went up by 142 percent. Since 1980, the average length of time spent has grown from 26.8 months to 65.8 months. So they are spending a lot longer time in our prisons. Since 1980, the number of people that are serving time for drug busts has actually tripled from 202 to 610. The population between 1980 and 1989 has grown by 149 percent.

Those are some of the population trends that we are currently having to deal with now.

The next one I'd like to talk about is

the inmate programs. The chart suggests that we do have a number of programs available in every institution. In fact, we have approximately 300 programs available in the institutions. There seems to be something in excess of 17,000 inmates now involved, which on the surface does not seem too bad, but I think what you have to look at is the fact that there are many of the same inmates involved in many of the same programs. The other aspect of it is that you're talking about a relatively small amount of time that the inmate spends in these programs, you know, on a weekly basis. It might be one or two hours. So that we do have a very, very serious problem of a lot of inmates that don't have a lot of things to do in prisons.

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Basically, that's it. Thank you.

COMMISSIONER LEHMAN: In your overview on page 9 we talk a little bit about the Department of Corrections' budget. I think it's fair to say that the costs of Corrections has increased significantly over the past several years and will, in the future, continue to increase. The department's proposed General Fund budget for the '91-'92 fiscal year is \$455 million - \$451 million of which would come from State revenues; \$3.7 million would come from Federal

revenues; and \$700,000 from other sources.

The proposed budget represents an 8.9-percent increase from the current level of spending if you include the supplemental budget that is being considered by the General Assembly of \$44 million. So it's 8.9 over the existing budget with the supplemental.

The proposed budget would provide approximately \$318.9 million to fund a complement during '91-'92 of over 7,588 employees. The personnel costs within Corrections represents really 70 percent of the budget within a prison system. The remaining \$136.5 million would be used for operating expenses such as food, clothing, medical care, utilities, equipment, maintenance, and all other services.

The increased funding in terms of the difference between the current level budget, the supplemental, and the budget requests will allow the department to open the institution at Cambridge Springs in Crawford County, it's the women's prison that is being opened. It will allow us to expand our community corrections beds by 50. It will allow us to in fact increase our total complement of halfway back beds for parole violators to 250. It will add 42 positions that will in fact allow us to hopefully impact and reduce

the costs of overtime associated with shift coverage in the institutions.

That, basically, is a very brief thumbnail sketch of the budget, but I'm sure most of you are already familiar with it because it has been discussed in the Appropriations Committee.

The next portion that we'd like to talk about is really a major activity within the department, and that is capacity expansion, and we've divided that into a couple of things, one of course the major issue of capacity expansion within the Department of Corrections in terms of the Commonwealth activity, and then another issue of capacity expansion at the county level which we'll address which has to do with the \$200 million in the referendum for building county prisons.

The summary sheet that is up on the screen now is on page 15, and it's important to know that this reflects the most ambitious prison construction program in the history of the Commonwealth. It represents a significant commitment that the General Assembly, the administration, and frankly the taxpayers are going to be making over the future years in terms of dealing with needed capacity. We're going to be adding, as that summary sheet shows, by year a total of 10,275. Now, that includes some

expansion in 1990 which has already occurred.

provided in the pages before the summary, which is pages 11 through 14, it gives you a year-by-year breakout of the capacity expansion initiatives by month and it shows that against the -- ultimately, if you look at, for example, page 14, as Larry alluded to before, in December of 1994 when we will have completed all of our authorized construction activities, we will have 24,126 cells, that will be our capacity, and we will have a population of 29,948. The projection in '95 is that we will have 31,570 inmates. So this gives you a very detailed explanation of a very busy schedule that we have within the Department of Corrections in terms of capacity expansion.

The program has a number of components in terms of it. It has construction of six new 1,000-cell institutions - one maximum security and five medium security; three of which are lease-purchase facilities and two of which are straight lease projects with the counties, and one is a Public Works project.

In terms of where we are right now in terms of our straight lease process, all of you are undoubtedly aware that we have a short list. There were originally 13 proposals. We have a short list of

four counties that we are entering into a process of negotiation. Out of that process of negotiation, on May 2nd we would intend to award a contract for two 1,000-cell facilities. The county entity, at that point, in terms of the contract, would have 600 days to complete the construction of that facility and provide it for occupancy to the Department of Corrections.

In terms of the lease-purchase process, the lease-purchase solicitation for proposal will be out on the streets on April 17th. We will go through a bidding process, the developers who are interested in that particular process will submit their bids. We would hope to, in fact, be in the business of constructing those facilities in August of this year. They would be trailing the straight lease in terms of coming on line. They are anticipated as coming on line about August of 1993.

We are also in a design phase of designing the Chester facility, which is a facility that is going to have a special purpose, and that's going to be a combination of substance abuse and some work with mentally ill offenders, and that will be in the city of Chester in Delaware County, and we're in the process of designing that at this point.

In addition to the major new institutions

we're constructing, we're expanding -- we're building cell blocks at three other institutions that will expand our capacity. Those are at SCI Retreat, Rockview, and Smithfield. The first two, one cellblock each, and Smithfield has two cell blocks that are going to come on line.

We're also adding an additional 1,780 cells through modular units that are going to be constructed, and some of which are in the process of construction in eight institutions. The modular units range from a Security Level 5, max custody, to a Security Level 2, minimum custody, so it's a combination of different kinds of housing.

At this point, what I'd like to do is maybe describe to you a little bit about the prototypical prison design capacity and what's going on in that.

In terms of Act 71, the department was, in fact, provided \$12 million to, in fact, design a prototypical institution, a prototypical what was referred to as a maximum custody and a prototypical medium custody. The notion here is that we would, in fact, enter into a contract with an architect and engineering firm as well as a construction management firm to design a facility that we would own in

perpetuity. The Commonwealth would own the design and it would be, in essence, a design that in future years you would not have to go out and hire an architect to do. It would be owned by the Commonwealth. And we could use it and replicate it in terms of process. In fact, we are doing that, both in a real sense and certainly in the lease-purchase process as well as the straight lease.

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To give you an idea of the importance, we have gone through a process of developing a system of policies for what prisons are going to look like in the future, and those policies are expressed in the definition of security level. And security level is used to describe the physical features of a facility, of the prison, which are intended to prohibit, impede, control inmate movement or to enhance the availability of staff to observe and manage inmates. The security level designation as a policy is applied separately to the perimeter, to the zone, and to each housing unit. That enables us to then take the custody level of an inmate, and the custody level is a term we use to describe the amount of supervision an individual or group of inmates requires. The custody level is an expression of the management risk that the inmate represents while housed within a DOC facility. It is

based on inmate behavior, performance, it's performance based, and to the extent possible it is objectively derived. In fact, we are going through a process we'll describe briefly later of revising our custody level classification system to develop a behavior-driven objective system.

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Once you have a custody level system and you have a prison system that is designed in terms of security levels, then the intent, of course, is to take and match the custody level of the inmate with the security level of the prison or the housing unit within If you look at that concept, what we have in a prison. terms of a prototypical design in a Level 3 facility is different zoned areas of the institution are designed to contain, house, and program different levels of inmates. So here is the, in fact, prototypical design of what we call the Level 3 medium custody facility. It is, in fact, comprised of different zones, and if you look at here, zoning means that we have a capacity to separate and prohibit the interaction of different inmate populations or to impede their movement or to control that process of interaction.

Here you have a Level 5 housing unit.

That Level 5 in terms of security level is Restricted

Housing Unit. It's maximum custody. Those are inmates

who are there for disciplinary custody purposes or are there for administrative custody purposes because they have been adjudged to be a threat to the ongoing orderly operation of the institution and security of the institution. That is a self-contained unit. Programming is decentralized. All programming is brought to that unit, all activities occur on that unit.

If you look at the rest of the zones, they are all decentralized programming. They are general population housing. This is a Level 3 housing unit, these are Level 3 housing units, medium custody. They are inmates who are programming, they have a greater degree of freedom in terms of movement within the institution to centralized programming.

However, we are designing it so that we have a capacity, if needed, to zone even those two areas that we can program this side of the population differently from this side of the population if we need to. So we've created a zone. This actual program building on this design is being moved here because that will then provide a vision barrier in terms of the two zones. So that's one of the modifications being made.

The gray building here is the Program

Services. That will be the chapel, education, vocation, arts and crafts, and gymnasium. That's for inmate programs, and they will be accessed from either side.

You have a main building with support services which will range from visitation, medical, custody, administration, kitchen, dining, commissary, laundry, maintenance, and correctional industries.

That's all in this building here.

This building out here is outside, what we call outside administration. That's where the superintendent and business office and records would be. It's outside the secure perimeter. You basically have your warehouse, outside warehouse, and facility maintenance on the outside.

The additional level housing in this area is a different zone. That's what we call a Level 2. That's minimum custody. Those inmates are separate from these other zones because they will have responsibility for doing outside work. They will be the inmates who are, in terms of custody level, will be minimum custody, and they will in fact go to work on the outside of the perimeter of the institution.

Basically, that is the Level 3.

The Level 5 facility, while Scott is

bringing that over here, if you look at the numbers here on the Level 3, you can look at the zones that I talked about, and that is in your booklet, of course. It talks about Level 5, Level 3, and the Level 2, and it gives you the cell count. It also gives you a non-rated cell space. In other words, we account for all rooms or cells within the prototypical design. disciplinary custody, 48 cells of your L-5 are regarded as temporary use. That says Ben engages in a misconduct, we lock Ben in the inside jail and he goes before a hearing, he's found guilty of the misconduct, and he's given 10, 15 days in jail, the inside jail, which is actually your Level 5. That's counted non-rated because Ben's going to come back to, as the inmates would say, his house in the general population So that's not counted as part of your rated area. capacity. Likewise, medical and mental health are not counted.

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In your Level 5 you have, once again, you have three different zones, but the zones are different from here. And the Level 5 is in the Act 71, you as the General Assembly said that we will construct a maximum security facility in Greene County. This is, in fact, site specific. That's why you can see the thing. This is the Greene County site. This is the

max prototypical. It is zoned in terms of an L-5 zone here, much larger, as you can see. This is going to be the special management unit for the Department of Corrections system. It's going to be, if you are an inmate who in fact has demonstrated through behavior an ongoing threat to the orderly operation of the institution or the security of an institution, that you've been in disciplinary custody in a different institution, you've actually been in administrative custody but you are not manageable, you will come to this housing unit in Greene County.

All programming is decentralized. In other words, all programming will occur in this unit. The inmate will not leave that unit except under emergency conditions. The inmate, in fact, will go through a phase program and have to earn their way out of that special management unit. And there will be incentives to do that in terms of that unit, but it will be a special management unit. That is the L-5 zone. It's 384 cells.

The L-4 zone is these close custody.

Close custody is a new concept in Pennsylvania. Close custody, in terms of the custody level of the inmate in terms of defined level of supervision, means that these inmates are a general population, but either because of

behavioral issues or policy issues require a higher level of actual staff supervision while they are in here. So this group of people here can go to programming, but program supervision in the education building, in the shops, wherever they are, the vocational shops, will be direct custodial supervision. There will always be a custody officer with direct line sight of supervision on a close custody inmate.

Inmates moving from in close custody from housing to program will be escorted. It will not be a pass movement, so this is a higher level of staff supervision on that custody level of inmate.

You have a Level 3 zone, it's actually a zone. That is your general population, what you would equate to medium custody. They will, in fact, provide the basic work crews in areas that you would not want close custody inmates doing. They may have access to tools that are potential weapons that close custody inmates would not have access to. This would be the Level 5 facilities for the department.

The outside crew for the Level 5 is actually going to be provided, at this point in terms of our planning, by minimum custody inmates who will be housed in a regional jail in Greene County, which at this point Greene County is planning on co-locating

next to the maximum security facility.

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Very briefly, just to show you a different effort, Chester, 650, Chester City, Delaware County design, it's a highrise. Highrises are constructed because you have insufficient land space to do otherwise, because highrise actually is more This is the design of the Chester facility, which will be at least 650 cells. It will provide unique services, intense services to substance abuse and a combination of mentally ill, and the combination of mentally ill is because you have, in fact, dual diagnosis. You have a great deal of mentally ill today offender population who are diagnosed as not only substance abuse but mentally ill. In many of them mental illness is an issue of organic dysfunctioning as a result of the drug abuse. This will be a specialized facility intended to deal with that unique population.

One additional point of capacity expansion we thought you might be wanted to be updated and interested in is the motivational boot camps, so I'd ask Larry to just briefly describe what's happening with that.

MR. REED: You have, I'm sure, probably heard over and over again, ad nauseum actually, that you can't build your way out, okay, of the problem, and

that's true, you can't. And that you've got to find other ways of dealing with people who have done crimes. And I think that what we're talking about now is a major first step. The boot camp concept actually is about 10 years old, and we've had the opportunity in the past 10 months or so to look at, to research a lot of the boot camps in a lot of other States, and basically we think we have probably put together one of the best.

The boot camp concept here is going to consist primarily of three components. One of them, you know, is the drills, the usual drills, you know, and the physical work and the exercise. The other is a very strong drug and alcohol piece. And thirdly, we're putting in a forestry piece in there where they will actually be working on bridges and roads and paths and doing a lot of other work, you know, in the forests.

We hope to have this on line in Quehanna by July of this year, and we're going to start primarily with I believe it's 100 inmates, with the idea of going up to 200 inmates. The program itself is to last for six months. Now, at the end of that time, the inmates are supposed to be released, you know, on parole regardless of the sentence.

I guess that's about all I am going to

say on that at this point, unless somebody wants to ask us some questions on that.

REPRESENTATIVE HAGARTY: I have a couple questions on that.

Are you in the process now of choosing the inmates for that?

MR. REED: No, we're not. Not yet.

REPRESENTATIVE HAGARTY: And I'm wondering when that will begin and how -- I guess I forget now entirely the implementing legislation, but obviously the judges have a role in this. He's laughing. How will the judges be notified to start to begin identifying potential candidates for the further cut for boot camp?

COMMISSIONER LEHMAN: Representative
Hagarty, I find it impossible to believe that you can't remember those issues.

REPRESENTATIVE HAGARTY: You'd be surprised. I'm getting older.

commissioner Lehman: At this point in time, the Sentencing Guidelines Commission is in fact going through a process per the legislation to identify within the sentencing guidelines which offenders would be eligible for the boot camp. Once they go through that process, and in fact there's a hearing tomorrow in

Philadelphia at the Sentencing Commission, and they will be dealing with recommendations for that. Once that is done and the decision is made relative to the act requirements of the Sentencing Commission, then there will be a training program to all the judges in terms of not only this piece in terms of the motivational boot camp, but intermediate punishments, and that training process will go throughout the Commonwealth.

The selection criteria, as you know, is tiered. The statute says 18 to 35 non-violent drug abusers. It says the Sentencing Commission shall, within those parameters, define within the guidelines eligible offenders. The judge will, in fact, make a decision at the time of sentencing whether or not the offender ought to or not ought to go to the boot camp.

COMMISSIONER LEHMAN: You remember that one, don't you?

REPRESENTATIVE HAGARTY: That issue I remember.

COMMISSIONER LEHMAN: Yes. Right.

REPRESENTATIVE HAGARTY: That I remember.

And then ultimately the decision in terms of who actually goes is the Department of Corrections'. We're in the process in terms of program development of

defining some, in fact, additional screening criteria.

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REPRESENTATIVE HAGARTY: Okay. Are you also in the process of looking at which staff, and is it going to be current staff that's transferred there? I wonder where you are on that and what those criteria are going to be?

COMMISSIONER LEHMAN: We have a combination. We have designed a unique training program for boot camp staff. We are operating on the principle that the motivational boot camp is just not another prison, it's a unique program. So we have developed a training program for staff who will be working at the boot camp. We have, in fact, established or are in the process of establishing the positions in recruiting. That recruitment will provide some unique kinds of activities both with respect to the physical capacity of staff, because it's a little different environment when you are operating inmates in drills and formations and exercises. It will also provide some critical concerns about what we have in terms of when you exercise that authority over an inmate, that authority is exercised in the sense of a drill and regimentation in a disciplinary process in a professional manner so that we'll be doing some unique training. We will, in fact, be screening staff in

terms of their ability to provide that unique role.

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We feel that we need, in certain classifications, people who are experienced in dealing with inmate populations, so a majority of the positions we will in fact look to people who have some If you look at, and particularly that experience. would be Correctional Officer and Uniform Officer staff, and the reason being is we have a training requirement in the Commonwealth where if an individual's hired as a Correctional Officer, they go through an academy and a year's training program. we have to look at experienced staff if we're going to get this operational and up on line. So we'll be looking at a combination of experienced staff. We will be looking at non, you know, custodial positions in terms of the local community. We will be advertising per the rules of the Civil Service and otherwise.

REPRESENTATIVE HAGARTY: One other question, and this is further down the road, but my recollection is that we called for intensive parole when the inmate is released from boot camp, and I wonder if you have initiated any discussions yet with the Parole Department as to how that's going to occur so we're assured that intensive parole will, in fact, occur?

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COMMISSIONER LEHMAN: We, in fact, when we formed our transition committee to deal with motivation had invited the Board of Probation and Parole to participate, and they are participating.

REPRESENTATIVE HAGARTY: Okay, thank you.

CHAIRMAN CALTAGIRONE: Chris.

REPRESENTATIVE McNALLY: Yes.

policy is that programs have virtually no predictive value in determining recidivism, and given the fact that one of the key witnesses who testified in support of the motivational boot camp legislation here before this committee said that the boot camps would not have — that there was no evidence that boot camps would have any effect on recidivism and that the only effect on prison population would be that boot camps provide shorter sentences, how would you say that the motivational boot camp is something other than building our way out of the prison overpopulation problem?

COMMISSIONER LEHMAN: If you look at least, Representative, at the data that we have on a national level in terms of the experience of boot camps, at this point in time in terms of the studies that I've seen, in terms of the National Institute of Justice work in the area or National Institution of

Corrections work in evaluating, there is not any indication that the recidivism rate of those who go through the boot camp is much different from people who experience other forms of incarceration. I wish that were different, but that's at least what we know now.

The benefit from the boot camp, and I think it's inherent in the legislation, at least as I read the legislation is, is it in fact a benefit because it will reduce to the Commonwealth the costs of incarceration? And that assumption comes from if these inmates are inmates who are low-risk inmates, you are in fact opting for a combination of intense punishment and treatment in lieu of a longer sentence. I mean, you logically look at the legislation that you passed. What you've said is we'll take inmates for six months and if they go through this intense process of discipline, regimentation, and treatment and succeed, we're going to let you out early. That's what your legislation said.

The benefit of that is that if those are people who are in fact low-risk, and if those are people who would otherwise, under the sentencing system of the Commonwealth, spend longer periods of time incarcerated, then the benefit is it will be cost-effective. To say that it's a panacea or that it

will somehow guarantee that people who go through boot camp are going to be changed and no longer criminals is just not realistic.

REPRESENTATIVE McNALLY: It sounds, though, that you're essentially agreeing that what a motivational boot camp really does is just give people shorter sentences, that it's not -- you know, I think that this program has been sold not as a shorter sentence program, it's been sold as a way to rehabilitate, you know, it's a more effective means of rehabilitating people who have been convicted of crimes, and that doesn't seem to be what you're saying right now.

COMMISSIONER LEHMAN: That may, in fact, have been some discussion early on. All I can tell you, Representative, is what I'm aware of in terms of the studies that have been done and the experience in the rest of the country. And that's all I can say. I mean, I think it would be wrong for me to say that somehow this is a panacea and that somehow it was going to solve our problems. I wish it would, but it's not going to.

REPRESENTATIVE McNALLY: Well, I understand you were not here when we enacted this legislation, at least I don't think so, and, you know,

at the time the proponents, as I say, of the legislation were billing it as, you know, as a way to rehabilitate prisoners, and you know, as I said, to repeat, I think what you're telling us today is that it's not more effective in rehabilitating prisoners, that it simply is a more intense punishment with shorter sentences and thus more cost-effective and it has the effect of reducing the prison populations by virtue of shorter periods of incarceration?

COMMISSIONER LEHMAN: I would hope that we would be able to come back to the General Assembly in future time and evaluate the program. And I hope part of that evaluation would lead to some notion of maybe how the motivational boot camp works on different offenders.

The problem we have really is that, very honestly, in terms of this notion of rehabilitation or treatment, is that it's not that we don't, that treatment doesn't work. The problem is we have to become much more sophisticated in two areas: One, defining what treatment works best with what offender group and at what point in their career. And that's a very complex issue. It's a very difficult issue. It's not that anybody in Corrections is going to say that treatment doesn't work.

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The second problem with the treatment model is that we can't, unfortunately, and particularly in the institutional environment, based on treatment, define what effect that's going to have in the future on an individual case basis. That's not to demean or to devalue the treatment activity itself, it's just to say, I'm sorry, we can't predict based on that. Hopefully it does have, but within that group of 10 people that statistically says that 5 will fail and 5 will succeed, we can't tell within that group of 10 which will or which won't. That doesn't devalue the purpose of the treatment. It just says that we need to be realistic about what we can learn from that, you know.

It just means that I can't say that John Doe, as an offender, based on going through this treatment in an institution is not going to re-offend. I happen to think you can make those predictions much better in treatment that occurs in a community, because that treatment is occurring in the environment in terms of where you're trying to deal with the behavior, and there's measures in terms of how that environment interacts with the offender so that you can probably make, not with any kind of certainty or absolute certainty, you can make better judgments at least in

terms of the efficacy of treatment.

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The last area in terms of capacity expansion, very briefly, I want to share with you is the county initiative. We, in fact, at the Department of Corrections have the responsibility for administering a grant program of over \$200 million to counties who, in fact, are building or planning to build or have built prisons. We have had a committee that has been working for several weeks on draft regulations for the administration of that grant That committee comprised staff representation program. from the House Judiciary Committee, representation from Senate Judiciary, it included representation from the Association of County Commissioners, it included a whole bunch of people that just wanted to be involved, and in fact has resulted in some drafting regulations that we hope that we will be able to give to the legislature and to the appropriate committees very shortly.

Our desire, and we've been trying to fast track this, our desire would be to have that regulation process completed by the General Assembly by June of this year, and that's fairly ambitious. I mean, I've learned in the Commonwealth that doing regulations in the Commonwealth is tantamount to doing legislation.

So that's fairly ambitious, but that, in fact, is our goal. We would hope to go through an application process with the counties considering those counties in a tiered process, those counties who have already constructed jails would be the first applicants, and we would hopefully be in a process of awarding some grants by January of next year. So we've got a fairly ambitious program to administer those funds.

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The next section in your booklet begins on page 20. It's a very brief section, but the way we try to divide this and how conceptually was maybe touch base on what we thought were the day-to-day most pressing challenges, significant challenges that face the department, and very briefly, I'd like Larry to share that with you.

MR. REED: Okay. We kind of, at least for now we have listed three challenges, really. We have many, many more than that, actually. But one of the challenges that we currently face, and I think I probably need to say that we are looking to get 10,000 cells on line by 1994. The problem is that what do we do with the inmates that are continually coming into the door now? And we have had to develop, create, make bed space. One of the ways we have had to do that is we have had to go into space that has been used

primarily for program space for CI Industries, for counseling, and we've had to develop approximately 900 beds. We've had to take gym space, which at this point raises the level of inmate idleness because we have more inmates that do less things. We have less jobs available for them.

The other issue, of course, is the recent lawsuit, you know, the ACLU lawsuit, which is going to impact on every area of every jail, you know, in the Commonwealth. And basically, it's going to require tons of interrogatories. Already we have gotten two truckloads of interrogatories. It is going to require an inordinate amount of staff time, and we have had to hire people that are going to work exclusively with that.

So that they are basically three of the many, many challenges, you know, we have right now.

COMMISSIONER LEHMAN: Thank you, Larry. The next section of your--

REPRESENTATIVE McNALLY: If I may, I'd like to--

CHAIRMAN CALTAGIRONE: Sure.

REPRESENTATIVE McNaLLY: In terms of the area of the issue of overcrowding, what criteria is used to determine the amount of space that is required

for a single inmate? I mean, is there, you know, some guideline, is there a standard that's been promulgated by a national group that says you need X number of square feet?

COMMISSIONER LEHMAN: Right. There is.

The American Correctional Association does, in fact,
have some standards for defining capacity. Generally,
what that requires is it's a single cell principle for
inmates primarily who are either medium custody or
above. It does allow for some dormitory space in terms
of minimum custody, which of course we operate.

standard, it basically says that if you're going to have an inmate who is in a segregated status, that is who in our nomenclature would be in restricted housing in either administrative custody or disciplinary custody, the space standard is 80 square feet per cell. That would, according to ACA, mean anybody who is in not out of their cell — or excuse me, the other way. Anybody who is in their cell more than 10 hours a day would require that. If you look at inmates who are — have access to programming, in other words they are not locked down for longer periods of time, they are not in their cell more than 10 hours a day, then the square footage standard is, I believe, 60 square feet per

| cell.

If you look at a dormitory situation, they say that every inmate ought to have 50 square feet per inmate within that dormitory space. So there are standards.

REPRESENTATIVE McNALLY: And in terms of, for example, I visited Frackville with the committee several weeks ago. Could you give me an idea of the dimensions of the typical cell in Frackville?

commissioner Lehman: I don't know if I can just remember that off the top of my head, but I believe Frackville, if I'm not mistaken, Frackville, of course, would be in violation of the standards to the extent they were double celling.

REPRESENTATIVE McNALLY: I see.

COMMISSIONER LEHMAN: They would be automatically in violation.

REPRESENTATIVE McNALLY: So you're saying that double celling is, per se, a violation of the standard?

COMMISSIONER LEHMAN: That's correct.

REPRESENTATIVE McNALLY: Okay.

COMMISSIONER LEHMAN: Except for minimum custody, and Frackville is not in that situation, except for minimum custody it would be allowed within

dormitory space.

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REPRESENTATIVE MCNALLY: I see.

COMMISSIONER LEHMAN: Okay.

REPRESENTATIVE McNALLY: So that even if, say, we had a cell that was 12 feet by 10 feet and therefore was 120 square feet and you had two people in that cell, that's a violation and that would fail to meet the correctional standards you're talking about?

meet the correctional standards of ACA, although I've got to admit that is a hotly debated issue within the Director's Association. There's a lot of disagreement with that standard because as you know and everybody knows, I can't point to a system in the country that can comply with that standard. I can't point to anywhere in the country that isn't so crowded that they aren't, in fact, having to double-cell at some level or not. Now, we are significantly over that. We in fact, the normal crowding, overrated capacity in the country ranges around 109 percent to at the most around 115 percent. We are 157 percent. So Pennsylvania and the Commonwealth is one of the most crowded systems in the country.

If you look at Frackville, Frackville has, in terms of security level designation, is

Security Level 3. It has its cells that are square foot capacity, square footage is 67 feet per cell. It has restricted housing unit space that would comply with, in fact, ACA standards because it is single celled and each cell has 108. Now, people on restricted housing are generally spending 22 to 23 hours a day in that cell.

The next section in terms of your briefing document is legislative initiatives, and I think when I came in the hearing room this morning one of the Representatives this morning said, oh, not you again. So most of the issues in fact you are very familiar with, but I would just like to touch briefly on it.

Legislative initiatives are important, as I've said repeatedly, because you can't simply build your way out of the problem. Larry said that, everybody, I think, in this room recognizes that you can't. Mortar and bricks are simply not going to solve the problem. It's not, by the way, going to solve the problem from a crime control perspective, it's not going to solve the problem from certainly a governmental, management, or fiscal perspective.

If you look at the overhead, that gives you a sense of where we are in terms of capacity

expansion. And it goes out to 1995. The straight line without anything on it, of course, represents the building initiatives that we've been talking about this morning. If you get out to 1995, the gray area in terms of that chart would indicate the gap between capacity and population. So, I mean, it's a never-ending process. For that reason I've indicated to this committee and anybody else that would listen to me that you've got to deal with the problem not only from a bricks and mortar but from a policy perspective. I think that this legislature, including the House Judiciary Committee here and its counterpart in the Senate Judiciary, took some significant steps in doing that in terms of the intermediate punishments, and I think that's a recognition that we need to not only build the prisons, insure that have sufficient capacity, but we have to think differently about what can we do in terms of controlling offender behavior consistent with public safety? In treating offenders we minimize the need to control that behavior, and where can we do that and do it in a more cost-effective way? Intermediate punishments, I think, was an extremely important first step.

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T think, of course, the other step that we need to take is a continuing discussion of

sentencing reform in terms of how it impacts the State system, and I know this committee has had two day-long hearings and hopefully is going to have a third. certainly are generating ample discussion of the issues, and I would encourage this committee to continue that process, because we need to think the policy perspectives in terms of are you, as a General Assembly, as literally the board of directors for the Department of Corrections, going to define how we allocate our resources? How our expensive resources in terms of prisons are going to be used? Who are they going to be used for? That needs to be policy that you deal with, and I think that the discussions that have been occurring in terms of sentencing reform and hopefully the continuing discussions will help us get through that process.

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There's a couple other legislative initiatives that I just want to briefly talk about, and they have not been -- there has not been a hearing on them. We are in the process of formulating them. One of them is, in fact, deals specifically with an operational issue within the Department of Corrections. We will be proposing legislation to, in fact, allow the department to do electronic monitoring of telephone calls by inmates. And that is, in fact, an activity

that occurs across the country, including the Federal In many States it's constitutional. Bureau. to build in guidelines to insure attorney-client privacy and you have a whole lot of things to do, but very honestly, as you probably are already aware, the telephone as an access to the community becomes a vehicle by which you can communicate plans for criminal activities or engage in criminal activities. Certainly we've had that unfortunate experience in terms of credit card scams that have occurred. Electronic monitoring is a capacity that will allow us to, in fact, control that interaction without stopping it, and I think that interaction to the outside world is important, so there's a double message there. Give me a tool to manage it, to protect the system in terms of any kind of criminal activity, but let's let the communication with the outside world continue because it needs to.

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Another piece of legislation that I understand that Chairman Caltagirone is going to be prime sponsor, and that is an effort that we've been working with the Association of County Commissioners, and that is the joint venture effort, and part of the problem with prisons I think you all recognize is that we create these control mechanisms that have 30-foot

walls and we put people in them to control them and we isolate them and we put them in this very artificial environment that frankly is not necessarily conducive to changing behavior. It's a very artificial environment.

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Joint ventures is an opportunity that has existed in 34 other jurisdictions very successfully in terms of a private sector/public sector partnership in terms of involving outside businesses and work opportunities. It is a model that says, let's replicate the real world of work, let's in fact require inmates to compete for those jobs as if they were on the street, let's hold them accountable as if they were on the street, let's give them some remuneration for their activities. Let's hold them accountable for board and room, victim compensation, family support, those kinds of activities. So it's an important piece that we're working jointly with the Association of County Commissioners on legislation, and the Chairman.

That, basically, is the big pieces.

There's a lot of little legislation that we have in terms of cleaning up some things, but those are the major initiatives in legislation.

The last major section of your book, and I applaud you for your patience, the last major piece

of your briefing document has to do with a number of internal policy initiatives, and we've developed that to just give you very briefly a sense of some of the major things we're doing to change how we do business in Corrections in the Commonwealth from an internal operating perspective, and once again, Larry and I are just going to trade off. We're going to cover emergency preparedness, our revised classification system, unit management, residential training facilities, and what we call our SCAN system. And after that we will shut up and then let you have your own agenda.

MR. REED: Okay. One of the major things that we learned from Camp Hill was that we had neither the capability nor the capacity to respond to a problem in our institutions. We thought we did, but we found out later that we, in fact, did not. So that one of the major goals that we got from Joe is that we've got to develop the capacity and the capability to respond and to solve the issues in the institutions. With that in mind, what we did was we received a grant from I believe it was NIC. We hired the services of a California firm called Letra. They came here and they helped us to develop a plan to respond to just about

any problem, you know, in the system. This plan is going to be uniform to all of the institutions.

With that, too, we are in the process of developing what is known as Correctional Emergency Response Teams. They are called CERT teams. These teams are going to be equipped with what they need to respond to a riotous problem. And we also have plans with the State Police, and we also have plans with PEMA. And I don't know whether you know it or not, but we've recently had a statewide drill in which the plan or parts of the plan were tested, and this involved a nuclear accident at Jimerick, I believe, and it went very well.

Thank you.

COMMISSIONER LEHMAN: I might add that the exercise that Larry talked about, we had to, as part of the simulated exercise, evacuate Graterford. So 4,100 inmates, and move them in a secure fashion to other parts of the Commonwealth and other facilities. That was a table top exercise though.

Another major initiative that we're dealing with is revising our classification system, and I alluded to that a little earlier. The classification system in terms of the inmates really is the central policy of how a correctional system defines its service

population in terms of inmates, how they respond to them and how they manage them. It really drives all of the operation of the prison system, it drives also, importantly, the allocation of resources to that system.

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What we have basically in the Commonwealth is a fairly sophisticated classification system that existed, but it was also a fairly complex one. What we have decided to do is to, and are actually in the middle of doing, is establishing a revised classification system that breaks out custody level designation from inmate programming classification. And the custody level classification system is being developed on some very simple criteria. One, it needs to be objectively driven. It needs to, in fact, be based on the risk assessment of inmates based on actual behavior demonstrated. It needs to be objective in a sense that you need be able to quantify that scaling system so that you can communicate to an inmate population that it is a fair, equitable system. It needs to, in fact, communicate a simple message of expectations both to inmates and to staff so that they have a clear understanding of what kinds of behaviors are prohibited and what the consequences to misconduct are.

So we are in the process and actually will have a custody level inmate classification system that will start being put in process by October. So we are well along the way of developing that classification system.

We are also simplifying -- we actually have a very sophisticated and I want to say very excellent program classification. That's where you assess the needs, you do the mental health screening, you do the educational screening, you do substance abuse screening, you do all that testing and classifications. We have a very sophisticated process here in the Commonwealth and one that the Commonwealth ought to be very proud of by comparison in terms of the rest of the country. We, in fact, are simplifying that a little bit and hopefully will make that, in terms of a process, more manageable and less complex than it is now, but we in fact are strengthening on an already very good system.

That, once again, will be done in this year, and hopefully we're looking at the system being fully implemented by January of '92. That, by the way, then matches the security level because the notion is you match your population with the security level designation in terms of your physical plant and you

also have a capacity to look at what staff resources you need to bring to bear on those different custody levels. So we're looking forward to that being implemented.

A third major initiative that is very significant is a movement towards unit management. And I alluded to, when I talked about the organizational structure of the department headquarters, to a dichotomy between treatment and custody. Basically, what that creates is really some disincentives in terms of organizational structure to providing services to inmate populations, to insuring adequate communication between all staff, and in fact creates more problems, in my belief, than we need. We are moving to a unit management system which will decentralize decisionmaking and decentralize responsibility within the institutions.

So let me, as an example, right now you have, if you went to Camp Hill, a Deputy of Operations, which is your security chief. All the custody staff report to that Deputy of Operations. You have a Deputy of Treatment, and you have, in fact, treatment staff reporting to the treatment people. Vertical — communication is essentially vertical up those two chains. Unit management will take and create within

those zones that I was showing you, in those housing units, a housing unit would have a unit manager, and that unit manager would, in fact, be responsible for supervising both custody staff and treatment staff, and in fact, those staff would be housed on the living unit. Treatment staff would be on the living unit and the custody staff would be on the unit. Custody and treatment staff would have dual responsibilities and they, in fact, would interact in the decisionmaking process in terms of unit teams. It is a decentralized. It will facilitate communication, it will increase the capacity to provide, I think, a greater degree of service delivery to the inmate population, and it will ensure a more consistent response to inmate issues, including the management of the inmate.

The next area in terms of internal initiative -- by the way, on unit management, let me go back. We've had a committee involved working on that and that committee has had representation from rank and file all the way up the organization. It's had representation from all the bargaining units that would have been involved in that issue, and it's had representation from the Office of Administration. That report, preliminary report, was in fact submitted to my office on March 31st, and so we are well on the way to,

in fact, completing that process.

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The next area has to do with our training initiative. Larry?

MR. REED: Thank you, Joe.

We have the responsibility, as you know, to train all people that work in prisons, whether they work in the State system or the county system. We also have the responsibility, or we had taken it on anyway, to provide lodging and room and board. What this has meant is that we have had to develop contracts with the local hotels, you know, in the area, which has cost us a lot of money. We have recently purchased, I believe it's called the Children's Hospital in Elizabethtown. This is going to give us the capability to house the cadets, the trainees, right there on-site, which we feel is going to save several hundred thousand dollars a year to the Commonwealth. Hopefully, we are going to be starting the transition there probably in July or August of this year.

commissioner Lehman: The last major initiative that I want to share with you today is a fairly complex issue but it has to do with what we refer to as SCAN. SCAN is an acronym that stands for the State Correctional Analysis Network. Basically, part of the problem of managing a correctional system

is how do you know what is happening within those prison environments? How do you know things are cooking up, heating up, that there are problems in terms of inmate dissension or staff problems? How do you manage that really centrally when you have such a complex system as the Commonwealth does?

Most human service agencies have difficulty dealing with management from that perspective because we're so focussed on the individual inmate or the individual client that everything is oriented around the case record. So one of the problems of the human service agencies is that you have a tendency to become focussed only on the individual, and you fail to learn how to measure what's happening in your agency from an aggregate, from a cumulative sense in terms of what the trends are.

Very frankly, the SCAN process was developed and has been recently put in place. It is a process where we can collect information from the institution in terms of the five major kinds of areas which would include institutional programs, treatment areas, it would include security areas and the operational areas, demographics, what's happening in terms of inmate grievances, what's happening in inmate correspondence, what issues are being raised, what's

changing. We very much right now are in the process of developing base line data because in order to measure change you have to establish a norm. So we are in the process of in fact establishing that.

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If you, just to give you a sense, we've included in your booklet on pages 24 and 25 of how detailed this is. This, by the way, is the SCAN report for one month. This is the February report for institutions. That is comprised of the SCAN summary analysis which rolls up all these areas on a departmental level. In areas, for example, in population, what was the population in January of '91, what was it in February of '91, what was the percent of In issues of operations - contraband, drug change? finds, for example, what was the drug find activity on a departmental level in January, what was it in February, and what was the per capita rate? Because that also gives us capacity to look and measure what the experience difference is.

If you look at that, the next pages, they in fact have -- every institution fills out, on a monthly basis, this report. We look at the report in central office. In areas that look like there's a percent change that might have some issue or in the gray, that information then creates a process of

dialogue between our office and the field, and we'll say to the field, you had an increase in drug finds of 30 percent between January and February. What's going on? They will, in fact, do a barometer analysis, it's another part of the process, and they will get back to us and they will say, actually what happened is we had a change in search procedures that were implemented in February, and in fact we increased the productivity of that activity and searched three more cell blocks than we normally do. But there at least is a process where you're looking at a base norm of experience in terms of activities and then creating a dialogue within the institutions in terms of what's that mean in terms of overall management? That carries over to areas such as the personnel and overtime costs in terms of treatment activities.

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In terms of grievances, what are the inmates grieving about? You know, is there a significant change? The second page, on page 25 in the grievance you see that the grievances are listed by issue, so that we can look at what are the issues that are being raised?

Correspondence is included correspondence in terms of what are inmates writing about and complaining about, what are their issues? All of that

is collected at an institutional level, it's in fact compilated into a departmental level. We do an analysis of it, we in fact then get back to the institutions and create a dialogue process in terms of what's going on. That is a major change in terms of management information, giving the department the capacity to look at what's occurring in the environment and to in fact manage the process.

That, believe it or not, is the end of the material that we have provided for you, and once again, I want to extend my appreciation for the fact that you've sat here for as long as you have and basically had to listen to us. I hope the information is helpful. We certainly would welcome any questions that you might have in terms of the department.

CHAIRMAN CALTAGIRONE: Commissioner, I'd like to direct a couple questions to Larry.

The time spent in State facilities has gone from a 1980 average of 26.8 months to the 1989 of 65.8 months. Why, in your opinion?

MR. REED: One of the major reasons I'm sure is probably the mandatory sentencing.

CHAIRMAN CALTAGIRONE: Okay. I just want to get some of these on the record.

MR. REED: You wanted to hear that for

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the record.

COMMISSIONER LEHMAN: I think the actual was from 20-some months to 37-some months, so I think the 65 was a little high.

MR. REED: I still give the same response though.

MR. LIVINGWOOD: 26.8 months to 37.5 months. It was a computer glitch that gave us the 65. That's inaccurate. It's now 37.5 months.

COMMISSIONER LEHMAN: But the explanation is the same.

CHAIRMAN CALTAGIRONE: The same question applied to the problem with parole and the numbers that are coming back into the system violating parole. How is it impacting on the system and do you have a handle on those numbers?

MR. REED: We do have a handle on them. Unfortunately, I don't have those here now with me. It's very much like a spigot, a water spigot on a hose - you've got more volume coming in than you have going out.

CHAIRMAN CALTAGIRONE: If you could provide those figures to us, we'd appreciate that. We have legislation, as you know, that we're presently working on with the committee. These are some of the

issues that I think have a great deal to do with that legislation, and it would be helpful.

MR. REED: Okay.

COMMISSIONER LEHMAN: We'll work with staff in getting that.

CHAIRMAN CALTAGIRONE: Okay. Are the penalties stiffer since the Sentencing Commission guidelines were put into place, or are the crimes more serious that we're experiencing some sort of a crime wave and increasing recidivism? Would you want to take a stab at it?

COMMISSIONER LEHMAN: I would love to.

I don't think it's any doubt that the increase in population is primarily attributable to substance abuse, but I think you have to look at two issues. I think the increase in terms of incarceration is the result of a range of activities within the criminal justice system. One, as the public became more concerned with the advent of the Crack phenomena, there was a funding stream increase in terms of law enforcement, in terms of prosecution. What we did is we increased the productivity of that part of the criminal justice system literally. We infused it both by the way not from simply a dollar perspective but from a policy perspective. I mean, the public concern

regarding substance abuse resulted both with an infusion of new dollars into that activity and a change of emphasis in terms of priorities. That led, in fact, to pressure to legislative change to changing policy in response to substance abuse, mandatory sentencing as a response to the public concern, as a response to the quote, unquote, "war on drugs." So that's a policy initiative.

It's very difficult, I would find it difficult to say that anybody could definitively say that there is — the increase in incarceration is a direct correlation, one-to-one correlation with an increase in criminal activity. I don't think that's true. It's a combination of, unfortunately, the advent of the Crack phenomena in terms of drugs, but also with increased productivity on the part of the criminal justice system and policy changes.

to that question, do we need more programs or community correction type facilities that would start to deal with some of those problems relative to the drug use and also dealing with the numbers that are coming back for technical parole violations?

COMMISSIONER LEHMAN: Absolutely. I think what we need to do from a policy perspective in

the Commonwealth is we need to get away from looking at, very honestly, the only response in terms of crime control as a response of incarceration. We need to start looking at the offender population that we deal with as an offender population that represents different ranges of risk in terms of public safety and different problems in terms of needs in terms of treatment, and in fact we will be more successful in influencing offender behavior, in my perspective, if you have a combination of surveillance, behavioral control activity, and treatment at the community than treatment which is institution based.

CHAIRMAN CALTAGIRONE: David.

MR. KRANTZ: Commissioner, on Farview, or you call it Waymart. Since I'm from the area, we call it Farview. It's right now around 500 inmates, I believe, from the chart. Do they plan to move that up to a thousand or more than that?

COMMISSIONER LEHMAN: Yes.

MR. KRANTZ: Do you plan to have the elimination totally of the mentally ill facilities?

COMMISSIONER LEHMAN: No. Farview -Waymart will be actually a correctional facility
co-located with Farview State Hospital, and Farview

State Hospital, under the Department of Public

Welfare's Forensic Task Force recommendations and 1 2 policy, will be dedicated primarily to mentally ill offenders who are committed to the Department of 3 Corrections. MR. KRANTZ: I see. 5 Now, then that means 6 are you going to add more buildings to Waymart? 7 COMMISSIONER LEHMAN: We have some 8 ongoing renovations and in fact are constructing a 9 Restricted Housing Unit, but for the most part other 10 than the restrict housing unit I think for the most part is actually adding a perimeter and doing 11 12 renovation to existing buildings. 13 MR. KRANTZ: The chart says you have 15 14 institutions and you're planning 6 more, right? COMMISSIONER LEHMAN: We actually, if you 15 16 count Cambridge Springs, have 16. 17 MR. KRANTZ: Okay, 16 plus 6 will be 22. 18 How are we in comparison with the other States as far 19 as the number of institutions and the number of inmate 20 population? 21 COMMISSIONER LEHMAN: I have been having 22 an ongoing dialogue with both the Pennsylvania Council 23 on Crime and Delinquency and with the Sentencing

Commission because I have a problem with how we collect

data and how we show that. If you look at the BGA

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reports that look at incarceration rate in terms of the Commonwealth compared with the rest of the country, it's absolutely deceiving and incorrect because if you look at that, you'll say that we have an incarceration rate of per 100,000 population of around 150-plus sent to prison. That's probably midline in terms of the national. But what's deceiving about that is Pennsylvania has a totally atypical division in terms of placing confinement between county prisons and State. Most State systems in terms of prisons are taking inmates who are sentenced to a year or more or six months or more. So if you look at incarceration rate of Pennsylvania and you actually counted those who have sentences of two or more or one or more, the incarceration rate of Pennsylvania, I suspect, would be one of the highest.

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MR. KRANTZ: Thank you.

CHAIRMAN CALTAGIRONE: Representative Ritter.

REPRESENTATIVE RITTER: Thank you.

I have questions about the programming, specifically the sex offender programs. I see in here that you have what you're calling specialized programs at Pittsburgh, Rockview, and Graterford. Can you describe for me just sort of in general what you mean

by specialized program in terms of the length of the program or the number of hours per week or whatever? Can you give me an idea of what that would involve?

I'll have to get back to you on the specific hours in terms of involvement. Basically, it's my understanding, if you were to talk about it being a treatment modality, that the basic treatment modality is inside therapy. It is directed at what you would regard as getting the offender to assess those behaviors which are predictive or behavior cues in terms of his pattern of sexual deviancy. It is individual in group. It is not what you would call in the area of sex offender treatment cognitive restructuring or desensitization or behavioral. It is pretty much limited to the inside therapy.

an inmate who is in need of that type of treatment prior to release, because I know that as part of the releasing process there can be some requirements for further treatment in the community, but within the institution if it's determined that this inmate might benefit from more of the specialized treatment that would be available at those three facilities as opposed to whatever treatments are available at other

facilities which I'm sure are not nearly even as intensive as that, which obviously is not the same as a community program. Is there any way, can an inmate be transferred, in other words, from one institution to another for either a sex offender program or a drug program or a mental health facility or is there any consideration of that? I mean, I recognize they are all overcrowded and certainly they are crowded to different levels, but is there any consideration given in terms of what programming is available to that inmate at another facility?

the classification program that exists currently and will exist even in the new is what we refer to as a prescriptive program. Every inmate that comes in goes through a diagnostic process, classification process, where you look at the offending behavior and you look at all the characteristics of the individual that are crime related, whether that be mental illness, whether it be substance abuse, whether it be sexual deviancy, and that prescriptive program that is designed for that individual on an individual case basis, placement then would be made, to the extent that we have given the crowding, will be made on the basis of accommodation and the custody level and the prescriptive programming.

Now, those programs that you're talking about in terms of the sex offender occur in facilities which are secured facilities, so there is generally not a problem for an inmate in fact to be transferred there. The problem in terms of the access to inmate programming is if you look at sex offenders, they are probably one of the most difficult populations to deal with in terms of treatment. They are a difficult type of population to deal with because it's a type of offense pattern that is internally motivated, compulsive, as it is, and so those individuals engage, unfortunately, in a lot of denial and they don't want to deal with it, for whatever reason.

From a treatment perspective, however, one of the measures of the extent to which you have a better chance of influencing that offender is the extent to which the offender is willing to deal with the deviant behavior. So that's a measure of amenability and it's an appropriate treatment screen, from my perspective.

REPRESENTATIVE RITTER: Right.

COMMISSIONER LEHMAN: The unfortunate thing is that you have inmates who are saying, you know, I didn't do it and I'm not going to admit to it, and so they are not allowed in the program, and that's

probably a bigger screening issue than even the number of slots or the facilities.

REPRESENTATIVE RITTER: Yeah, so in other words, because of the limited nature, obviously, of what you could do, and I know that you're doing the best that you can in terms of the money that's available and the space and everything else, in other words, you would say if that inmate is going to have that basic problem to start with, it's not going to be effective for you to put an inmate in that program in the facility?

COMMISSIONER LEHMAN: That's right.

REPRESENTATIVE RITTER: But then what happens in terms of, I mean, my concern, obviously, is not only for the victim of the crime that caused that person to be incarcerated but obviously for any future victims. I mean, my goal would be to see treatment rather than incarceration in a lot of those cases anyway, but in terms of the release process then, if that inmate indicated that type of problem with treatment in a facility, does that carry over then to say, well, now that he's going to be released, he or she is going to be released, they are obviously not going to be effective either, and so we're not going to require that, or is that a further--

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combination of things. We definitely, from my perspective, would retain in any correctional system the capacity in terms of release to impose conditions that we felt were necessary to insure public safety. So let's take that offender who is a sex offender. Let's say that that sex offender is in the process of denial. What we would attempt to do in terms of from a corrections perspective is you look at that offending behavior and upon release I think you have several options.

want to develop a plan of supervision which is basically relapse prevention, which you look at behaviors that are crime-related and you create crime-related prohibitions around that deviant pattern on the part of the sex offender and you provide intensive supervision of that sex offender. And ultimately you do whatever you can consistent with treatment slots and the willingness of agencies to deal with offenders, and that is an issue, and you do your best to in fact say you either go to the treatment or these are the kinds of conditions that you're going to live under so that we can control your behavior. I really think you do all that you can.

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The problem, from a treatment perspective, is that if you're an agency and you've got limited slots, who are you going to take first? Most agencies are going to take the people that are amenable to the treatment. And that's a real dilemma that you

have in corrections and rehab.

REPRESENTATIVE RITTER: Yeah. I think, I mean, I know you know of the agency that I'm interested in in terms of the Lehigh Valley, and that's one of the strengths I think they have in dealing with people who don't want to be there. They are willing to take those types of clients. I don't know whatever they call them, patients, clients, whatever. They are willing to take those sorts of folks and work with them as opposed to just saying, well, all right, we'll only take people who really want to be here. I know that that's a problem in terms of not having those kinds of programs on any basis that we really need to provide that. mean, I would like to see somebody who is required to go to treatment and then go to a treatment program who knows how to deal with that type of person.

COMMISSIONER LEHMAN: Absolutely.

REPRESENTATIVE RITTER: I mean, it's a whole different skill as far as I'm concerned. It's one thing to be able to deal with somebody who says,

look, I've got a problem, I want to be here. It's a whole other skill level, I think, to say, all right, we're going to take somebody who doesn't want to be here and we're going to work with that person anyway.

commissioner Lehman: Generally, by the way, the people who have experience in working with that type of offender recognize that an offender deviancy is a process issue, too. That offenders can, in fact, be engaged, coercibly or otherwise, to change their mind in different processes or points in their criminal or deviant career. So in the treatment program that has a capacity to deal with that will deal with that as an evolving process. And many of the treatment agencies, however, look at it as an either/or situation where you say, you know, I don't want to deal with them. And it takes unique skills, frankly, to deal with that type of offender.

REPRESENTATIVE RITTER: Okay. Thank you.

CHAIRMAN CALTAGIRONE: Representative

McNally.

REPRESENTATIVE McNALLY: Yes.

Commissioner, referring to the SCAN summary analysis form for the entire department on page 24, I wanted to ask you to elaborate on some of the figures.

First, in line A dealing with population, January '91 shows a population of 21,572. February '91 shows a population of 21,699, for an increase of 127. And I wanted to see if you could account for that increase since when I look at the line for receptions, which I interpret means to mean inmates who are actually received into the system, and releases are those who are released from the system, February '91 shows a difference between receptions and releases of 164.

COMMISSIONER LEHMAN: Um-hum.

REPRESENTATIVE McNALLY: January '91 receptions and releases is a difference of 197, and, you know, I would think that the difference between receptions and releases would correspond to the increase in population from month to month and it doesn't, and I was wondering if you could explain that?

COMMISSIONER LEHMAN: Basically, without doing computations, the 21,000 figure that you see there is the inmate population within the institutional system.

REPRESENTATIVE McNALLY: Right.

COMMISSIONER LEHMAN: It does not include the inmates who are out in the Federal system and may be trickling back.

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REPRESENTATIVE MCNALLY: Okav.

COMMISSIONER LEHMAN: Because this is the reporting system internally, so there's a small number of inmates in terms of the population that you're dealing with.

If you look at this is the end of month slice in time look, basically, you look at the end of January and you look at the end of February, some of the anomalies that will occur in this report which we've already discovered are a function that February has fewer days, and so you've got to account for differences that occur simply because of that phenomenon.

> REPRESENTATIVE McNALLY: I see.

COMMISSIONER LEHMAN: It may have, in fact, more holidays, I can't remember, so your in-and-out movement from the counties may fluctuate from month to month.

> REPRESENTATIVE MCNALLY: Okay.

COMMISSIONER LEHMAN: Other than that,

I'm not sure I could--

REPRESENTATIVE McNALLY: Okay. I wonder if you might know the figure for receptions in the month of January 1990 and that figure for releases in January 1990?

COMMISSIONER LEHMAN: We certainly, I believe we have it. I don't have it here, but we can certainly get it for you.

REPRESENTATIVE McNALLY: Okay. I would be interested to see the historical data, given the fact that there was a 2 1/2-percent decrease in receptions from January to February and a 1.9-percent increase in releases from January to February, I'd be interested to see some historical data over perhaps the last year.

commissioner Lehman: The last year in terms of percent of change that I, in fact, just looked at last night, whereas in the last 12 months, and we keep it on a rolling basis, okay, so literally the last 12 months, the increase in population at the Department of Corrections has been around 6 percent.

REPRESENTATIVE McNALLY: Well, I would like to see, you know, putting the population aside, I would like to be able to look at the, you know, the changes in receptions and releases.

REPRESENTATIVE McNALLY: Certainly.

COMMISSIONER LEHMAN: And if I could see

a month-to-month statistics on those figures.

REPRESENTATIVE McNALLY: Sure. Yeah.

COMMISSIONER LEHMAN: And the other thing

is there is seasonal variation in the movement in and out of the system because it relates to the workload over the parts of the criminal justice system. When do judges go on vacation, you know? When do they want to unload their jails? I mean, there's a lot of decisionmaking policy issues that relate to how they come to us and when they come to us. REPRESENTATIVE McNALLY: Okay, thank you. CHAIRMAN CALTAGIRONE: Any other questions? (No response.) CHAIRMAN CALTAGIRONE: Commissioner and staff, thank you very much for a very fine presentation. We will adjourn the meeting. (Whereupon, the proceedings were concluded at 12:00 noon.)

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4	cause, and that this is a true and correct transcript
5	of the same.
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