## HOUSE OF REPRESENTATIVES COMMONWEALTH OF PENNSYLVANIA

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Senate Bill 752

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House Judiciary Subcommittee on Courts

Room 140, Majority Caucus Room Main Capitol Building Harrisburg, Pennsylvania

Monday, April 1, 1996 - 10:00 a.m.

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## **BEFORE:**

Honorable Daniel Clark, Majority Chairman Honorable Jere Schuler Honorable Frank Dermody, Minority Chairman Honorable Thomas Caltagirone

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CHAIRMAN CLARK: Good morning. This is the time and place advertised for our April 1st Subcommittee on Courts, House Judiciary Committee meeting to consider Senate Bill 752 which was introduced by Senator Shaffer in the Senate. It consists of a joint resolution which would amend the Constitution of the Commonwealth. The essence of the bill is that, in criminal cases, an accused that waives his right to a jury trial would need the consent of the Commonwealth. A number of issues are raised by that.

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The question as to whether that right is a procedural right or a substantive right, our Supreme Court in '82 indicated that was a procedural right, and that they have the exclusive power to promulgate procedural rules. Therefore, the Supreme Court struck down a previous attempt by the state legislature to pass a similar law to this in 1978.

Also, this is permitted under federal rules, a defendant can waive his right to a jury trial only with the consent of the government.

The United States Supreme Court held that this was within the bounds of the Constitution

because, if the prosecuting body did not consent to the jury trial, then the defendant ultimately was subject to an impartial trial by jury, and that is the very thing the Constitution guarantees him. Twenty-four states and the District of Columbia also give the prosecution the right to a jury trial, and that is what we're considering today as far as the path of Pennsylvania.

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With that, I think I'll have the members introduce themselves and where they're from, and then we'll proceed with testimony from our first witness, Joel Rosen and Gary Tennis of the Philadelphia District Attorney's Office.

REPRESENTATIVE DERMODY: Frank Dermody from Allegheny County.

REPRESENTATIVE CALTAGIRONE: Tom Caltagirone from Berks County.

CHAIRMAN CLARK: Mr. Rosen and Mr. Tennis.

MR. TENNIS: Thank you, Mr. Chairman.

I'll present a few remarks, summarize the written comments that are before you, and if it pleases the chair, give the opportunity to Joel Rosen who's the Chief of our Major Crimes Units,

handles the majority of serious felonies in Philadelphia, to offer him a chance to make a few remarks.

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CHAIRMAN CLARK: That will be fine.

MR. TENNIS: Good morning, members of the Judiciary Committee: Thank you very much for the opportunity to address this political issue. My name is Gary Tennis. I'm the Chief of Legislation for the District Attorney's Office of Philadelphia. I'm here testifying on behalf of the Pennsylvania District Attorneys Association on behalf of this bill.

The right to a jury trial is one of the fundamental rights guaranteed to the citizens of this state and of the United States. It's not only guaranteed to those who are charged with a crime, but it's guaranteed to those who are seeking relief in the civil arena whether it's addressing contract disputes or tort liability issues, or any other civil law issues. Remarkably, the only Pennsylvania citizens denied this right in matters of legal significance are victims of crime and those representing both them and the public safety; that's the prosecutors.

This unjust disparity between the rights of the defendant and the rights of the prosecution in terms of having a right to a jury trial came to the attention of the General Assembly nearly 2 decades ago. In 1978 as the Chairman indicated, the legislature enacted a law giving the Commonwealth the right to a jury trial. Four years later, as the Chairman indicated, the Pennsylvania Supreme Court struck it down for the reasons that Representative Clark has already indicated.

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The reason for this bill is very, very simple and very straightforward. We're simply asking that the victims of crime and those representing the public be placed on an even playing field with the criminal defendant. If the defendant feels he must have a jury in order to have the fairest possible trial, he's entitled to that, and he should be.

Similarly, the prosecutor and the victim will on occasion determine that their case cannot be fairly heard by a judge without a jury; that they need to have a jury here in place in order to make sure that they get a fair hearing of the issues.

For example, one example that's in my testimony is the date rape victim, where you have a judge, for example, who may feel that someone who's a victim of date rape is somehow culpable herself and that kind of case is not really that serious and maybe not worthy of attention in the criminal courts. Under those kinds of circumstances, the victim and the prosecutor may feel that their way to get the fairest possible hearing of the criminal charges is to have a jury impaneled and have a jury hear the case.

Another example, and one that I certainly ran into when I was trying cases in the unit that Mr. Rosen heads up was, I was in front of a judge for one year who did not believe in the 5-year mandatories for violent crimes with a gun. That judge, in any case in which we had a strong case that there was a serious violent crime committed with a gun, that judge would refuse to convict the more serious offenses charged, and to convict of a lesser offense in order to basically supplant the judgment of the legislature, to overrule legislature and basically adopt a separate rule

of law in his courtroom. There would be no 5-year mandatories in that courtroom.

In those cases, the prosecution, the victims of the crime, the citizens of the Commonwealth were entitled to have a fair hearing of the case; to have someone who would apply the law in a fair and straightforward fashion. Yet, we were denied that.

In front of that kind of judge, we had, based on painful experience, determined that we could not get a fair hearing of the case. We also like the defendant need to be able to impanel a right to a jury. We're basically saying, put victims of the crime, put the interest of the public safety not ahead of, but on the same playing field; an even playing field with that of the defendant. It's a very simple concept, one just tremendously important.

I've cited in my testimony and I won't go through it in detail because I want to give more time to Joel Rosen who really can speak to you more from experience of other ways, other problems other than the fundamental unfairness of the current state of the law.

Defendants are able to use our

inability to demand a jury trial to delay their case. As you all know and those of you who practice know--we have 2 of the 3 members before me I know are former prosecutors--that it can very often be to the defendant's advantage to delay a case, particularly where you have a strong case but maybe you have witnesses who for one reason or another are growing weary of coming in and listening after listening.

In the Philadelphia system, for example--we are only speaking for the Philadelphia perspective--every time a defendant waives a jury trial, for example, he gets switched from the jury program to the nonjury program. If he comes in front of the nonjury program and switches back, he gets switched back to the jury program; each time causing more and more delay.

I cited in my testimony one example of a case where the defendant has switched 2 or 3 times. The defendant was arrested in late '94. Now the case is listed for jury trial on April 23rd. A judge could, and you might ask, why can't the judge just put an end to this nonsense and say no, you're going to take a jury trial.

A judge could. But, unfortunately, they don't.

All too often they don't.

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Our ability, we could, representatives of the prosecution, representatives of the Commonwealth, with this change in the law we could say when the defendant demands a jury trial, we could say yeah, you get the jury trial and if you change your mind again, we're not going to agree to it. You asked for a jury trial, you get the jury trial.

The other kind of advantage that I think goes against public policy that the defendant can take from this unfair disparity is judge shopping. If he asked for a nonjury trial and he gets put in the nonjury program and gets in front of a judge he doesn't like, he has a very simple device, which is to ask for a jury trial. That can't be avoided. But then if the defendant doesn't like the jury trial judge, he can try to duck that again by asking for a nonjury trial.

Basically, we are powerless. Without the cooperation of the judiciary, of course, that's kind of at the heart of what a lot of this is about, we're powerless to put an end to

this kind of nonsense, this kind of judge shopping, this kind of dilatory tactics. We're just asking to be able to do that to move these cases forward.

This issue has come before the United States Supreme Court, and I really can't say it any better than the United States Supreme Court did. There they address the issue of the fact that the federal government has the right that we're asking for and they said, well, does that violate the defendant's rights to say that — You can frame it different ways? You can frame it as the defendant can't waive a jury without the government's permission. The way we frame it is, we'd like the right to a jury trial also. We think of this more as the Commonwealth's right to a jury trial.

In addressing the constitutionality of that, I'd like to quote the language on page 5 from the United States Supreme Court where it said, in 1965, not a pro-law enforcement court, for those who don't recall that, they said, a defendant's only constitutional right concerning the method of a trial is to an impartial trial by jury. We find no constitutional impediment

to conditioning a waiver of this right on the consent of the prosecuting attorney and the trial judge when, if either refuses to consent, the result is simply that the defendant is subject to an impartial trial by jury, the very thing that the Constitution guarantees him.

Twenty-four states have taken and basically remedied this situation. The federal government has not run into problems. We're asking if what's required of us in this state because of our State Supreme Court holding on the issue is the only way that we can remedy this by constitutional amendment because we've basically been put into that box by the case that Chairman Clark mentioned just a few moments ago.

The General Assembly has over the past 15 months demonstrated its strong commitment to ensuring that the victims of crime can obtain justice in our criminal justice system.

However, these sweeping legislative changes will not provide justice to victims and to the public unless they have their cases heard in front of impartial fact finders. Having good laws is a kind of April fools on the victims when those

good laws are not adjudicated in front of an impartial fact finder. That's really what we're asking for; something the defendant gets and something we think the victims of the crime deserve.

Granting the Commonwealth the right to have a jury decide these cases is absolutely necessary to ensure true justice to crime victims and greater protection of the public against crime. The Pennsylvania District Attorneys Association urges the House to approve Senate Bill 752 and permit the citizens of the Commonwealth to have the opportunity to decide whether or not to grant the Commonwealth the right to a jury trial.

I'd like to now introduce Mr. Rosen, who, as I said, in addition to prosecuting a number of notorious cases that you probably heard about before he become Chief of the Trial Jury Unit heads up our Major Crimes Unit.

MR. ROSEN: Thank you, Gary. Good morning, gentlemen. Thank you very much for giving me the opportunity to come here and speak to all of you today.

My name is Joel Rosen. I run the

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Major Trials Unit of the Philadelphia D.A.'s office, which is the unit that handles the bulk of the serious felony cases in Philadelphia.

I've been a D.A. for 15 years. About 6 or 7 of those years has been spent in the Homicide

Division of the Philadelphia D.A.'s Office where I prosecuted cases involving murder of police officers. I did investigations and prosecutions of the Junior Black Mafia and other violent drug gangs in Philadelphia.

I guess I was asked to be here today because I supervise D.A.'s who try cases all the time, but also because I have a lot of trial experience myself in very serious cases.

What we're asking for, as Gary stated, we're just asking that there be a level playing field here; that, as representatives of the community and as representatives of victims in crimes, that we be given the same right that a defendant and his lawyer have; that, if we feel that we can't get a fair trial from a judge, that we be allowed to have a jury hear the case. That's all we're asking for here.

What happens, to give you some ideas, in every case in Philadelphia, and probably in

every county I would assume in the state, the case is assigned out to a particular judge.

When that case is given to a particular judge, it is the defendant and his lawyer and the defendant alone who gets to decide whether it's going to be a jury trial or a nonjury trial.

guess you can say that the defendant justifiably so is looking for the fairest trial that he can get. Quite frankly, what he's looking for is the best chance that he can, to get the best result that he can. So, the defendant and his lawyer take a look at the judge that he's assigned to and then they say, well, I think this should be a waiver trial because we think this judge will give us a favorable verdict or a favorable finding on this case.

If they think they're in front of a tough judge or a judge who won't give them a fair trial or a favorable verdict, they get to say fine, we want to have 12 jurors hear this case.

As a prosecutor you're sitting there representing the community and you have the victim sitting next to you and you're basically

powerless in that whole process. You basically just sit there and you have to let the defendant and his attorney decide how this case is going to be tried. You have no word in it at all the way the law is written now. That has very real and sometimes very serious ramifications for a prosecutor. I tried to think what would be the best example of that.

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I thought of a case that I tried probably about 8 years ago when I was in the Homicide Division of the Philadelphia D.A.'s Office. I was assigned a case where a woman was charged with murder. What had happened in this particular case was, she had gotten into a very bad argument with her mother one particular morning over leaving the mother to go marry a She had rushed home from work, went to her mother's home and shot her mother twice, once in each eye. The medical examiner testified that, in fact, she shot her mother while her mother was sleeping because the bullet wounds went actually through the eyelids of the mother, indicating that her eyes were closed at the time that both shots were fired.

The defendant admitted to doing the

shooting but claimed it was an accidental shooting. She accidentally shot her mother twice, once in each eye. I was very confident of the case and very confident of the facts in the case. The case was assigned out to a particular judge. The defense attorney said this will be a waiver trial, a nonjury trial. Of course, I had no say in what was going to happen in that case. I was new in the Homicide Division. I wasn't familiar with the judge.

When I went back to my office my colleagues were telling me, you're going to lose this case. I said, you're crazy. I have a good case, a strong case, good solid evidence. They said no, this particular judge is defense oriented. In addition to that, if you have a female defendant, this judge does not like to convict women. Right then to get a fair trial I would have liked to been able to demand a jury, but I could not.

The case went to trial. My first witness was the supervisor of the defendant who testified that she heard the defendant in a violent argument on the phone that morning with her mother. The defendant slammed down the

phone, went rushing up and said, I need the rest of the day off. I have to go home. It was the very day that the mother was murdered; shot twice by the defendant. This was a very good witness, a witness with no animosity towards either side.

After she finished testifying on direct, before the defense attorney could even begin cross-examining, the judge started ripping into this woman for what to me was no apparent reason at all. I'm sitting there at that point and I knew that what my colleagues had said was true. There was no way that I was going to win this case, for whatever reason.

I remember this as clear as yesterday, in middle of the trial one of the gentlemen who worked for the defense attorney came up to me to talk to me about the case. I looked at him and I just said, look, you know and I know that I can take any 12 people from the street in this entire state and put them on the jury and they will convict this defendant. We both know that I'm not going to win this case. Sure enough the verdict came back not guilty.

I'm not saying this to complain about

one verdict in a particular case, but the reason

I bring it up is to show you that there are

cases in front of certain judges in which the

Commonwealth, the representative of the

community, the victims of the crimes simply

cannot get a fair trial.

Put the shoe on the other foot in that case. Say the judge was somebody who had a reputation for not liking women and convicting all women who came in front of him. Well then, that defendant and that defendant's lawyer could do a simple thing to get a fair trial. They could say, fine, I'm taking a jury trial and there would not be a problem because they would have 12 impartial people hearing that case.

But, the victims of crime don't have that remedy. The prosecutors, representatives of the community do not have that remedy.

That's what we're asking for; to be put on equal footing with the defendants of crimes; to have the same chance at fairness, at a fair trial in particular cases.

We see it, most often in my unit I see it now as a supervisor, in cases where there are mandatory sentences, where there are -- not all

judges, but there are some judges who just refuse to follow the laws that this legislature has passed. They do not want to convict people of mandatory sentencing cases. They don't want to have to send people to jail for 5 years for say a shooting or a gunpoint robbery.

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I've had 3 cases come across my desk in the last 2 weeks in which a citizen is walking down the street, a stranger comes up to him, pulls a gun out and puts it to their head, says, give me your money and robs them at gunpoint. The person flees and is caught. We go to trial. We have enough evidence because the defendant is convicted, but in each case the judge convicts the defendant of something less than robbery as a felony of first degree; not because the facts don't make it out, because there's no way that the facts could have made it out in these particular cases, but simply because the judge doesn't want to have to sentence the defendant to 5 years in jail.

We had a case last week where one person shot another person twice, once in the leg, shattering his bone. He was convicted by the judge in a waiver trial, but he wasn't

convicted of first degree felony aggravated assault, which clearly it is in that particular case. The reason he's not convicted is, again, the judge doesn't want to impose the mandatory. As prosecutors, it's incredibly frustrating because you know walking into the courtroom what's going to happen and there's not a darn thing you can do about it.

There's nothing worse than sitting there with a victim of a crime next to you and the victim, as a lot of people do, they come up to you and they ask you, how's the case going to go? What do you think? As a prosecutor you tell them, we think we could have problems here because the judge is not really favorable to our side in these kinds of cases. The victim says, well, can't we have a jury trial? You tell them no, I'm sorry, you can't have a jury trial because it's the defendant who gets to decide whether it's a jury trial and not us. We have no say in that.

So, what we're really asking for is really a chance at fairness. We're not asking for automatic convictions of defendants. We're not asking for any rights that the defendants

are guaranteed by the Constitution or the law to be taken away from them because defendants will be getting the right to a jury trial which is what the Constitution guarantees them. All we're asking for is a shot in some cases at a fair and impartial hearing of our facts with a fair jury. It's extremely important.

I appreciate all of you taking the time to listen to me today. Thank you.

CHAIRMAN CLARK: Thank you, Mr. Rosen and Mr. Tennis. I have a couple questions. Are judges elected in Philadelphia?

MR. ROSEN: Yes, sir.

entire matter, it's a little frustrating for the legislature to continually react and pass legislation to address the judges from Philadelphia. I think our Mandatory Sentencing Law a few years back was as a reaction of sentences that judges in Philadelphia hand out. We certainly share your frustration and continue for the last so many years to react to situations in Philadelphia that displease us greatly.

MR. ROSEN: I appreciate that. I

think also, though, and I think you will be hearing from other people who are from counties outside of Philadelphia, I don't think that this is just a Philadelphia problem.

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I think, as everybody understands, there are judges in every county who have certain inclinations towards certain types of cases that everybody knows about. Defense attorneys know about it, prosecutors know about it. That's not just Philadelphia. That's everywhere across the state.

What we're saying is, defendants and defense attorneys, justifiably so, have a way of dealing with that; of making sure that they get a fair trial which is by taking a jury. We're asking, it's not just for Philadelphia but it would be counties everywhere across the state; that they all be allowed to have the same type of remedy.

MR. TENNIS: If I could also respond briefly, in many counties I think it's pretty much normal practice for any kind of serious cases to be tried by a jury pretty much across the board. In those counties this kind of amendment really would just have no impact. In

a county where justice is functioning the way it should, I believe this amendment just would not impose any additional burdens or any additional costs. It would be pretty much business as usual in those counties.

CHAIRMAN CLARK: Thank you. Representative Dermody.

REPRESENTATIVE DERMODY: Thank you,

Mr. Chairman. I just have a couple of

questions. You talked about judge shopping. I

was a prosecutor in Allegheny County for about 6

years. In Allegheny County a case is assigned

to a judge. That judge gets that case whether

it's a nonjury trial or it's a jury trial. That

case is tried before that judge.

If you walk up to the judge in the morning of your scheduled jury trial and say, I want a nonjury. Fine, let's go, the delay aspect of it just isn't there. Is that not the case in Philadelphia? I take from your testimony it isn't.

MR. ROSEN: No, sir. Well, it is in some cases and some cases it's not. What's called our felony waiver program, which is felony cases but the less serious felony cases,

they all go in as waiver trials and they're assigned waiver judges who hear lists of cases. If the defendant then decides to demand a jury, it goes out to a jury judge. Sometimes what happens is he goes back in front of that jury judge and says, now it's a waiver and then it goes back to the waiver program.

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Oddly enough, it can also happen in homicide cases. Because what will happen is, there's a homicide calendar judge who does the assigning of cases. They'll ask the defense attorney, is this a waiver trial or a jury trial? The defense attorney often will say it's a possible waiver trial, depending on the judge he goes in front of. Based upon that, it can go out to a more lenient, less tough judge.

The other situation where it does come up is, if a defendant gets assigned to a judge that litigates a motion in front of that judge and then because of the findings, has to ask the judge to refuse himself. Often the defendant will say, well, this is a waiver trial and we still want it to be a waiver trial. They'll be able to get the kind of judge they want judge shopping by saying it's a waiver trial and the

judge will say, I'm going to send it out to what's called a waiver judge.

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me, and I don't know if Representative Clark said, I'm not saying this is one of those bills, but in my opinion, I'm sure other people's opinions differ, we have passed some bad laws because of administrative and problems with the judges in Philadelphia that now apply to the whole Commonwealth. This may not necessarily be one of those.

Probably administrative problems seem to be within the court system; have had for years. We all understand that. Maybe it would be easier to address those than the Constitution amendment. I'm not saying it's the case here, but oftentimes I think that would be easier for us to do.

MR. ROSEN: I understand why you're saying that. But, I really don't think that that is the case here. This goes far beyond being an administrative problem on some very serious homicide cases, rape cases, major trial cases. It really has nothing to do with the administration. It's just got to do with the

inclinations of the particular judge who you're in front of. It really has nothing to do with administrations.

Just as if you were a defense lawyer, you would know that there's cases where you get assigned to a particular judge and you just have to get a jury trial to get a fair trial. It's the same way for the prosecution.

The other thing I'd like to say, I don't really think there's a chance here of making bad law to deal with a Philadelphia problem because I don't see how this would be bad law because you're not taking anything away from a defendant. He's getting what's he's guaranteed to under the Constitution. He's really getting what our forefathers fought for, which is a right to a jury trial. You're not taking that away from him in any respect at all.

REPRESENTATIVE DERMODY: Thank you.

MR. ROSEN: Thank you.

CHAIRMAN CLARK: Representative Caltagirone.

REPRESENTATIVE CALTAGIRONE: Thank you, Mr. Chairman. Just a couple quick pieces of information that I'm curious about. Would

1	you happen to know how many cases your office
2	handled in 1995, and then of that, a second
3	subquestion, how many of them actually went to
4	trial?
5	MR. ROSEN: I have no idea what the
6	answer to that is. Maybe I could get the
7	answer
8	MR. TENNIS: I don't have that either.
9	I'll get that information to you in the next
10	couple of days. I'll get that right up to you.
11	REPRESENTATIVE CALTAGIRONE: Thank
12	you. Thank you, Mr. Chairman.
13	CHAIRMAN CLARK: All right. I thank
14	both of you.
15	MR. TENNIS: Thank you.
16	MR. ROSEN: Thank you very much.
17	CHAIRMAN CLARK: Excuse me. You
18	slipped in on me. Representative Schuler.
19	REPRESENTATIVE SCHULER: No questions.
20	CHAIRMAN CLARK: We thank you both for
21	your time and your insightful testimony.
22	MR. TENNIS: Thank you very much.
23	MR. ROSEN: Thank you.
24	CHAIRMAN CLARK: Mr. Ebert. We all
25	know you by other than M.L.

MR. EBERT: Good morning. My name is Skip Ebert. I'm presently the elected District Attorney of Cumberland County. Prior to that I was an Assistant District Attorney in Dauphin County, first Assistant District Attorney of Cumberland County, Chief of Prosecutions in the Attorney General's Office, and eventually became head of the Criminal Law Division in the Attorney General's Office.

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In addition to that, I guess I've been in prosecution a little over 14 years.

Additionally, at the present time I'm a member of the governing council of the American Bar Association Criminal Justice Section which represents over 8,000 defense attorneys, prosecutors, judges, court personnel, and law professors involved in the criminal justice process nationwide. I served as the National Association of Attorney Generals' representative to the ABA Criminal Justice Standards Committee which is responsible for formulating and publishing policy regarding criminal justice issues.

Today, again we revisit the right of the people of the Commonwealth of Pennsylvania

to have a jury trial in criminal cases. On the surface, a simple reading of our Constitution clearly states, quote, that trial by jury shall be as heretofore, and the right thereof remain inviolate.

You'll note there's no distinction between the right of a defendant and the right of the people. It's the right to a jury trial for all people that is guaranteed by the U.S. Constitution and the Pennsylvania Constitution.

In fact, the right was clearly recognized by our Supreme Court when it first adopted Rule 1101 of the Rules of Criminal Procedure in 1968. At that time waiver of jury trial by the defendant required the consent of the prosecutor. However, in 1973, the Court chose through its rule-making authority, to deny the people of the Commonwealth the right to jury trial by changing Rule 1101 to its present form.

In 1978, the legislature, realizing the inequity of the Supreme Court's rule enacted 42 Pennsylvania Consolidated Statute 5104 which provided the people of the Commonwealth, quote, shall have the same right to trial by jury as does the accused. In reaction to this

legislative enactment, the Supreme Court, by the narrowest of margins, a vote of 4 to 3, declared the legislative enactment unconstitutional in the case of Commonwealth versus Sorrell.

Justice McDermott dissenting in that case stated, quote, upon the thinnest semantic ground, in a usurpation of authority, naked of precedent, the majority is deluding the right of the people to trial by jury. The Court has peremptorily declared unconstitutional an act of the legislature reaffirming the people's absolute right to trial by jury.

Distinguished members, since 1982, my experience in prosecution has revealed to me, this Supreme Court rule and the declaration contained in Commonwealth versus Sorrell has been used by criminals throughout this state to obtain lenient treatment from judges who are opposed to the legislature's mandatory sentencing laws. I cannot believe in this day and age that under the simple provision of Section 6 of our Constitution, that the people of this state are not entitled to the same type of trial that is guaranteed to a criminal defendant.

I'm telling you, this is not some big city problem. I once tried a defendant for charges of driving under the influence, homicide by vehicle, homicide by vehicle while driving under the influence, involuntary manslaughter, and a summary stop sign violation. The defendant in that case went through a stop sign and crashed into another vehicle on a Sunday afternoon, killing a 60-year old grandmother that was on her way to her own birthday party. The defendant at that time, on a Sunday afternoon, had a .23 blood alcohol level at that time. The defendant waived trial by jury and chose a bench trial.

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The defendant was found guilty of driving under the influence, homicide by vehicle, involuntary manslaughter, and failure to stop at the stop sign. More relevant for what we're discussing today, the defendant was found not guilty of homicide by vehicle while driving under the influence, the only charge that carried a mandatory 3-year sentence. It's the only one with a mandatory sentence. Instead of the mandatory sentence, the defendant in that case got 4 months in the county jail. I was

told by the court, quote, look, I'm not putting her in jail for 3 years, end quote.

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There was no question in my mind that that very experienced defense lawyer knew he could gain an advantage by waiving jury trial, knowing there was nothing I could do to prevent it and no way of appealing the trial verdict in that case.

While winning a jury trial is never certain, I am positive that before an impartial jury of the defendant's peers, she would have been convicted of all the charges given the evidence in that case. This tactic was simply a way to avoid a mandatory sentence.

I ask you to put yourselves in the position of that grandmother's family, when I tried to explain to them that they, as victims of crime, were not entitled to the same right to a jury trial that the criminal who killed their grandmother had. For this very reason, the Coalition of Pennsylvania Crime Victims Organizations supports Senate Bill 752.

The problem also occurs in regard to mandatory drug cases. I have seen cases where a defendant is charged with possession with intent

to deliver, or delivery of cocaine, take a nonjury trial before a judge in order to have the judge rule that the quantity of cocaine that the defendant possesses was less than the amount required for a mandatory sentence. For example, a defendant who possesses 15 grams of cocaine would be found guilty of possessing only 7 grams of cocaine because the weight the representative tested sample weight was less than the mandatory limit.

In short, to meet the standards required by some of these judges, it would be necessary to test every leaf of marijuana and every gram of cocaine seized to ensure that the total substance weight was truly all controlled substance. Bench trials have reached this result even though reasonable inferences, common sense and even our appellate court decisions dictate otherwise.

The current process also impacts on victims and witnesses in another manner. Often, defendants call their cases for jury trial. The Commonwealth prepares, calls the victims and its witnesses into court and is ready to go. At the last minute the defendant waives the right to

jury trial.

Under many court systems, and this
goes to Representative Dermody's position, most
smaller counties actually only have juries in
for certain periods. If you waive your right to
a jury trial, you're moved to months later.
That's why I say, at that time the defendant
waives the trial, the victims and witnesses are
sent home. They're asked to return again.
In short, they're asked to disrupt their lives
again, miss more work, and dance to the tune of
the defendant.

Remember, prior to the new scheduled waiver trial, the defendant can withdraw the waiver and once again demand a jury trial; for he is, after all, the only person in this state who has that right.

As I indicated previously, I was the National Association of Attorney Generals' representative to the American Bar Association Criminal Justice Standards Committee. In that capacity, I served on the task force for the third edition of the Trial By Jury Standards, which just happened to be published as we sit. I have a draft galley copy. Now they're coming

out in April of this year.

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The task force which has revised these jury standards met regularly since 1991; was chaired by the Supreme Court Chief Justice of Florida, Sam Overton (phonetic). The Criminal Justice Section and the ABA, the American Bar Association, gave its final approval last year.

As many of you are aware, the American Bar Association is no right-wing conservative body when it comes to criminal justice issues. Frankly, in the eyes of most prosecutors, the ABA is viewed as an extremely liberal body when it comes to defendants' rights. That is why, for the purposes of this testimony, I think it's extremely important to note that the third edition of the ABA Trial By Jury Standards states, as its first proposition, Standard 1.1, right to jury trial:

Quote, jury trial should be available to a party, including the state, in a criminal prosecution in which confinement in jail or prison may be imposed, end quote. The commentary to that standard specifically states that, quote, this standard also recognizes that the availability of jury trial is beneficial to

the prosecution and to society as a whole, not simply to the accused. Accordingly, Section A provides that the right should be available to both the prosecution and the defense, end quote.

I would humbly suggest to this committee that the 5 years of analysis given to this topic by criminal justice practitioners, both defense and prosecutors, nationwide should not go unheeded.

The American Bar Association came to this conclusion based on the sound logic which was exemplified by Chief Justice Warren in the case of Singer versus The United States in which he stated: Quote, not only must the right of the accused to a trial by a constitutional jury be jealously preserved, but the maintenance of the jury as a fact-finding body in criminal cases is of such importance and has such a place in our tradition, that, before any waiver can be effective, the consent of government counsel and the sanction of the court must be had, in addition to the express and intelligent consent of the defendant, end quote.

In conclusion, a defendant's only constitutional right concerning his method of

trial is to an impartial trial by jury. This amendment to the Constitution proposed in Senate Bill 752 corrects the Supreme Court's blatant refusal to accept the plain words of our Constitution: The right of both the people and the accused to a jury trial.

In this Commonwealth, no one should object to conditioning a waiver of the right to a trial on the consent of the prosecuting attorney and the trial judge. If either refuses to consent, the result is simply that the defendant is subject to an impartial trial by jury, the very thing that the Constitution guarantees.

We have long recognized the adversarial system as the proper method of determining guilt. The people as a party in that determination have a legitimate interest to see that cases which they believe warrant a conviction are tried before a tribunal which the Constitution regards as the most likely to produce a fair result.

I truly believe in this Commonwealth that tribunal is a jury trial.

In conclusion, I urge favorable

Thank you,

consideration of Senate Bill 752 for the 1 2 following reasons: First, it will prevent courts from 3 4 circumventing mandatory sentencing laws by rendering unfair, yet unappealable verdicts. 5 Second, it promotes the society's 6 7 belief in fairness of our criminal justice 8 system by giving the victim and the people the same right to jury trial as a defendant. 9 Third, it promotes order and 10 efficiency in the conduct of trials by denying a 11 defendant a last-minute vehicle to delay his 12 case, thereby, further disrupting the lives of 13 victims and witnesses. 14 Finally, such action recognizes the 5 15 years of study done by the ABA which recognizes 16 that the people's right to a jury trial benefits 17 society as a whole. 18 Thank you very much. 19 CHAIRMAN CLARK: Thank you, Mr. Ebert. 20 Do we have copies of your testimony? 21 2.2 MR. EBERT: Yes. 23 CHAIRMAN CLARK: Representative 24 Schuler.

REPRESENTATIVE SCHULER:

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Mr. Chairman. I've listened to a lot of the testimony so far today. Is it fair to say that a great deal of this problem rests with our judges? Is that a true assessment? That's what I'm hearing. I'm just wondering.

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MR. EBERT: Yes, I believe that's fair. I was still in law school back in the '70's when the Supreme Court changed this whole rule. But, I make a point of it being a 4-3, decision. That's the narrowest possible thing.

When Justice McDermott says it's on the semantic grounds of whether this is procedural or substantive, I don't know what ever dictated it back then, but the problem has resurfaced now as a tool to avoid your mandatory sentences. I cannot --

REPRESENTATIVE SCHULER: That's my next question. Why does this exist?

MR. EBERT: That problem?

REPRESENTATIVE SCHULER: Yes.

MR. EBERT: I could think of a lot of different reasons; I believe with elected judges and who controls the contributions to making those judges. In big cities I believe the defense bar has an inordinate amount of power to

determine that.

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Other places, there are some judges
that I think with all serious, good intentions,
just hate these mandatory sentences and they
feel that this is our way to equal up the
system. I find that to be just as illegal as an
act of a criminal out on the street; but,
unfortunately, there's no way to reach it.

All we're asking for here is just the right to give the victims the same chances the defendant has. That is the ultimate guarantee of the Constitution, and I don't care how you read the Pennsylvania Constitution, it doesn't say that only a defendant has the right to a trial. That was totally judge dictate.

REPRESENTATIVE SCHULER: Thank you, Mr. Ebert.

MR. EBERT: Thank you, sir.

CHAIRMAN CLARK: To follow-up on

Representative Schuler, it was going through my

mind as to whether this was a procedural right

or a substantive right. I thought maybe we

wouldn't need to amend the Constitution; try

another statute. But then as the court

switches, bends and turns, this court might very

well find that that statute would be constitutional, but then years down the road it could be found unconstitutional by a different court.

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MR. EBERT: That's exactly what happened here. The Supreme Court is the final arbiter of what our Constitution says. By this case they just totally wrote out the right of the people to have a jury trial and the plain reading of that Section 6 says, it's the right to jury trial for everyone that's guaranteed.

CHAIRMAN CLARK: So rather than gamble with the makeup of the Supreme Court in the future, I guess maybe a constitutional amendment would be right and place this issue to rest.

MR. EBERT: I hate to say that I believe it's the only way. I am one of those people that do not believe that the Constitution ought to be changed every time, but this is not a reaction to just some Philadelphia problem. Everything is different down there. This happens everywhere. There's no way of getting around that interpretation. It requires that formally set out that the people have a right to a jury trial just like a defendant. What could

be unfair about that? 1 2 CHAIRMAN CLARK: Correct. Well 3 stated. Any further questions? ( No response ) 4 5 CHAIRMAN CLARK: We thank you very 6 much. 7 MR. EBERT: Thank you, sir. CHAIRMAN CLARK: We appreciate it. 8 9 The next individual to testify will be Robert 10 Robert is from the Pennsylvania Tarman. 11 Criminal Defense Lawyers' Association and may 12 find that he's in the minority today, but we'll 13 certainly --MR. TARMAN: I didn't bring any 14 15 written materials. What I have to say is 16 relatively short. I was up here about 5 years ago on this same amendment, although, I believe 17 at that time they picked a different article as 18 I was going through my notes. But again, it was 19 a constitutional amendment. 2.0 21 Our note for the committee back then, that being the Association of Criminal Defense 22 23 Lawyers, was that this is an unnecessary thing. 24 I practice only in Central Pennsylvania.

cannot remember of any instances where this has

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been abused.

The constitutional right to a jury trial is that of the defendant. The system has the highest protection to elevate the state to this status we feel is ridiculous. It's contrary to the intent of the Constitution. The state you must remember is not the one being charged. The state is not being arrested and the state is not being convicted. It's the defendant who has the right to select a jury trial, the right to a jury trial, and the right to a judge trial.

To argue that given this right the defendant somehow or other has an advantage over the Commonwealth, I don't believe it's true. I do believe if this has been abused, it must be in Philadelphia, because again, I have not seen it anywhere. For us to have elected judges and then to say that somehow or other the defense bar is controlling these judges to the extent that we can have a judge render a verdict to be contrary to the law and contrary to the facts, if our system is that bad and if that's what we're working under, then maybe the whole thing should be changed.

We have judges that are subject to
removal if there's a blatant disregard to the
law. There are many judges, I'm aware of the
fact, that do not like mandatory sentencing. I
don't believe that that leaves them the right to

violate their duty if they're going to be a

7 finder of fact.

You have to remember, the District
Attorney has tremendous discretion and
tremendous power. He has the right in some
cases literally to decide whether it's going to
be life or death; as to whether or not he's
going to invoke the death penalties; to whether
or not he's going to argue aggravating
circumstances, and how many. He has the right
to decide whether or not he wants to invoke a
mandatory sentence.

What if we have a district attorney—I realize it would be a rare instance in today's political climate—but what if you had a district attorney that decided that he wasn't going to exercise his right to the mandatory sentencing in many cases? Or, if he was going to be lenient to defendants? Would we then want to propose a constitutional amendment to say

that before a district attorney can use his discretion of not going for a mandatory sentence, that he has to have approval by a panel of judges or by a committee of citizens or by somebody else?

is always there. Yes, there could be a judge who has is bought out. There could be a judge who is being influenced by forces that he shouldn't be. To try to amend the Constitution because of some scenario that could be developed, or because of something that happened maybe in one case, I don't believe is right.

I look at the defendant's right to either a jury trial or a judge trial is individual. I don't believe that the state should be raised to that right. I believe, again, that the framers of the Constitution intended it to be a right that's limited to a defendant.

Getting back to a point I was just referring to a few seconds ago, the playing field is not always fair in these cases. Many, many defendants go to trial without the resources of the Commonwealth. They don't have

the investigative resources. Everything is not O.J.

talking about, again, the defense bar being so powerful that they can influence judges. I think the district attorney has tremendous power of prosecution, generally, and it's even more profound in the federal system than the state system. I believe that in the mass of cases that they do have the majority. They have tremendous discretion as it is. To give them the right to deny the defendant a right to a trial by judge, I don't believe is necessary.

I can even see asking the Supreme

Court -- and this is just something I'm really
thinking out loud. Possibly, if there was one
judge and the defendant were to wait until he
got in that courtroom and then decided he was
going to take a trial by judge, maybe that could
be resolved by requiring the election of the
right, say a month before trial, or even days
before trial. I don't know if that's necessary
either. But to amend the Constitution, I don't
believe is necessary. Thank you.

CHAIRMAN CLARK: Thank you, Mr.

Tarman. Let me ask your opinion, and you heard my previous discussion with Mr. Ebert about a procedural right versus a substantive right. If the legislature would enact a statute and then it could be very well overturned by the Supreme Court as being a procedural matter that's only within their jurisdiction --

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MR. TARMAN: Which is what happened in the Sorrell case back in 1982.

CHAIRMAN CLARK: Wouldn't you think
that if the legislature is going to address this
issue, shouldn't it be by a constitutional
amendment so we don't have any further shifting
of tides of the Supreme Court, what not, saying,
yes, it is proper, and another time having it
overturned?

MR. TARMAN: If it's to be done, a good argument could be made, it should be done by amending the Constitution. I pointed out that possibly amending some of the procedural rules, if the Supreme Court would be willing to do that, such as the scenario I just gave you, whereby, a defendant gets into a courtroom and finds that maybe -- That will only be relevant to those counties where the assignments are made

at the last minute, the assignment of judges. Then he decides to take a judge trial, and possibly that judge may want to recuse himself for some reason or another. I don't even know how that would delay a trial.

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Not to get off your question, but one thing that really bothers me too, and I've been practicing criminal law since 1975. I've never known this right to be abused. If anything, it inures to the benefit of the Commonwealth. and I say we, my colleagues and when I was Chief Public Defender in Dauphin County for years, would use the right to a judge trial when we didn't want to tie up the courts. When we had what we felt was a good legal issue say on a search and seizure question, where a defendant who was unlawful interrogated, we wanted to preserve that right for appeal, and yet, we had a very bad case on the facts, we would generally take a judge trial to preserve the issue for appeal.

We never, and I say never say never, but I can't remember of any case where we tried to manipulate the system by taking a judge trial. We saved the court hours and hours that

are required to pick a jury, for opening statements, for closing statements. Of course, when you have a judge trial you have a closing statement, but everything is abbreviated. If anything, if anything, that went to the benefit of the county, to the taxpayer and saving money.

Then there were some cases that maybe on a third-degree misdemeanor that we didn't feel that the client was being subjected to a long prison sentence and we were willing to put him in front of a judge; not necessarily because we thought the judge was going to be better than a jury, but it was just a question that we thought could be adequately decided by a judge without taking up the court's time.

I got off the question, but yes, if
you want to etch this in stone, so to speak,
yeah. You amend the Constitution and then
nobody can do nothing about it, the Pennsylvania
Supreme Court or anybody else.

CHAIRMAN CLARK: On a follow-up on some of your observations, you talk about preserving legal issues for appeal and taking a judge trial, and as a general rule a district attorney is aware of what you're doing in that

case and many times has discussed it with you as to where you're going with this matter and how you want to try, et cetera. I think you can be fairly assured of cooperation in those matters. I mean, the Commonwealth doesn't have to deny you a jury trial. It could consent to it in those instances.

MR. TARMAN: Right. I can tell you in practically every case that we ever elected to take a trial by judge, we never had any problem from the district attorney. I can't remember. That's why I'm perplexed by this. I realize that there are a lot of people here and a lot of fuss has been made about it.

As I said, I was here 5 or 6 years ago on this same issue. It must be a Philadelphia problem. And if it is a Philadelphia and maybe an Allegheny County problem, I don't know. If it is, I'm just wondering if it couldn't be addressed in their local rules. Again, I'm speaking out loud. It really bothers me that we have to go to the length of a constitutional amendment for what in my 20 to 25 years of practice has never been a problem.

CHAIRMAN CLARK: You also expressed a

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concern with the district attorneys' discretions and a great deal of discretion which they have.

But, in many instances when they discuss plea bargains or enter into plea bargains, they're approved by the judge. The judge will review those and weigh in, make the final approval of those decisions.

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Additionally, if the district attorney exercises his discretion in a manner that's egregious to a defendant, the defendant always gets his right to appeal. The district attorneys are under check in both of those situations.

MR. TARMAN: But, they have a tremendous amount of discretion. The county district attorney in some respects actually has more discretion than the United States attorney. I was just involved in the first federal death penalty prosecution in the Middle District of Pennsylvania. We had reached a plea agreement in that case.

In order to withdraw the death penalty, the United States attorney had to go to the Department of Justice, it had to be reviewed down there. In order to seek the death penalty

they had to do the same thing. Whereas, a local district attorney has carte blanche discretion, except the fact that he might face an election; he has complete discretion. In many cases they do have tremendous power.

I'm not opposed to that. There are cases in which I was glad that the district attorney did have the power of discretion. When he would see that even though he had aggravating circumstances in the death penalty cases, he felt that the litigating overwhelmed or the aggravating circumstances was not that strong, that he had the discretion to make a plea agreement or maybe not even ask for the death penalty in a case; just using that as an example. But, he does.

Again, the playing field is not always level. I think that's something you've got to remember here. You have a case whereby a judge renders a verdict that's improper, don't we have juries that do that too? Don't we have juries, and sometimes the lawyers walk out of the courtroom and say, what happened? One way or another it's in favor of the prosecution or in favor of the defendant. Are we going to try to

correct that in some way by an amendment?

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I simply say to you, I believe what you are trying to change is something that's not necessary.

CHAIRMAN CLARK: How would you reflect on the other arguments that this is worse than the federal process and the federal Constitution, the United States Supreme Court decisions say that this is what the Constitution guarantees the defendant, a right to a jury trial? What would you say as to the other 24 states that have enacted laws such as this and the District of Columbia? Maybe you could reflect on some of the arguments that were made today along those lines.

MR. TARMAN: I wasn't here for most of the arguments. Are you stating that 24 states have enacted a constitutional amendment similar to this? It gives the state the right to a jury trial?

CHAIRMAN CLARK: Yes. Twenty-four states and the District of Columbia gives the prosecution the right to a jury trial. We also touched on the fact that the federal rules conditioned a waiver of a jury trial and the

approval of the courts and the consent of the government. Then we touched on the United States Supreme Court case, Singer versus United States where they indicate that if the Commonwealth refuses to consent to a trial by judge, then the defendant is subject to an impartial trial by jurors, the very thing the Constitution quarantees him.

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MR. TARMAN: That's right. If 24 states did it, that's fine. I was just up there 2 weeks ago and there were several states that had done away with the insanity defense. Our answer to that was, I can't speak for what happens in Montana, or Idaho, or Utah, or some other state. I feel it's a right of the defendant.

Again, I say that I do not believe the state should delve into this right. If there are other courts that disagree with that, then fine. If there are other states that have chosen to make this amendment, then fine. I don't believe Pennsylvania should.

CHAIRMAN CLARK: And to the federal issue; the federal rules that provide approval of the court and consent of the government to

waive a jury trial?

MR. TARMAN: Disagree with it.

They've rendered that decision, but I simply disagree with it. I don't believe that it's a good decision at all.

CHAIRMAN CLARK: Thank you. Any additional questions? Representative Dermody.

REPRESENTATIVE DERMODY: Just briefly,

I want to say I announced earlier I worked for

the Allegheny County D.A.'s Office for 5 or 6

years, but also began my career under the

tallage of Mr. Tarman when he was the Chief

Public Defender in Dauphin County. Bob, it's

good to see you again.

They said Mr. Tarman always ran a very strict office, ethical. There were 12 of us there; always prepared and also acted ethically within the confines of the law, and never abused the rights that were allotted to us that you get from the defense bar. I want to thank you, Bob, for coming by as usual. I also have to say that I tried cases against Steve Aberhood (phonetic) who was a prosecutor at that time.

MR. TARMAN: I think about the first time I appeared here, Frank, I pointed out that

you were a good defense lawyer and there are many criminals walking the streets of Dauphin County because of you. Thanks, Frank.

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REPRESENTATIVE DERMODY: Thanks.

CHAIRMAN CLARK: Any additional questions for Mr. Tarman? Representative Schuler.

REPRESENTATIVE SCHULER: No questions.

CHAIRMAN CLARK: Thank you very much.

MR. TARMAN: Thank you.

CHAIRMAN CLARK: The next individuals to testify will be representatives from the Office of the Attorney General. I assume that that's Mr. Graci.

MR. GRACI: Good morning, Chairman
Clark, and members of the Judiciary Committee.
On behalf of Attorney General Tom Corbett, I
want to thank you for the opportunity to testify
in support of Bill 752. My name is Bob Graci as
you pointed out, Mr. Chairman. It's a pleasure
to appear before you. Again, I am Chief Deputy
Attorney General and with me is Assistant
Executive Deputy Attorney General Rick Sheetz
who is the head of our General Prosecutions
Section in the Criminal Law Division.

MR. SHEETZ: Good morning.

MR. GRACI: We both will be available to answer any questions the committee might have.

I'd like begin with the history behind Senate Bill 752 and why this history is relevant today.

In 1935, over 60 years ago, the state legislature enacted 19 Purdon's Statute, Section 786, allowing a criminal defendant to waive a jury trial so long as the judge approved and the prosecution consented. That Act of Assembly provided, in pertinent part, in all criminal cases, except murder and treason, the defendant shall have the privilege, with the consent of his attorney, the judge and the district attorney, to waive trial by jury. So, as you can see, a defendant could be tried with that jury only if a prosecutor consented.

In 1968, after the Constitution gave the Pennsylvania Supreme Court the authority to promulgate rules of procedure for the courts, the Supreme Court adopted Rule 1101 of the Rules of Criminal Procedure. Rule 1101 as originally promulgated by the Supreme Court in 1968 read as

follows:

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In all cases, except those in which a capital crime is charged, the defendant may waive a jury trial with the consent of his attorney, if any, the attorney for the Common-wealth, and approval by a judge of the court in which the case is pending, and elect to be tried by a judge without a jury.

The comment appended to this rule noted that, quote, requiring both the court and the prosecutor to approve the waiver of a jury trial has been held constitutional, close quote. For this proposition the comment cited the United States Supreme Court case of Singer versus United States, which I'll address a little bit later.

Five years later, in 1973, the Supreme Court changed Rule 1101 to its present form: It allows any defendant to waive a jury trial and, important for present purposes, it deleted the requirement for the prosecutor's consent. A defendant needs only the judge's approval to waive a jury trial.

Did the Supreme Court explain why it was changing almost 40 years of law under its

relatively new rule-making authority? No. The Comment to the Rule simply states that the 1973 modification by the Court deleted the requirement of the approval of the attorney for the Commonwealth.

In 1977, after 4 years experience with this rule, the General Assembly again acted and passed Act 50 of 1977 which gave the Common-wealth the same right to a jury trial as the defendant. In 1978, this body put identical language in the Judiciary Act Repealer Act at Section 5104(c), which read, in criminal cases the Commonwealth shall have the same right to trial by jury as does the accused. Although it was worded differently, Section 5104(c) had the same effect that Senate Bill 752 will have.

Since Rule 1101 did not require the prosecutor's consent and Section 5104(c) did, there was a conflict. The Supreme Court resolved that conflict in the case, and I know you had it in front of you already, Commonwealth versus Sorrell.

In that case the Commonwealth argued that the right to a jury trial was a substantive right of the Commonwealth. But the Supreme

Court said that the jury trial waiver was a matter of court procedure, over which the Supreme Court had total and absolute rule-making authority by the Tenth Section of the Fifth Article of the Constitution.

Since Section 5104 conflicted with the Supreme Court rule, the Court found 5104 unconstitutional. That history, Mr. Chairman, and members of the committee, informs us in 2 ways:

First, Senate Bill 752 is not a new legal concept. It represents the resumption of a law that had been longstanding in Pennsylvania jurisprudence since at least 1935. Only in 1973 did the law change.

Secondly, the people of the Commonwealth support the law the way it was before
1973. Three times the people, through its
elected representatives, our legislature, have
spoke. Each time they said that the defendant's
motion to waive a jury trial ought to be subject
to the prosecutor's consent. Senate Bill 752
would represent the fourth time and, hopefully,
the final time, that the people will have to
speak on this issue.

The Office of Attorney General supports Senate Bill 752.

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It's the sworn duty of every prosecutor in the Commonwealth to seek justice, Mr. Chairman, not merely convictions. That duty is sometimes hampered when the Commonwealth cannot present its case to a jury of the defendant's peers from the community where the crime occurred. Senate Bill 752 would give the Commonwealth that ability.

It should be noted that, in practice, a prosecutor would not often object to a defendant's request to waive a jury trial. The consensus is that, by and large, the judges in the Commonwealth conduct fair trials that are fair to both the defendant and the Commonwealth.

Sometimes, however, in a particular case, a given judge, with an otherwise impeccable and honored record, may be seen by reputation or experience as unduly blased in favor of the defense or against the prosecution. In such instances, it would be appropriate for the prosecution to object to the defendant's jury trial waiver motion in order to protect the public's rights.

as the prosecution attacking the defendant's rights; nor is the prosecution attacking the judge's record. In these few cases, the prosecution is only seeking a level playing field on which to participate in an orderly trial seeking justice. In the words of then Justice, now Chief Justice Nix, who dissented from the Sorrell decision, Senate Bill 752 merely creates, and I quote, in the Commonwealth a corresponding right possessed by the accused.

A prosecutor, moreover, will be held accountable for his or her decision to object to a jury trial waiver. As with all other aspects of a criminal trial, the prosecutor's exercising the Commonwealth's rights under this provision will be placed on the record for public review. An additional layer of accountability exists, of course, in the fact that prosecutors are elected officials always answerable to the public.

For these reasons, the Office of
Attorney General supports Senate Bill 752. It
does not represent a radical departure from
Pennsylvania jurisprudence. Rather, it
represents the resumption of a longstanding part

of that jurisprudence. It is a bill that has the demonstrated support of the people over several decades. The bill does not impinge on the rights of a criminal defendant. It only ensures that a criminal trial will be a fair pursuit of justice.

I would like to close, Mr. Chairman, and members of the committee, with a quote from former Chief Justice Earl Warren of the United States Supreme Court from that case cited in the 1968 Comment to Rule 1101, Singer versus United States. Chief Justice Warren, as we all know, was a leading proponent of the rights of the accused, but on this very point he said, and I quote, not only must the right of the accused to a trial by a constitutional jury be jealously preserved, but the maintenance of the jury as a fact-finding body in criminal cases is of such importance and has such a place in our tradition that, before any waiver can became effective, the consent of government counsel and the sanction of the court must be had, in addition to the express and intelligent consent of the defendant.

Thank you for allowing me the time to

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make these remarks. Mr. Chairman and members of the committee, we would be happy to try to answer any questions you might have.

CHAIRMAN CLARK: I thank you very much, Mr. Graci. I appreciate your testimony to the extent that at the current time we serve under what seems like an aberration as opposed to the way things have existed traditionally in the Commonwealth. I think as this bill proceeds, we'll try to stress those points to the rest of the members on the Judiciary Committee.

Are there any questions for either Mr. Sheetz or Mr. Graci? Representative Schuler.

REPRESENTATIVE SCHULER: Thank you,

Mr. Chairman. Mr. Graci, I'm not an attorney

but --

MR. GRACI: You certainly don't have to apologize for that.

REPRESENTATIVE SCHULER: You have to bear with me. I know some of the members here would probably think my questions are somewhat simple, but I have to have this explained. In a situation, the accused has a right to trial by jury or by the judge. How does the defense

1 attorney recommend to the accused which 2 procedure to follow? 3 MR. GRACI: My experience as a criminal defense attorney is very, very brief 4 and never included that aspect of the practice. 5 REPRESENTATIVE SCHULER: 6 Maybe I should have asked the other gentleman. 7 8 MR. GRACI: Perhaps Mr. Tarman who I would be happy to share the table with if he 9 10 wants to answer that question. 11 REPRESENTATIVE SCHULER: Is that all 12 right, Mr. Chairman? CHAIRMAN CLARK: Sure, that's fine. 13 I'm trying to think of the day when I tried 14 cases and how I tried to decide whether 15 16 to use a jury or not. MR. TARMAN: Did I understand your 17 18 question to be, when would this arise? 19 REPRESENTATIVE SCHULER: How do you make a decision? 20 21 REPRESENTATIVE SCHULER: How do you 22 arrive at the decision to tell your client, I 23 think it's best if you go to trial by judge or a 24 trial by jury? I sure the defendant has a lot

to say in it. You're recommending, am I

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correct?

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MR. TARMAN: Yeah. I already pointed out the one instance, you want to preserve a legal issue when you have a very poor case on the facts and you simply put that to the defendant and you explain that to him, just like you would if you wanted him to plead guilty. It's a tactical decision. You're asking him to do it because you don't want to waste time in the court. You realize that it's the same judge that's constantly going to sentence him if he loses the legal issue.

Even though a judge is not supposed to give a more harsh sentence because you elect to take a jury trial, if he would see a case where there's a defendant who simply wanted to manipulate the court's time and waste the court's time, then you tell the defendant that it's in his best interest to pursue this course of action to take a trial by judge. You're preserving all his rights; that he's giving up nothing.

Of course, the defendant could argue to you that he always has, when you have a jury of 12, he always has a chance that just one can

hang the jury.

In a case like that, I would point out to the defendant that I believe that he had more to gain and nothing to lose by pursuing that.

REPRESENTATIVE SCHULER: You have more to gain because the judge may be a little more lenient or --

MR. TARMAN: No, because you're being straight up with the judge. You're not wasting his time. You're letting the judge know that you wish to pursue a legal issue. I believe it's a better practice in a situation like that.

Certainly, when I was public defender we were worried about it because we had so many cases, but even as a private attorney I would probably proceed in the same fashion. There are just some cases that, again, it's simply a question of resources. If you're to be honest with yourself, any defense lawyer can tell you, if you pursue a trial by jury, your time and your costs are going to go way up.

As I said, a low-grade misdemeanor where the defendant isn't exposed to that much time and you feel confident that your factual argument is good, then why not take it in front

of a judge? It's more difficult to do that if it's a case subject to a mandatory sentence of 5 years or a greater sentence if it's a felony.

Then as the stakes rise you tend to go to the side of a trial by jury.

I've done it in misdemeanor cases. I did it recently when I represented a local probation officer. It was a very, very serious matter to him. He lost his job because of an indiscretion made on his job. He was charged with indecent assault, which isn't something to laugh at. In that particular case, I chose a trial by judge before I knew who the judge was assigned. This is in Dauphin County where there are 7 judges.

As it turned out, he was acquitted, but that's not really the point. I believe he would have been acquitted in front of a jury or a judge in that case, because, as it turned out I had a very, very strong case. It was a matter of resources and it was also a professional decision. I felt his chances were just as good in front of a judge.

REPRESENTATIVE SCHULER: Okay.

MR. GRACI: If I can follow-up on

that, one of the points that Mr. Tarman made earlier, Representative Schuler, and that is, the situation where you're trying to preserve a legal issue arises frequently in the context of a drug case where there's been a search and seizure, a seizure of a large quantity of dope, and the seizure is challenged in a motion to suppress and the trial judge denies that motion. Once the jury sees the 5 pounds of cocaine that was seized from his car, they're going to convict. But, the defense wants to preserve that ruling on the suppression motion.

Frequently, the parties will agree that the testimony heard at suppression motion would be the same testimony at trial. They'll either ask the judge to accept it or they'll stipulate that you can find him guilty based on that.

The Commonwealth is not, as I said, the times that the Commonwealth is going to object to a request for jury trial, I believe even in Philadelphia, are going to be relatively rare. But, in some instances it's necessary, as Mr Ebert pointed out, to protect the public's interest to say no, we're going to take this to

a jury.

Warren, who I think we can all agree was the greatest proponent of the rights of the criminal defendant; certainly not a strong proponent for the rights of the state. He said this, to compel a defendant in a criminal case to undergo a jury trial against his will is contrary to his right to a fair trial and due process. He rejected that. He said, a defendant's constitutional right concerning the method of a trial is to an impartial jury trial.

There is no right to be tried by a judge alone. Even our rule makes it clear that that right is not absolute because it's always, even today, subject to the approval of the judge. The judge can say no, I don't want to hear this case without a jury.

Think about the oddity of what can happen here in Pennsylvania. Mr. Tarman made mention of the difference in the federal rule and the Chairman pointed that out. One defendant charged with a drug offense in the state court and a drug offense in the federal court; not double jeopardy, we know that.

In the state court he can demand a right to a nonjury trial. In the federal court he can't. What's the basis for that distinction? I submit to you there's none.

There was none in Pennsylvania until the Supreme Court changed the law with a new rule-making authority after 40 years of the law being the other way.

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REPRESENTATIVE SCHULER: My other question is, let us assume that this would became law, Constitution as an amendment. The decision comes up to the prosecution whether or not to agree to warve. What criteria would the prosecution use now in warving a trial by jury?

MR. GRACI: In waiving or not waiving? Either way, they would look at the facts of the case as Mr. Tarman suggested that the defense looks at. They would look at the demonstrated proclivities of the judge as I think Mr. Ebert's testimony pointed out.

Some judges are just adamantly opposed to certain kinds of cases, but basically they just sit on those cases. I don't think this a great number or I don't mean to besmirch the great reputations of most of our judges, and

they would otherwise give a fair trial, but
there are just going to be some things that
they're not going to be able to do for one
reason or another. And in those instances, the
Commonwealth being aware of those, the
Commonwealth will be able to object.

What does it mean? It means the defendant is going to get a fair trial in front of a jury of his peers. It's not he's not going to get a trial. He's going to be forced, if you will -- That's the point that I just made as far as quoting Chief Justice Warren. He's going to go to the trial that the framers of the Constitution thought important enough to write into, not just the federal Constitution, but the state Constitution as well, that is a right to be tried by a jury of your peers in the vicinage.

REPRESENTATIVE SCHULER: I do want to thank you for your historical perspective of this whole issue. I was not aware of that. I do appreciate it. Thank you, Mr. Graci.

MR. GRACI: I hope it helped you.

CHAIRMAN CLARK: Mr. Rosen, did you want to comment today how that was handled?

1 MR. ROSEN: No. That was handled very 2 well. CHAIRMAN CLARK: Any additional 3 questions of these witnesses? 4 5 ( No response ) CHAIRMAN CLARK: Thank you very much. 6 7 MR. GRACI: Thank you, Mr. Chairman. It's always a pleasure. 8 9 CHAIRMAN CLARK: The next individual 10 to testify in front of the committee is Mary 11 Achilles. She's the Victim Advocate of the 12 Pennsylvania Office of Probation, Parole and 13 Corrections. MS. ACHILLES: Good morning. As you 14 said, my name Mary Achilles and I'm the 15 Governor's Victim Advocate for Probation, Parole 16 and Corrections. I testify here today on behalf 17 of the Coalition of Pennsylvania Crimes Victims 18 19 Organizations. 20 Last week at our membership meeting this legislation was put before all of the 2.1 22 members. Members of our coalition, a number 23 over 200, about 40 people there from Allegheny, Erie, Wilkes-Barre, Lancaster, and all parts of 24

the Commonwealth, actually with the exception of

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Philadelphia.

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The reaction among the members was one of overwhelming support for this piece of legislation. The discussion focused primarily on the experience of seasoned victim advocates from across the Commonwealth, whose first-hand experience has been that the defendant's right to waive a jury trial has developed into a right to judge shop, and more devastating to the victim, to control the exposure to the community of the amount of human trauma perpetrated upon the victim of crime.

Although I'm usually reluctant to generalize the feelings of crime victims, those of us who provide crisis intervention to the victims of crime know that each and every victim is rendered powerless during the commission of crime. Our primary goal as support services is to assist each individual victim in regaining their equilibrium.

Depending on the impact of the crime, this for many is a long and painful journey often interrupted by the criminal justice process.

Our goal in seeking and securing rights for the victims of crime and for

prosecutors who represent them is just another step in providing crime victims with the opportunity to regain power and control over their lives in the aftermath of crime.

Once a case has been scheduled for a jury trial at the request of the defendant by an independent and impartial court administrator and the defendant is allowed to waive their right to a jury trial, in an effort to seek a more lenient or defense-oriented judge, the balance of power has been shifted in the defendant's favor. The victim and the prosecutors who represents them have no say in this. Senate Bill 752 would assist in that matter.

The system is following the lead of the offender, and the victim and prosecutor have no choice and no opportunity for input.

Although this may seem for many to be a minor impact on the victim of crime, it is of great significance to the victim who continues to feel controlled by the crime, by the criminal, and by the justice system. This procedural aspect of moving the case through the justice process at the whim of the defendant is yet another

detraction from the victim's perspective of the systems ability to effect justice.

Why should the victim through the prosecutor not have a say in whether their case is heard by a judge or a jury? Why should they be prevented from getting an opportunity to share their experience with 12 members of the community in which they live? I disagree with what Mr. Tarman said. It is my opinion no jury makes a bad decision. That, in fact, is the basis of justice in our community; that they can only make a decision based upon the evidence and the stories that they hear from both the offender and the accused.

The value for victims to have their say in whether or not their case goes to a jury is separate and independent of the outcome of the case. The system, to be truly responsive to the needs of crime victims, must take a stand and say to victims of crime that they in fact have a role in the system and that the system, as an institution operating within their community, provides them an opportunity for validation. Validation that they are, in fact, valuable members of our community and are

afforded an equal opportunity to tell their story in a public forum.

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It has been my experience that quite often the defendant will waive his or her right to a jury trial simply to avoid the number of people who are exposed to the true human element of the crime, to the true and often gruesome nature of the harm inflicted upon the victim.

For many victims the opportunity to have their case heard by a jury of their peers is a unique opportunity to receive validation from the system separate and independent from the outcome.

To give victims, through the prosecutors who represent them, some say in whether or not their case is heard by a jury is another step toward empowering crime victims to gain control over their lives. The more input crime victims and the prosecutors who represent them have in the process of justice, the greater their sense of control and the greater their chances of recovery.

The defendant's ability to exploit the system by demanding a jury trial, then by waiving it once the case has been assigned,

creates an undo burden on the victims of crime who are, in fact, also waiting patiently for their day in court. This delay tactic is presently unavoidable and puts crime victims on an emotional roller coaster in preparing for trial and only having it delayed by the defendant's endless right to request a jury trial and then waive a jury trial.

I urge you to pass Senate Bill 752 in an effort to take yet another step toward balancing the scales of justice.

CHAIRMAN CLARK: I thank you very much for your testimony. I'm glad that you were able to come today and present the victim's point of view. Often we get into professionalism between the prosecutor and the judge and the public defender. Sometimes the victim of the crime is put in the first row of the pew in the courthouse, but not necessarily part of the process. We certainly appreciate your testimony and your insights today. Are there any questions of Ms. Achilles?

( No response )

CHAIRMAN CLARK: We thank you very much. That is our last witness today. That

1 will conclude our hearing on Senate Bill 752. 2 Thank you very much for your attendance. 3 (At or about 11:45 a.m. the hearing concluded) 4 5 6 7 CERTIFICATE 8 9 I, Karen J. Meister, Reporter, Notary Public, duly commissioned and qualified in and 10 11 for the County of York, Commonwealth of 12 Pennsylvania, hereby certify that the foregoing 13 is a true and accurate transcript of my stenotype notes taken by me and subsequently 14 reduced to computer printout under my 15 supervision, and that this copy is a correct 16 17 record of the same. This certification does not apply to 18 19 any reproduction of the same by any means unless 20 under my direct control and/or supervision. Dated this 20th day of April, 1996. 21 22 23 24

Notary Public

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