

COMMONWEALTH OF PENNSYLVANIA  
HOUSE OF REPRESENTATIVES  
APPROPRIATIONS COMMITTEE

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In Re: 1994-1995 Appropriations - Sentencing Policies

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Stenographic report of hearing held  
in the Majority Caucus Room, Main  
Capitol Building, Harrisburg,  
Pennsylvania, on Monday,

February 28, 1994  
1:00 p.m.

HON. DWIGHT EVANS, CHAIRMAN  
HON. RICHARD KASUNIC, SUBCOMMITTEE CHAIRMAN ON  
CAPITOL BUDGET  
HON. JOSEPH BATTISTO, SUBCOMMITTEE CHAIRMAN  
ON EDUCATION  
HON. BABETTE JOSEPHS, SUBCOMMITTEE CHAIRWOMAN  
ON HEALTH & WELFARE  
HON. LEO TRICH, SECRETARY  
HON. JOSEPH PITTS, MINORITY CHAIRMAN  
HON. ALVIN C. BUSH, MINORITY SUBCOMMITTEE  
CHAIRMAN ON CAPITOL BUDGET  
HON. PATRICK FLEAGLE, MINORITY SUBCOMMITTEE  
CHAIRMAN ON EDUCATION  
HON. DAVID G. ARGALL, MINORITY SUBCOMMITTEE  
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Reported by:  
Nancy J. Grega, RPR

**CERTIFIED ORIGINAL**

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HON. FRANK LaGROTTA	

ALSO PRESENT:

Michael Rosenstein, Executive Director, Minority  
 Craig Lehman, Staff  
 Galina Milonov, Research Analyst

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1                   ACTING CHAIRWOMAN JOSEPHS    We would like  
2                   to get started.   I think we will probably be joined  
3                   by more members, but I think we should get started  
4                   now.

5                   My script says good morning, but I guess  
6                   good afternoon would be more appropriate.

7                   I want to make a little statement.

8                   As you know, I suppose, we have here the  
9                   Appropriations Committee in conjunction with the  
10                  Judiciary Committee.

11                  After I do my statement I will ask the  
12                  Minority Chair of the Appropriations Committee,  
13                  Representative Pitts, if he'd like to make a  
14                  statement, and then we will ask the Majority Chair  
15                  of the House Judiciary Committee, Representative  
16                  Caltagirone.

17                  I do not know if Representative Piccola  
18                  will be here from the Judiciary Committee.

19                  Thanks for being here.

20                  When I was elected to the House of  
21                  Representatives which was ten years ago, the  
22                  Commonwealth was spending a little over \$140  
23                  million dollars to house some 11,480 inmates in our  
24                  State Prison System

25                  In fiscal year 1993-1994, over \$6 million

1 dollars of taxpayers money is being spent to house  
2 over 26,000 inmates.

3 By the year 2000, Pennsylvania taxpayers  
4 will be spending over \$1 billion dollars a year if  
5 the trend continues, to house some 33,000  
6 prisoners.

7 Since 1990, five State Prisons have been  
8 constructed and opened in Somerset, Erie,  
9 Schuylkill, Northumberland and Greene Counties.

10 On February 10, 1994, the Commonwealth  
11 broke ground for a thousand cell medium security  
12 State Correctional Institution at Houtzdale in  
13 Clearfield. The final stage in this initiative to  
14 add prison beds is currently underway.

15 The Correctional Institution at Chester,  
16 Delaware County, is in the design phase.  
17 Construction is scheduled to begin this summer.

18 By 1995, we will have added 10,000 cells,  
19 but our State Correctional System will still be  
20 operating beyond capacity.

21 It seems obvious to me and to more and  
22 more people that we simply can't build our way out  
23 of the current overcrowding problem.

24 On March 2, 1993, this Committee heard  
25 testimony on how alternative sentencing policies

1 might enable us to use our corrections dollars more  
2 wisely and at the same time due to the overcrowding  
3 problem, without jeopardizing public safety.

4 At those proceedings State officials and  
5 Criminal Justice experts generally agreed that  
6 alternative sentencing for non-violent offenders  
7 may be a more cost effective way of assuring public  
8 safety and of rehabilitating and punishing  
9 convicted non-violent offenders.

10 Today, Michael Tonry, Professor of Law  
11 and Public Policy with the University of Minnesota  
12 Law School who has also written extensively on  
13 sentencing and sanction issues, will talk about  
14 sentencing policy in the states and what fiscal and  
15 Criminal Justice System impact, deterrent effects,  
16 public safety benefits and adjustments we have seen  
17 from measures like mandatory sentencing,  
18 alternative sentences and the potential effect of  
19 new proposals such as three strike and you're out.

20 I'd like to ask now if Minority Chairman  
21 Pitts has something to say.

22 CHAIRMAN PITTS: Thank you. Welcome.  
23 We're looking forward to hearing your testimony  
24 today.

25 ACTING CHAIRWOMAN JOSEPHS: And Chairman

1 Caltagirone from the Judiciary Committee.

2 CHAIRMAN CALTAGIRONE: Thank you,  
3 Chairwoman Josephs.

4 I just want to add to the commentary that  
5 she has made that especially members of the  
6 Judiciary Committee but many members of the General  
7 Assembly I think are fully aware o the paths that  
8 we have walked on in the past two to three terms,  
9 including but not limited, to the mandatories.

10 But I think we should be extremely  
11 careful in the coming months on the issues that we  
12 are going to be dealing with and the fiscal impact  
13 that it's going to have on this Commonwealth,  
14 especially as we approach June in the budget.

15 And I for one must add my voice to the  
16 growing concern that in all of the trips and tours  
17 we have taken of all of the alternative facilities  
18 around this Commonwealth over the past year, we  
19 know that they are working. We know that they are  
20 cost-effective, and we know that the recidivism  
21 rate in those facilities has been almost half of  
22 what it's been in the State facilities.

23 I think it would behoove us to take a  
24 very hard look at those alternative programs and  
25 increase the funding in those areas and direct more

1 of the charges from the Judges to sentence people  
2 to those types of facilities for treatment.

3 I'm very interested in what the Professor  
4 has to say.

5 Thank you, Madam Chairman.

6 ACTING CHAIRWOMAN JOSEPHS: Thank you.

7 Would you like to proceed?

8 PROFESSOR TONRY: Am I on? Can I be  
9 heard?

10 All right, three preparatory remarks.

11 The first of course is thank you so much  
12 for inviting me. I always enjoy events like this  
13 and I am much impressed that there are twelve,  
14 fifteen Legislators.

15 Oftentimes at events like this we are  
16 visiting firemen coming to talk about something,  
17 it's me and the Chair or me and the Chair and one  
18 or two others.

19 The second preparatory comment, in making  
20 these remarks which I am told over the last twenty  
21 or twenty-five minutes, and frankly my voice gives  
22 out at about that point, and Abe Lincoln once said  
23 he always fell asleep at about the twenty-five  
24 minute point in a sermon, which taught him that's  
25 about as long as anybody is going to listen, so

1 it's about as long as anybody ought to talk.

2 That's what I'm going to do. I'm going  
3 to play a make believe game in giving this talk and  
4 that is that we can just talk about policy here.

5 I know that you folks can't just talk and  
6 act on the basis of policy because there are all  
7 sorts of political and other ramifications of  
8 choices that you make.

9 I'm going to take the lead of a good  
10 friend of mine who wrote a book about twenty-five  
11 years ago called The Honest Politician's Guide to  
12 Crime Control. It was patterned after a book by  
13 George Bernard Shaw in the 1920's called An Honest  
14 Woman's Guide to Socialism and Capitalism.

15 The idea was if we had politicians who  
16 didn't have to worry about the political  
17 implications of their choices but to just make best  
18 informed, most sensible decisions on the basis of  
19 what we knew, what would they do. And that's what  
20 I'm going to try to talk about.

21 The third preparatory remark is a title.

22 I kept with a title for this and the  
23 title goes something like do harsher penalties make  
24 a safer society or are we replaying the savings and  
25 loan industry's problem of borrowing short and



1 lending long.

2           The answer is in this country for the  
3 last decade, we have indeed been doing that, making  
4 policy on the short term and not worrying about the  
5 long term implications of that policy.

6           In this country for at least the next  
7 five years, prison populations are going to keep  
8 going up and nationally and in almost every state  
9 even if admissions to prisons should fall -- I'm  
10 not suggesting that they will fall -- and that's  
11 because like the pig and the python, there is this  
12 great bulge of people who began serving very long  
13 sentences in the mid-1980's who will not start  
14 being released in large numbers for another five,  
15 six or seven years.

16           That number is going to continue to  
17 accumulate almost no matter what you do about  
18 policy, so it's a long term problem and using the  
19 conceit of the honest politician, we need to think  
20 about it that way, okay.

21           One of the curious things about this set  
22 of issues is like most other things in life, it's  
23 completely secular.

24           In the 1920's there was a crime hysteria  
25 and the first passage of a rash of what were then

1 called habitual offender laws which are basically  
2 the same as the three strikes and you're out law.

3 In the 1950's there was a crime hysteria  
4 and there were passages at the Federal level and in  
5 most states of mandatory penalty laws and in the  
6 1980's.

7 Indeed, it goes back longer than that.

8 In 18th century England, there was an  
9 anti-crime hysteria and more than three hundred  
10 offenses were made into capital crimes and we  
11 learned a lot about how mandatory death penalties  
12 worked.

13 And the lessons are the same in each era,  
14 each era.

15 So far as mandatory penalties are  
16 concerned, four things happen.

17 The first is, with the possible exception  
18 of mandatory death penalties, they tend to be  
19 redundant for serious crimes. That is, if we  
20 impose a five-year minimum term for people who  
21 commit armed robberies using firearms and where  
22 somebody is hurt, people like that receive five-  
23 year terms anyway.

24 So for truly serious crimes mandatorics  
25 can be overdone unless they become so long, twenty

1 or thirty years, that they are not redundant. But  
2 that creates another set of issues.

3 The second is that when mandatory  
4 penalties are not redundant, when they are not  
5 redundant, they tend to be widely circumvented.

6 If they are not redundant in the sense  
7 that most people looking at those crimes regard a  
8 five-year, a ten-year, or a twenty-year sentence as  
9 too severe, often, not always, often, prosecutors  
10 through charging and plea bargaining and Judges  
11 through manipulation of what facts they find, and  
12 sometimes through flatly ignoring the existence of  
13 the statute, tend to figure out a way not to impose  
14 sentences that most people involved regard as too  
15 harsh. So they are widely circumvented.

16 The third thing is though they aren't  
17 always circumvented which means that when the  
18 penalties are not redundant under mandatory  
19 schemes, when they really are much harsher than  
20 what otherwise happens and they are not  
21 circumvented, there are lots of folks who wind up  
22 going to prison for lengths of time that many  
23 people involved, including oftentimes the  
24 prosecutor, and when you have prosecutors, look,  
25 this is the State policy, I may not be comfortable,

1 but I'm going to charge it on the head and it's  
2 just on the plea. So there is a lot of injustice  
3 that results from mandatory penalties.

4 Finally, there is a problem of  
5 arbitrariness.

6 If you think of the second and third  
7 points I made about wide scale circumvention and  
8 sometimes palpable injustices, what happens to any  
9 individual depends on the luck of the draw of  
10 whether the lawyers and the Judges are going to try  
11 to figure a way around what they regard as a very  
12 harsh penalty or not. Sometimes they do; sometimes  
13 they don't.

14 So we wind up with the ten-year mandatory  
15 penalty statute which two-thirds of the time is  
16 circumvented and the other third of the time people  
17 go away to prison for a length of time that makes  
18 everybody uneasy.

19 Now the knowledge about these things is  
20 systematic and empirical, at least since the  
21 1950's. Every time we go through one of these  
22 bouts of passing very tough mandatory penalty laws  
23 in our history, people feel obliged to study what  
24 happens to them.

25 The patterns that I have just described

1 are what we have learned every time.

2 Most notably, for example, in the early  
3 1970's in New York State, there was something that  
4 is now in retrospect the most famous set of  
5 mandatory drug laws in the country called the  
6 . Rockefeller Drug Laws that were passed with great  
7 fanfare, very harsh penalties, lots of money on new  
8 courts and new Judges and new probation officers,  
9 repealed four years later.

10 But it's an enormous Federally-funded  
11 evaluation which found no effects on drug use or  
12 drug sales or hospital emergency room admissions in  
13 relation to drugs, substantial circumvention, tie  
14 ups in the courts as people understand and try to  
15 figure out ways to avoid the imposition and so on.

16 The bottom line big question about laws  
17 like this is do they make a safer world, and the  
18 answer to that pretty clearly seems to be no, they  
19 don't make a safer world.

20 How do we know that?

21 The most recent basis on which we know  
22 that is a report of something called the National  
23 Academy of Sciences which reported in 1983, 1993,  
24 something called the panel -- it was a panel  
25 appointed on the subject of understanding and

1 controlling violence.

2           It was created by the last Reagan  
3 Administration Justice Department. Funding was  
4 provided by Richard Thornburgh. Its funding was  
5 extended by the Bush Administration Justice  
6 Department. Funding was extended by Attorney  
7 General William Barr, neither of them known as soft  
8 on crime types.

9           They worked for four or five years, the  
10 usual lots of money, very talented people, and they  
11 found the following two things that they stress in  
12 their introduction and in their executive summary  
13 throughout the report; two facts.

14           First, the average length of a prison  
15 term imposed on a person convicted of a violent  
16 crime in America tripled between the mid-1970's and  
17 1989.

18           Did it have any effect on crime rates, on  
19 violent crime rates? Their answer, "apparently  
20 very little." They could find no demonstrable  
21 basis for believing that tripling prison terms for  
22 violent crimes have made us a safer society.

23           Now that may not fit with some of your  
24 preconceptions about how the world must operate,  
25 but let me suggest that the view that what we do

1 with penalties, and particularly whether we  
2 increase penalties, try to send out stronger  
3 deterrent messages as well as the influence on  
4 behavior is a commonly held view in every western  
5 country with which we ordinarily like to be  
6 compared.

7 For example, two things I'm going to  
8 read, just very short ones.

9 Margaret Thatcher's Government while she  
10 was still in power, appointed initially an outside  
11 Commission and then an internal Commission on the  
12 subject of Crime Control Policy and they finally  
13 issued what's called as White Paper which is a  
14 formal statement of position by the British  
15 Government on a subject which there is going to be  
16 legislation introduced on what they ought to do  
17 about criminal penalties.

18 And what Margaret Thatcher's Government  
19 said was if I can the right page here, deterrence  
20 is a principle with much immediate appeal but much  
21 crime is committed on impulse, given the  
22 opportunity presented by an open window or an  
23 unlocked door or swinging purse, and it is  
24 committed by offenders who live from moment to  
25 moment. Their crimes are as impulsive as the rest

1 of their feckless and pathetic lives.

2 But this is the key language. It is  
3 unrealistic to construct sentencing arrangements on  
4 the assumption that most offenders will weigh up  
5 the possibilities in advance and base their conduct  
6 on rational calculation.

7 As a result of that, there was a massive  
8 overhaul of English national sentencing laws in  
9 1971 while Mrs. Thatcher was still in power,  
10 premised on a whole series of notions that would  
11 just be unimaginable in this country, and one that  
12 is not unimaginable is the principal basis of  
13 sentencing ought to be proportionality and making  
14 punishments commensurate with the severity of  
15 crimes.

16 We delude ourselves to think that we are  
17 going to do more and in her case, they didn't want  
18 to spend more. That is what drove them to the  
19 point of the Commission.

20 In Canada, the other major English  
21 speaking country with conservative government, two  
22 years ago the Canadian House of Parliament  
23 appointed -- I mean the Canadian House of Commons  
24 appointed a special committee on this subject  
25 chaired by the Chair of what in effect is their



1 Judiciary Committee, a member of Prime Minister  
2 Mulrooney's Party, sometimes known as Dr. Death.

3 He is a real doctor who has been  
4 promoting the death penalty energetically for the  
5 last fifteen years. And they issued a report which  
6 said in the second paragraph, the United States  
7 affords a glaring example of the limited impact  
8 that Criminal Justice responses may have on crime.  
9 If locking up those who violate the law contributed  
10 to safer societies, then the United States should  
11 be the safest country in the world.

12 Now the reason I quote those two things  
13 is to suggest that it is not simply liberal  
14 activists and ACLU lawyers and kindred sorts who  
15 have concluded that what we do with sanctioning may  
16 have some but no substantial effects on the levels  
17 of crime in our society, which if we were honest  
18 politicians in the sense in which I used it, it  
19 would be our principal concern.

20 We want to use the institutions or the  
21 criminal law and the Criminal Justice System to  
22 make a safer society for us, but we have learned  
23 that we don't get there by making penalties very  
24 harsh.

25 Now I want to talk about two more things.

1           One is a set of realities and myths that  
2 are just a fundamentally important backdrop to  
3 making policy in this area.

4           The second is if we were not concerned  
5 about the political implications of your decisions,  
6 what would you do.

7           One, reality and two, myths.

8           The reality is, and this view is shared  
9 by the leading conservative academics in this  
10 country, too.

11           I would probably be counted as a moderate  
12 to liberal academic, but James Q. Wilson formerly  
13 of Harvard but now at UCLA, John DeJulio (phonetic)  
14 at Princeton, the most visible outspoken  
15 conservative academics, agree with me on the thing  
16 I'm about to say. We have done NPR panels and PBS  
17 panels on it.

18           First, crime in the United States --  
19 start out again.

20           The myth is crime is increasing in the  
21 United States. The reality is substantially more  
22 complicated than that.

23           The leading conservative academics would  
24 agree with me when I say the following two things:

25           For the vast majority of the American

1 public, including 95, 96 percent of the people who  
2 live other than in the most devastated inner city  
3 areas of our most troubled cities, life has become  
4 increasingly safer for the last fifteen or twenty  
5 years.

6 The chances that we are going to be  
7 burglarized, that our car is going to be stolen,  
8 that we are going to be assaulted, that we are  
9 going to be raped, the risks of victimization that  
10 we are going to be murdered, have been declining  
11 for twenty years.

12 So there is a long term downward curve.  
13 And I won't talk about the evidence, although I  
14 will be happy to if somebody wants to ask me about  
15 it. We have lots of sources of information as to  
16 crime trends in this country. But for the 4 or 5  
17 percent of people who do live in those most  
18 deteriorated and devastated inner city areas, life  
19 is getting lots more dangerous.

20 So we have cross-cutting trends.

21 Now one of the things that is interesting  
22 to me about those two trends is that the public  
23 call for harsher penalties and the public  
24 perception that crime is getting worse is coming  
25 from the 95 or 96 percent of the population, for

1 the most part, and I realize that there are people  
2 speaking out in devastated inner city areas. But  
3 for the most part it's coming from the part of the  
4 population for whom life is getting safer.

5 Now one related point about crime.

6 Victimization is going down for most of  
7 us. It's going up for people who live in  
8 devastated inner city areas.

9 There is one other sort of cross-cutting  
10 trend and it's exhibited by a New York Times story  
11 yesterday reporting on the latest release of FBI  
12 data on crime trends.

13 The FBI said violent crime is down. That  
14 is in the Uniform Crime Report, the official police  
15 record source. But among those crimes that are  
16 being committed, gun crimes are up.

17 Over all the chances, over all the arrest  
18 rates and crime reporting rates, violent crimes are  
19 up, which suggests that guns are a substantial part  
20 of our problem. And you all know that. But,  
21 nonetheless, that is a very different picture of  
22 what has happened with crime in this country than  
23 we would ordinarily get from the newspapers for a  
24 variety of reasons.

25 That leads to the two myths that I want

1 to talk about. That is the reality. Crime is down  
2 for most of us.

3 Two myths; first is a public myth; the  
4 second is a political myth.

5 The public myth is that crime is up.

6 Every year since 1968, the National  
7 Opinion Research Center has asked representative  
8 samples of the U.S. population, compared to last  
9 year is crime up, down, or about the same.

10 Every year no matter what's happening  
11 according to the FBI and even according to the FBI  
12 there was a substantial drop in crime from '80 to  
13 '87. No matter what the data told us, 80 percent  
14 of respondents said that crime was going up every  
15 year.

16 Public opinion and public perceptions  
17 about crime move entirely independently, which is  
18 one of the reasons why we have the enormous concern  
19 we have right now. The public perception is that  
20 something is happening that isn't.

21 Now why is the public perception what I  
22 described, that crime is always getting worse?  
23 There are two reasons.

24 The first is the same reason why whatever  
25 the military strategic rationales for the war in

1 Vietnam, the general view of why the United States  
2 withdrew the way it did.

3 Television brought the War in Vietnam  
4 into everyone's living room. We saw people being  
5 assassinated in the streets of Hue and Saigon; we  
6 saw American soldiers being carried out on  
7 stretchers and helicopters; we lived through  
8 Somalia, as we all know or are going to by March  
9 31st, because of the horribly obscene pictures of a  
10 young man who had been killed and dragged naked  
11 through the streets of Mogudishu. And it was in  
12 everybody's living room.

13 We see the little girl in California who  
14 was kidnapped from a birthday party and her body  
15 found three or four days later.

16 In every household in America, that image  
17 occurs within hours after the event becomes known  
18 and it occurs and recurs and recurs and recurs.

19 We know from all sorts of psychological  
20 evidence that we all tend to over-generalize from  
21 rare and horrible events.

22 If there is an airplane crash,  
23 reservations drop for flights for the next week or  
24 two. We have a perception based on horrible things  
25 that are aberrant but have always happened.

1           If the public classroom thing had  
2 happened in 1920, it would have been known about in  
3 California, some places, and maybe in the few big  
4 cities where a story would have gotten to the  
5 Chicago Tribune or the New York Times.

6           That's one thing that is happening. The  
7 violence is coming into our living rooms and we are  
8 generalizing from it.

9           The second is that violence pays;  
10 violence pays. It pays newspapers; it pays cable  
11 TV networks; it pays the commercial networks. It  
12 is the reason why there is now a campaign in  
13 Washington to try to reduce violence on television.

14           People who sell newspapers and organize  
15 television programs are not economically  
16 irrational. There clearly is a market out there of  
17 people who want some sort of vicarious experience  
18 of violence fictionalized. It can't be but gory  
19 real crime stories and real crime replications  
20 sometimes -- now that's an interesting  
21 psychological problem.

22           Our kids sometimes want to be exhilarated  
23 by a vicarious experience, say of going to a horror  
24 movie. My kids are older than that now, but I can  
25 remember when they were fourteen or fifteen years

1 old and would go to some horrible movie that I  
2 would tell them they really ought not go to and  
3 they would go to it and come home and not always,  
4 but oftentimes ten, eleven, twelve o'clock at night  
5 there are funny shadows in the corners of the room  
6 and we wound up with kids in our bed.

7 Time after time this happened, and  
8 somehow that makes it all right because it's not  
9 real and the kids know it's not real until they  
10 start to fall asleep, but that mom and dad are  
11 there to reassure them that it was just a movie.

12 But for those of us who are the reason  
13 why violence pays for the newspapers and the TV  
14 news and the TV dramatic community, there are no  
15 parents; there are no parents into whose bed we can  
16 crawl.

17 So I think there is a perverse  
18 psychological thing going on here that we actually  
19 seek out as a nation; violent experiences, but  
20 remain frightened by them; but remain frightened by  
21 them.

22 In any case it's clear that the public  
23 believes crime is going up, at least much of it  
24 does. And it's equally true that it's not.

25 The second myth is a political myth. And



1 that is a myth that says the public unremittingly  
2 wants severe policies about crime.

3 Now not many officials unremittingly want  
4 severe policies on crime. By officials, I mean  
5 actual practitioners.

6 If you talk to Judges and probation  
7 officers and most prosecutors, for that matter,  
8 unless for some reason they are grandstanding or  
9 just have very, very rigid personalities, what they  
10 see before them in the Criminal Courts are a lot of  
11 troubled people, troubled victims, troubled  
12 offenders, people from horrible backgrounds.

13 And what most people seem to feel I have  
14 learned, and I have talked a lot with, not to but  
15 with, Judges and prosecutors and other  
16 practitioners, is a deep ambivalence.

17 On the one hand a sense that when bad  
18 things happen there ought to be a consequence;  
19 there ought to be a consequence.

20 On the other hand, the sense that the  
21 victims and offenders are often both pretty sad  
22 characters in the ordinary felony and misdemeanor  
23 courts in the cities of the U.S.

24 If there were a way that we could figure  
25 out to do something with these people to help them

1 get their lives straightened out, or help them deal  
2 with a drug problem, or help them overcome those  
3 things about their lives that brought them there in  
4 the first place, we'd like to do it.

5 There is a deep ambivalence on the part  
6 of most practitioners, and there is a deep  
7 ambivalence on the part of the general public. The  
8 reason public officials, especially elected  
9 officials tend not to perceive, that has two  
10 components.

11 The first is most of the people who speak  
12 to you about crime policy and punishment are not  
13 miscellaneous representatives of the general  
14 public. They are spokespeople for advocacy groups.  
15 And if we are talking about the National Rifle  
16 Association in relation to guns, or Mothers Against  
17 Drunk Driving in relation to drunk driving, or  
18 people who something or other for effective law  
19 enforcement who made it their cause to move toward  
20 harsher penalties, of course they express those  
21 views.

22 Partly, it's a problem of who you talk  
23 to, and there is a lot of evidence interestingly  
24 that shows that if you separately survey opinions  
25 about punishment of elected officials, of members

1 of advocacy groups and of the general public and of  
2 practitioners, what you see is two clusters.

3 The elected officials tend to have  
4 attitudes very similar to those of the spokesmen  
5 for the advocacy groups. The general public tends  
6 to have views very similar to those of the  
7 practitioners.

8 Now there is a quite robust source of  
9 information on this that exists in this country and  
10 in other countries about ambivalence.

11 I said there were two reasons why you may  
12 see the public as being absolutely rigid in its  
13 views, and the first I mentioned.

14 The second is there are these polls. The  
15 Gallup Poll calls people up at supper time or  
16 breakfast time and asks them thirty questions, two  
17 of which are on prime and one of them says, are the  
18 sentences Judges impose harsh enough, too harsh, or  
19 just right? And 50, 60, 70 percent of the people  
20 say not harsh enough.

21 Now in most areas of policy if we were  
22 told that people had given spur of the moment, off  
23 the top of the cuff reactions to things like should  
24 we invest in the denuclearization of Eastern  
25 Europe, we wouldn't regard that as a basis for

1 going forward. We would say, well, sure.

2 But people need a lot more information to  
3 have an informed view about what the American  
4 national interest is and the development of nuclear  
5 power in Eastern Europe.

6 Well, it's the same thing with crime.  
7 It's the same thing with crime. There is a lot of  
8 research consisting of complete polls solely on  
9 crime issues that follow the standard format of  
10 calling people on the phone and asking them  
11 questions and a much more sophisticated sort of  
12 research that you have that some have done in this  
13 country by organizations that use focus groups.  
14 And they usually say what do you think ought to  
15 happen in these cases, and the initial top of the  
16 cuff, off the cuff reaction is be tough.

17 Then you have a couple hour discussion of  
18 different kinds of alternatives and who are  
19 offenders and what kind of treatment programs, and  
20 it turns out at the end you see the ambivalence.

21 Yes, on the one hand, people say  
22 consequences and they say we don't want to see our  
23 taxes go up to pay for prisons.

24 On the other hand they say we'd like to  
25 see more rehabilitation programs. We'd like to see

1 that our prisons could help these people become law  
2 abiding citizens and we are willing to see taxes  
3 increase for things like drug treatment for all  
4 drug dependent people.

5 So the real public opinion that lurks  
6 behind the advocacy groups and the off the cuff ask  
7 me at separate times when I'm cooking eggs, what do  
8 I think about crime, the opinion is very, very  
9 different.

10 Now that pattern exists in every country  
11 for which we have research; in Canada, Australia,  
12 England, the Scandinavian countries, that peoples'  
13 initial reactions out of context is a punitive one,  
14 but their considered reactions are much more  
15 complicated.

16 Okay, that's the second myth.

17 Now what would an honest politician do?  
18 Certainly it's clear what an honest politician  
19 would do given in part the metaphor about the  
20 savings and loan industry of borrow short, lend  
21 long.

22 The first is you wouldn't pass --  
23 remember, this is in a cloistered room where we are  
24 not worrying about political implications and  
25 getting reelected. You wouldn't pass more

1 mandatory penalty laws, and you wouldn't pass  
2 habitual offender laws, and you wouldn't pass three  
3 strikes and you're out. You might direct the  
4 Pennsylvania Sentencing Commission to set higher  
5 guidelines for certain types of disturbed behavior.

6 The reasons you wouldn't do it are it's  
7 not going to have much effect on crime. It's going  
8 to be very, very costly insofar as people really go  
9 to prison for very long terms. And it's going to  
10 produce injustices and it's going to redundant  
11 unless you make the punishment so harsh that they  
12 are going to be avoided most of the time.

13 So you wouldn't do that. From a fiscal  
14 perspective, you just wouldn't do it.

15 Probably an honest politician would go  
16 further and would either repeal existing  
17 legislation of that sort or at the very least would  
18 pass sort of provided however clauses someplace or  
19 other that gave Judges or the Parole Board or the  
20 Department of -- the Commission of Corrections,  
21 according to some criteria, authority to go ahead  
22 and in effect trump laws that have people staying  
23 prison much longer than makes any sense from a  
24 public safety or financial perspective.

25 The third thing that you would do is

1 invest. You would invest in non-prison sanctioning  
2 programs for a variety of kinds of offenders and  
3 not all non-violent offenders, because there are a  
4 lot of violent offenders in prison who are not  
5 repetitive or threatening violent offenders.

6 There are a number of husbands and wives  
7 and other situational people who do an aberrant  
8 thing in their lives and wind up under sentencing  
9 guidelines having a five-year prison sentence.  
10 It's quite significant.

11 Some of, a major part of Joe Lehman's  
12 clientele but it's a non-trivial term. You would  
13 invest in alternatives because we know from a whole  
14 series of alternative programs that at worst, they  
15 have no worse failure rates than does prison for  
16 comparable people. They cost a whole lot less and  
17 if we are talking about offenders who do not  
18 present a risk of significant violent behavior, the  
19 downside is not so awful.

20 One of the things that always strikes me  
21 in this country in recent years as compared with  
22 other countries, is that in other countries one  
23 could put into a sentence the public safety, public  
24 education, public transportation, public welfare,  
25 public health and the approach in most other

1 countries, including conservative countries like  
2 Mrs. Thatcher's England and Mr. Mulrooney from  
3 Canada, they could all be approached in the same  
4 way. You'd want sensible, cost-effective policies.  
5 You'd want decent policies insofar as you could  
6 have them.

7 The crime in none of those countries has  
8 become politicized like the way it is here.

9 Well, if you view public safety the same  
10 way we view public health and public welfare and  
11 public transportation, we have endemic problems  
12 that are an aspect of being a developed western  
13 society. Crime is going to occur. Traffic  
14 accidents are going to happen. All sorts of bad  
15 things are going to occur, and we want to try and  
16 figure out the most effective, sensible, cost-  
17 efficient way to approach them without a lot of  
18 posturing and without a lot of cant.

19 Well, if we are serious, if we had a  
20 public health, public safety, public transportation  
21 psychology, the crime is no different than any of  
22 the other regular problems that all governments  
23 have to deal with.

24 We would say property offenders are going  
25 to recidivate; they're going to recidivate. That's



1 life. We're never going to get down to zero for  
2 any kind of recidivism. But if we could figure out  
3 a way to have them recidivate no worse than they  
4 would have in prison but in ways that cost us a lot  
5 less money and screw up their lives and those of  
6 their families and their children less, that would  
7 be a good thing to do.

8 Two last things and then I'll stop and  
9 answer questions or accept insults or whatever  
10 seems appropriate.

11 First is really to repeat with emphasis  
12 the last thing I said about investing in non-prison  
13 sanctions, underscoring the word investing.

14 Part of the politics of crime in this  
15 country is now that while I find in many, many  
16 states people sitting in your chairs who are much  
17 likelier to take a policy, want to take a policy  
18 approach to crime control than ten years ago. It's  
19 primarily for cost reasons in most states.

20 In some places it's because people are  
21 really concerned about injustice. Maybe we are  
22 just being unnecessarily harsh and damaging  
23 peoples' lives, but it's mostly cost that people  
24 seem to be worried about. And that has the  
25 perverse effect that if we can really think that we

1 can devise a way to reduce our prison budgets, we  
2 want to try to realize all that gain or that  
3 decrease in the rate of increase as a net savings  
4 rather than divert some or most or all of it into  
5 other kinds of programs.

6 Now the problem is if you figure out a  
7 way in this state, for example, to reduce the use  
8 of incarceration either in who goes to prison or  
9 how long they go there, you can reduce Joe Lehman's  
10 budget or the amount of increase in the next ten  
11 years, but you don't invest in the kind of programs  
12 that are going to be used for the people who  
13 otherwise would have gone to prison. It's going to  
14 be a sleeping giant. And in five or six years from  
15 now or ten, whatever it is, we are going to have  
16 another crime crisis because we are back in the old  
17 world, that there is prison or a slap on the hand  
18 probation.

19 Well, probation was never designed to be  
20 a slap on the hand. Probation has never been  
21 adequately funded in the last twenty years as crime  
22 budgets have gone up, and that has been the least  
23 sexy agency of government to spend money on.

24 So you need to spend money if it's going  
25 to make sense as honest politicians to figure out a

1 way to get a handle on the fiscal policies that  
2 you're creating and to get a handle on the justice  
3 policies.

4 Now there is a last thing. It's the most  
5 important of all and I think in this state it's  
6 probably as important as any other state.

7 There has been a fundamentally perverse  
8 and socially damaging consequence of the crime  
9 policies in the last fifteen years.

10 I think it's not a liberal-conservative  
11 point. Our crime policies for the last fifteen  
12 years have fundamentally undercut our efforts in  
13 our social welfare policies to move disadvantaged  
14 black Americans into the mainstream of American  
15 life.

16 The single most influential idea in the  
17 social welfare world in the last fifteen years  
18 comes from a University of Chicago black  
19 sociologist named William Julius Wilson who wrote a  
20 book fifteen years ago called The Declining  
21 Significance of 'Race in American Life, arguing that  
22 the problems of the black underclass are  
23 primarily -- bias is no doubt part of it, certainly  
24 historical bias is important, but it's social and  
25 economic we need to worry about now, structural

1 problems about job creation and flight from the  
2 cities and so on.

3 In a more recent book called the Truly  
4 Disadvantaged about the black underclass, in it he  
5 developed this idea of what he called the index of  
6 marriageable males; the index of marriageable  
7 males. You ask for an age group, say 18 to 22 year  
8 olds. For every hundred women who are black or  
9 every hundred women who are white, how many  
10 employed men are there in that age group?

11 Some radical feminists are very angry  
12 with that because it implies that marriage is a  
13 good thing. I think it is but, nonetheless, if you  
14 think of women trying to plan sensible lives, how  
15 many eligible partners are there out there?

16 Well, for white it turns out, except for  
17 those age 55 or over, the ratio of employed men to  
18 white women has been constant since 1950 and the  
19 reason it's declined for older men who are not in  
20 the high marrying ages anyway, has to do with the  
21 spread of pension benefits which was not widespread  
22 before the 1950's.

23 For blacks, the number of employed black  
24 males for every hundred females, every age group,  
25 has fallen substantially by a third since 1950 and

1 the fall is worse for black males under age 35.

2 Now if you ask the reverse question, it's  
3 not the reverse question, knowledge is so  
4 vulcanized that social welfare people don't think  
5 about crime. But I think about crime.

6 If we ask the question how many black  
7 males for these different age groups are entangled  
8 in the Criminal Justice System and has it gotten  
9 worse, let me tell you two things.

10 It has gotten worse.

11 In 1991, which is the latest year we have  
12 dated, 2,000 blacks for every hundred thousand  
13 blacks, were in prison or jail on an average day  
14 and 280 whites per hundred thousand whites.

15 That's a seven to one difference; that's  
16 a seven to one difference.

17 If you go back to 1980 when we had  
18 roughly a third the number of people in prison in  
19 this country as we do now and figure up what those  
20 numbers were, it was 720 blacks per hundred  
21 thousand blacks and about 110 whites per hundred  
22 thousand whites, we have done fundamental damage,  
23 fundamental damage to the quality, to the chances  
24 that people, disadvantaged blacks in our cities,  
25 are going to be able to lead decent kinds of lives.

1           If you start thinking about what the  
2 interaction between those would be, why the absence  
3 of men who can live any kind of conventional life  
4 affects the quality of those communities, you can  
5 think of reasons as well as I can.

6           That's the real sleeping giant in this  
7 problem, and unless we figure out a way to change  
8 the policies, that's going to get worse and worse  
9 and worse. There is just not much we can do to  
10 improve the lives of disadvantaged blacks so long  
11 as so many of the young men are incapacitated, not  
12 in the narrow sense of being in prison, disabled by  
13 what the Criminal Justice System does from trying  
14 to be members of communities and husbands, fathers  
15 and all those things.

16           Anyway, so I stop.

17           ACTING CHAIRWOMAN JOSEPHS: I really want  
18 to thank you for being here and making that  
19 presentation which I found absolutely compelling.

20           I think I have been aware of a number of  
21 these kind of statistics and trends but to put them  
22 all in one place is quite explosive, really, and I  
23 thank you for that.

24           I think I'd like to reserve asking  
25 questions for myself until we see if there are

1 others any of the Committee Members may have.

2 Representative DeLuca?

3 REPRESENTATIVE DeLUCA: Thank you, Madam  
4 Chairman.

5 BY REPRESENTATIVE DeLUCA:

6 Q Professor, you mentioned the fact about a  
7 safer world.

8 If we went to alternative sentences,  
9 would we have a safer world if we put a lot of  
10 these people back out on the street? Would it be  
11 safer than it is right now?

12 A Illogical as it may seem to you, the  
13 conventional view in this country and in the other  
14 English speaking countries that I have mentioned,  
15 is that we have not made a safer world by having  
16 the prison policies that we now have.

17 Q I understand that, but would it be safer  
18 if we went to the alternative sentencing and put  
19 them out quicker than they are?

20 A It would be no less safe.

21 Q It would be no less safe?

22 A No less safe.

23 There are two reasons. The first is  
24 although there is a mythology in this country that  
25 most people in prison are violent offenders, it's

1 not so; it's not so.

2 Attorney General William Barr used to say  
3 that 95 percent of the people in prison were either  
4 violent offenders or recidivists.

5 That's a funny combination because of  
6 course second time property offenders are  
7 recidivists. It turns out that that makes two  
8 confusions, and I won't give a whole speech here.

9 It turns out that in 1991, the latest  
10 year for which we have data, the American national  
11 prison population, 46 percent of the prisoners had  
12 been convicted of violent crimes, but the more  
13 important number is not that. It's who goes to  
14 prison, and in 1991 the number, the percentage of  
15 violent offenders among those newly-committed to  
16 prisons in the states was 26 percent; basically one  
17 out of four were sentenced to prison.

18 The difference between those numbers  
19 comes from the obvious difference that violent  
20 offenders tend to serve longer time, so they  
21 accumulate. That's why there is a larger  
22 percentage in the population.

23 One of the things we do in this country  
24 although we deny that we do it, we waste a lot of  
25 time on people who present risk of property



1 offending but don't present risk of violence.

2 Now if we have the notion that somehow or  
3 other that property offenses are worth twenty-five  
4 grand a year and that the world is a fundamentally  
5 worse place if we have more property offenses than  
6 we otherwise might, there are not tradeoffs between  
7 cost and property offenses. That might lead to one  
8 policy.

9 Now a lot of property offenders there  
10 that don't risk violence and we have the bizarre  
11 problem about three strikes and you're out, which  
12 is that we know that in most human beings who are  
13 not deeply psychopathological, the fires inside  
14 from which violence comes die out. They die out in  
15 the '30's and '40's.

16 We have lots of characters in U.S.  
17 prisons even who committed violent crimes who are  
18 fifty, fifty-five and sixty and sixty-five. Those  
19 people don't present a threat of violence.

20 So, yes, with no less safety we could  
21 achieve no less safety with fundamentally different  
22 policies.

23 Q Have you ever, or any organization, done  
24 a study to find out what the cost would be for  
25 alternative sentencing on the recidivism rate, the

1 rate of people going back in, and what it costs to  
2 the system from the beginning, going through the  
3 court system again, to be resentenced again?

4 From what I understand, that's a lot more  
5 than \$25,000.

6 A Well, cost analyses of correctional  
7 questions have become much less primitive in recent  
8 years than it used to be.

9 Ten years ago you would hear people  
10 running around the country saying an intensive  
11 supervision costs \$2,000 a year and prison costs  
12 \$25,000 a year, and wouldn't it be crazy not to put  
13 people on intensive supervision.

14 As you say, that's an apples and oranges  
15 comparison. We know that in well run community  
16 correctional programs that are used for people who  
17 are not absolutely trivial offenders, there are  
18 going to be high failure rates.

19 If they are well managed, intensive  
20 programs, failure rates of 35, 40, 45 percent are  
21 not uncommon, so those people are going to provoke  
22 processing costs for prosecutors and Judges and  
23 cops and they are going to go back to prison.

24 Now when you do analyses like that, if  
25 you make the assumption that half of the people,

1       only half in your community program which is  
2       ostensibly for higher risk offenders who are going  
3       to be diverted from prison, and you make some  
4       assumptions about half of the whole group are going  
5       to fail, it turns out that comparisons like 2,000  
6       against 25,000 just disappear. It's a lot more  
7       expensive running a good community corrections  
8       program in terms of total costs than their  
9       proponents often say.

10                Nonetheless, if you can figure out a way  
11       actually to take less, take repetitive property  
12       offenders for example, or one time violent  
13       offenders who don't have a personal history that  
14       makes you believe that they present a risk of  
15       continuing violence of the sort you don't want to  
16       see happen, and put people like that into well run  
17       community programs, it does cost less, yes.

18                Q     Just one final question.

19                A     Sure.

20                Q     You mentioned the fact that the death  
21       penalty is not a deterrent.

22                A     No, I didn't really say that.

23                Q     You didn't say that?

24                A     No. I don't believe it is but I didn't  
25       say that.

1 Q Okay.

2 I don't think we have executed anybody  
3 since 1960 in this state and so I don't know how we  
4 can gauge whether it's a deterrent or not a  
5 deterrent. And I imagine in other states it's the  
6 same way with some of these murderers and that who  
7 know for a fact that they are not going to ever see  
8 the death penalty, regardless of if they gave it to  
9 them in the courts.

10 So I really don't know how we can gauge  
11 whether the death penalty is a deterrent for  
12 murder.

13 Thank you. Thank you for your testimony.

14 A Sure.

15 ACTING CHAIRWOMAN JOSEPHS: All right,  
16 Representative Daley in the back.

17 BY REPRESENTATIVE DALEY:

18 Q My question is this. I came in late and  
19 I apologize for coming in late, but I thought I  
20 heard you say that the crime rates are down and  
21 it's a public perception basically exacerbated by a  
22 media frenzy that really perpetuate a myth that the  
23 crime rates are up.

24 However, from all of the reports that we  
25 read every day in the papers and hear on the radio

1 and see on television, that maybe crime rates are  
2 down but violent crime rates are up.

3 Am I correct in that assumption?

4 A Violent crime rates are down. Those  
5 violent crime rates in which a firearm is used are  
6 up. Within a declining overall rate of violent  
7 crime, the rate of firearm crime is going up.

8 Q Clarify a point for me.

9 It's my understanding of the Uniform  
10 Crime Reports that the City of Philadelphia does  
11 not submit their statistics for evaluation to be  
12 determined as part of that analysis.

13 Am I correct in that assumption?

14 A I don't know what the City of  
15 Philadelphia does.

16 Q I am of the impression that they don't.  
17 I'm not sure for a fact -- Ralph is shaking his  
18 head.

19 Yes, they do; yes, they don't, Ralph?

20 REPRESENTATIVE ACOSTA: They report 50  
21 percent of the crime. The rest they cover it up.

22 ACTING CHAIRWOMAN JOSEPHS: I can only  
23 say that I am also from Philadelphia, and I guess  
24 there is a wide diversion of opinion on that.

25 BY REPRESENTATIVE DALEY:

1           Q     My understanding is that the City of  
2 Philadelphia does not, however, have those crime  
3 reports submitted for analysis.

4                     My concern is I think either -- I'm  
5 hearing things that I shouldn't hear, or the public  
6 is hearing things that they don't hear ever that  
7 quite honestly the people in jail are not afraid of  
8 the system any more.

9                     At one time they were. When you went to  
10 jail you were afraid to go to jail and the hardened  
11 criminals that go to jail, the recidivism, the 67  
12 percent or 70 percent that are back in jail are not  
13 afraid of the system, number one.

14                    Number two, the public sees people on  
15 trains, shooting on buses, kids carrying guns, kids  
16 shooting people at McDonald's, people getting shot,  
17 those high visibility types of crimes that people  
18 simply now are more afraid than they ever have  
19 been.

20                    Now culminate that between what you see  
21 on television, what's real and happening, and also  
22 the fact that people are not afraid of the system.  
23 People are saying, and I'm on the House Judiciary  
24 Committee, and we talk to people that work in the  
25 system. We talk to prisoners that are in the

1 system. They say we're not afraid, you can't do  
2 anything to me that's going to make me fearful of  
3 going to jail.

4 How do you respond to that?

5 A Well, about Philadelphia, I won't say  
6 anything more.

7 I think you are just agreeing -- you are  
8 not agreeing with me about the nature of long term  
9 terms in crime. I don't know if we can agree or  
10 disagree, but you were agreeing that the reason why  
11 people have a perception whether it is true or not  
12 that crime is increasing is because of the powerful  
13 images that we're just awash in.

14 My point was that the best evidence we  
15 have of people who make it their living to  
16 understand these things, all of the mainstream  
17 conservative and all of the mainstream liberals  
18 among academics agree that for most Americans, the  
19 risks of crime victimization are down, including  
20 violent crime.

21 Q I would venture to say that whenever any  
22 member of this General Assembly has a town meeting  
23 and we would say that to our constituents, we would  
24 be laughed out of the room because our  
25 constituents, be it something contrived by the

1 media, truly believe in their hearts that they are  
2 at greater risk now than they have ever been.

3 A I don't know when you came in but there  
4 are two things that I would say.

5 One is I started with the notion that I  
6 was going to give this talk as if we were going to  
7 be worried about only the substance of policy and  
8 not worry about the political ramifications, what  
9 do we know, and then we can decide on what to do.  
10 I'll stop there.

11 Q No, there are other members that have  
12 questions.

13 ACTING CHAIRWOMAN JOSEPHS: I wanted to  
14 make a comment and I guess a question.

15 I had always thought that the rate of  
16 violent crimes rose and fell with the age of the  
17 population and our population is aging, and that's  
18 one of the reasons why our violent crime rate is  
19 dropping.

20 Is that true?

21 PROFESSOR TONRY: Well, crime --  
22 offending in general and within offending violent  
23 offending, are highly age specific behaviors.

24 It's true that people who are going to  
25 commit violent crimes, the kind of violent street



1 crimes and stranger crimes that we most worry  
2 about, the high age rate are 17, 18, 19, 20; that's  
3 true, there is an age composition effect.

4 That is not entirely happy because we are  
5 just this year at the trough in the size of the  
6 birth cohorts of 18 year olds.

7 For the next ten years, the number of 18  
8 years olds in the population is going to be going  
9 up, so one would expect if this age specific  
10 offending proposition is true, which it is, that  
11 there is going to be some violent crime increase  
12 because of that.

13 Now long term trends -- here is a  
14 metaphor.

15 In the deep currents of the ocean of  
16 human behavior so far as crime is concerned, the  
17 trend is downward. At the surface, at the surface  
18 and especially in this 4 or 5 percent of the  
19 population that live in the worst areas, there are  
20 storms raging and those storms depend upon time and  
21 place in history.

22 The increased availability of  
23 increasingly lethal firearms, the increasingly  
24 dangerousness of the drug distribution worlds, and  
25 in part because of those two things, the spread of

1 organized juvenile gangs, are creating a powerful  
2 preservation of violence that probably is going to  
3 be short term.

4 These things tend to be short term. It  
5 is certainly overbearing, the effects of changing  
6 the age composition.

7 ACTING CHAIRWOMAN JOSEPHS: Okay,  
8 Representative Manderino?

9 REPRESENTATIVE MANDERINO: Thank you,  
10 Madam Chairman.

11 BY REPRESENTATIVE MANDERINO:

12 Q You touched on earlier, Professor, the  
13 notion of property offenses and how we deal with  
14 them, not just in our Commonwealth but in the  
15 country, and that's been an increasing concern of  
16 mine that I don't think we pay enough attention to.

17 It seems to me that if we are talking  
18 about making victims whole, which is what a lot of  
19 this should be all about, that when we incarcerate  
20 non-violent property offenders, we take away the  
21 ability of them to make their victims whole.

22 And I guess my question is, is that what  
23 we are doing and if so, is there any -- have there  
24 been any studies that show exactly that effect?

25 Do you know what I'm saying?

1           It just seems to me you throw them in  
2 jail and they no longer have a job. They can't  
3 work. We can't put penalties on them that make  
4 them pay back their victim and make their victim  
5 whole, but yet I haven't really seen anybody talk  
6 about it or read anything of much substance to show  
7 whether that is a real issue and what we should be  
8 changing to deal with that.

9           A     I know of no specific studies on it  
10 because the proposition is so categorical.

11           If you are going to put people in prison,  
12 by definition that eliminates their ability to earn  
13 any money, except illegally in the prison through  
14 the distribution of things that they shouldn't be  
15 distributing in the prison.

16           The one place we have a little knowledge  
17 of something that is kindred concerns victim-  
18 offender mediation programs.

19           We do know that well run victim-offender  
20 mediation programs achieve much higher levels of  
21 payment of restitution orders than do ordinary  
22 probation sentences with a restitution component  
23 added to it.

24           If you take the next step and say suppose  
25 we compare people who were sent to prison and were

1 ordered to pay restitution, well, it's nonsense, of  
2 course, unless it was a prisoner who had some  
3 private means that we could reach while he was in  
4 prison.

5 Sure, it has to be true that people in  
6 prison can't pay restitution.

7 Q Then maybe the more appropriate question  
8 is to what extent are we using in our Criminal  
9 Justice System those types of -- with property  
10 offenders, those types of programs whose primary  
11 focus is restitution as compared to probation with  
12 kind of restitution as an aside?

13 A On a small basis, but growing rapidly.

14 There are about 1,200 victim-offender  
15 mediation programs in the U.S. now, up from about  
16 200 in 1982-'83, so it's growing quite rapidly.

17 The economics of property offenders in  
18 1991, 32 percent of the people who went to State  
19 prisons were property offenders, 32 percent were  
20 drug offenders, and 26 percent were violent  
21 offenders. The rest were public order, drunk  
22 driving and whatnot.

23 That's a lot of people going to prison at  
24 twenty-five grand a year, and if you think of the  
25 cost benefit analysis of what would be the

1 economically most rational way to try to deal with  
2 those offenses, it would probably be much more  
3 economically rational for the government to impose  
4 some kind of community sanction that was burdensome  
5 and nasty for the Defendant and just to go ahead  
6 and pay the restitution and pocket the additional  
7 \$21,000 a year; whatever it was.

8 ACTING CHAIRWOMAN JOSEPHS: All right.  
9 Representative Tangretti?

10 REPRESENTATIVE TANGRETTI: Thank you,  
11 Madam Chairman.

12 BY REPRESENTATIVE TANGRETTI:

13 Q Professor, let's talk about that 26  
14 percent of the most violent offenders and,  
15 particularly, those who perhaps have been there for  
16 the second or third time.

17 Are you suggesting that there are no  
18 cases -- in those instances, out of those instances  
19 that we shouldn't be talking about mandatory  
20 sentences?

21 A No, I'm not really saying that.

22 Yes, I'm saying two things.

23 The first is that the mythology of knee  
24 jerk molly-coddling liberal Judges to the contrary  
25 notwithstanding, I think it is an exceedingly rare

1 event when a person is convicted of a second  
2 vicious crime, or a second crime involving the  
3 infliction of gratuitous violence in the course of  
4 a robbery or some such thing, that such people do  
5 not now and have not for a long time received  
6 serious prison sentences.

7 If as a matter of policy you want to say  
8 if we can identify those people, we ought to do  
9 something to assure that in a rare case where a  
10 Judge would do something else and is not so  
11 committed that he will simply circumvent and we  
12 want to pass mandatory sentencing laws, I think  
13 that's right.

14 Often historically we have a very hard  
15 time crafting these laws sufficiently narrow to  
16 reach the people you want to reach but, sure, there  
17 are crazy, psychopathological people who are scary  
18 and out of control.

19 Q I guess I'm asking of those individuals,  
20 and I guess definition becomes a problem, but those  
21 individuals, it just seems to me those individuals  
22 are not difficult to identify. Those individuals  
23 ought to be taken off the street for a long, long  
24 time and if we have a five-year mandatory  
25 sentencing as we do in Pennsylvania now for

1 committing a crime with a gun, I guess it's a  
2 handgun, --

3 REPRESENTATIVE MANDERINO: I think it's  
4 an added five years.

5 BY REPRESENTATIVE TANGRETTI:

6 Q I'm told that a large percentage of  
7 those, well over 50 percent, are plea bargained so  
8 that those aren't served, at least via your  
9 testimony as it relates to Pennsylvania.

10 How are we to assure ourselves if we do  
11 identify those absolutely unfit for society  
12 characters, how do we assure ourselves that even if  
13 we do mandatory sentencing those kind of  
14 circumventions don't take place unless we do that,  
15 unless we do say regardless of any other  
16 considerations to the contrary, you commit the  
17 second or third offense of a violent nature with or  
18 without a weapon, and you are going away for life  
19 without parole?

20 I agree with you there are probably going  
21 to be certain -- I don't have any studies as you do  
22 that we're probably going to be putting away some  
23 people for life if we were to do that; that  
24 probably it's too harsh, but as a society, my  
25 question I guess is how do we deal with those other

1 folks on the other end?

2           Should we as a society accept that kind  
3 of behavior in the off chance that we're going to  
4 put somebody away that shouldn't be there, or  
5 should we protect ourselves?

6           I have to tell you, I come from a  
7 relatively non-violent county that increasingly is  
8 becoming so because we are contiguous to Allegheny  
9 County in the Pittsburgh area and we are starting  
10 to see the first vestiges of gang life in  
11 Westmoreland County.

12           And to those individuals, and I like to  
13 consider myself an honest politician whether we  
14 talk about policy or not, but to those individuals  
15 who live there, including myself, I just feel that  
16 we need to get those folks off the street and how  
17 we define that, I guess is problematical, but we  
18 need to define those individuals, put them into a  
19 category and put them away for life.

20           I suspect what you are saying to me, and  
21 I believe what you say to me, is you agree with  
22 that if we can identify those, and if we don't  
23 throw the baby out with the bath water.

24           A     You are just on the horns of the dilemma.

25                 If you do what most jurisdictions do when



1 they look at statutes like this, say a habitual  
2 offender law for three violent crimes, you start  
3 out with the notion that we really want -- we don't  
4 just want violent crimes. We don't want somebody,  
5 husband and wife, who throw things at each other  
6 each weekend and the husband suddenly realizes that  
7 he has accumulated a fifth assault charge. We  
8 don't want her going to prison for life, or we  
9 don't want him going to prison for life.

10 We start out by saying that this is for  
11 repetitive violent high risk people that meet some  
12 test, and that's how it starts.

13 We probably -- if only a hundred of us  
14 could do it, we could determine what that criteria  
15 would be. The difficulty is that these things move  
16 through the legislative process and they tend to  
17 get broadened and broadened and broadened.

18 As they get broadened, they run into the  
19 problem that I described, that as soon as you start  
20 having a law that says the third violent crime can  
21 be a robbery, a rape, an assault, a homicide, it's  
22 life imprisonment.

23 You reach a point where many of the  
24 people who run the system day to day decide they  
25 can't live with their consciences about throwing

1 somebody away for the rest of their life, so they  
2 circumvent it and your dilemma is that if you make  
3 the thing too broad and the political process makes  
4 it hard not to have it be too broad, foreseeably  
5 the human beings who operate the system in ways you  
6 cannot control as a legislator in Harrisburg are  
7 often going to avoid imposing it in cases where  
8 they think it shouldn't be imposed.

9           And if you want to try -- if you can do  
10 it narrowly enough, I'm all for it, but the risk  
11 historically is that people who wind up in prisons  
12 under habitual offender laws tend to be small fry;  
13 they tend to be pitiful characters with three,  
14 four, five or six property felonies, and that's  
15 where they are forever.

16           That's not the statute you are talking  
17 about, but the history is that's what the statutes  
18 end up looking like. We define a felony more and  
19 more broadly.

20           REPRESENTATIVE TANGRETTI: Thank you.

21           ACTING CHAIRWOMAN JOSEPHS: All right.

22           Representative Acosta?

23           BY REPRESENTATIVE ACOSTA:

24           Q     Professor, I don't know where to begin  
25 with the issue.

1                   It seems to me that crime is a business,  
2 big money is involved.

3                   In my community, my District,  
4 Philadelphia, I see every day kids 18, 20 years old  
5 being picked up by police simply because they want  
6 to process somebody that day and because probably  
7 the cop that is involved has a friend lawyer or a  
8 relative in the law system and that probably means  
9 business to the individual, and it seems to me in a  
10 way it's a business.

11                   What can we do to correct that?

12                   I mean I see it happen every day. Same  
13 thing with the problems in the prison system. The  
14 individuals or the personnel that are working  
15 supposedly handling prisoners, they are worse off  
16 than the prisoners, themselves.

17                   I have a couple of examples in Graterford  
18 where friends and relatives can now get a hold of  
19 anyone to talk about the inmate brother, sister,  
20 whoever.

21                   On the other hand, there are more drugs  
22 in the prison, more problems in the prison than we  
23 have on the streets. It seems to me that it's a  
24 matter of economics.

25                   Somebody is pushing somebody to make

1 business for somebody. What do we do with that?

2 A Well, I'm afraid I don't have the answers  
3 for how we find effective, decent, efficient public  
4 managers to figure out ways to run programs in the  
5 way they are supposed to run.

6 That's what you do when you do -- you  
7 have a head hunter find a Joe Lehman for you in one  
8 segment of the government. I don't have an answer  
9 for that. Public services ought to work and too  
10 often they don't. It's a management matter it  
11 seems to me. It's certainly not an academic  
12 consultant's area of expertise.

13 Q I have another one.

14 Do you think that somebody could do  
15 research about how the prison systems in this  
16 country, let's take the State of Pennsylvania,  
17 handles itself in terms of behaving, doing the  
18 right kind of job, if you want to call it that way,  
19 to make it easier for prisoners?

20 They are already paying society for  
21 whatever crime they committed, but when they get  
22 into prison it's just as bad as it is in the  
23 street.

24 A Well, with all Criminal Justice Agencies,  
25 I mean the problem is this is not an area in which

1 people really want to spend money, except in the  
2 rare case of somebody who wants a new prison in  
3 their county, so they want to spend money on a new  
4 prison.

5 It's a job creation device.

6 If you talk to public managers and police  
7 departments or corrections departments or probation  
8 and parole departments, they always claim, and I do  
9 sympathize with them, to be starved for resources.

10 I'm sure if you all go away for a retreat  
11 with the senior staff of the Pennsylvania  
12 Department of Corrections, Joe Lehman could give  
13 you a list of ten things he would rather have done  
14 differently in his prison system, but he just  
15 doesn't have the money to do it; he doesn't have  
16 the staff to do it.

17 Q One more question and I'll shut up.

18 How about doing some research about the  
19 operation of drugs inside the prison system? That  
20 is another problem that is created by the system.

21 A The important thing is that there is some  
22 research on it and it will become more important as  
23 time goes by.

24 BY ACTING CHAIRWOMAN JOSEPHS:

25 Q Professor, I was very struck by

1 Representative Tangretti's question and I guess I  
2 would have from a public policy point of view as an  
3 elected person, answered in a slightly different  
4 way.

5 It seems to me that people who write  
6 statutes have to do broad-based policy.

7 We have another whole set of folks that  
8 we pay in this state and we elect to look on a case  
9 by case confrontational situation to decide what  
10 should happen with this individual within the broad  
11 framework that we as legislators have set up.

12 Those people are Judges.

13 Now what we seem to have done with  
14 mandatory sentencing is decided that we are going  
15 to be not only legislators but we are going to be  
16 Judges and sometimes I wonder why we bother with  
17 the court system at all. Why don't we just bring  
18 the people who are offenders before us, in front of  
19 the General Assembly, and we'll find them guilty or  
20 not and sentence them and convict them.

21 And one of the things that I find has  
22 gone so askew here is that we simply do not have  
23 the capacity I don't believe as legislators to do  
24 what you're saying and certainly not to make sure  
25 we get everybody who is bad in jail, to make sure

1 we get everybody who is good some place else.

2 As human beings, we probably don't  
3 either, but I think as we change the system and  
4 make ourselves more and more a part -- invade the  
5 judicial jurisdiction, we make it harder and harder  
6 to do that and not easier.

7 REPRESENTATIVE TANGRETTI: Not to get  
8 into debate with Madam Chairwoman, but I would  
9 suggest that the reason we are talking about this  
10 kind of thing is because the Judges don't do what  
11 they are supposed to do.

12 ACTING CHAIRWOMAN JOSEPHS: That's a  
13 perception that I can't argue with you. That's  
14 part of why we are going the way we are going.

15 PROFESSOR TONRY: There is a down side,  
16 if I can say one thing.

17 I have heard the phrase often used by  
18 Federal Judges that the current Federal sentencing  
19 guidelines which have much more legal force than  
20 your sentencing guidelines do, often force Judges  
21 to make a choice between satisfying their oath to  
22 enforce the law, which means enforce penalties that  
23 they regard as fundamentally unjust or unduly  
24 harsh, or observe their oath to do justice.

25 One of the effects of passage of very

1 many laws in which legislators as a policy matter  
2 try to tell Judges precisely what they should do is  
3 that it forces Judges into that dilemma, so it has  
4 to me, the unhappy but foreseeable effect of  
5 forcing dishonesty and circumvention and all of  
6 those words I used earlier on Judges and  
7 prosecutors.

8 Often prosecutors, particularly in the  
9 Federal Courts, often prosecutors are figuring out  
10 the ways to avoid imposing the law because they  
11 think they are too harsh.

12 I am not saying that is your system, but  
13 that is a perverse side effect of trying to micro-  
14 manage policy.

15 Very often, except in the narrowest kinds  
16 of ways which is usually redundant in the way that  
17 I said --

18 ACTING CHAIRWOMAN JOSEPHS: Okay,  
19 Representative Keith McCall.

20 REPRESENTATIVE McCALL: Thank you, Madam  
21 Chairman.

22 BY REPRESENTATIVE McCALL:

23 Q I think most of my questions have been  
24 asked, but you have made a lot of interesting  
25 observations. Some I agree with wholeheartedly and



1 some I disagree with.

2 My question would be from your  
3 perspective, what would your suggestions be for  
4 public policy so we can address the crime problem?  
5 And I would be interested to hear what you have to  
6 say about that.

7 A Well, I would listen seriously to the  
8 Sentencing Commission if and when it comes to you  
9 with proposals about altering policies and  
10 priorities for who goes to prison and for how long,  
11 especially if their proposals move toward reduced  
12 use of prison for property offenders and reduced  
13 lengths of incarceration for violent offenders and  
14 violent crimes should clearly have consequences.

15 But it becomes a useless waste of public  
16 resources to hold somebody into their '50's or  
17 '60's or tenth or fifteenth or thirtieth year of a  
18 prison sentence.

19 I would repeal -- I'm just being an  
20 academic now. I would repeal most if not all of  
21 your mandatory sentencing laws for the reasons I  
22 have described, that our past experience with them  
23 have told us that they tend to do things we don't  
24 want them to do and are often redundant and they do  
25 things we don't want them to do.

1 I would invest a lot of money, a lot of  
2 money. For every \$2 I would try to save long term  
3 from the Department of Corrections, I would invest  
4 \$1 in local community corrections programs because  
5 bad acts have to have a consequence.

6 And if you took the first couple of my  
7 bits of advice and didn't follow through so that  
8 the people who didn't go to State prisons,  
9 virtually nothing happens, their sense that their  
10 behavior hasn't had a consequence of course would  
11 be non-existent.

12 The perception of observers of what was  
13 going on -- I mean it would become discredited and  
14 the pressures therefor to move back toward  
15 investing more money in prisons would come back.

16 So those are the major things that I  
17 would do, reduced use of incarceration for property  
18 offenders, shortened sentence length for violent  
19 offenders, repeal most mandatory and habitual  
20 offender laws and invest a lot of money in  
21 community corrections.

22 Q Just as a follow up, if the fact is that  
23 the older one gets the less violent, and I think  
24 that is proven out, you would say that the twenty  
25 year old who may have committed a minor crime and

1 then commits a violent crime, that he should not --  
2 and maybe subsequent to that has a third offense,  
3 and Pennsylvania is now deliberating three strikes  
4 and you're out, at least it's been introduced and  
5 people are talking about it, you don't think you  
6 would put them away for life, but how about forty  
7 years or thirty-five years, sentencing them for  
8 thirty-five to forty years and letting them out  
9 when they are fifty years old, if older is less  
10 violent, let's keep them in until they are fifty or  
11 sixty years old.

12 A I guess what I would do is the state I  
13 live in there are -- no death penalties, but there  
14 are life sentences, very long sentences. But the  
15 Commission of Corrections has authority after some  
16 period of time, twelve years or fifteen years as an  
17 administrative matter, to consider whether that  
18 prisoner presents a risk of the sort that he could  
19 be released on what is in effect an extended  
20 furlough, and that often happens.

21 If you wanted to take seriously the  
22 notion that violence burns out by age fifty, pick  
23 your number, I guess I'd want to put a safety hatch  
24 in that someplace, because it's probably clear that  
25 if you have people who are just congenitally

1 violent, probably clear from the way they behave in  
2 prison, that at least if they are violent, acting  
3 out people in prison, that is pretty apparent and  
4 that is pretty powerfully correlated to how people  
5 behave in the real world.

6 I just don't see what the benefit is from  
7 spending thirty years of public money and twenty-  
8 five, thirty or thirty-five grand per crime and  
9 letting that accumulate.

10 I started by saying that our prison  
11 populations are going to keep going up no matter  
12 what we do because of this critical mass of people  
13 going in the front door on long sentences with  
14 nobody coming out at the back end who completed  
15 serving those sentences. It's going to take a long  
16 time for them to accumulate, and that's what's  
17 going to happen with your forty or fifty or thirty  
18 year sentences.

19 Send a thousand people a year and in  
20 twenty-five years, you have 25,000 people who are  
21 still serving a 40-year sentence.

22 ACTING CHAIRWOMAN JOSEPHS: Any more  
23 questions?

24 (No response.)

25 Well, I want to thank you very much,

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Professor, I found this very, very informative and quite interesting, and I think everybody else on the Committee extends the same thanks.

Thank you very much.

PROFESSOR TONRY: Thank you so much for having me.

(The hearing concluded at 2:30 p.m.)

- - -

I hereby certify that the proceedings and evidence taken by me in the above-entitled matter are fully and accurately indicated in my notes and that this is a true and correct transcript of same.

  
\_\_\_\_\_  
Nancy J. Gréga, RPR/slg