

HOUSE LABOR RELATIONS COMMITTEE  
PUBLIC HEARING

---

HAZARDOUS MATERIAL EMERGENCY PLANNING  
and RESPONSE ACT

---

Thursday, May 15, 2008

Philadelphia, Pennsylvania

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COMMITTEE MEMBERS PRESENT:

REPRESENTATIVE MICHAEL McGEEHAN, Chairman

REPRESENTATIVE JOHN P. SABATINA

REPRESENTATIVE FRANK SHIMKUS

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HELD AT: Holmesburg Recreation Center  
4500 Rhawn Street  
Philadelphia, PA

REPORTED BY: SUSAN L. SINGLAR, Court  
Reporter-Notary Public

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TESTIFIERS:

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Secretary, Department of Labor & Industry

THOMAS J. WARD, Director, Bureau of  
PennSafe

KERRY LEIB, Director, Emergency  
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Company

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Delaware and Pennsylvania Dry Cleaners  
Association

1                   REPRESENTATIVE MCGEEHAN: Good  
2 morning. I want to call this meeting of the public  
3 hearing of the House Labor Relations Committee to  
4 order. We remind people that they should turn off  
5 their cell phones.

6                   I want to remind those persons  
7 present and those who plan to testify that these  
8 are official proceedings of the House Labor  
9 Relations Committee. This is an official public  
10 meeting and that a transcript is being taken down  
11 by our Court Reporter. We thank her for her  
12 attendance.

13                   I want to ask each of the  
14 individuals here to introduce themselves.

15                   MR. NELSON: Eric Nelson.

16                   REPRESENTATIVE SABATINA: State  
17 Representative John Sabatina.

18                   MS. MANGANELLO: I'm Joanne  
19 Manganello. I work for the House Labor Relations  
20 Committee.

21                   REPRESENTATIVE MCGEEHAN: Thank  
22 you.

23                   I want to begin by thanking  
24 Chairman Belfanti for agreeing to hold this hearing

1 on this important issue. I also want to thank the  
2 Department of Recreation, and specifically Kathy  
3 Muller for availing themselves in this facility to  
4 accommodate the Committee and the testifiers and  
5 those present.

6 We'll begin testimony by hearing  
7 from the Department of Labor and Industry, and  
8 specifically, Robert O'Brien, who is the executive  
9 deputy secretary of the Department of Labor and  
10 Industry, and Tom Ward, director of the Bureau of  
11 PennSafe. As you begin your testimony, please  
12 state your name, enunciate it clearly for the Court  
13 Reporter, and the agency or interest that you're  
14 representing.

15 Secretary O'Brien?

16 SECRETARY O'BRIEN: Good morning,  
17 Representative McGeehan, Representative Sabatina.  
18 My name is Robert O'Brien. I'm the Executive  
19 Deputy Secretary, Department of Labor and Industry.  
20 Joining me today is Mr. Tom Ward, who is the  
21 director of the Bureau of PennSafe, and also, not  
22 sitting at the table, but immediately to Tom's  
23 right is Kelly Smith, the Office of Chief Counsel,  
24 Deputy Chief Counsel.

1                   I'm pleased to be here today to  
2 discuss the proposed amendments to the Hazardous  
3 Material Emergency Planning and Response Act.  
4 Currently, the Department of Labor and Industry,  
5 through its Bureau of PennSafe, acts as the data  
6 collector for reports of hazardous materials used,  
7 produced and stored in work sites throughout the  
8 Commonwealth. Our current role requires us to be  
9 the repository of various reports indicating the  
10 presence of hazardous materials.

11                   Under current law, we have no  
12 authority to verify the accuracy of any report  
13 filed. In spite of this, the data that we collect  
14 is relied upon in the event of an emergency. As  
15 the agency responsible for collecting this data, we  
16 believe that it is responsible for us to have the  
17 authority to conduct occasional random spot  
18 inspections to determine that the information  
19 reported to us regarding the presence of hazardous  
20 chemicals is accurate. These inspections would  
21 consist of reviewing the records maintained by the  
22 facility and matching those records with the  
23 reports filed by the facility. Allowing the  
24 Department to verify the reliability of our data

1 will serve to ensure the safety of first responders  
2 in the event of an emergency.

3           Based upon our past experience  
4 with emergencies involving hazardous materials, the  
5 information that is reported to our Department is  
6 critical in the event of a fire or other disaster.  
7 Incomplete or inaccurate information places  
8 emergency responders, employees and the general  
9 public at risk. An example of such an incident  
10 occurred in November, 2006 with a chemical fire in  
11 Fairview Township, York County, which forced the  
12 evacuation of 300 workers at the Fairview Township  
13 Industrial Park.

14           In that instance, the process of  
15 responding to and dealing with the fire was delayed  
16 because the company involved had not reported  
17 chemicals that were on site. The importance of  
18 complete and accurate reporting is reinforced with  
19 every such incident that occurs.

20           It should be clarified that the  
21 program contemplated by the Department would not  
22 require inspections to be formed at predetermined  
23 intervals. Rather, it is our hope that through  
24 inspections and response to complaints and random

1 spot inspections we will increase voluntary  
2 compliance with the reporting requirements of the  
3 Hazardous Materials Emergency Planning and Response  
4 Act. This is a small enforcement tool that the  
5 Department hopes will result in increased  
6 compliance with these very important reporting  
7 requirements.

8                   Of course, Labor and Industry  
9 employees would have to be qualified in accordance  
10 with Section 211(e) of the Hazardous Materials  
11 Emergency Planning and Response Act. We anticipate  
12 the cooperation of the Pennsylvania Emergency  
13 Management Council in developing the training  
14 necessary for our employees to be legally qualified  
15 to conduct spot checks to verify the data reported  
16 to us.

17                   While the Department  
18 wholeheartedly supports the goal of the draft  
19 legislation proposed by Representative McGeehan, I  
20 do want to express our concern about one proposed  
21 amendment to the existing law. Section 303 of the  
22 Hazardous Materials Emergency Planning and Response  
23 Act currently vests discretion in the Office of  
24 Attorney General, the office of General Counsel, a

1 county or municipality to pursue civil action  
2 against anyone who fails to comply with the Act.

3 As drafted, the proposed  
4 legislation would amend this provision to require  
5 that a civil action be instituted for violations.  
6 This would open the Commonwealth up for frivolous  
7 litigation in the form of an action in mandamus  
8 whenever it declines to pursue a civil action for  
9 any reason. The current Act recognizes that there  
10 may be circumstances in which a civil action is not  
11 a prudent or a beneficial cause of action.

12 Alternatively, the Department  
13 proposes that Section 303 be amended to provide for  
14 criminal penalties in the form of a summary  
15 conviction and a fine for violations of the Act.  
16 Any fines collected for violations of the Act could  
17 then be used to fund enforcement activities.

18 I would like to end my testimony  
19 by emphasizing that the safe storage and handling  
20 of hazardous materials are a significant concern to  
21 the Department and that we are committed to doing  
22 our part to ensure that complete and accurate  
23 information is available in the event of an  
24 emergency. The safety of emergency responders,



1 workers and those in the community is significantly  
2 impacted by the availability of such information.

3 At this time, we will be happy to  
4 answer any questions that the distinguished members  
5 of the Committee may have.

6 REPRESENTATIVE MCGEEHAN: Thank  
7 you very much, Mr. Secretary.

8 Can everyone hear clearly?  
9 There's a bit of -- the acoustics in this room  
10 aren't ideal.

11 Representative Sabatina?

12 REPRESENTATIVE SABATINA: Thank  
13 you, Representative.

14 I have a question in regards to  
15 the chemical fire in Fairview Township.

16 Because they did not report the  
17 chemicals that were on site, did they receive any  
18 sort of penalty, or fine, or reprimand, or anything  
19 to that effect?

20 MR. WARD: Not to my knowledge.  
21 The Department right now, at this time, we have no  
22 mechanism to do that.

23 REPRESENTATIVE SABATINA: Who  
24 does have the mechanism to do that?

1                   MR. WARD: I believe the PEMA and  
2 the LEPC in that area.

3                   REPRESENTATIVE SABATINA: Does  
4 your Department coordinate efforts or collaborate  
5 information with those departments?

6                   MR. WARD: Yeah. We work hand in  
7 hand with the Pennsylvania Emergency Management  
8 Agency. And we will also work hand in hand with  
9 the LEPCs in the counties.

10                  REPRESENTATIVE SABATINA: The  
11 other thing that I thought -- regarding to the  
12 criminal penalties for a summary conviction, just  
13 from my brief background in the Philadelphia DA's  
14 Office, a summary conviction, I think the maximum  
15 financial penalty for a summary conviction is \$300,  
16 if I'm not mistaken. So I don't know how effective  
17 that would be on a corporation. I don't know what  
18 deterrent \$300 would pose to someone who is  
19 noncompliant.

20                  SECRETARY O'BRIEN: It is a  
21 maximum of \$300. But on the other hand, we thought  
22 it was a more workable way of doing it.

23                  REPRESENTATIVE SABATINA: Thank  
24 you.

1                   REPRESENTATIVE McGEEHAN: Thank  
2 you, Representative Sabatina.

3                   Mr. Secretary, if I'm correct in  
4 reading your testimony, currently you have no  
5 authority to verify or investigate safety  
6 complaints of the nature that Representative  
7 Sabatina talked about and others that have been  
8 widely reported in the press.

9                   SECRETARY O'BRIEN: That is  
10 correct, Representative.

11                   REPRESENTATIVE McGEEHAN: Now, if  
12 a complaint is received to your Department, and  
13 it's logical to me, being a longtime member of the  
14 House and of the Labor Relations Committee, that I  
15 would look to the Department of Labor because,  
16 obviously, there's workers in danger at these  
17 facilities if reports aren't being done correctly;  
18 that I would turn to the Department of Labor to  
19 investigate those complaints.

20                   If you get a complaint, what do  
21 you do with it?

22                   SECRETARY O'BRIEN: Well, right  
23 now, because we don't have the authority, the  
24 jurisdiction, we would pass that complaint on to

1 PEMA or the proper authority.

2 REPRESENTATIVE MCGEEHAN: I know  
3 that PEMA is here and we'll be hearing from them,  
4 but I have some questions about the manpower and  
5 their investigative abilities.

6 But, obviously, you know, and I  
7 shared with you in the past my frustration that the  
8 power isn't seated in the Department of Labor and  
9 Industry to do these investigations.

10 Do you interface with OSHA in any  
11 of these dealings, and do they share incidents of  
12 complaints and their knowledge of particular  
13 chemical storage and use and other like things in  
14 the Commonwealth?

15 SECRETARY O'BRIEN: We requested  
16 that -- in 2007 we requested that OSHA look into  
17 the complaints that we have received. OSHA  
18 responded to us by saying that they had done a  
19 study in 2004 and they advised us that they  
20 received the complaint regarding Rohm and Haas in  
21 January, 2004. And at that time they reviewed the  
22 summary of the epidemiology study conducted by the  
23 company and it did not believe there was any need  
24 for any additional on-site investigation.

1                   So yes, we did follow it up with  
2 OSHA, the complaints that we received in '07.

3                   REPRESENTATIVE MCGEEHAN: In your  
4 expertise as a longtime executive at the Department  
5 of Labor and Industry, has the incidence of these  
6 complaints gone up or down, and do you have any  
7 knowledge about OSHA's -- the frequency of these  
8 inspections and the like? My understanding is in  
9 Labor and Industry the local companies report to  
10 you about the hazardous materials that they have  
11 on-site.

12                   How is that reported to you, and  
13 then what do you do with that information?

14                   SECRETARY O'BRIEN: I will defer  
15 to Mr. Ward on that.

16                   MR. WARD: Every facility, by  
17 law, is required to report the information and the  
18 storage locations and give us a map. We put that  
19 information on our Tier II Systemic Report  
20 electronically. We share that information with  
21 Pennsylvania Emergency Management Agency and with  
22 the BEIF (phonetic), if they need it.

23                   We have no way of verifying the  
24 information, unless -- we do do a reconciliation

1 with the counties, but we have no other way of  
2 verifying that the information that is submitted to  
3 us are the chemicals that are exactly there. And  
4 we're not saying they're not, but what we want to  
5 do, our goal is to help employers, if they're not  
6 in compliance, to get in compliance. But also, we  
7 want to protect the first responders in the event  
8 that there's an emergency.

9 REPRESENTATIVE MCGEEHAN: Does  
10 your Department currently have the ability to do  
11 investigative work? Now, I know, under your  
12 jurisdiction, you have prevailing wage  
13 investigations and most of them are complaint  
14 driven, obviously, by individuals.

15 Do you currently have an  
16 investigative capacity at Labor and Industry?

17 SECRETARY O'BRIEN: We have the  
18 ability to do this. We don't have the ability --  
19 we don't have the jurisdiction and the authority  
20 right now to do it. But we believe in the Bureau  
21 of PennSafe that we have people that are trained  
22 that could conduct these -- could follow up on  
23 these complaints and could conduct these on-site  
24 spot checks. And also, if we were to discover

1 something, to do a proper investigation to get to  
2 the bottom of it and to find out why it was not  
3 reported and to see that it does get reported.

4 REPRESENTATIVE MCGEEHAN: I'm not  
5 just talking about reporting requirements. I'm  
6 talking about specific complaints of the nature of  
7 the Rohm and Haas case in Spring House and the  
8 rest -- if a complaint came in to your Department  
9 and we gave you the authority to do that  
10 investigation, do you have the ability at this  
11 point to do that?

12 SECRETARY O'BRIEN: Yes. We  
13 believe we do.

14 REPRESENTATIVE MCGEEHAN: Okay.

15 REPRESENTATIVE SABATINA: In  
16 regard to the spot checks, spot checks are not  
17 something that you have the authority to do; is  
18 that correct?

19 SECRETARY O'BRIEN: That is  
20 correct.

21 REPRESENTATIVE SABATINA: If you  
22 were to have the ability to do spot checks and you  
23 did catch someone storing something that they  
24 weren't supposed to, you do not have the penalty to

1 find -- do you have the power to penalize them?

2 SECRETARY O'BRIEN: Right now we  
3 have no authority or jurisdiction to do anything.  
4 That's why we believe that this is a good piece of  
5 the puzzle and a good additional tool in our  
6 toolbox to help us with the enforcement.

7 REPRESENTATIVE SABATINA: Thank  
8 you.

9 REPRESENTATIVE MCGEEHAN: And I  
10 have an additional follow-up.

11 Bob, how many complaints do you  
12 get a year?

13 I mean, do you keep a record?

14 SECRETARY O'BRIEN: Concerning  
15 this type of thing?

16 REPRESENTATIVE MCGEEHAN: Yes.

17 MR. WARD: Most of the complaints  
18 we get are from counties looking for our  
19 assistance. But as we said, we have no mechanism  
20 to assist them because we have no enforcement  
21 capabilities.

22 REPRESENTATIVE MCGEEHAN: Let me  
23 ask you a loaded question, then.

24 Would you welcome the authority



1 to investigate these kind of complaints, if the  
2 Legislature saw fit to give you that authority?

3 SECRETARY O'BRIEN: Yes, we  
4 would.

5 REPRESENTATIVE McGEEHAN: Well,  
6 it's my estimation, editorially, and I have read  
7 extensively on this subject and looking at the  
8 incidents of OSHA investigations, and they have  
9 gone down precipitously during this administration,  
10 my concern is that you folks in the Pennsylvania  
11 Department of Labor and Industry have a much better  
12 handle on what is going on in our own backyards  
13 then the federal agency would. And I certainly  
14 would advocate to my colleagues that we give you  
15 that authority to do those type of investigations.  
16 And I'm glad that you and the Department welcome  
17 that authority.

18 SECRETARY O'BRIEN: Yes, we will.

19 REPRESENTATIVE McGEEHAN: Thanks  
20 very much.

21 Are there any other questions?

22 Thank you, Mr. Secretary. Thank you, Mr. Ward.

23 SECRETARY O'BRIEN: Thank you.

24 REPRESENTATIVE McGEEHAN: Next,

1 may we have Henry Tamanini? He is the  
2 technological hazards supervisor, Pennsylvania  
3 Emergency Management Agency, PEMA.

4 Would you state your name and  
5 your title for the Court Reporter?

6 MR. HUDOCK: It's Vincent Hudock,  
7 H-U-D-O-C-K, and I'm with PEMA, also. I'm the  
8 legislative liaison.

9 MR. TAMANINI: My name is Henry  
10 Tamanini, T-A-M-A-N-I-N-I. And Representative  
11 McGeehan, Representative Sabatina, the House Labor  
12 Relations Committee, as mentioned, my name is Henry  
13 Tamanini and I am the Technological Hazards  
14 Supervisor for the Pennsylvania Emergency  
15 Management Agency. Hereinafter we'll refer to that  
16 as PEMA. On behalf of PEMA director, Robert P.  
17 French, and deputy director, Richard Flinn, I  
18 welcome this opportunity to discuss the  
19 Commonwealth's hazardous materials safety program  
20 with you.

21 The state-wide hazardous  
22 materials safety program was established by the  
23 passage of Act 1990-165 and then amended by Act  
24 2000-121. This legislation is commonly referred to

1 as the Hazardous Material Emergency Planning and  
2 Response Act or Act 165. The purpose of the  
3 Hazardous Materials Planning and Response Act is to  
4 create and foster a strong working relationship and  
5 partnership between business and industry and the  
6 Commonwealth and its municipalities in order to  
7 protect and safeguard the citizens of the  
8 Commonwealth from health hazards and other risks of  
9 harm resulting from use, storage, distribution and  
10 transportation of hazardous substances.

11 The Pennsylvania Emergency  
12 Management Council is designated and serves as the  
13 Commonwealth's emergency response commission to  
14 carry out these responsibilities as assigned by the  
15 Superfund Amendments Reauthorization Act, commonly  
16 referred to as SARA Title III; also to develop  
17 overall policy and direction for the state-wide  
18 hazardous materials safety program and to supervise  
19 and coordinate the responsibilities of the Local  
20 Emergency Planning Committees, often referred to as  
21 LEPCs.

22 The Council has assigned  
23 primarily responsibility, as previously stated, to  
24 the Department of Labor and Industry for receiving,

1 processing and managing hazardous chemical  
2 information forms and data, trade secrets and  
3 public information requests under the Act and in  
4 coordination with the Worker and Community  
5 Right-to-Know Act. The Council has also assigned  
6 responsibility to the Department of Environmental  
7 Protection and the Department of Health for  
8 providing technical assistance and advice with  
9 regard to alleviation of public health and  
10 environmental hazards associated with hazardous  
11 materials releases or threatened releases of  
12 hazardous materials, including the dispatch of  
13 emergency response personnel to accident sites  
14 during emergency situations when so requested by  
15 PEMA.

16                   The Act also stipulates that at  
17 least one Local Emergency Planning Committee, LEPC,  
18 is to be established in each county. The  
19 composition of each LEPC is detailed within the  
20 Hazardous Materials Emergency Planning and Response  
21 Act. The LEPC is subject to the supervision of the  
22 Emergency Management Council and cooperates with  
23 the county emergency management agency and the SARA  
24 facilities to prepare the required off site

1 emergency response plans. Members of the LEPC are  
2 appointed by the Emergency Management Council from  
3 nominees submitted by the governing body of the  
4 county. LEPCs have been established in each of the  
5 67 counties of this Commonwealth.

6           Whenever the LEPC suspects that a  
7 facility or a vehicle owner or operator has failed  
8 to comply with the provisions of SARA Title III and  
9 Act 165, the LEPC is authorized, by Section 211 of  
10 Act 165, to send one or more qualified  
11 representative to the facility or vehicle to obtain  
12 any information which may be necessary for  
13 emergency planning response purposes.

14           When a facility is suspected of  
15 failing to comply with the reporting requirements,  
16 the county LEPC utilizes routine procedures for  
17 obtaining information from the facility. If  
18 necessary, the LEPC will send a certified letter to  
19 the facility owner or operator requesting a meeting  
20 to discuss the facility's suspected noncompliance.

21           If the second attempt fails to  
22 produce results or if a meeting is held but the  
23 information is not provided by the facility  
24 owner/operator to, say, satisfy the requirements of

1 Act 165, then the LEPC should instruct the LEPC  
2 inspector to conduct an inspection of the facility.

3 Under Act 165, PEMA is  
4 responsible for providing guidance to the LEPCs and  
5 for establishing the training standards  
6 certification program for the hazardous materials  
7 response teams. To this end, PEMA has issued  
8 directives and circulars related to the Hazardous  
9 Material Emergency Planning and Response Act. Some  
10 of these include directive 2000-5, which  
11 establishes the standards and prescribes procedures  
12 for the conduct of facility and vehicle hazardous  
13 material inspections as authorized by Section 211  
14 of the Hazardous Material Emergency Planning and  
15 Response Act.

16 Directive 2004-1 addresses the  
17 Hazardous Material Team Certification Process,  
18 including training requirements, personal  
19 protective equipment, medical surveillance program  
20 for the team members and the drill and exercise  
21 program.

22 Directive 2001-2 prescribes  
23 procedures for the preparation and review of the  
24 county chemical emergency off-site response plans,

1 including both initial and annual reviews and the  
2 maintenance of the state SARA facility data base.

3 Circular 2000-4 provides guidance  
4 and enforcement of the Hazardous Material Emergency  
5 Planning and Response Act.

6 Act 165 also established two  
7 funds related to the hazardous materials program.  
8 The first resides at the county level and is known  
9 as the Hazardous Material Emergency Response  
10 Account. The second fund resides at the state  
11 level and is known as the Hazardous Material  
12 Response Fund. The county fund consists of  
13 chemical and planning fees paid by the facility to  
14 the county. The dollar value is set by county  
15 ordinance. In 2006, the counties collected a total  
16 of 2.9 million dollars through this process.

17 The state Hazardous Material  
18 Response Fund is a restricted account used to carry  
19 out the purposes, goals and objectives of SARA  
20 Title III and the Commonwealth's hazardous  
21 materials safety program. It consists of a \$10 fee  
22 for each chemical on the Tier II reports paid by  
23 the owners and operators of chemical facilities to  
24 the state by March 1st of each year, plus a \$250

1 fee for each toxic chemical which is required by  
2 Section 313 of SARA Title III.

3           The state-level fund also  
4 receives revenue generated by civil penalties and  
5 fines, along with any funds appropriated by the  
6 General Assembly. The state fund is used for costs  
7 related to training, public and facility owner  
8 education, information and preparation programs,  
9 general administration and operational expenses of  
10 the Act. It also provides supplements to local  
11 programs.

12           The Pennsylvania Department of  
13 Labor and Industry, the Bureau of PennSafe, is the  
14 recipient of the Tier II reports and the facility  
15 fees submitted to the state Hazardous Materials  
16 Response Fund. Monies in the fund, with  
17 accumulated interest, are appropriated annually to  
18 PEMA for disbursement. The Act directs PEMA to  
19 administer and allocate monies to the state's  
20 program as follows: Up to 10 percent may be  
21 expended for training programs. Up to 10 percent  
22 may be expended for public and facility owner  
23 education. Ten percent may be expended for general  
24 administration and operational expenses of the



1 Act. And the remaining 70 percent is used as  
2 grants to support the activities at the county  
3 level under this Act. On an annual basis, the  
4 grants to the counties total \$824,000. In 2006 we  
5 understand that Labor and Industry collected  
6 approximately one point five million dollars from  
7 the Tier II process.

8           Each county is required to submit  
9 an annual report of its hazardous material  
10 emergency response and preparedness to PEMA. The  
11 report is based upon the requirements of Act 165  
12 and includes an evaluation of the hazardous  
13 material threat to the county from both fixed and  
14 transportation sources, along with an assessment of  
15 the county's ability and capability to respond to  
16 hazardous materials incidents.

17           The county also addresses the  
18 short and long-term administrative and financial  
19 plan to maintain and improve the chemical safety  
20 program and the response capabilities and unmet  
21 needs of the hazardous materials program. The  
22 individual county reports and the grant  
23 applications are reviewed by PEMA as the primary  
24 agent of the Emergency Management Council.

1                   In December, 2006 the Department  
2 of Labor and Industry implemented a new web-based  
3 system, which allows facilities to electronically  
4 submit or update their annual Tier II reports,  
5 submit five day initial reports and upload  
6 supplemental files, such as site plans and material  
7 safety data sheets. The data is accessible by the  
8 facility and also by PEMA in order to have  
9 information for emergency responders on a 24-hour  
10 per day period. PEMA and the Department of Labor  
11 and Industry have discussed potential funding for  
12 enhancements to this system.

13                   In closing, the purpose of the  
14 Hazardous Materials Planning and Response Act is to  
15 create and foster strong working relationship and  
16 partnership between business and industry and the  
17 Commonwealth and its municipalities in order to  
18 protect and safeguard the citizens of the  
19 Commonwealth from health hazards and other risks of  
20 harm resulting from the use, storage and  
21 distribution and transportation of hazardous  
22 substances.

23                   December, 2006 marked the  
24 thirteenth anniversary of no responder deaths

1 attributed to off-site releases of hazardous  
2 materials in Pennsylvania. This is a significant  
3 achievement, considering the high threat of this in  
4 the Commonwealth. The success we feel is due to  
5 industry and responder awareness and preparedness  
6 attributable to planning and training at the local  
7 and state levels.

8                   At this point PEMA does not have  
9 any reason to believe, nor evidence to indicate,  
10 that the vast majority of chemical owners and  
11 operators have been intentionally violating the  
12 provisions of the hazardous materials safety  
13 program and law. PEMA has requested information  
14 from the Local Emergency Planning Committees, the  
15 LEPCs, with regard to the number of inspections  
16 conducted. We understand that where violations  
17 have occurred, most have been due to a lack of  
18 education or information regarding the reporting  
19 requirements.

20                   As of May 1st, 2008, a total of  
21 3,246 plans are required state-wide. The LEPCs  
22 have received and have reviewed 3,217 of those to  
23 date.

24                   Thank you for the opportunity to

1 provide this testimony.

2 REPRESENTATIVE MCGEEHAN:

3 Representative Sabatina?

4 REPRESENTATIVE SABATINA: Thank  
5 you.

6 Thank you for your testimony and  
7 for your appearance today. A couple of questions I  
8 have regarding the Department of Labor and  
9 Industry, the first testifier, is Deputy Secretary  
10 said he refers complaints to PEMA.

11 How many complaints do you  
12 receive, and what do you do with the complaints, as  
13 far as investigation?

14 MR. HUDOCK: Yes, sir. I would  
15 like to preface my response by saying that I have  
16 been in this current position for approximately two  
17 years. To the best of my knowledge, I have not  
18 received any complaints in this regard. I have  
19 received an item that asked for comment from our  
20 State Fire Commissioner's Office and I have  
21 responded to that.

22 REPRESENTATIVE SABATINA: I guess  
23 my question next would be the policy of PEMA  
24 regarding any tips or complaints, how do they react

1 to a tip or a complaint?

2 MR. HUDOCK: Yes, sir. We would  
3 refer that down to the Local Emergency Planning  
4 Committee. And conversely, if the Local Emergency  
5 Planning Committee would come to us, then we would  
6 talk with Labor and Industry, the Department of  
7 Environmental Protection. And the one directive  
8 that I mentioned spells out the process for  
9 actually conducting that inspection, and it  
10 requires responsibility and knowledgeable  
11 individuals to make that inspection. And we would  
12 specifically ask a representative of Labor and  
13 Industry, and also a representative of the  
14 Department of Environmental Protection to be  
15 involved in that process.

16 REPRESENTATIVE SABATINA: If you  
17 were to come across a tip that was substantiated by  
18 evidence of wrongdoing, or wrongful storage, or  
19 something of that effect, what penalties or what --  
20 I guess what deterrents does PEMA have available to  
21 it to deter that company from doing such in the  
22 future?

23 MR. HUDOCK: Yes, sir. In  
24 accordance with the law, we would be involved in

1 the investigation and then provide the information  
2 to the Office of the Attorney General for  
3 appropriate process.

4 REPRESENTATIVE SABATINA: So  
5 PEMA, itself, does not have any enforcement powers?

6 MR. HUDOCK: We are currently  
7 going through a rewrite of Title 35, which is the  
8 Emergency Management Services Code. We do not have  
9 an enforcement section at this point in time.  
10 Depending on passage of that bill, that's something  
11 that we are looking at. But presently, we don't  
12 have an enforcement section.

13 REPRESENTATIVE SABATINA: Thank  
14 you.

15 On page four of your testimony  
16 there are fees assessed for chemicals on Tier II  
17 and a \$250 fee for each toxic chemical.

18 My question is: When were these  
19 fees established, I guess in year basis, and when  
20 is the last time they were adjusted, if you know?

21 MR. HUDOCK: I believe these were  
22 a part of Act 165, 1990 and then the amendment in  
23 2000. But to the best of my recollection, the 2000  
24 amendment made some changes, not to fees, but to

1 language dealing with the Department of  
2 Environmental Protection when it was separated into  
3 two separate entities.

4 REPRESENTATIVE SABATINA: So,  
5 basically, these fees were established in 1990 and  
6 haven't been adjusted for inflation?

7 MR. HUDOCK: That is my  
8 understanding. I can further research that and get  
9 back to you, sir.

10 REPRESENTATIVE SABATINA: Okay.  
11 My final question is: The same  
12 page, page four, at the second paragraph towards  
13 the bottom. PEMA administers and allocates monies  
14 to the state's HMRF. The last sentence says that  
15 the remaining 70 percent is used as grants to  
16 support the activities of the counties under the  
17 Act.

18 Could you elaborate on that and  
19 be more specific as to what that money goes to do?

20 MR. HUDOCK: Yes, sir. Each  
21 county is given an opportunity to apply for a grant  
22 via Pennsylvania Emergency Management Agency, and  
23 those grants are used specifically for the  
24 hazardous materials program. Many of the counties

1 use those to assist with the operation of their  
2 hazardous materials response teams or with other  
3 elements of the hazardous program in their county.

4 I believe that not as a part of  
5 the testimony but as handout material the 2006  
6 annual report had been provided, and on page 40,  
7 four, zero, you will find a table. It's printed  
8 horizontally, the sixth column from the left, it's  
9 called a match grant for 2006. And I'm sorry, that  
10 begins on page 39, my apologies, 39 and 40, and  
11 that delineates the amount of grant monies that  
12 have been actually allocated to the counties based  
13 on that request. The grant formula is based upon  
14 population, amount of threat in the county and  
15 other similar elements.

16 We also reviewed the grant  
17 application and make sure that the items that are  
18 being requested are, indeed, appropriate for the  
19 program. It is also possible for a county to,  
20 shall we say, escrow some of those monies for extra  
21 time periods in order to make a large purchase.  
22 Such a purchase would be a hazardous materials  
23 response vehicle that may cost many thousands of  
24 dollars.



1                   REPRESENTATIVE SABATINA: Thank  
2 you very much.

3                   REPRESENTATIVE MCGEEHAN: Thank  
4 you, Representative Sabatina. I want to  
5 acknowledge the presence of Representative Shimkus  
6 from Lackawanna County. In case anyone doesn't  
7 know, that's by Scranton. He's come a long way.  
8 And thank you for your attendance, Representative,  
9 for this important hearing.

10                   Good morning, gentlemen. I have  
11 a few questions to follow up to Representative  
12 Sabatina's inquiries.

13                   You had said, Mr. Tamanini, that  
14 there has been no complaints received in the two  
15 years that you have been at the Agency; is that  
16 correct?

17                   MR. HUDOCK: Yes, sir.

18                   REPRESENTATIVE MCGEEHAN: Is your  
19 investigative experience based on complaints, or  
20 are you actively doing inspections of chemical --  
21 those that use chemicals throughout the  
22 Commonwealth?

23                   MR. HUDOCK: The Pennsylvania  
24 Emergency Management Agency is not actively doing

1 the inspections; however, the Local Emergency  
2 Planning Committees are. And I have talked  
3 personally with a variety of those LEPCs, and it  
4 does vary from LEPC to LEPC, but they do an annual  
5 review of the required site plans, and some of the  
6 counties are bringing the reporting agencies or  
7 chemical operators into a meeting and they review  
8 the plan, top to bottom, on an annual basis.

9 I am awaiting information from  
10 some of the other LEPCs as to actual  
11 investigations. But I do have some data. In  
12 Western Pennsylvania, for instance, I know that in  
13 2007 they have conducted on-site site assistance  
14 visits, inspections at a number of their  
15 facilities. Also, the same has happened in Eastern  
16 Pennsylvania.

17 REPRESENTATIVE MCGEEHAN: Were  
18 they complaint driven?

19 MR. HUDOCK: I do not know that  
20 they are complaint driven. I do not have that  
21 answer. I will get back to you.

22 REPRESENTATIVE MCGEEHAN: I know  
23 that PEMA -- and you've enunciated your  
24 responsibilities under the law. And I'm wondering

1 about your capacity to investigate complaints that  
2 come into the Agency, if they do, and whether you,  
3 then, inform the locals to do the investigation.

4 Do you do the investigations  
5 yourself, or are you dependent on local Fire  
6 Department or others to do the investigation in  
7 that particular locality?

8 MR. HUDOCK: Yes, sir. We would  
9 notify the Local Emergency Planning Committee to go  
10 through their process, which is driven by our  
11 directive, in order to do that investigation. If  
12 they would be reluctant, reticent or feel  
13 uncomfortable in doing so, they would tell us and  
14 then we would formulate a committee under the  
15 auspices of the Emergency Management Council under  
16 PEMA to go ahead and conduct that investigation.

17 REPRESENTATIVE MCGEEHAN: What  
18 expertise do these investigators have?

19 Are there specific investigators  
20 that PEMA has and they are -- what kind of  
21 background do these investigators have in chemical  
22 exposure and the like to adequately conduct a  
23 thorough investigation?

24 MR. HUDOCK: Yes, sir. Those who

1 are considered to be qualified include an LEPC  
2 member who has an extensive educational background,  
3 training and/or experience.

4 REPRESENTATIVE MCGEEHAN: LEPC  
5 are local people, not PEMA?

6 MR. HUDOCK: That is correct.

7 REPRESENTATIVE MCGEEHAN: They're  
8 not PEMA employees?

9 MR. HUDOCK: That is correct.

10 REPRESENTATIVE MCGEEHAN: You  
11 have no investigators in PEMA?

12 MR. HUDOCK: That is correct, at  
13 the current time.

14 REPRESENTATIVE MCGEEHAN: L & I  
15 is telling us that it falls within your  
16 jurisdiction, yet you don't have any investigator  
17 to carry out investigations if we gave it to you.

18 MR. HUDOCK: Correct. We do not  
19 have any investigators at this time.

20 REPRESENTATIVE MCGEEHAN: That's  
21 troubling. I know that you have the authority to  
22 investigate, and again, that's a word that we're  
23 going to hear a lot, I guess, today, relates to  
24 storage to reporting and threats to the general

1 public. God forbid there's an explosion, there's a  
2 fire -- local fire departments and emergency  
3 responders need to know what chemicals are in a  
4 particular facility at any given time.

5 How often are they updated?

6 MR. HUDOCK: On an annual basis.

7 REPRESENTATIVE MCGEEHAN: Are  
8 there break outs for different chemicals?

9 Say there are known  
10 cancer-causing agents, are they broken out?

11 Are there specific categories of  
12 chemicals that you put into different  
13 classifications?

14 MR. HUDOCK: Sir, my  
15 understanding is if it is a reportable chemical  
16 under Tier II, that it is reported on that list.  
17 I'm not aware of it being --

18 REPRESENTATIVE MCGEEHAN: I don't  
19 understand Tier II. I'm a layman.

20 What are Tier II classifications?

21 MR. HUDOCK: The Environmental  
22 Protection Agency has established a list of  
23 extremely hazardous substances and chemicals that  
24 require the reporting process. There are

1 approximately 200 -- slightly less than 200  
2 chemicals, as I understand it, that are on that  
3 list.

4 REPRESENTATIVE MCGEEHAN: If a  
5 complaint of the nature of what has been widely  
6 reported in the press of the Rohm and Haas Spring  
7 House case, you have the authority, again, to  
8 investigate the storage, reporting and threats to  
9 general public.

10 Do you have the authority to  
11 investigate threats to individuals' health or who  
12 are handling any particular chemical anywhere in  
13 the Commonwealth?

14 MR. HUDOCK: Sir, my  
15 understanding is that with the emergency planning  
16 item it is the off-site area, in other words, the  
17 responders and those who are outside. The inside  
18 of the facility would, in my understanding, come  
19 under the community and worker right-to-know  
20 perspective.

21 REPRESENTATIVE MCGEEHAN: Within  
22 the Department of Labor and Industry?

23 MR. HUDOCK: That is correct.

24 REPRESENTATIVE MCGEEHAN: Well,

1 Labor and Industry is telling me it's your job.

2 You're telling me it's Labor and Industry's job.

3 MR. HUDOCK: If we would receive  
4 a report or an item regarding a chemical as  
5 possibly not being reported or something along  
6 those lines, as I mentioned earlier, we would talk  
7 with Labor and Industry. And in terms of specific  
8 health effects, we would turn to the Department of  
9 Environmental Protection for environmental aspects  
10 and also to the Department of Health for  
11 health-related items and epidemiology.

12 REPRESENTATIVE MCGEEHAN: Well,  
13 we're going to hear from the Department of  
14 Environmental Protection, and hopefully, they may  
15 shed some light on this.

16 The specific changes you're  
17 looking to add authority to your agency under Title  
18 35, do they, in any way, enhance your ability to  
19 investigate county-wide problems, and in this  
20 particular instance, these specific problems to  
21 individuals?

22 What kind of change are you  
23 looking to Title 35, and how can we, as a committee  
24 and the House, help you address the kind of things

1 that are being raised today?

2 MR. HUDOCK: Well, at this point,  
3 with regards to rewrite of Title 35, obviously,  
4 it's in the initial stages so it may take some time  
5 until that legislation passed. That said, with  
6 regards to PEMA's programs, they all tend to be  
7 driven at a local level, as far as first  
8 responders, things of that sort. So we work in  
9 more of a coordination role with them, oftentimes.  
10 But, obviously, our charge with certain  
11 responsibilities, looking at the additional add-ons  
12 in Title 35 and doing a comprehensive review of the  
13 Agency to see whether enforcement section makes  
14 sense, it probably does, and then target particular  
15 areas of oversight that we need to address.

16 REPRESENTATIVE MCGEEHAN: Well,  
17 I'm frustrated, and I think I speak for my  
18 colleagues, that so far we haven't found any agency  
19 in the State that has the ability to investigate  
20 the kind of problems that have been enunciated in  
21 press reports, and that's frustrating to me. And I  
22 understand your jurisdiction. You can only do what  
23 you're charged with under the law. So I think that  
24 that begs the question what we need to do to change



1 the law to give you both, agencies and the State,  
2 greater power to protect not only the general  
3 health but individual health from workers from  
4 dangerous chemicals.

5 MR. HUDOCK: We tend to be a very  
6 collaborative agency. For example, with DEP on  
7 flooding issues, we work with them on a number of  
8 things. And the same thing with a situation like  
9 this with L & I, we'd like to have a collaborative  
10 effort with them to do what makes sense for the  
11 Commonwealth and the workers.

12 REPRESENTATIVE MCGEEHAN: I'm not  
13 beating you up. I think that you do a wonderful  
14 job, and your job is much broader than dealing with  
15 individual cases. I think we need to find an  
16 appropriate agency in the State that we can vest  
17 authority to do the kind of things that I think we  
18 all want to see done.

19 So if there are no other  
20 questions, Representative?

21 REPRESENTATIVE SHIMKUS: In your  
22 report here, in the Incident Summary Report by  
23 county -- I'm just listening here for the last ten  
24 minutes and I'm seeing just levels of bureaucracy

1 here. And there's one that catches my eye. I see  
2 we have severe weather and we have ground spring,  
3 civil disorder, but then there's a very significant  
4 number of terrorist activity reports.

5 And I'm just wondering what is  
6 that, how do we respond? I mean, the number in the  
7 category of summaries is scary. It's in the top  
8 five. And if we have levels of bureaucracy, I'm  
9 wondering how PEMA gets involved in that and how  
10 we're dealing with that.

11 MR. HUDOCK: Yes, sir. What  
12 you're referring to in the booklet is the list of  
13 incidents that have occurred over the period of a  
14 calendar year. And those are taken from our  
15 reporting system. We have a 24-hour, seven day a  
16 week watch officer group in the Pennsylvania  
17 Emergency Management Agency. And as an incident  
18 comes in, it gets classified as to the type. If  
19 it's a transportation incident or, as you  
20 mentioned, terrorist activity, that category, I'm  
21 not 100 percent knowledgeable of, but I do know  
22 that that particular category includes items, such  
23 as bomb threats, a weapon, perhaps that may be  
24 suspected to have been brought to a workplace or a

1 school facility. And I believe that certain types  
2 of fireworks also fall into that category.

3 REPRESENTATIVE SHIMKUS: But by  
4 the time it gets to you -- I mean, if there's a  
5 bomb threat at a chemical plant, or a refinery or  
6 something, it goes immediately to the com center,  
7 then would it would go to the local police, and  
8 then it would go to the State Police.

9 By the time it gets to you, then  
10 what do you do, just put it in a file and say: We  
11 hope that some day we'll have investigative  
12 authority?

13 I mean, what happens then?

14 MR. HUDOCK: If I can just  
15 clarify one thing with regards to that reporting  
16 incident, it does come in and gets classified. For  
17 example, if at a train station there are a couple  
18 of bags there, it's likely to be reported through  
19 the PEMA system that there's potential terrorist  
20 activity and when it's investigated, finding out if  
21 it really is bags. It's not really an actual  
22 terrorist activity. So those numbers, depending on  
23 what the incident is, may not be clearly reflective  
24 of what happened.

1                   REPRESENTATIVE SHIMKUS:

2   Understood.  But just that last question, okay, if  
3   there were 400, 596 incidents reported in a  
4   calendar year and they ultimately come to PEMA, and  
5   one out of 596 is legitimate, how does it get  
6   investigated?

7                   What happens at that point?

8                   MR. HUDOCK:  Sir, when items like  
9   this or other types of categories come in, the  
10  appropriate people within PEMA, within the Office  
11  of Homeland Security and the various state agencies  
12  are notified.  So on those types of items, and  
13  we're all carrying pagers, we're notified of those  
14  when they come in.

15                  REPRESENTATIVE SHIMKUS:  Thank  
16  you, Mr. Chairman.

17                  REPRESENTATIVE MCGEEHAN:  Thank  
18  you, Representative Shimkus.  And thank you,  
19  gentlemen, for being here today and offering your  
20  testimony.  We look forward to working with you in  
21  the future to strengthening your role in the  
22  Commonwealth.

23                  We'll next hear from the  
24  Department of Environmental protection, Kerry Leib,

1 the director.

2 Good morning, Mr. Director.

3 Would you please state your name  
4 and the agency you're representing?

5 MR. LEIB: Good morning. My name  
6 is Kerry Leib. That's K-E-R-R-Y, L-E-I-B, as in  
7 boy. I'm the Director of Environmental Emergency  
8 Response for the Department of Environmental  
9 Protection.

10 Representative McGeehan,  
11 Representative Sabatina, and Representative  
12 Shimkus, thank you for this opportunity. And thank  
13 the Chairman Belfanti and Chairman DiGirolamo for  
14 us for inviting the Department of Environmental  
15 Protection to participate in today's hearing.

16 Ensuring proper standards and  
17 procedures are in place to manage and dispose of  
18 hazardous substances and materials is important to  
19 protecting the public's health and safety, as well  
20 as the quality of our environment.

21 A number of existing federal and  
22 state statutes guide the Department of  
23 Environmental Protection's responsibilities in  
24 providing emergency response and administering

1 regulatory requirements that require emergency  
2 planning. In addition, some of these same laws and  
3 regulations directed at other similar programs be  
4 implemented and managed by other agencies at the  
5 federal, state and local levels.

6 Of those programs managed by the  
7 federal government, many fall under the  
8 jurisdiction of the U.S. Environmental Protection  
9 Agency, the Department of Homeland Security and the  
10 Occupational Health and Safety Administration. In  
11 Pennsylvania, programs pertaining to emergency  
12 response or hazardous material management are  
13 managed by DEP, the Department of Labor and  
14 Industry and the Pennsylvania Emergency Management  
15 Agency.

16 Many of DEP's administered  
17 programs require regulated facilities to develop  
18 and implement hazardous material emergency  
19 prevention and response plans. DEP's authority  
20 with regards to responding to emergencies involving  
21 hazardous materials is most clearly delineated in  
22 the Hazardous Sites Cleanup Act, or HSCA. The HSCA  
23 legislation requires DEP to provide for emergency  
24 response capability for spills, accidents and other

1 releases of hazardous substances and contaminants,  
2 and gives DEP the authority to take any action  
3 deemed necessary or appropriate in order to protect  
4 the public health and safety or the environment  
5 from a hazardous materials release or the threat of  
6 such a release. The Department also responds and  
7 provides technical advice during hazardous  
8 materials emergencies by the Hazardous Material  
9 Emergency Response and Protection Act.

10 In its current draft, House Bill  
11 370 would amend the Hazardous Material Emergency  
12 Planning and Response Act to require the review of  
13 existing training and equipment standards so to  
14 ensure regional hazardous material organizations  
15 are prepared to respond to biological and chemical  
16 emergencies that are a result of terrorism.

17 Responding to emergency incidents of this nature  
18 could involve substantially different skills and  
19 resources than the Department and other hazardous  
20 material organizations possess at this time.

21 For example, these incidents  
22 could involve significant injuries that require  
23 emergency medical services or present circumstances  
24 where additional training and equipment would be

1 needed to decontaminate and monitor exposure  
2 levels. DEP feels this is a subject worthy of  
3 further consideration and stands ready to work with  
4 this Committee and the entire General Assembly to  
5 further develop this legislation in order to  
6 maximize its effectiveness, while eliminating  
7 redundancies in areas where similar protocols and  
8 procedures exist.

9                   DEP's emergency response program  
10 falls under the supervision of the director of  
11 environmental emergency response. The incumbent in  
12 this position in the event of an emergency  
13 situation is empowered with the full authority of  
14 the secretary of environmental protection when  
15 responding to emergency situations.

16                   In each of DEP's six regional  
17 offices, a regional emergency response program  
18 manager leads an emergency response program. The  
19 regional ERPM has the full authority of the  
20 regional director in responding to emergency  
21 situations. The regional program is staffed in  
22 addition to the ERPM by a full-time assistant ERPM  
23 and a part-time staff of DEP specialists who  
24 normally work in other program areas. These teams



1 consist of ten to 17 members who are trained in  
2 personal protection and safety, environmental  
3 sampling, containment and control and have  
4 authority to issue field orders to enforce  
5 regulations. In 2006 the Department responded to  
6 2,074 incidents. Six of these incidents were  
7 identified as terrorism.

8                   Regardless of whether it is the  
9 regional office or central office level, the  
10 emergency response program is committed to having  
11 management personnel available around the clock to  
12 receive notifications in the event of pollution  
13 incidents and environmental emergencies. The  
14 program has emergency response team members  
15 available to respond on-site whenever there is an  
16 immediate threat to the public health, safety or  
17 the environment.

18                   While the Department's emergency  
19 response primarily focuses on responding to spills  
20 on land or water, DEP is also significantly  
21 involved in air pollution incidents, either from a  
22 fire or industrial or transportation-related  
23 release and leaking underground storage tanks. The  
24 program may become involved in a limited number of

1 incidents involving public water supply shortages  
2 or contamination, mining-related discharges, oil  
3 and gas production-related discharges, abandoned  
4 explosives and food or waterborne illness  
5 outbreaks. Additionally, the program has a role in  
6 respond situations involving radioactive materials  
7 and participates in nuclear facility drills and  
8 incidents.

9                   Finally, while not a direct  
10 responsibility, the emergency response program is  
11 notified and helps to coordinate work associated  
12 with deep mine rescues and dam safety. When  
13 responding to an emergency incident, DEP's core  
14 mission is to protect and restore the natural  
15 environment and protect public health and safety.  
16 To that end, teams work to provide assistance to  
17 the first responders and ensure DEP regulations are  
18 met. DEP's teams maintain level B personal  
19 protection, which includes self-contained breathing  
20 apparatus, spill response suits, gloves, boots, and  
21 DEP personnel are also equipped with portable  
22 equipment to monitor the exposure of team members  
23 and other first responders and assess the potential  
24 exposure of the public and sampling equipment to

1 assess environmental consequences of the incident.

2 In the event that a DEP team is  
3 the first on scene, personnel also carry supplies  
4 of absorbent material. Team members can enforce  
5 DEP regulations on scene and the regional ERPM is  
6 authorized to enter into any emergency contracts  
7 for whatever action is needed to protect the public  
8 health, safety or the environment.

9 Three laws require DEP to be  
10 notified of a spill or release to the environment.  
11 The Pennsylvania Clean Streams Law requires that  
12 when any pollutant is discharged into surface or  
13 ground water, including sewers, drains and ditches,  
14 the person or entity spilling the substance or  
15 owning the premises from where the substance was  
16 spilled must notify the Department immediately.  
17 There is no reportable quantity to trigger this  
18 requirement and practically all substances are  
19 reportable.

20 The Solid Waste Act requires that  
21 the Department be notified immediately if there is  
22 a hazardous waste spill that effects surface water  
23 or ground water regardless of amount. The  
24 responsibility to report the spill may apply to the

1 solid waste generator or transporter. If there is  
2 no effect on water, the amount spilled must still  
3 be reported to DEP, if the quantity exceeds the  
4 reportable quantity. While the state RQs are  
5 fairly complex, those responsible for making such a  
6 report, DEP suggests that all hazardous waste  
7 spills greater than five gallons be reported.

8           The Pennsylvania Storage Tank Act  
9 requires releases from underground and above ground  
10 storage tanks be reported to the Department by the  
11 owner or operator.

12           Note that the regulatory  
13 requirement to report a release to DEP is on the  
14 person responsible for the discharge. It is not on  
15 the emergency response community. In the event of  
16 water runoff from firefighting activities, the fire  
17 company is technically responsible for the  
18 discharge. For this reason DEP asks to be notified  
19 when firefighting activities may have an effect on  
20 a stream. However, regardless of the regulatory  
21 requirement, voluntary reporting to the Department  
22 is encouraged in the following situations by the  
23 incident commander: All spills in excess of five  
24 gallons, any hazardous material. All petroleum

1 spills of five gallons or more with potential to  
2 pollute. Air pollution incidents where there may  
3 be a release of toxic materials or where smoke from  
4 a fire may create a public nuisance; incidents  
5 which involve illegal or improper disposal of any  
6 material.

7                   DEP encourages notification to be  
8 made to the appropriate regional office, although  
9 the Department maintains a state-wide toll-free  
10 number, 1-800-541-2050, to serve as an additional  
11 reporting resource for those who do not know which  
12 regional office is responsible for a particular  
13 area.

14                   In general, all costs associated  
15 with DEP's response to an incident and the spills  
16 cleanup are the responsibility of the party that  
17 spilled the substance. This includes any costs  
18 associated with the proper disposal of any waste.  
19 The property on which hazardous materials are  
20 located could be considered a responsible party  
21 under state law; therefore, it is not advisable for  
22 first responders to remove waste materials from the  
23 scene of an incident, unless they plan to arrange  
24 for and pay for ultimate disposal.

1                   Furthermore, if the material in  
2 question is a hazardous waste, it may not be  
3 legally transported by anyone other than a DEP  
4 licensed hazardous waste transporter. In  
5 situations where a hazardous waste must be removed  
6 immediately, DEP can issue an emergency permit to  
7 transport the waste to a more secure location. As  
8 a practical matter, DEP's procedures allow for an  
9 emergency contract to be put in place when there is  
10 an eminent threat to the public health, safety or  
11 the environment. If a material is overpacked and  
12 secured by another agency, it generally removes the  
13 immediate threat and makes it more difficult for  
14 DEP to assist in a later disposal action.  
15 Consequently, it is recommended that DEP be  
16 notified of these potential situations as early as  
17 possible during the incident.

18                   First responders must also take  
19 into account liability under the Federal  
20 Comprehensive Environmental Response Compensation  
21 and Liability Act, commonly known as Superfund, and  
22 HSCA. And HSCA is also a concern, too, in  
23 arranging for disposal. For a licensed  
24 transporter to haul waste, someone must sign a

1 manifest as the generator. The danger in doing so  
2 is that the generator assumes the risk for all  
3 future disposals. If any problems develop at the  
4 disposal site, the generator can be held liable for  
5 the clean-up costs. EPA has already gone back more  
6 than 100 years to find responsible parties.

7           Even if disposal was conducted in  
8 accordance with all appropriate regulations at the  
9 time, it is not -- with all appropriate regulations  
10 at the time is not a factor in assessing clean-up  
11 costs. The laws that limit liability for first  
12 responders do not cover this particular liability.  
13 Only DEP and its contractors are exempted from HSCA  
14 liability. Consequently, DEP recommends that  
15 emergency service organizations not take on this  
16 potential liability. After determining that a  
17 particular situation poses a significant threat to  
18 the public or to the environment, DEP or its  
19 contractors will arrange for material disposal when  
20 there is no viable responsible party.

21           It has not been uncommon in past  
22 years for spilled materials to be flushed into a  
23 storm sewer or roadside ditch. Fortunately, with  
24 the growing environmental awareness among the

1 public, this practice has become much less  
2 prevalent in recent years.

3                   Purposely placing any pollutant  
4 into the Commonwealth's waters is a violation of  
5 the Clean Streams Law, and first responders should  
6 refrain from this practice. However, when flushing  
7 a highly flammable product is necessary to prevent  
8 or substantially reduce the threat to human life,  
9 DEP should be contacted. Certain spilled chemicals  
10 can be neutralized or in some other fashion made  
11 sufficiently harmless. Certain acids, which  
12 although they may have been neutralized, can still  
13 contain highly-toxic metals and must be disposed of  
14 as hazardous waste. It is recommended that DEP be  
15 consulted prior to any attempt to flush a spilled  
16 material.

17                   A number of vendors sell  
18 dispersants, emulsifiers or other materials that  
19 claim to neutralize hydrocarbon products, allowing  
20 them to be flushed into any nearby stream. DEP is  
21 unaware of any material which can make hydrocarbon  
22 safe for flushing into a stream and will not  
23 approve these products to be discharged to the  
24 waters of the Commonwealth.



1                   In general, DEP should be  
2 consulted before any attempts to treat or dispose  
3 of any spilled material, unless immediate action is  
4 necessary due to health and safety concerns.

5 However, notification to DEP should be made as soon  
6 as possible after the actions are taken.

7                   DEP's emergency response program  
8 is committed to providing whatever assistance it  
9 can to first responders at emergency situations.  
10 While one of the mandated tasks is to protect the  
11 environment, this always will be a secondary  
12 consideration to the health and safety of the  
13 public or the incident responders.

14                   Just as DEP recognizes the  
15 jurisdictional authority of local responders in  
16 protecting the health and safety of their citizens,  
17 the Department expects that local personnel will  
18 recognize DEP's jurisdiction in situations that  
19 involve hazardous waste, contamination of the  
20 environment or a member of the regulated community.  
21 DEP supports the concept of a unified command  
22 system that involves all agencies that have a  
23 jurisdictional responsibility for any aspect of the  
24 incident.

1                   By working together, a dangerous  
2 situation can be handled quickly and responsibly to  
3 minimize the threats to the public or to the  
4 environment.

5                   Again, thank you very much,  
6 members of the Committee, and thanks to Chairman  
7 Belfanti and Chairman DiGirolamo for having us here  
8 today. I would be happy to answer any questions  
9 you have at this time.

10                   REPRESENTATIVE MCGEEHAN: Thank  
11 you very much, Mr. Leib, for your testimony.

12                   Are there any questions?

13                   REPRESENTATIVE SABATINA: Thank  
14 you, Mr. Leib. Just listening to your testimony,  
15 it seems that the DEP handles most of their  
16 incidents after it happens.

17                   Is there any proactive steps that  
18 DEP takes or is involved with to prevent, and I'm  
19 sure there are, but to prevent -- the before,  
20 rather than dealing with the mess afterwards?

21                   MR. LEIB: Representative  
22 Sabatina, there is -- the best way to put this is  
23 that the Department of Environmental Protection has  
24 been given the authority, the jurisdiction to

1 regulate waste. But when we're talking about in  
2 plant before it's used, before it's considered a  
3 waste, we have no authority over that.

4           What we do is we have inspectors  
5 in our Waste Management program who are constantly  
6 out at these sites inspecting how they handle and  
7 store, treat, dispose of their hazardous wastes.  
8 But how they store, or what they use, or what the  
9 process is in the plant before it becomes a waste  
10 is outside of our purview.

11           So we have people who are  
12 everyday out at the types of plants that we're  
13 talking about, the facilities that we're talking  
14 about to inspect air emissions, water treatment  
15 plants, industrial treatment plants, solid  
16 hazardous waste and municipal waste and things like  
17 that, how they're doing, how well they're  
18 controlling that. But when it comes to the  
19 chemical room, the process line, things like that,  
20 we have no jurisdiction there.

21           I will tell you, though, that  
22 most of our folks have made hundreds of suggestions  
23 to facility owners, prefacing it by saying: We  
24 don't regulate this, but I would suggest that you

1 not, for instance, store those two side-by-side, or  
2 something like that.

3 REPRESENTATIVE SABATINA: That  
4 leads to my second question. You do have  
5 investigators that do visit these different sites  
6 just in the way they store chemicals and the way  
7 the plants operate.

8 Besides suggesting or asking  
9 nicely, is there any power that DEP has to enforce,  
10 or something more strongly than suggest to these  
11 plants on how they conduct their business?

12 MR. LEIB: Once it becomes a  
13 waste, yes. We have regulations. We can penalize  
14 them, and we do, when necessary. Before that, no.  
15 We don't have any power to do anything more than  
16 that. The way we -- and actually, one of the  
17 reasons that we get involved so much on traffic  
18 accidents and things like that is the regulations  
19 define a hazardous waste -- or let's say a  
20 hazardous material that spills onto the ground  
21 defines it now as a waste. So now we do have  
22 purview. But before that, if we have 27 tractor  
23 trailers going down the highway, that's a product  
24 and we have no authority over that. Once one of

1    them has an accident and we have it all over the  
2    highway, now it's a waste and now we do have  
3    authority over that.

4                    REPRESENTATIVE SABATINA:  Thank  
5    you.

6                    MR. LEIB:  You're welcome.

7                    REPRESENTATIVE MCGEEHAN:  Thank  
8    you, Representative Sabatina.

9                    Back to the question.  L & I,  
10   PEMA, DEP doesn't have jurisdiction under current  
11   law to answer individual health-related problems in  
12   the work force.  I know that the DEP, you're  
13   telling me, does not.

14                   MR. LEIB:  That's correct.

15                   REPRESENTATIVE MCGEEHAN:  You  
16   talked about air quality, and there's been a  
17   suggestion in the case I referenced before about  
18   air quality problems inside the facility.

19                   Have there ever been a complaint  
20   about waste air emissions at any of those -- at  
21   that particular facility or any others?

22                   And if not, are your  
23   investigations complaint driven or is there a  
24   routine cycle of inspections for air emissions?

1                   MR. LEIB: Well, there's a couple  
2 things that go on here. There are routine air  
3 quality inspections.

4                   REPRESENTATIVE MCGEEHAN: Define  
5 routine.

6                   MR. LEIB: Depending on the size  
7 of the facility, every six months or once a year.

8                   REPRESENTATIVE MCGEEHAN: There  
9 are records at DEP that can be accessed?

10                  MR. LEIB: Absolutely, yes. Any  
11 citizen of the Commonwealth is welcome to come in  
12 and do a file search to look at what is happening  
13 with our inspections.

14                  By the same token, when we do get  
15 complaints, and to be honest, I cannot tell you  
16 that I have heard of any complaints at that  
17 particular facility about inside air, but the air  
18 quality regulations, when you look at them and  
19 the -- not Clean Air Act, but the -- anyway, the  
20 act that enables us to write regulations for air  
21 quality, specifically exempts indoor air. So we're  
22 generally -- when we're going through a plant, it's  
23 to look at processes to see how things are running  
24 so that we can see how well the control equipment

1 is handling the emissions that they're planning to  
2 put outside through the stack.

3                   For the most part, the exception  
4 there is whether it would be a home, a business, a  
5 factory, anything. If it's inside, there again, we  
6 don't have any jurisdiction over air quality inside  
7 of a building. We have -- our jurisdiction is for  
8 ambient air quality, everything out here, not here,  
9 but everything outside of the facilities, the  
10 homes, the businesses, and things like that. And  
11 so consequently, we try to improve the ambient air  
12 quality by getting as many of the facilities that  
13 do emit air contaminants to control those as well  
14 as they possibly can.

15                   If we get -- generally, when we  
16 get a complaint about, let's say, chemicals in the  
17 air inside of a building, we generally refer those  
18 to OSHA or to Labor and Industry because it's a  
19 workplace. But we don't get that many about  
20 factories, facilities like that because many of  
21 those have -- because of the inspections by OSHA  
22 over the years have developed programs for indoor  
23 air quality. And I'm not saying they're good or  
24 bad, I'm just saying they know it's not something

1 they can ignore if OSHA comes in and finds  
2 something that's in violation, and they know what  
3 the fines are.

4 REPRESENTATIVE MCGEEHAN: So  
5 within your jurisdiction, if there are incidents  
6 where an individual or groups of individuals  
7 complained about an exposure to a particular  
8 chemical or other agent, DEP would be an  
9 appropriate place it can complain, or not?

10 MR. LEIB: We always accept those  
11 complaints and then refer them to someone with  
12 authority to deal with them. And also, we have, in  
13 the past, assisted other agencies, because we have  
14 air monitoring equipment and we can do some  
15 analysis of air samples, because we do it on the  
16 outside. We have assisted other agencies to get  
17 samples and analyze samples for their use.

18 But we generally don't have  
19 people that are assigned to -- specifically to look  
20 at indoor air complaints. It's generally -- it's  
21 either they have mostly either been in a work  
22 setting or sometimes in a school setting and we're  
23 really not certain who would it go to in a school  
24 setting. But we try to help out when we can.



1 REPRESENTATIVE MCGEEHAN: I

2 understand the distinction.

3 Representative Shimkus?

4 Thank you very much, Mr. Leib,

5 for your testimony today.

6 MR. LEIB: Thank you.

7 REPRESENTATIVE MCGEEHAN: I want

8 to next welcome Pam Witmer. She's from the

9 Pennsylvania Chemistry Council. She is the

10 president. Welcome. State your name for the Court

11 Reporter and your title, please.

12 MS. WITMER: Pam Witmer with the

13 Pennsylvania Chemical Industry Council.

14 Good afternoon, Representative

15 McGeehan, Representative Sabatina and

16 Representative Shimkus. My name is Pam Witmer and

17 I represent the Pennsylvania Chemical Industry

18 Council. I am pleased to be here today to discuss

19 with you the private sector's responsibilities

20 under the Hazardous Material Emergency Planning and

21 Response Act, or Act 165 of 1990.

22 The Pennsylvania Chemical

23 Industry Council represents over 70 companies in

24 the business of chemistry of Pennsylvania. The

1 business of chemistry is an important segment of  
2 Pennsylvania's manufacturing sector representing  
3 seven percent of the state's manufacturing work  
4 force. The total economic benefit to Pennsylvania  
5 in 2006 by the state's chemical industry was almost  
6 87 billion dollars.

7           What is the Hazardous Material  
8 Emergency Planning Response Act or Act 165? Act  
9 165 is the primary tool the Commonwealth uses to  
10 address emergency planning response between the  
11 private and public sectors as it relates to  
12 hazardous materials. It's through this Act that  
13 the Commonwealth's framework for emergency planning  
14 response to off-site accidents or incidents is  
15 structured. Additionally, Act 165 is an important  
16 tool to strengthen relationships between entities  
17 that are using or storing hazardous materials, the  
18 communities in which they are located and local  
19 first responders.

20           While there are requirements  
21 under Act 165 for the Pennsylvania Emergency  
22 Management Council, the Department of Labor and  
23 Industry, as well as important emergency response  
24 planning functions for the local emergency planning

1 committees and the county emergency planning  
2 agency, my statement will identify the private  
3 sector's responsibilities under the law.

4                   What are those responsibilities?

5 The most basic requirement of Act 165 for the  
6 private sector is to submit to the Pennsylvania  
7 Emergency Management Council, the Local Emergency  
8 Planning Committee and the Fire Department a list  
9 of all hazardous materials as described by the  
10 federal SARA Title III and which are at or above  
11 the reporting thresholds within five business days  
12 of the material arriving on site. The private  
13 sector must also submit the corresponding material  
14 safety data sheets for each material reported to  
15 the Department of Labor and Industry, the Local  
16 Emergency Planning Committee and the Fire  
17 Department within five business days of the  
18 material arriving on site.

19                   The private sector is also  
20 required to appropriately label all hazardous  
21 material subject to the Act's reporting  
22 requirements and immediately identify or -- I'm  
23 sorry -- immediately report any release of the  
24 substance if it's above the reportable quantity and

1 it moves beyond the facility's property boundaries  
2 to PEMA and to the county emergency response  
3 agency. The first call, however, is to the county  
4 emergency agency's 24-hour hotline and the second  
5 call is to the State.

6           There is detailed notification  
7 information that is required whenever each one of  
8 those calls is placed, and I have that listed for  
9 you, but rather than reading them, they're in my  
10 testimony. And lastly, within 14 days of a release  
11 a written report must be submitted to PEMA and the  
12 county emergency management agency outlining the  
13 incident and actions taken.

14           In order to ensure compliance  
15 with the Act, the Local Emergency Planning  
16 Committee may enter the facility at any time during  
17 normal business hours to inspect the facility and  
18 request any information necessary for emergency  
19 planning and response purposes, as it relates to  
20 the covered material. If the committee member  
21 undertaking the inspection cannot determine, to his  
22 satisfaction, that the facility is complying with  
23 the law, he may either take a sample to be analyzed  
24 or arrange for analysis. The cost for the testing

1 is borne completely by the facility property owner.  
2 So as you can see, there's a lot of work and  
3 responsibility under Act 165 and who is responsible  
4 for paying a portion of it.

5           Given that the focus of the Act  
6 is the impact of off site emergency planning and  
7 response, when the legislature was developing the  
8 legislation they correctly created a system to  
9 allow for county and local agency involvement.  
10 This is a costly system which could inhibit many  
11 counties from adequately undertaking their  
12 responsibilities. To try and alleviate some of the  
13 costs to counties and without unduly impacting the  
14 citizens of the Commonwealth, a significant portion  
15 of the funding for operation and planning comes  
16 from the private sector companies that are subject  
17 to the Act.

18           In addition to companies being  
19 responsible for paying the cost of analyzing  
20 samples which have been requested by a local agency  
21 and the cost of cleanup from an off-site release,  
22 there are other fees which support the program  
23 created by the Act. There's the annual fee of  
24 between 35 and \$75 for each hazardous chemical a

1 facility lists on its hazardous chemical inventory  
2 form, which is submitted to the Local Emergency  
3 Planning Committee. The level of the fee is  
4 actually determined and set by the county.

5 There's also the hazardous  
6 chemical fee, which is an annual fee of \$10 for  
7 each SARA Title III hazardous chemical that is  
8 submitted to the Pennsylvania Emergency Management  
9 Council. There's the toxic chemical registration  
10 fee, which is an annual fee of \$1,000, and it's  
11 paid to the Department of Labor and Industry by  
12 every facility that submits a toxic chemical  
13 registration form.

14 The Department may retain 10  
15 percent of the fee for administrative purposes and  
16 there's a cap of \$5,000 per facility. The toxic  
17 chemical release form fee, which is an annual fee  
18 of \$250 for each toxic chemical on the SARA Title  
19 III list, and that is also submitted to the  
20 Department of Labor and Industry, again, retaining  
21 10 percent for administrative purposes.

22 And lastly, there's the emergency  
23 planning fee, an annual fee of a \$100 for any  
24 facility manufacturing, producing, using, storing,

1 supplying or distributing an extremely hazardous  
2 material in quantities above the reporting  
3 threshold, which is paid to the county in which the  
4 facility is located.

5           The money provided to the  
6 Department of Labor and Industry and PEMA, minus  
7 amounts retained for their administrative purposes,  
8 is used to provide for training programs, public  
9 education and administrative and operational  
10 expenses for local and county agencies.

11           The chemical industry recognizes  
12 the importance of educating and working with county  
13 and local emergency response agencies in the  
14 communities in which our facilities are located  
15 through which our materials transported. Many PCIC  
16 members routinely reach out to these groups to  
17 conduct joint exercises of emergency response  
18 plans. It's through this joint training that  
19 weaknesses in both the facilities and the local  
20 agency's response plans are recognized and  
21 corrected before incidents occur to ensure a more  
22 rapid and thorough response.

23           Pennsylvania TransCAER, which  
24 stands for Transportation Community Awareness

1 Emergency Response is a volunteer organization of  
2 chemical manufacturers, distributors, state and  
3 federal government agencies and representatives of  
4 county emergency response agencies dedicated to  
5 providing education and training to local emergency  
6 responders.

7                    Pennsylvania TransCAER, which has  
8 been recognized nationally for its outreach  
9 efforts, provides free hazardous materials response  
10 training to counties. To date, Pennsylvania  
11 TransCAER, in the last seven years, has conducted  
12 free training in about 50 of Pennsylvania's 67  
13 counties.

14                    The chemical industry in  
15 Pennsylvania is committed to working to continue to  
16 provide family-sustaining jobs for our employees,  
17 as well as a safe environment for the communities  
18 in which they are located.

19                    I would be happy to take any  
20 questions that you have.

21                    REPRESENTATIVE McGEEHAN: Thank  
22 you very much, Ms. Witmer. Thank you for being  
23 here and thank you for your testimony.

24                    MS. WITMER: Could I add one



1 thing to address a question that Representative  
2 Sabatina had earlier about penalties? Act 165, in  
3 Section 302 actually does provide for penalties,  
4 civil penalties, as well as misdemeanors and  
5 criminal enforcement action for the Attorney  
6 General.

7 REPRESENTATIVE SABATINA: Act 65,  
8 Section --

9 MS. WITMER: Act 165, Section  
10 302.

11 REPRESENTATIVE SABATINA: Has the  
12 Attorney General -- who provides the Attorney  
13 General with this information?

14 MS. WITMER: Not being the  
15 Attorney General or working in his office, I will  
16 make an assumption, understanding that that's what  
17 it is. Under Act 165 inspections are carried out  
18 as referred by the local emergency response  
19 committee. If those folks who can go in and do  
20 inspections at any time feel that there's a  
21 violation, it is referred to L & I and PEMA, and  
22 through their collaborative discussions would be  
23 referred then on to the Attorney General's Office.

24 REPRESENTATIVE SABATINA: Thank

1 you.

2 REPRESENTATIVE MCGEEHAN: Thank  
3 you very much, Ms. Witmer.

4 We're going to next hear from the  
5 Pennsylvania and Delaware Cleaners Association,  
6 represented by Dale Kaplan. He's a VP of  
7 Government Relations. Good afternoon, Mr. Kaplan.

8 MR. KAPLAN: Thank you for  
9 inviting me. Pam did a great job of Act 165. I  
10 would say the dry cleaners association, on a  
11 national and state level, have been, since 1986,  
12 focusing on workplace safety and emergency planning  
13 and response in our dry cleaning industry. The  
14 Emergency Planning Community Right-To-Know Act of  
15 1986 established requirements for all federal,  
16 state and local governments in the industry  
17 regarding emergency planning and the community  
18 right-to-know reporting on hazardous and toxic  
19 chemicals.

20 The Emergency Planning and  
21 Community Right-To-Know, also known as SARA Title  
22 III, has four major sections, emergency planning,  
23 emergency release, community right to know and  
24 toxic chemical inventory.

1                   As a contingency plan, federal  
2 regulations require dry cleaning plants have a  
3 contingency plan to deal with possible  
4 waste-regulated emergencies or accidents.  
5 Regulations do not require the plan to be written,  
6 although we believe it is prudent and do recommend  
7 that all of our members and dry cleaners in  
8 Pennsylvania to write down the steps that would be  
9 taken in emergencies, such as a waste spill or fire  
10 so that procedures can be fully reviewed with  
11 employees.

12                   One requirement of such a plan is  
13 the designation of one person as an emergency  
14 coordinator. This person must ensure that the  
15 proper procedures are carried out in the event of  
16 an emergency. There are reporting requirements  
17 within the emergency planning community  
18 right-to-know, Section 311, that apply to  
19 facilities that must prepare or have available  
20 MSDS, material safety data sheets, under  
21 occupational safety and health administration  
22 regulations.

23                   EPA has established threshold  
24 quantities for hazardous chemicals below which no

1 facility must report. The current threshold for  
2 Section 311 are for extremely hazardous substances,  
3 500 pounds, or the threshold planning quantity,  
4 whichever is lower. For all hazardous chemicals,  
5 10,000 pounds. To my knowledge, there are no dry  
6 cleaning plants that approach these thresholds and  
7 are thereby not included in the reporting  
8 requirements.

9                   However, MSDS sheets and a list  
10 of all applicable on-premise chemicals are kept on  
11 site at all dry cleaning plants. They are  
12 immediately available in the unlikely event of an  
13 emergency. Further contributing to the workplace  
14 safety regiment, MSDSs and the list is updated when  
15 new chemicals, or revised information, becomes  
16 present in the dry cleaning plant.

17                   Emergency planning. Any facility  
18 that has present any listed hazardous substances in  
19 a quantity equal to or greater than its threshold  
20 planning quantity is subject to the emergency  
21 planning requirements. To my knowledge, there are  
22 no dry cleaning plants that approach these  
23 thresholds and are thereby not included in the  
24 emergency planning requirements.

1                   As a brief overview, accidental  
2     spills, or other events of an emergency nature are  
3     rare in the dry cleaning industry. We maintain  
4     careful adherence to health and safety standards,  
5     which are always kept current. Please remember  
6     that we, as an industry, are 90 percent owner  
7     operated mom-and-pop style businesses.

8                   We employ approximately seven to  
9     ten people per plant, hard-working men and women,  
10    and work directly with, as an owner, I am, and  
11    beside them. We often employ our families, as well  
12    and we want to make sure that all of us are safe in  
13    our facilities. Our personal health and that of  
14    our employees and family members is extremely  
15    important to the owner and operator of a dry  
16    cleaning facility.

17                  I have been working at a dry  
18    cleaning plant since I was ten years old when I  
19    started working with my grandfather and father in  
20    downtown Harrisburg. Today, after 30 years of  
21    running my own store, my children both work for me  
22    in my store.

23                  Do you really think I'm going to  
24    provide an environment in which all of my family's

1 health would be at risk? We use redundant safety  
2 features and containment pans on and under dry  
3 cleaning equipment. Also, under our hazardous  
4 waste, there are pans that are waiting for safety  
5 cleaning or companies of similar nature to pick up.  
6 We do have still residues that we give to a  
7 company, like Safety Clean. Safe handling of dry  
8 cleaning solutions and waste are commonplace as  
9 technology continues to advance in these areas.

10 In my particular case, I put in a  
11 new machine two years ago and my usage of a  
12 cleaning solvent went from 30 gallons a month to  
13 five gallons per month with no decrease in volume.  
14 This, as you realize, decreases to almost nothing  
15 going out with the clothes or -- so that's indoor  
16 air quality, or of that my employees being exposed  
17 to fumes.

18 The time-weighted average  
19 exposure of my employees, and we use a DuPont badge  
20 that we use on a quarterly basis, is less than  
21 seven parts per million. Protective gear,  
22 first-aid and eye wash stations, as well as  
23 emergency spill kits and training in their use are  
24 all part of a standard safety regiment.

1                   These factors, awareness of  
2 obligations under the existing regs, keeping  
3 current information regarding on-site chemicals,  
4 right-to-know training for employees, a contingency  
5 plan in the event of an emergency, and safety and  
6 safe handling of all chemicals have established a  
7 safe workplace for dry cleaning plants in  
8 Pennsylvania.

9                   The Pennsylvania and Delaware  
10 Cleaners Association, wishes to work with the  
11 legislature, and we hope to assist you in and the  
12 Committee in your quest to make changes to Act 165  
13 of 1990. We hope that you will consider the  
14 difference in resources between big business and  
15 small business as you proceed with your  
16 investigations and your work here. Our owner  
17 operators do use a hazardous air pollutant with  
18 primarily 80 percent of the dry cleaners in  
19 Pennsylvania use propylethylene. It is an HAP, or  
20 hazardous air pollutant.

21                   Please consider making  
22 requirements less onerous as the typical cleaner  
23 does his environmental reporting on his kitchen  
24 table at night at home after eating dinner. We

1 cannot afford environmental consultants and  
2 attorneys. If this legislation comes to fruition,  
3 please consider creating a small business  
4 assistance committee that would have some input to  
5 help the Department of Labor and Industry create  
6 forms that are simple and easy to allow, similar to  
7 DEP's Small Business Environmental Assistance  
8 Program.

9                   We work closely with the DEP  
10 Bureau of Air, and I would not -- not correcting  
11 the gentleman from DEP, but I have personally  
12 helped to train some of the inspectors in, I guess  
13 they call it south central region. And I know that  
14 they go and visit dry cleaners on a regular basis  
15 because they have been in my store three times and  
16 I welcome them, because I run a good shop.

17                   Thank you for being here and  
18 thank you for letting me have the opportunity to be  
19 here.

20                   REPRESENTATIVE MCGEEHAN: Thank  
21 you very much, Mr. Kaplan. Thank you for making  
22 trip from Harrisburg and for sharing your  
23 multigenerational business and environmental  
24 experience with us. We appreciate that.



1 Do you have any questions,  
2 Representative?

3 REPRESENTATIVE SABATINA: Thank  
4 you, Mr. Kaplan. I'm interested in, I guess, the  
5 last page of your testimony where you said you  
6 installed a new machine two years ago and went from  
7 30 gallons of solution to five gallons of solution.

8 My first question is: How much  
9 does the new machine cost?

10 MR. KAPLAN: About 46,000.

11 REPRESENTATIVE SABATINA: How  
12 much is the solution from 30 gallons to five  
13 gallons?

14 MR. KAPLAN: Thirteen dollars and  
15 65 cents a gallon. So I can't pay for the  
16 introduction of the new machine, but I know that  
17 as -- I would call myself a steward of the  
18 environment, that it's better for me and the  
19 Commonwealth and my business to use less solvent.  
20 It just basically recycles it all and captures it  
21 and absorbs it so that we can recapture the solvent  
22 and nothing gets admitted into the atmosphere.

23 A great program. DEP has a small  
24 business advantage grant. So when I bought that

1 machine, I had to spend the money and then apply to  
2 DEP, and I got a \$7,500 grant from the Commonwealth  
3 for updating with a newer, better technology, just  
4 to give you a heads up.

5 REPRESENTATIVE SABATINA: That's  
6 where I'm going with this. I'm wondering how cost  
7 efficient and how realistic it is to ask or demand  
8 that the dry cleaning industry switch to the newer  
9 machines.

10 MR. KAPLAN: It's what is  
11 happening all over the country. California and New  
12 Jersey have already started to regulate  
13 propylethylene out of our industry. So some of us  
14 have just updated by going to new machinery  
15 technology for propylethylene; others are slowly  
16 switching to hydrocarbons. And there are four or  
17 five other solvents that are coming into force in  
18 our industry. But they're all some kind of  
19 solvent, whether it's propylethylene, or  
20 hydrocarbon or CO 2. They're all some kind of  
21 liquids to wash clothes in that's not water-based,  
22 because you can't wash that suit you're wearing in  
23 water. It won't look good.

24 REPRESENTATIVE SABATINA: Thank

1 you very much.

2 MR. KAPLAN: You're welcome.

3 REPRESENTATIVE MCGEEHAN: Thank  
4 you very much, Mr. Kaplan, for your testimony.

5 We'll next hear testimony from  
6 Dr. Phil Lewis on behalf of the Rohm and Haas  
7 Company.

8 Dr. Lewis? Welcome and thank  
9 you for being here. Enunciate your name and your  
10 title please, Doctor.

11 DR. LEWIS: Good afternoon,  
12 Representative McGeehan and Representative  
13 Sabatina.

14 REPRESENTATIVE MCGEEHAN: There  
15 are four or five other meetings today around the  
16 State. So Representative Shimkus had to attend  
17 another, so my apologies to the rest of the  
18 testifiers.

19 DR. LEWIS: My name is Phil  
20 Lewis. Lewis is spelled L-E-W-I-S. I am  
21 Vice-president Director of Environmental Health  
22 Safety and sustainable Development for Rohm and  
23 Haas Company. I am a physician by training and  
24 have a Master's Degree in public health. I did my

1 medical and public health training at Johns Hopkins  
2 with a concentration in preventative medicine and  
3 epidemiology with special concentration on problems  
4 of immune reactions in the skin from environmental  
5 exposures.

6                   Rohm and Haas Company is a global  
7 specialty materials company that began almost 100  
8 years ago when Rohm and Haas formed a partnership  
9 to make a unique product from the leather industry.  
10 Today Rohm and Haas is a nine billion dollar  
11 company with a portfolio of global businesses,  
12 including electronic materials, specialty materials  
13 and salt.

14                   Our products enable the creation  
15 of leading edge consumer goods and other products  
16 that touch almost every facet of our daily lives,  
17 including building and construction; electronics;  
18 packaging and paper; industrial; transportation;  
19 household and personal care; water and food. To  
20 serve these markets we have nearly 100  
21 manufacturing and 35 research centers in 27  
22 countries and nearly 16,000 people working to  
23 provide value to the society.

24                   We are one of the largest

1 chemical manufacturer employees in Pennsylvania  
2 with our worldwide headquarters in Philadelphia and  
3 employing over 2,000 people in the Delaware Valley.  
4 We have been part of the Bridesburg community,  
5 which is just a few miles away from here,  
6 obviously, for almost 90 years and helped provide  
7 community development support there, as we do for  
8 other communities where we operate.

9           You have invited us to provide  
10 testimony regarding the Hazardous Material  
11 Emergency Planning and Response Act, and  
12 specifically on workplace safety oversight and the  
13 effectiveness of current reporting standards and  
14 requirements for owners and operators of  
15 facilities, which have on-site hazardous substances  
16 and materials.

17           Let me respond in this way. The  
18 principles and practices underlying workplace  
19 safety and the Emergency Planning Community  
20 Right-to-Know Act, which formed the basis for  
21 Pennsylvania Public Law 639 comprise core  
22 principles of Rohm and Haas Company environmental  
23 health safety and sustainable develop systems. We  
24 wholeheartedly endorse and embrace the comments of

1 the Pennsylvania Chemical Industrial Council. We  
2 believe that good regulation and government action  
3 are important in protecting the health and  
4 well-being of society.

5 Rohm and Haas Company was, for  
6 instance, one of the first companies to call for  
7 and support the formation of the federal Chemical  
8 Safety Board. We were also one of the two chemical  
9 companies to support the establishment of the  
10 federal Superfund statute in 1980. And we also  
11 supported the amendment to that Act, which created  
12 SARA Title III, the federal driver behind the  
13 Pennsylvania Hazardous Material Emergency Planning  
14 and Response Act. Additionally, we believe that  
15 listening to and trying to work with all  
16 constituents and stakeholders, even those who may  
17 disagree with us, for the greater good is essential  
18 to finding solutions that will work in a  
19 sustainable society.

20 At Rohm and Haas our vision to be  
21 injury free and to provide a safe workplace for our  
22 employees. Our mission is to instill a culture  
23 where health and safety are core values, not just  
24 an initiative. Above all, we strive to create a

1 culture where health and safety values or beliefs  
2 are deeply embedded in all that we say and do and  
3 demonstrated each day in our practices and  
4 behaviors. These are reflected and ingrained in  
5 our practices, policies, work procedures and  
6 medical and industrial hygiene practices in order  
7 to safeguard employee health and safety.

8           We sit less than five miles from  
9 the Rohm and Haas Bridesburg plant. That plant and  
10 the many thousands of Rohm and Haas employees who  
11 spent their careers there have a special  
12 significance in our company's history. Decades ago  
13 employees working in Building 6 of the Bridgesburg  
14 plant were exposed to a chemical known as BCME, a  
15 potent carcinogen that resulted in lung cancer  
16 deaths of over 60 workers. This tragedy changed  
17 the company forever. We have said publicly and we  
18 practice daily the moral imperative that we must  
19 know the chemistry of our products and the nature  
20 of our markets better than anyone else. This means  
21 researching how our chemicals could affect  
22 employees, the public and the environment. It  
23 means providing vigilant oversight of our  
24 operations and strict scrutiny of any undue effects

1 that might result. The Building 6 episode is  
2 something I studied in medical school and that all  
3 physicians trained in occupational environmental  
4 medicine learn about. And it is remembered at Rohm  
5 and Haas everyday in our commitment to protecting  
6 and safeguarding employee health and safety.

7           This commitment can best be  
8 demonstrated in our actions. Since 1972, Rohm and  
9 Haas Company has voluntarily conducted over a dozen  
10 epidemiological studies on various sites around the  
11 globe. These studies are initiated either in  
12 response to concerns raised by employees or former  
13 employees, information from cancer registries or  
14 other evidence that may indicate there is an excess  
15 or undue elevation of a particular disease or  
16 illness. In each of these studies we have shared  
17 the findings with employees.

18           The most recent example of this  
19 approach began in 2002 after learning of a brain  
20 cancer diagnosis of a young scientist. We  
21 commenced an epidemiologic study of the Spring  
22 House workplace and what might be an elevated  
23 number of brain cancers. The study had oversight  
24 by a distinguished panel of scientists, including a



1 full professor from Johns Hopkins, one of 17  
2 specialty credentialed and funded institutions by  
3 the National Institute of Occupational Health and  
4 Safety or NIOSH. The study findings showed no  
5 statistical correlation between any workplace  
6 chemical, building or risk factor and the  
7 brain-cancer employees or former employees.

8           We followed up the first study  
9 with a second study to understand all causes of  
10 death at Spring House. That study showed no  
11 significant elevations of any cause of death,  
12 including brain cancer. These results were  
13 reviewed by an outside expert panel of scientists,  
14 including one from an additional NIOSH center. We  
15 also voluntarily submitted the draft manuscript to  
16 NIOSH for comment and review. Both NIOSH and the  
17 outside review panel made comments, some critical,  
18 and suggestions for further study.

19           Based on these comments and in a  
20 desire to leave no stone unturned, we made the  
21 decision earlier this year to turn the studies over  
22 to one of the preeminent NIOSH-funded research  
23 centers for completion and publication, the  
24 University of Minnesota, which will complete these

1 studies, and that work has already begun. The  
2 University of Minnesota will have complete autonomy  
3 to do this work while making the study's results  
4 public and will provide regular updates to  
5 employees on its progress.

6 I will be happy to address any  
7 specifics on Spring House during the question and  
8 answers, but let me close by assuring this  
9 Committee that we take the health and safety of our  
10 work force and of the community very seriously. We  
11 have an active health monitoring and investigation  
12 process to identify potential areas of concern, and  
13 as a matter of practice, we share our studies with  
14 our employees and other appropriate regulatory or  
15 industry associations to advance understanding and  
16 knowledge in the field.

17 Let me conclude by thanking you  
18 for appearing before you today, and I will be happy  
19 to answer any questions.

20 REPRESENTATIVE MCGEEHAN: Thank  
21 you very much for taking the time out to appear  
22 before the committee today, Dr. Lewis.

23 Representative Sabatina?

24 REPRESENTATIVE SABATINA: Thank

1 you.

2 Good afternoon.

3 DR. LEWIS: Good afternoon.

4 REPRESENTATIVE SABATINA: My  
5 question deals with page three of your testimony,  
6 NIOSH made some comments, some critical and some  
7 suggestions.

8 Do you care to elaborate on some  
9 of their comments and criticisms and suggestions?

10 DR. LEWIS: Sure. First, as I  
11 hope the Committee knows, we do have a web site  
12 that's available to the public, all employees, that  
13 lists, in fact, the actual letter from NIOSH with  
14 all of their concerns. I don't have that letter  
15 with me, but let me comment on the process that  
16 produced that letter.

17 We initially, as I said, called  
18 NIOSH. And though we were at the point at which we  
19 understood that based just on the request from the  
20 scientific panel that there was some additional  
21 calculations that we would want to do and would  
22 probably take a longer period of time, that we  
23 wanted to make sure that we had all criticisms up  
24 front so that those could be addressed directly.

1                   So we called NIOSH and said:  
2   Hey, listen, we would like you to do a health  
3   hazard investigation. Now, that's a formal request  
4   to the federal government that gives them full  
5   carte blanche to come to our facility, look at any  
6   records, and we invited them to do that. We'd said  
7   we'd be happy to do anything you like. During our  
8   conversations they said: Listen, we think you're  
9   handling this exactly the right way. You have all  
10  of the expertise to handle it. Let us just see the  
11  manuscripts for the studies that you've done. We  
12  sent those down, and they provided the letter back  
13  to us.

14                   Now, as we received their  
15  comments, we had a teleconference with the analyst  
16  from NIOSH and her two superiors to go over each  
17  one of their concerns. And while we had  
18  information that addressed each one of those  
19  concerns, we agreed that given that some of their  
20  criticisms suggested additional calculations that  
21  could be done, not that we thought they would add  
22  substantially to the information, but given that we  
23  had two negative studies, it's not unreasonable to  
24  consider doing that. Given that we already had a

1 set of calculations that the scientific review  
2 panel had asked us to do, we thought it would be  
3 best, especially given the atmospheric around  
4 this.

5 I know that Aaron Freiwald is in  
6 the audience here, and in the deposition I pointed  
7 out to him that once this sort thing is in the  
8 middle of a legal contest, I don't really have the  
9 freedom to investigate this quite the way I'd like  
10 to. And so, especially given that sort of a  
11 situation, we thought it was very important,  
12 knowing that these additional calculations would  
13 take additional time, and given the concerns that  
14 had been expressed that somehow, notwithstanding  
15 that we had two NIOSH centers, four outside  
16 professors from different academic institutions  
17 looking over our work, the fact that I teach at  
18 Hopkins, I teach at University of Pennsylvania,  
19 that Dr. Harper, who is doing the studies, had been  
20 a principal investigator with NIOSH and teaches at  
21 Columbia University, notwithstanding that we had  
22 all that expertise in this, we thought that given  
23 the atmospheric, it was going to be particularly  
24 important that there be no doubt that the science

1 behind this was right and that the additional  
2 calculations that needed to be done were being done  
3 in an up front and transparent way.

4                   And so, we looked at the list of  
5 17 NIOSH centers. We marked off the list any of  
6 those where I have gone to school, we have taught,  
7 we have had contracts in the past. I then talked  
8 to the remaining ones to see, of the very best, who  
9 had the bandwidth, the expertise and the resources,  
10 the expertise within their faculty to be able to do  
11 this. It came down to two, University of Minnesota  
12 and North Carolina. And it turned out that  
13 Minnesota had a more complete program, and North  
14 Carolina actually has to coordinate their program  
15 with Duke, which produces a little inner  
16 institutional policy, so it's not necessarily  
17 always helpful. So we decided to go with  
18 Minnesota, and they are helping us, as we speak.  
19 So that's where we are.

20                   REPRESENTATIVE SABATINA: Do you  
21 have any idea when the study is going to be  
22 complete?

23                   DR. LEWIS: That's really up to  
24 the University of Minnesota. As I say, we have

1 given them carte blanche to do it exactly the way  
2 they want to do it. They're looking over some  
3 records and some computer files and that sort of  
4 thing. They will actually be here for a site visit  
5 the end of this month, I think it's the 28th and  
6 29th. We'd be very happy to have you meet with  
7 them, if you like. They're certainly going to be  
8 meeting with the employees at Spring House.

9           We have, for instance, a  
10 stakeholder group that's composed of active  
11 employees, retired employees, families of people  
12 who have been affected by brain cancer. And I  
13 realize that there are a number of former Rohm and  
14 Haas families and employees here in the audience,  
15 and I would certainly encourage them, if they're  
16 interested, to be involved in the stakeholder  
17 group. We'd welcome that. And so that will be  
18 their first opportunity to get a very in-depth look  
19 at everything that we have already done.

20           Their best estimate right now is  
21 that it will take them two years to do the work  
22 and -- but that's the best we have right now. We  
23 won't know more until they have actually been able  
24 to look more at the records that are available.

1                   REPRESENTATIVE SABATINA: So your  
2 best estimate is two years from now?

3                   DR. LEWIS: That's my best  
4 estimate right now. But as I say, it's up to the  
5 University of Minnesota. We have, as part of the  
6 contract with them, that they will provide at  
7 least, at least twice a year updates to us and all  
8 stakeholders. So we'll certainly be happy to keep  
9 you and anyone else advised about what the timing  
10 would be on that.

11                   Again, as I say, the web site  
12 that's up will certainly be publishing all that  
13 information. So there will be a lot of update  
14 about what is going on.

15                   REPRESENTATIVE SABATINA: Thank  
16 you.

17                   DR. LEWIS: Certainly.

18                   REPRESENTATIVE MCGEEHAN: Thank  
19 you, Representative Sabatina. I have a number of  
20 questions, Dr. Lewis. And I want to preface by  
21 saying I'm not party to the lawsuit and I'm not  
22 familiar with the case, other than reading the  
23 press accounts of this long-lived problem, both of  
24 the workers and of Rohm and Haas.



1                   There are a number of things that  
2   raise questions, I think certainly in the minds of  
3   the family members of those who have died from  
4   these brain cancers. But I think among the general  
5   public and the legislature it's been characterized  
6   as Rohm and Haas has -- these additional studies as  
7   a good faith effort on Rohm and Haas.

8                   But press accounts have indicated  
9   that this was based on a number of people who  
10   you've even referenced, Dr. Carpenter, who I  
11   believe retired --

12                   DR. LEWIS: Yes.

13                   REPRESENTATIVE MCGEEHAN: -- and  
14   has really made no public comment about this  
15   report. You've had a controversy about one of the  
16   experts you talked about, Mary Schiavo Bergen  
17   (phonetic). She detailed a number of flaws in the  
18   study and cast doubt on the effectiveness of this  
19   study and how it wasn't relative. And so it does  
20   raise some questions, in my mind, and I think the  
21   Committee's mind, about how seriously Rohm and Haas  
22   took this problem and how effectively the study  
23   was.

24                   I also have some concerns when

1 Rohm and Haas was -- at least in the Illinois case  
2 of Amoco, the question was raised about those  
3 anomalies in their facility and the number of  
4 cancer deaths dealing with a particular chemical at  
5 their plant. And at least from the press reports I  
6 have read, Rohm and Haas took no action on that  
7 instance and didn't follow-up with that company for  
8 similar instances. So you understand, among laymen  
9 like myself, that raises some serious concerns.

10                   Were there complaints, Doctor,  
11 you may not be the appropriate person to answer  
12 this, at Rohm and Haas from employees during this  
13 process or once this problem was -- we realize we  
14 did have a problem at Rohm and Haas? And I don't  
15 want to prejudice my comments that this problem  
16 existed at Rohm and Haas.

17                   DR. LEWIS: It's no problem.

18                   REPRESENTATIVE McGEEHAN: Our  
19 testimony -- I have asked the agencies: Where do  
20 we go to complain. And I still haven't found out  
21 where we go to complain.

22                   And I'm asking you: Where do  
23 these employees -- did they go to Rohm and Haas to  
24 complain about this particular problem?

1 DR. LEWIS: Yeah. Let me address  
2 all of your questions. First, let me start off by  
3 saying that it personally offends me when people  
4 who know very little about this situation suggest  
5 that I or anyone at Rohm and Haas is not taking  
6 this extremely seriously. That's an affront.

7 Now, the fact of the matter is  
8 that Tom Haag, who is here and I expect is going to  
9 speak in a moment, called me about the case. We  
10 looked at each and every case. There was nothing  
11 unusual about the initial cases. It is a terrible  
12 tragedy that illness occurs at all different ages,  
13 all different walks of people, and we can't explain  
14 them all, and that's a terrible thing. I'd like to  
15 be able to prevent every illness, but I can't.

16 But we looked at each one of  
17 those cases individually to understand, talked, got  
18 as much information as we could from the physicians  
19 or from the families to understand what was going  
20 on with each one of those cases; was there anything  
21 unusual. We also reviewed the workplace; was there  
22 any new information.

23 One of the things that's  
24 important to understand is that we routinely look

1 at the literature. As I say, I teach in this  
2 area. As soon as we knew about the Amoco study, we  
3 talked with Peter Leese, who is the professor from  
4 Hopkins, who is on the Amoco study. That's, in  
5 fact, why we invited him to be on our study,  
6 because he had all the information about Amoco. We  
7 talked with the folks at Amoco, understood all the  
8 materials that they were concerned about. We made  
9 sure that the case control study we were analyzing  
10 for those materials.

11 The effectiveness of the study in  
12 question, you know, one of the issues in science is  
13 to be open to criticism, founded or unfounded, and  
14 to take it seriously and make sure that you have  
15 done all calculations, figured out as much as you  
16 can.

17 Academically, what normally  
18 happens in these situations, and certainly what  
19 NIOSH would have done, and what University of  
20 Minnesota will do, is to do a corporate study first  
21 to figure out, in fact, if that number of brain  
22 cancers at Spring House, or in any particular  
23 instance, is statistically abnormal or not. Well,  
24 in fact, it turns out it's not. And normally in

1 those cases, NIOSH would stop and do nothing else.

2 I, as a preventative medicine  
3 physician understood that the important question  
4 here is not whether there's a statistical  
5 aberration here or not, but is there something in  
6 the workplace that's causing these cases, is there  
7 something we have to do differently. So we  
8 launched the case control study first because  
9 that's the study that allows you to look at all the  
10 Amoco information, all the Chevron information,  
11 which, also, is an unpublished study at the time;  
12 to look at all those materials.

13 And, we additionally searched the  
14 literature for any material that had any  
15 association not caused -- let me make this point  
16 clear. It can often occur that there's a  
17 statistical association between something but not a  
18 causal association. So we searched -- the causal  
19 association is the higher threshold. We searched  
20 the literature for anything that even had an  
21 association of brain cancer and made sure that that  
22 was included in the case control study to allow us  
23 to, as quickly as possible, to understand if there  
24 was anything in the workplace that was associated

1 with these brain cancers. There was none.

2 Now, as I said, we sat down with  
3 Mary Schiavo Bergen and went over a number of her  
4 concerns. The fact of the matter is that one of  
5 her major concerns was the sequences of the study.  
6 And as I said, this was not an academic pursuit.

7 REPRESENTATIVE MCGEEHAN: Define  
8 sequence.

9 DR. LEWIS: As I said, normally,  
10 academically, or normally NIOSH, for instance,  
11 would do the cohort study first to determine, in  
12 fact, whether there is a statistical increase in  
13 disease to begin with. And that sort of answers  
14 the first question: Is this abnormal or not. And  
15 if it's not, maybe we don't do anything. But if it  
16 is, it's only that basis that you go forward.

17 REPRESENTATIVE MCGEEHAN: It is  
18 based on sampling size? I read there's an  
19 controversy about the sampling size in the initial  
20 study.

21 DR. LEWIS: Yeah, there is. And  
22 part of the problem is that any -- this is called a  
23 cluster investigation. And part of the problem in  
24 any cluster investigation is that you're limited by

1 the size of the population included in the  
2 cluster.

3           So, for instance, the concern at  
4 this point was Spring House. So we included  
5 everyone who was ever assigned at Spring House.  
6 One of the criticisms has been well, there have  
7 been cases for people who work, say, at the home  
8 office who had occasional or even routine visits to  
9 Spring House, right? We would be happy to include  
10 those people, but in order to do that, we can't  
11 scientifically just include the cases. You have to  
12 also include everyone who had had that similar sort  
13 of exposure, anyone who had a routine visit to  
14 Spring House from, say, the home office.

15           Now, to my knowledge, and we have  
16 searched through all the company's records, there  
17 are no records that allow you to know who routinely  
18 was going in an unbiased way. I mean, you can't  
19 just take somebody's recollection, okay, they were  
20 going every week. You have to have some unbiased  
21 way to find everyone who was doing the same thing  
22 to include them in the calculations. Otherwise,  
23 you have to include the whole population at home  
24 office. When you do that, that would suppress or

1 reduce the apparent increase. It would make it  
2 look less.

3           So those sorts of suggestions,  
4 which are in Mary's document, you know, I would  
5 have felt that those were inappropriate to do. And  
6 when we talked to her we explained why we thought  
7 that those were inappropriate.

8           Another one of her criticisms,  
9 for instance, was the exposure record. We have  
10 used the lab notebooks at the research facility.  
11 Typically, say, in one of our plants we would use  
12 what is called a job exposure profile. That's  
13 where you have people who are working in the plant  
14 come in, sit down with a physician, or nurse, or  
15 the industrial hygiene coordinator and go over what  
16 materials do you believe you've been exposed to  
17 over what period of time and what intensity. And  
18 we have those records and we would use those, along  
19 with the routine industrial hygiene measurements  
20 that we take to understand what the real  
21 measurements are in the workplace. We use those as  
22 a determination of exposure.

23           Well, in a research institute or  
24 center, because they're using so many materials,



1 you'd have to do that once a week or so. And no  
2 one's going to do that. I mean, you can't even get  
3 researchers to do that. So the most accurate  
4 record that we know of is the lab notebooks where  
5 the researchers have to keep track of what they're  
6 working with, the amount, et cetera. So that's  
7 what we used. We'll be very happy if the  
8 University of Minnesota or someone else can come up  
9 with a different approach. We'd be more than happy  
10 to look into it. But as far as we can tell, that  
11 is the most accurate reflection of exposure.

12           Your question about notification  
13 and investigation here, beyond the fact that Rohm  
14 and Haas has routinely run a cancer registry  
15 facility where we ask employees to please tell us  
16 of any cancers they know about. Now, we can't  
17 force anyone to give us information, but we  
18 basically ask people: Please give us the  
19 information as soon as you know about it so that we  
20 can work with you and your physician, one, to make  
21 sure that you are getting the right treatment. If  
22 we can be of any help to you in finding  
23 specialists, helping think through approaches,  
24 we're happy to do that, and making sure you're

1 getting your benefits. But beyond that, looking to  
2 understand is there anything unusual about this  
3 case or associated cases. So, in deed, that's what  
4 we routinely do.

5 Now, if an employee believes that  
6 we're not doing the right thing, for any reason,  
7 beyond calling the State Health Department here to  
8 ask someone to look into it, they can call the  
9 National Institute of Occupational Safety and  
10 Health and ask for a health hazard evaluation.  
11 And, frankly, that might almost make it a little  
12 easier for me, because then there's no doubt of how  
13 -- who is doing it and why.

14 So that availability is there.  
15 But remembering what I said here, we voluntarily  
16 asked for that involvement of NIOSH, and as I said,  
17 at two NIOSH centers involved from the very  
18 beginning.

19 REPRESENTATIVE McGEEHAN: Refresh  
20 my memory, if you will, Doctor.

21 Is the same chemical we are  
22 talking about in the Spring House instance the same  
23 as the chemical that's being linked to cancers at  
24 the Amoco facility?

1 DR. LEWIS: I think the fairest  
2 way to answer your question is, first, the current  
3 information does not link any particular chemical  
4 at Spring House with these cases. We did, as I  
5 say, look at all of the chemicals that were of  
6 concern at Amoco and analyzed specifically for  
7 those. So, again, there's nothing to show that  
8 there's any association between those materials  
9 that were used, and mind you, not all of the  
10 materials at Amoco that were of concern were used  
11 at Rohm and Haas. But we looked at all the  
12 overlap, and there was no association between any  
13 of those chemicals, but we did look at them.

14 REPRESENTATIVE MCGEEHAN: But the  
15 response was different at Amoco. They immediately  
16 -- tell me the experience at Amoco. They  
17 immediately shut down that particular part of their  
18 facility, once it was -- not even there wasn't even  
19 a determination, but based on the -- at least the  
20 suggestion, somehow, that these many, many  
21 employees contracted this rare form of cancer, then  
22 it was shut down. And I think for many people,  
23 that's troubling in that that same instance wasn't  
24 adopted at Rohm and Haas, and I think that's cause

1 for alarm among, certainly, the legislature and our  
2 public in general.

3                   The federal cancer data, in  
4 looking at this, some of these reports, this  
5 particular type of cancer that -- and the figure is  
6 now -- is it 15, Doctor, that you've been made  
7 aware of, individuals?

8                   DR. LEWIS: I have to say that  
9 sounds like about the right number, but part of the  
10 problem right now is that I'm not sure that we have  
11 full ascertainment of all of the cases, and that's  
12 part of what Minnesota will be doing.

13                   REPRESENTATIVE MCGEEHAN:  
14 According to the data, they say that this brain  
15 cancer is extremely rare.

16                   DR. LEWIS: Actually, the cell  
17 types of the brain cancers concerned are, in fact,  
18 the most common causes of brain cancer. There's  
19 nothing rare about them, except that brain cancer,  
20 in and of itself, is relatively rare. But these  
21 cell types that we've seen are not unusual.

22                   REPRESENTATIVE MCGEEHAN: I can't  
23 speak to cell types, as a layman. But according to  
24 the federal cancer data, 3.45 cases per 100,000

1 people. That sounds pretty rare to me among the  
2 general population. You, certainly, as a medical  
3 professional, would know more than I.

4 Is that typically one of the  
5 rarer cancers out there?

6 DR. LEWIS: The answer to your  
7 question is brain cancer, which is the figure that  
8 you quoted, is rare relative to, say, lung cancer,  
9 skin cancer, bladder cancer, breast cancer, no  
10 question that brain cancer is relatively rare  
11 compared to other cancers, that's true.

12 Was that the sense of your  
13 question? I want to make sure I'm answering.

14 REPRESENTATIVE MCGEEHAN: Yes, it  
15 is.

16 DR. LEWIS: Yes. Brain cancer is  
17 rare.

18 REPRESENTATIVE MCGEEHAN: About  
19 those who have succumbed to this cancer, is there  
20 anomalies in age groups?

21 Is this a disease that typically  
22 strikes in the general population?

23 Are they much older than the  
24 individuals, rather than the Rohm and Haas

1 individuals?

2 DR. LEWIS: No. I mean, the  
3 current analysis shows that there's nothing unusual  
4 about these cases, either in terms of age or any  
5 other factor. However, again, I should say that's  
6 part of what we're continuing to look at.

7 REPRESENTATIVE MCGEEHAN: Doctor,  
8 what lessons, then, from the Amoco experience --  
9 would there have been something that Rohm and Haas  
10 would have done differently, knowing that we're at  
11 this point now?

12 Is it fair to say that Amoco  
13 acted more expeditiously than Rohm and Haas or did  
14 something different?

15 Would you have done something  
16 differently today?

17 And since the time you were  
18 alerted to these clusters, there have been a number  
19 others who have been identified; is that true,  
20 Doctor, since the initial warning?

21 DR. LEWIS: Oh, yes. Right.

22 REPRESENTATIVE MCGEEHAN: My  
23 question, then, is: Is the Amoco case something  
24 that we should be looking for as a way to proceed

1 in the future, and have they given us a blueprint?

2 DR. LEWIS: I would suggest not.

3 I'd ask you to follow through with me on that

4 thought process.

5 REPRESENTATIVE McGEEHAN: Of

6 course.

7 DR. LEWIS: Because in the

8 situation in which there is a cluster of disease,

9 and the terrible fact of life is that clusters of

10 disease happen that have no cause, you know, it's

11 not a chemical, it's not sun, it's not something

12 someone did. And it would be wrong, in my opinion,

13 to -- let's say there were three leukemia cases or

14 three brain cancers in this neighborhood,

15 Holmesburg, Bridesburg, right where we are. If you

16 came to me as a physician and said: Well, we have

17 five times the number of brain cancers in this

18 neighborhood, right, and there's no cause, and you

19 asked me to tear down all these houses, I would

20 tell you that's not a good idea. I would say that

21 the right thing to do is bring in NIOSH, Centers

22 for Disease Control, University of Pennsylvania,

23 whoever else, and look and see, is there reason to

24 believe that there's a cause here? And if there

1 is, then act on that basis. Otherwise, I think  
2 we're, frankly, being irresponsible.

3 REPRESENTATIVE MCGEEHAN: Has the  
4 agents -- by agents I mean chemicals, that were  
5 used at the Spring House facility in these  
6 particular parts of the Rohm and Haas facility,  
7 have any been proven to be known carcinogens?

8 DR. LEWIS: There is -- yes, all  
9 right, because the researchers work with a lot of  
10 materials. Benzene is a known carcinogen.

11 REPRESENTATIVE MCGEEHAN: Before  
12 or since, Doctor, has that been determined?

13 Before the Spring House  
14 experience, or after that, or during this process?

15 DR. LEWIS: Oh, before, before,  
16 before. There are groups at Spring House that work  
17 with methyl ether.

18 REPRESENTATIVE MCGEEHAN: That's  
19 the Bridesburg case?

20 DR. LEWIS: Right.

21 So researchers have worked with a  
22 lot of materials that are cancer-causing agents,  
23 but that's dealt with by making sure, in fact, that  
24 this proper personal protective equipment; that



1 those processes are properly enclosed so people are  
2 not exposed; so that emissions are properly  
3 captured or not destroyed or kept at a low enough  
4 level so there's no impact outside the facility.

5           So yes. I mean, we have to -- in  
6 fact -- I mean, one of the problems -- anytime any  
7 one of us, you and I, go to pump gasoline we're  
8 exposed to benzene. And I'm not going to ask you  
9 to stop pumping your gas today. But we do, as a  
10 society, take measures, such as making sure that  
11 there are those catchments (phonetic) facilities to  
12 minimize the exposure.

13           But the fact that a material is  
14 hazardous is not, in and of itself, reason to stop  
15 using it. Let me give you a couple of other clear  
16 examples of what I'm talking about. One of the  
17 main reasons for much of our environmental law now  
18 is DDT. And we banned DDT in the '70s.

19           And why was that for good, as  
20 chief of preventive medicine? The only way I could  
21 use DDT was to get rid of bats in somebody's  
22 house. But it turned out -- has turned out that  
23 DDT is the most cost-effective way to prevent  
24 malaria. So even the World Health Organization has

1 agreed that using DDT in appropriate applications  
2 is the right thing to do to combat malaria in  
3 malarious areas.

4 Another good example,  
5 thalidomide. Thalidomide, as many of you know, is  
6 a cause for the tragedy of babies who are deformed.  
7 And for a number of years that medicine was taken  
8 off the market, not used. It turns out that in  
9 terms of treating people with leprosy or Hanson's  
10 disease, that's the best thing going. And so we  
11 use thalidomide now, not only for that, but for  
12 other immune diseases associated with neurological  
13 systems. So the fact that the material is  
14 hazardous is not a reason to ban it or not to use  
15 it. It is a reason to understand how to use it and  
16 how to protect people in the environment.

17 REPRESENTATIVE MCGEEHAN: Doctor,  
18 it may not be fair, because I don't know your  
19 history at Rohm and Haas or how long you've been  
20 there, but the scenario of the 60 lung cancer  
21 deaths that were -- and correct me if I don't use  
22 the correct terminology -- definitively linked to  
23 their job --

24 DR. LEWIS: Yes. No question.

1                   REPRESENTATIVE MCGEEHAN: -- and  
2 chemical at that facility, take me through the  
3 scenario of what happened there so I can better  
4 understand how that particular problem was handled.

5                   DR. LEWIS: Sure. And as you  
6 know, when we were coming in I was mentioning an  
7 inherent problem within businesses, all right,  
8 that, say, in leading business schools, like  
9 Wharton, there's nothing to tell a business owner:  
10 You have to have environmental health safety and  
11 sustainable development expert as one of your major  
12 managers in your company.

13                   And so, in the '50s and '60s that  
14 was certainly not the case in any company, period.  
15 And in chemical companies, in general, there was an  
16 approach called structure activity relationships in  
17 order to look at the molecular structure of  
18 material, think about how it's related to something  
19 that was already known to be a problem in terms of  
20 cancer or the environment and to go on that basis  
21 to decide to control it. But there were not  
22 physicians, toxicologists, epidemiologists,  
23 industrial hygienists in corporations to the extent  
24 that there are now.

1                   And, certainly, Rohm and Haas  
2 was, at the time, doing what was known to be right  
3 at the time. There was not a person like me within  
4 the senior management of Rohm and Haas. And so, on  
5 the basis of structure activity relationships, they  
6 got it wrong. They missed one. There is nothing  
7 inherent about this that allowed them to know this  
8 was going to be a bad thing. But what did occur  
9 was that once it became clear that there was, in  
10 fact, obviously, an association between lung  
11 cancer, it's an oat cell cancer and bisaler  
12 methdene (phonetic), then the company that worked  
13 at Johns Hopkins to establish a screening program,  
14 which included chest x-rays and sputum cytology,  
15 and they established the Toxicology Department, the  
16 Industrial Hygiene Department and all of the groups  
17 that I now manage.

18                   Additionally, over the time we  
19 continued to follow the literature to know, in  
20 fact, that chest x-rays are not helpful, nor are  
21 sputum cytology helpful in screening for lung  
22 cancers of any sort, but certainly, not for oat  
23 cell cancer. Once we found that, we stopped doing  
24 that screening. However, we had an agreement with

1 the employees who are at that plant to provide them  
2 with compensation. And once that agreement ended,  
3 even though, as far as we could tell at that point,  
4 any new cases were more likely to come from other  
5 exposures than anything that happened at the plant,  
6 in order to make sure that people were taken care  
7 of, we extended -- we voluntarily extended that  
8 agreement and continued to pay benefits beyond when  
9 the legal agreement required it.

10                   Additionally, when we acquired a  
11 company in France that was using or producing ion  
12 exchange resins, which are the product that uses  
13 chlormethyl methyl, either of which bisaler  
14 methdene is a side reaction or contaminant, the  
15 first thing that we did was an epidemiology study  
16 on those workers to understand what their exposures  
17 might have been, whether there was an excess or  
18 not, and we immediately dealt with that without any  
19 request from the government or request from an  
20 employee.

21                   REPRESENTATIVE MCGEEHAN: Doctor,  
22 I know that you do screening and that's, I'm sure,  
23 of comfort to the employees.

24                   Is there active testing for

1 cancer, and is that practical on a regular basis?

2 DR. LEWIS: It is, but it very  
3 much depends on which cancer you're talking about.

4 REPRESENTATIVE MCGEEHAN: And is  
5 it done?

6 DR. LEWIS: Screening for cancer  
7 is available to all Rohm and Haas employees. It's  
8 part of their medical benefits, so whether it's  
9 breast cancer screening, screening for prostate  
10 cancer, et cetera. Now, one of the problems is  
11 that there is no effective screening for brain  
12 cancer, but that's true for a number of cancers.  
13 There's really no effective screening for  
14 pancreatic cancer.

15 REPRESENTATIVE MCGEEHAN: Is  
16 there a difference in terms between screening and  
17 testing?

18 DR. LEWIS: Oh, yeah. Well, yes.

19 A very important distinction,  
20 right? Within preventive medicine, or within  
21 medicine, as a whole, screening has to have the  
22 following characteristics: One, that the test will  
23 identify the illness at a time when medically you  
24 can do something about it and that the risks and

1 costs of the tests are acceptable to the patient.  
2 So, for instance, mammography is very effective for  
3 breast cancer screening, as is colonoscopy for  
4 colon cancer. Now, those can also be used as  
5 individual tests, tests, as opposed to screening,  
6 for an individual patient when they have a concern.

7 Now, to do screening, unless it's  
8 something that is going to provide you lead time  
9 where you can do something about it, and unless the  
10 risk from the tests are acceptably low, given that  
11 when you're talking about screening, you're talking  
12 about people who are asymptomatic, they don't have  
13 clear signs of the disease, then it is, frankly,  
14 unethical to do screening in that case.

15 Now, tests, sure, on an  
16 individual level, if you come to see me -- in fact,  
17 this has come up, particularly with regard to the  
18 brain cancer question. If you came to see me as a  
19 physician and you said to me: You know, I work at  
20 Rohm and Haas and I have seen the Philadelphia  
21 Magazine article and I understand about the -- and  
22 the Amoco experience, and I think I might have  
23 brain cancer, can you screen me, the very best  
24 test, probably, and you will get different

1 neurologists and neurosurgeons to have a different  
2 opinion, but probably the better test would be an  
3 MRI. The problem with MRIs is that they have a  
4 relatively high rate of false positives. That's a  
5 case where you do the test and you see something in  
6 somebody's brain, and then when you later on do a  
7 biopsy and you find out gee, it's not cancer, it's  
8 not something that you needed to be worried about,  
9 that rate of false positives is high enough for  
10 MRIs that it is wrong to use it as a screening  
11 test. And there's no indication that MRIs, as a  
12 test for screening, would identify brain cancer  
13 early enough to do anything about it.

14                   If you come to me as an  
15 individual and you say: I hear that, Phil, Dr.  
16 Phil. I hear that. But I'm worried to death I may  
17 have this. Okay. Now, on the clinical basis,  
18 depending upon your family history, your genetics  
19 and your level of anxiety, I may order the test,  
20 explaining to you that, look, this isn't the  
21 world's best test. It's going to be pretty  
22 expensive. It's uncomfortable. And the rate of  
23 false positives is pretty high. So if we get  
24 something, we may have to drill a hole in your head



1 and do a biopsy just to prove that a shadow on an  
2 MRI is nothing. And if you said to me: Well,  
3 Phil, you know, that's okay, I want to do it, I  
4 would still probably try to talk you out of it.  
5 But I might do it if, indeed, I thought you were  
6 going to worry yourself sick worrying about this  
7 thing, but not as a screening test.

8 REPRESENTATIVE MCGEEHAN: As a  
9 layman, I understand that.

10 The last question, I ask the  
11 indulgence of my colleague, knowing everything  
12 that's happened at Spring House and the resultant  
13 furor, is there anything that is being done  
14 differently in Spring House now then before this  
15 event has become such a controversy?

16 DR. LEWIS: Well, a major thing,  
17 of course, is we're asking the University of  
18 Minnesota to look into this. But in terms of --

19 REPRESENTATIVE MCGEEHAN: I mean  
20 in the workplace.

21 DR. LEWIS: Workplace practices,  
22 no, because, in fact, the routine medical checks of  
23 individuals, the routine screening of the  
24 environment, industrial hygiene testing of the

1 environment, the routine requirement on work  
2 practices to have materials properly contained  
3 within hoods, when that's necessary, to, in fact,  
4 have researchers do a process hazard analysis  
5 before they even begin an experiment, to sit down  
6 and think: What are the materials I'm using, is  
7 there a safe exposure limit for this, does it need  
8 to be done under a hood, is there any other  
9 information that I need here, all those practices  
10 were already in place.

11 Now, what we did do is go back to  
12 reconfirm that, in fact, those were in place,  
13 everyone understood them. We did look at the  
14 industrial hygiene information to see if there was  
15 anything that suggested that people were being  
16 overexposed or anything.

17 REPRESENTATIVE MCGEEHAN: Do you  
18 have a process of handling those chemicals is being  
19 done the same way now as before?

20 DR. LEWIS: Yes.

21 REPRESENTATIVE MCGEEHAN:  
22 Employees are being informed about -- I'm sure they  
23 don't have to be because they're aware of the  
24 controversy?

1 DR. LEWIS: Well, maybe I didn't  
2 let you finish your question, in a sense. People  
3 are informed of what they're working with. In fact,  
4 part of the requirement of the Rohm and Haas system  
5 is that people know what they're working with.  
6 Materials safety data sheets are available to  
7 everyone. In fact, we have an audit system that  
8 goes to each one of our sites to ensure that that's  
9 the case. And one of the ways for a plant manager  
10 or a facility manager, research or not, to get  
11 fired is not to have those systems in place.

12 So people understand what they're  
13 working with. We are open to answer any questions  
14 that they may have. And as I say, we are  
15 constantly looking at the literature to learn more  
16 information. And we work with our Toxicology  
17 Department on new testing of materials to look for  
18 new information. So the -- we take every  
19 reasonable precaution to safeguard the health and  
20 safety of our employees and the environment around  
21 us.

22 REPRESENTATIVE SABATINA: Doctor,  
23 in your testimony you said that Rohm and Haas keeps  
24 a record or a journal of all the incidents of

1 cancer?

2 DR. LEWIS: Cancer registry,  
3 that's right.

4 REPRESENTATIVE SABATINA: Can you  
5 tell me, besides the 60 mentioned in Building 6 and  
6 the young doctor in 2002, a rough estimate of how  
7 many other employees contracting various forms of  
8 cancer?

9 DR. LEWIS: To accurately answer  
10 your question, I'll have to go back and look at the  
11 cancer registry, because there are over 200  
12 different cell types of cancers. The other thing  
13 to keep in mind is the cancer registry is  
14 voluntary. So employees do not have to give us the  
15 information. So that's one of the difficulties,  
16 again.

17 But the number of brain cancers  
18 we know reasonably well to be somewhere around a  
19 dozen or 15 or so. I have heard of maybe an  
20 additional three or so, it may be 18. But in order  
21 to get that information beyond what people  
22 voluntarily give us, we have to go to state cancer  
23 registries and/or go to individual physicians and  
24 hospitals and ask for the information. So that's

1 part of what happens in the cohort of the case  
2 control studies that we have done and what  
3 University of Minnesota will be doing.

4 But to answer your question, I'd  
5 have to go back and look at the registry, and I can  
6 tell you how many cancers we had at different  
7 times.

8 REPRESENTATIVE SABATINA: Beside  
9 this scientist in 2002, is there a string or a  
10 series of like cancers, like brain cancers?

11 DR. LEWIS: You mean excesses of  
12 cancer?

13 Is that what you mean?

14 You mean excesses of cancer?

15 REPRESENTATIVE SABATINA: Yes.

16 DR. LEWIS: We have -- there was  
17 -- we initiated a look at our Bristol plant,  
18 because there was a question about whether ethyl  
19 accolade or some of the monomers might generate an  
20 increase in lung cancer. That was not found, but  
21 we did find an increase in colon cancer. It seems  
22 to be reasonably similar to the Spring House cases  
23 where there's an excess number that seemed not, in  
24 the end, to be associated with anything there in

1 the workplace; did offer screening for that.

2 At the Philadelphia plant, and in  
3 a follow-up to the lung cancer study, we did find  
4 an increase in pancreatic cancer. Again, that's a  
5 cancer for which there's no screening. We did see  
6 what seemed to be an association with DDT, but that  
7 was not held in any other investigation that we did  
8 in any other center, nor anywhere else around the  
9 world. And so that was the most we could do there.

10 There was a question about a  
11 cluster of esophageal cancers at our plants in  
12 Jarrow, England. We worked that issue with the  
13 health and safety executive for the government in  
14 the U.K. Again, there was no clear association  
15 with anything in the environment or anything from  
16 the plant. We did, however, continue to work with  
17 the community just to reduce odors, just because  
18 that was a problem. But there was no clear  
19 association of cause for the disease.

20 There are others, but I'd have to  
21 go back and look at the record.

22 REPRESENTATIVE SABATINA: Thank  
23 you.

24 REPRESENTATIVE MCGEEHAN: Thank

1 you very much, Dr. Lewis, for taking the time out  
2 to be here and for sharing your expertise with us.

3 DR. LEWIS: Sure.

4 Let me offer one other thing. I  
5 understand that part of the sense of this is to  
6 make sure that the appropriate agencies within the  
7 Pennsylvania government have the opportunity to  
8 look into this thing. Let me volunteer myself and  
9 Rohm and Haas Company to work with you to figure  
10 out what would work the best. We'd be very happy  
11 to do that.

12 REPRESENTATIVE McGEEHAN: Thanks  
13 very much, Doctor.

14 DR. LEWIS: You're welcome.

15 REPRESENTATIVE McGEEHAN: We're  
16 going to take a five-minute break.

17 ---

18 (Whereupon, a recess was taken at  
19 1:45 p.m.)

20 ---

21 (Whereupon, testimony resumed at  
22 2:00 p.m.)

23 ---

24 REPRESENTATIVE McGEEHAN: I want

1 to reconvene this meeting of the public hearing for  
2 the House Labor Relations Committee meeting. I  
3 want to next introduce Thomas Haag. He's the next  
4 testifier. He will be joined by Aaron Freiwald.  
5 He's an attorney.

6 Would you please state your names  
7 for the stenographer and your interest today?

8 MR. HAAG: Thomas Haag, H-A-A-G.

9 MR. FREIWALD: Aaron Freiwald.

10 REPRESENTATIVE MCGEEHAN: You may  
11 be give your testimony, gentleman.

12 MR. HAAG: My name is Thomas  
13 Haag. I was a Rohm and Haas Company employee for  
14 38 years, split evenly between the Research  
15 Division and the business end and managerial and  
16 management roles. I was head of a large polymer  
17 research laboratory at the Spring House facility.  
18 I worked at the corporate headquarters in  
19 Philadelphia as a marketing manager, then as a  
20 business director of the largest and single most  
21 profitable unit of the corporation. I retired as  
22 Director of Corporate Development.

23 In August, 1996 I read an  
24 Associated Press article in the Wall Street Journal



1 and the Philadelphia Inquirer about Amoco closing a  
2 polymer research center in Illinois because they  
3 were finding a high rate of brain cancers among  
4 their research workers. The description noted that  
5 the cancers were occurring at young ages. Brain  
6 cancers tend to occur most frequently in the very  
7 young and in the elderly. Brain cancers among  
8 working-age individuals and the ages of 18 to 64  
9 are rare.

10                   The article jolted my memory  
11 because I had a co-worker friend who, in his early  
12 30s, as a polymer chemist at Spring House had  
13 contracted brain cancer. I had the terrible  
14 experience of watching him disintegrate from being  
15 an excellent athlete, who actually played against  
16 Wilt Chamberlain in the high school basketball  
17 championship game to being a stumbling Frankenstein  
18 figure with a bald head and scars before his death.

19                   This motivated me to write a  
20 letter to Dr. Phil Lewis, the vice-president and  
21 medical director of Rohm and Haas. I inquired  
22 about any possible similarities between the  
23 experience of my former co-worker and those  
24 involved in the Amoco facility in Illinois. I got

1 a return letter noting great concern and stating  
2 that Rohm and Haas epidemiologists would contact  
3 his counterpart at Amoco to probe the topic.

4 I heard nothing more until the  
5 year 2002 when I attended a meeting in Spring House  
6 at which the company announced the start of an  
7 epidemiological study because of a concern with the  
8 rate of brain cancers. When I asked the Rohm and  
9 Haas epidemiologist, Dr. Arvind Carpenter, he had  
10 no recollection of my friend and he responded to a  
11 direct question that he had never had contact with  
12 his Amoco counterpart. I took that to mean that  
13 the Rohm and Haas medical director had lied to me  
14 in his response.

15 I called Dr. Lewis to discuss the  
16 topic with no meaningful response. I then spoke  
17 with John McKeough, head of corporate public  
18 relations for Rohm and Haas, and suggested that he  
19 come to see me at my house. At that meeting I gave  
20 a full warning that I was not about to sit on my  
21 butt for two years waiting for some ponderous  
22 study. I had a separate meeting with the Rohm and  
23 Haas epidemiologist, Dr. Carpenter, which did not  
24 allay my concerns. I spent a few hours making

1 phone calls to fellow researchers and established,  
2 within a matter of hours, in my mind, that there  
3 was a serious problem at Spring House.

4 I wrote a letter to John Haas and  
5 Mr. Raj Gupta, the CEO, requesting a half-hour  
6 meeting with them to outline my concerns. Both of  
7 them knew me and knew very well that I had a long  
8 and distinguished career with the company and had  
9 helped the company make millions of dollars over  
10 the years, and I was certainly not a malcontent.

11 They referred my letter back to  
12 the medical director, Dr. Lewis, the same person I  
13 had already spoken to and had done nothing six  
14 years earlier. I sent them a close-out letter to  
15 Mr. McKeough noting that the response was  
16 completely disingenuous and stating that I would  
17 act on my own. The chief results were that I  
18 established contact with Tom Avril of the  
19 Philadelphia Inquirer and attorney, Aaron Freiwald.  
20 Both of these gentleman deserve great credit, Mr.  
21 Avril, for his thorough reporting, and Mr. Freiwald  
22 for taking on this iconic Philadelphia company.

23 In summary, when a former lawyer  
24 -- loyal employee tried to shed light on the

1 problem of brain cancers at Spring House I was lied  
2 to, stalled, given the runaround and brushed off.  
3 Now, after nearly 12 years we're still talking  
4 about brain cancers and the death of some of the  
5 best and the brightest scientists around. NIOSH  
6 has trashed Dr. Carpenter's epidemiological  
7 studies, which Rohm and Haas had promised would  
8 answer all questions and would prove that Spring  
9 House was safe and the company was not  
10 responsible. Of course, during all those years,  
11 chemists continued to die.

12 In my efforts to understand the  
13 Spring House brain cancer problem and to push the  
14 company to action, I have learned a few things  
15 about epidemiology. Epidemiology is the science  
16 that deals with the incidence, distribution and  
17 control of disease in the population. This can be  
18 a valuable scientific discipline, but as with other  
19 sciences, it can be used or abused.

20 At Rohm and Haas, epidemiology  
21 has been used to manipulate the truth. If a  
22 company has been lax when it comes to industrial  
23 hygiene, has failed to investigate individual  
24 complaints, has failed to pay attention to

1 worrisome deaths of its employees, has failed to  
2 follow the scientific and governmental literature,  
3 such as the National Cancer Registry, then a  
4 company can, when trouble breaks out, declare that  
5 the only scientifically sound way to look at the  
6 problem is with epidemiology.

7           Of course, the quality and  
8 reliability of such studies can easily be  
9 compromised to suit the investigator. It can be  
10 used to stall and bury simple logic under a mound  
11 of superfluous facts. To wit, simple logic says  
12 that if the standard rate of brain cancer is six  
13 per 100,000, then if you have 100,000 people  
14 working at Spring House, you'd expect six cancers a  
15 year. However, Spring House has had an average  
16 population of about 1,000, so you would expect .06  
17 cases a year. Now, if I multiply that for the 40  
18 years of the study, I come out with 2.4 cases, not  
19 12, not 14, not 15, 2.4.

20           More than a dozen brilliant  
21 scientists have now died of brain cancer. This is  
22 an aside, but I probably know more people that have  
23 died of glioblastoma multiforme than most  
24 neurosurgeons do. The company defends its shoddy

1 epidemiology, which the federal government now has  
2 completely discredited. All of the Spring House  
3 brain cancers happen to involve laboratory  
4 scientists or people exposed in Buildings 2 and 4.  
5 In Building 4 at least five people in one hallway  
6 died of brain cancer. The company's scientists  
7 have ignored or covered up the facts. Not one  
8 secretary, guard, janitor, librarian,  
9 groundskeeper, cafeteria worker or powerhouse  
10 worker ever contracted brain cancer, yet these were  
11 all counted in the Carpenter studies. Add that the  
12 fact that the majority of cases were of a most  
13 virulent and rare type, glioblastoma multiforme.  
14 The unmistakable conclusion, there's a major  
15 problem at Spring House.

16           Rohm and Haas has known that  
17 there's a major problem with its scientists dying  
18 of these cancers for years, yet nothing has been  
19 done. The company has been allowed to investigate  
20 and study a problem for years and years without any  
21 outside oversight and quality control. Rohm and  
22 Haas has not learned the lessons of Building 6 lung  
23 cancer tragedy from the '70s, and I commend this  
24 book to you. I will quote from it later. Rohm and

1 Haas preaches corporate responsibility, but its  
2 actions speak much more loudly than its words.

3 I humbly recommend the following:

4 Give the Commonwealth of Pennsylvania stronger  
5 investigative authority because it's obvious that  
6 OSHA is not doing its job. Companies, such as Rohm  
7 and Haas, cannot be left to monitor themselves.  
8 The problem is not peculiar to Rohm and Haas. Note  
9 that Amoco had the problem. Note that in the March  
10 issue of Scientific American Magazine there is a  
11 discussion of a major study by Pratt and Whitney  
12 Corporation in Connecticut regarding workplace  
13 cancers. DuPont is now performing court-ordered  
14 medical monitoring in West Virginia.

15 During 2002 I read two letters to  
16 Pennsylvania state officials without effect. There  
17 needs to be a stronger investigative and  
18 enforcement authority in place.

19 Number two. Companies should  
20 consider hiring and electing an outside director  
21 who is expert in health safety and toxicity. I  
22 served as an outside director for six years of a  
23 mid-sized specialty manufacturing firm. They  
24 wanted me for my marketing and management

1 experience. However, on my first production plant  
2 walk-through, there was a strong odor of a volatile  
3 organic solvent. Because of my experience working  
4 for a chemical company, I was able to suggest  
5 changes to the workplace processes to include the  
6 safety and well-being of the plant personnel.

7           Three. Companies should appoint  
8 an ombudsman, who may be contacted privately or by  
9 an employer with the health, safety or toxicity  
10 problem, and who has access, on occasion, to the  
11 ear of a CEO or a president of a corporation.  
12 Ironically, Rohm and Haas regularly boasts about  
13 having such a system to report concerns about  
14 apparent business ethics violation, and I have to  
15 raise the question: Is it ethical to be concerned  
16 about business ethics but not also about the health  
17 and safety of your employees?

18           Thank you for holding this  
19 meeting and allowing me to share my experiences and  
20 views on this important subject.

21           Now, I just wanted to quote one  
22 paragraph from here. The book is Building 6. And  
23 as you can see, I have read it and earmarked it  
24 thoroughly. On page 167 Rohm and Haas finally



1 called in NIOSH to do an inspection of the plant.  
2 The NIOSH inspector, a Mr. Johnson, walks in, looks  
3 around, and he said: It didn't take a  
4 sophisticated epidemiological study to tell  
5 something was going on, recalls Johnson. I was  
6 surprised it wasn't seen earlier. It was readily  
7 apparent. The problem was they were getting guys  
8 in their 30s and 40s. They were getting relatively  
9 young people with lung cancer, which should have  
10 immediately raised some suspicions. Within a given  
11 period, the number of lung cancers should be fairly  
12 limited, especially in a healthy working  
13 population.

14 End of my comments. I'd be happy  
15 to field questions.

16 REPRESENTATIVE MCGEEHAN: Thank  
17 you, Mr. Haag. We're going to directly to Mr.  
18 Freiwald and open it up for questions for both  
19 individuals.

20 MR. FREIWALD: Thank you very  
21 much, Representative McGeehan and Representative  
22 Sabatina. Thank you very much for inviting us to  
23 testify at today's hearing. My name is Aaron  
24 Freiwald and I'm a founding shareholder of Layser &

1 Freiwald, P.C., a civil litigation firm in Center  
2 City, Philadelphia. I concentrate my practice in  
3 cases involving significant personal injuries, and  
4 in particular, losses from toxic chemical exposure.

5 I represent ten families of  
6 individuals who worked at the Rohm and Haas Spring  
7 House facility located in Spring House,  
8 Pennsylvania. To my knowledge, Spring House stands  
9 as one of, if not the largest brain cancer cluster  
10 in a workplace ever identified.

11 Most of the brain cancer cases at  
12 Spring House, as Mr. Haag pointed out, involved  
13 research chemists or those who worked in or near  
14 the chemical laboratories there. Most involved  
15 individuals who at sometime worked with leather  
16 chemicals. Most involved individuals who worked in  
17 one of two of the laboratory buildings at Spring  
18 House, Buildings 2 and Building 4. At least five  
19 of the brain cancer victims worked on the same  
20 hallway of Building 4.

21 The families of Dr. Barry Lange  
22 and his co-worker, Charles Hsu, have pending  
23 Workers' Compensation claims in Pennsylvania.  
24 Several of the other families have pending court

1 cases. Rohm and Haas has maintained consistently  
2 that it cannot identify a single cause for these  
3 brain cancers and that the brain cancer deaths of  
4 its employees are not in any way workplace related.

5 I'm joined here in the hearing  
6 room today by several of those families, if I may  
7 just mention their names to the panel and for the  
8 record. Linda Lange is here. She is the widow of  
9 Dr. Barry Lange. His daughter -- their daughter,  
10 Julianna is here, as well. Lee Hsu, the widow of  
11 Charles Hsu is here. Dr. Lange and Dr. Hsu worked  
12 closely together in the same hallway of Building 4.

13 Tony Renally is here. He is the  
14 widow of Olivia Ranalli who worked in the Leather  
15 Chemicals Department of Rohm and Haas, as well as  
16 Tony's sister, Maria, and Oliva's sister, Norma  
17 Ivarolla. Martina Granger is here, being a person,  
18 I'm aware of, that has most recently been diagnosed  
19 with a brain tumor, she was diagnosed last October,  
20 and her husband, Bill.

21 As Mr. Haag mentioned, the story  
22 dates back to 1996. And we have heard reference to  
23 Mr. Haag's letter to Dr. Lewis about the Amoco  
24 facility in Naperville, Illinois. Dr. Lewis

1 testified a short while ago that there was some  
2 investigation at that time of some sort following  
3 up on Mr. Haag's letter inquiry. Having reviewed  
4 hundreds of those of pages of documents produced in  
5 the varying cases that we're handling, I can tell  
6 the panel I have not seen one single piece of paper  
7 in any way referencing any inquiry or investigation  
8 that was done in 1996 in follow-up to Mr. Haag's  
9 request, or in 1997, or any year following until we  
10 get to the year 2001.

11                   At that time, in the summer,  
12 Barry Lange, who is widely regarded within the  
13 company and throughout as a brilliant research  
14 chemist and holder of dozens of patents for his  
15 work in research for the company was diagnosed with  
16 malignant brain cancer. He died less than two  
17 years later.

18                   Rohm and Haas, in part in  
19 response to Dr. Lange's diagnosis, as well as some  
20 published reports that had come out by that time  
21 regarding the Amoco situation, determined that  
22 after a look at the numbers of employees it could  
23 quickly identify as having been diagnosed with or  
24 having died of brain cancer determined that Dr.

1 Lange was the tenth brain cancer case among Spring  
2 House employees, and very quickly determined that  
3 this appeared very strongly to represent an  
4 increased incidence of brain cancer.

5           Mr. Haag read that passage from  
6 the book, Building 6, a work that won the Pulitzer  
7 Prize in its time. And Dr. Lewis, I believe,  
8 mentioned that it was quickly looked at, the  
9 incidence of brain cancers. I can cite to you an  
10 E-mail that we have from September 21st, 2001. The  
11 author of the E-mail is Dr. Carpenter, the  
12 just-retired epidemiologist. The recipient is Dr.  
13 Lewis. In this E-mail Dr. Carpenter does a quick  
14 look at the number of brain cancer cases and  
15 performs some back-of-the-envelope calculations, if  
16 you will, and determines that the number of brain  
17 cancer cases is two and a half times what you would  
18 expect in the general population.

19           And then he goes on to note in  
20 this E-mail, quote, I am using very conservative  
21 numbers here. The SIR is probably as high as four  
22 or five. SIR is an epidemiology term for standard  
23 incidence ratio. In lay terms it means that the  
24 rate of brain cancer, Dr. Carpenter says, is

1 probably four or five times as high as what you'd  
2 expect in the general population.

3           The company quickly recognized  
4 that they had a situation of an apparent increased  
5 incidence of brain cancer, and after extensive  
6 meetings within the company that included Dr.  
7 Lewis, Dr. Carpenter, and senior members of the  
8 corporate Legal Departments and the corporate PR  
9 Departments, including Syd Havelly from the  
10 company's corporate PR Department, Mr. Havelly's  
11 here today, nine months later, in May of 2002, the  
12 company announced to its Spring House employees,  
13 current and former, that it would embark on an  
14 epidemiology study to try to determine if they  
15 could explain these brain cancers by looking at  
16 specific buildings, specific chemicals, or anything  
17 else that might be attributable to these brain  
18 cancers. This study was launched, as I say, in May  
19 of 2002, along with a reassurance to company  
20 employees that Spring House was, quote, safe.

21           From the beginning, Rohm and Haas  
22 promised its employees that it would publish the  
23 results of this study in a peer-reviewed scientific  
24 journal. After completing this first epidemiology

1 study in January, 2004 the company repeated this  
2 promise. Now, four years later, the study has not  
3 been published. And it's my understanding there  
4 are no immediate plans to publish it.

5           When it was announced that they  
6 were going to do this study, Rohm and Haas also  
7 assured the Spring House community that its  
8 epidemiology work, even though it was going to be  
9 handled in-house by staff scientists, unlike what  
10 was done at the Amoco facility, and even though the  
11 work would be supervised by managers of the  
12 corporate, legal and corporate PR departments, the  
13 company assured everyone that the study would be  
14 scientifically sound based on the outside  
15 supervision of three experts in the field. The  
16 company did not share with its employees, however,  
17 that each these three outside independent experts  
18 had close ties either to Dr. Carpenter or to Dr.  
19 Lewis. For example, one of the three advisors had  
20 been Dr. Carpenter's dissertation advisor.

21           At the presentation of the  
22 study's conclusions in January, 2004 one of the  
23 three outside experts was not present, Dr.  
24 Elizabeth Ward of the American Cancer Society. She

1 had been critical of Dr. Carpenter's work during  
2 the study and had complained that she hadn't had  
3 enough time properly to comment on the study, form  
4 any opinions about its conclusions, or in any other  
5 way assist in the company's efforts, since she  
6 hadn't really been provided the data. This fact  
7 was not shared with the Spring House community as  
8 Dr. Carpenter took the stage and reported that the  
9 study had concluded that no workplace chemical  
10 exposures had been identified to associate with  
11 these brain cancers.

12                   There was a draft press release  
13 that was prepared by Mr. Havelly in advance of the  
14 January 8, 2004 announcement of the study's  
15 findings. This draft press release was also not  
16 shared with the Spring House community, but it has  
17 come to light since. And although the final press  
18 release that was shared with the Spring House  
19 community and with other members of the media,  
20 although the final press release said that the  
21 study concluded nothing was responsible for these  
22 brain cancers, that no single factor had been  
23 identified as statistically significant, the draft  
24 of this release, prepared just a few days earlier,



1 actually references two chemicals that were found  
2 to be statistically significant. Those findings  
3 were deleted from the final version of this press  
4 release.

5                   Meanwhile, as the company studied  
6 through Dr. Carpenter and its other staff members,  
7 as the company performed the epidemiology study  
8 beginning in 2002, others continued to be diagnosed  
9 with brain cancer. Charles Hsu was diagnosed with  
10 the same glioblastoma multiforme, the same kind of  
11 brain cancer as Dr. Lange. Dr. Hsu was diagnosed  
12 in August of 2003, and he died just a few short  
13 months ago.

14                   Olivia Ranalli, who had worked --  
15 whose home office, if you will, her main office was  
16 in the 5th and Market headquarters, but who spent  
17 considerable amount of time at Spring House was  
18 diagnosed with glioblastoma multiforme. She died  
19 in January of 2007. Her immediate supervisor,  
20 Charles Hart, also diagnosed and died from  
21 glioblastoma multiforme. And Martina Granger,  
22 preparing to go on vacation with her husband, was  
23 pulled back to shore and was diagnosed with a large  
24 meningioma in October of last year.

1                   Although Rohm and Haas has not  
2 submitted any write up on its epidemiology studies  
3 for publication, as has been discussed at this  
4 hearing today, in November, 2007 Dr. Lewis did  
5 submit draft write ups to the National Institute  
6 for Occupational Health and Safety, NIOSH. To my  
7 knowledge, this is the first and only time that  
8 Rohm and Haas took the initiative to share  
9 information about the Spring House brain cancer  
10 cases with any state or federal agency or  
11 department.

12                   One month later, on December 18,  
13 2007, NIOSH issued a letter critique of the Rohm  
14 and Haas epidemiology studies. I know Dr. Lewis  
15 said he didn't have it with him. I have a copy  
16 here, if the Committee's interested. It is  
17 available, as Dr. Lewis said, on the company's web  
18 site, which is located at [www.rohmhaas,](http://www.rohmhaas.com/epi)  
19 [R-O-H-M-H-A-A-S, dot com, backslash epi, E-P-I.](http://www.rohmhaas.com/epi)

20                   In sum, the NIOSH letter critique  
21 told of the deep flaws in the company's  
22 epidemiology work, and essentially told Rohm and  
23 Haas that they had to start all over. And the  
24 findings of the NIOSH researchers who looked at the

1 work the company had done found such things as the  
2 order of conduct of the case control study before  
3 the cohort mortality study is highly unusual and  
4 perhaps methodologically unsound. The reviewer at  
5 NIOSH concluded, quote, that brain cancer is of  
6 primary interest within the cohort study. The  
7 reviewer found that, quote, the study was very  
8 underpowered to detect with statistical  
9 significance as much as a doubling of brain cancer  
10 rates.

11           The study found -- or excuse  
12 me -- the reviewer found that the company had  
13 employed, quote, a scattershot approach in its  
14 epidemiology work; that it had improperly conducted  
15 its exposure assessment; that it had improperly  
16 counted the number of cases; that it had not  
17 adequately accounted for denominator, the number of  
18 the cohort that they were looking at. The reviewer  
19 found the discussion was extremely poor; that the  
20 study had many limitations that were not taken into  
21 account in the study's write up.

22           The only other government  
23 involvement in the Spring House brain cancer  
24 investigation to my knowledge, again, having

1 reviewed hundreds of thousands of pages of  
2 documents relating to its epidemiology studies, and  
3 having taken Dr. Carpenter's deposition over three  
4 days came in early 2004, very shortly after the  
5 company announced the results of its first study.  
6 Apparently, because of a worker complaint, and I  
7 hope this gets to the question that the Committee  
8 is really asking here today, apparently, in  
9 response to a worker complaint OSHA issued a notice  
10 of investigation to Rohm and Haas, and in a letter  
11 to the company identified two alleged hazards at  
12 the Spring House facility. One, that employees at  
13 Spring House had developed a high rate of brain  
14 cancer or brain tumors possibly resulting from  
15 workplace exposures, and two, that the company had  
16 not done an adequate investigation into the cause  
17 of those brain cancers.

18 In response to the OSHA letter,  
19 Rohm and Haas sent a letter in response in which it  
20 cited to its just completed epidemiology  
21 investigation, and the results of that, it said,  
22 showed that the company, indeed, had done an  
23 adequate investigation and hadn't turned up  
24 anything that could explain these brain cancer

1 cases. In other words, Rohm and Haas relied on the  
2 same study that NIOSH has now discredited. In  
3 receiving the letter from Rohm and Haas, OSHA  
4 terminated its investigation and looked no further.  
5 To the best of my knowledge, that is the extent of  
6 any state or federal agency scrutiny of this, other  
7 than the more recent submitting of its write ups to  
8 NIOSH.

9                   There is so much more that could  
10 be said. I'm going to end my comments here and  
11 join Mr. Haag and be willing to answer any  
12 questions you may have.

13                   REPRESENTATIVE MCGEEHAN:  
14 Representative Sabatina?

15                   REPRESENTATIVE SABATINA: My  
16 question, first, is for Mr. Haag.

17                   You said you know of ten or 12  
18 people that have contracted brain cancer; is that  
19 correct?

20                   MR. HAAG: I actually,  
21 personally, know about six Rohm and Haasers (sic)  
22 who died of glioblastoma multiforme. So I probably  
23 know more than anyone else, other than a  
24 neurosurgeon. Four of them were in one hallway.

1 There were five men in one hallway that died over a  
2 period of 17 years of brain cancer. Four of them  
3 died from glioblastoma multiforme.

4 Now, I went through that routine  
5 with the six per 100,000. If I were to go through  
6 it with glioblastoma multiforme, I would use the  
7 number of two per 100,000. So I would take 1,000  
8 people, and that would be .02, multiply by 40, and  
9 that would be .8 cases of glioblastoma multiforme.  
10 You can't have part of that case, so it would be  
11 one. It is, by far, the most common cancer found  
12 at Spring House.

13 REPRESENTATIVE SABATINA: How  
14 common is it in the normal world?

15 MR. HAAG: Two per 100,000 versus  
16 six per 100,000 of total rate of cancer. It's the  
17 most virulent form.

18 However, I have to take  
19 disagreement with something that Dr. Lewis said,  
20 that MRI screening would not be effective. I  
21 attended a symposium by Dr. Digby, who is head of  
22 the Duke Brain Tumor Institute with 42 years of  
23 experience. He was the doctor who treated Dr.  
24 Barry Lange, or an associate of his. He claims

1 that he has now achieved four or five cures of  
2 glioblastoma multiforme. And in addition, the  
3 quality of their life and their lifespan has been  
4 greatly expanded. So I think there's a good reason  
5 to do an MRI, if you happen to be working next to a  
6 group of chemists who are dying of brain cancer.

7 By the way, Dr. Hsu and Dr. Lange  
8 worked on the same project, which was reducing the  
9 level of nitroso amenes in a product. Nitroso  
10 amenes have been recognized as a human carcinogen  
11 for generations now, decades, at least. However, I  
12 can't say that they have been linked with brain  
13 cancer, but I'm not so sure that we're not on the  
14 way to establishing that link right now. One of  
15 the chemicals the public relations man Xed out was  
16 N, N dimethyl nitroso amene. That's one of the  
17 things that just happened to get dropped.

18 REPRESENTATIVE SABATINA: Thank  
19 you. I have no further questions at this time.  
20 Thank you.

21 REPRESENTATIVE MCGEEHAN: Thank  
22 you, Representative Sabatina.

23 Mr. Haag and Mr. Freiwald, thank  
24 you for appearing here today. This Committee,

1 above all committees, is here to make sure that  
2 workplace safety is the number one priority for  
3 this Committee and for the state agencies that  
4 should be involved in doing what we all hope comes  
5 from this hearing.

6 I want to extend to the families  
7 who waited so long here today and so long in this  
8 entire process, know that this Committee is not  
9 done with its finding or its work, and we want to  
10 work with the representatives of Rohm and Haas and  
11 your attorney and Mr. Haag and others to find the  
12 truth, and just as importantly, set in motion the  
13 state authority to prevent these things from  
14 happening again, if it can be.

15 Mr. Freiwald, you have become,  
16 I'm sure, an expert in your undertaking of this  
17 monumental task.

18 It's referred to the Amoco case  
19 is, I think -- and I questioned Dr. Lewis on the  
20 same fact. It took from 1996, according to your  
21 testimony, until 2002 when the initial study was  
22 begun. That's six years between the time that  
23 there was some indication that there was an anomaly  
24 of brain cancers at Spring House and the time the



1 study was done, and our closest guide is the Amoco  
2 case.

3 What is the difference between  
4 the case here at Spring House and Amoco?

5 Are there glaring differences on  
6 the way it was handled?

7 MR. FREIWALD: There are a number  
8 of similarities and differences. Similarities are  
9 that they both involved chemical research  
10 operations. The research chemists were of  
11 particular interest because of the work they were  
12 doing, the research they were doing and the  
13 exposures to hazardous substances that were a  
14 necessary and usual part of their jobs. That was  
15 also true of the Spring House researchers.

16 Rohm and Haas, as Dr. Lewis  
17 testified, is -- I do believe, from what I have  
18 seen, very aware at all times of Building 6 and  
19 that legacy. And they have an in-house  
20 epidemiologist. At least until a couple of months  
21 ago they had an in-house epidemiologist. I  
22 understand Dr. Carpenter is now retired, and I  
23 don't know if he's been replaced or if he will be  
24 replaced. But at least for a great number of years

1 they had an in-house epidemiologist serving under  
2 Dr. Lewis. I don't believe that was true at Amoco.  
3 Although, they did have health and worker safety  
4 officials. So Rohm and Haas had an in-house person  
5 to look at these types of situations when they  
6 might come up. That's a significant difference in  
7 terms of how a scientific study is conducted.

8           In the Amoco case, they brought  
9 in outside scientists, epidemiologists, to look at  
10 the problem and to report on any findings they  
11 might make. All of the people involved in the Rohm  
12 and Haas epidemiology study were Rohm and Haas  
13 employees, starting with Dr. Carpenter, supervised,  
14 as I mentioned, by very senior company officials,  
15 including his immediate boss, and right on down to  
16 the people who were reviewing those notebooks,  
17 analyzing the data, programming the computer to run  
18 the models and everything else. They were all Rohm  
19 and Haas employees, paid by the company, and  
20 indeed, rewarded for their work, receiving bonuses  
21 from the company after the study was completed.

22           The outside experts who were  
23 supposed to have reviewed the work along the way  
24 really didn't do that at any point in time. They

1 were sent, very late in the process, as in several  
2 weeks before the entire study was concluded, they  
3 were sent copies of slides for a PowerPoint  
4 presentation and there were a couple of meetings  
5 where everyone got together. But they really  
6 didn't supervise how the data was collected, how  
7 exposure was determined, how the data was analyzed.

8           And again, looking -- relying on  
9 the documents -- judging from the documents and  
10 some of the questions that were being asked in the  
11 final days before the results were announced, it's  
12 pretty clear that these outside experts didn't have  
13 really substantive involvement. For instance,  
14 there's one E-mail Dr. Carpenter asks, literally  
15 within 48 hours of taking the stage at the company  
16 and announcing the study's conclusions, where he  
17 asks one of his subordinates: Are Buildings 4A and  
18 4B connected. And that was a pretty important  
19 question, because Building 4 had been identified as  
20 one of the buildings on the property where  
21 significant number of brain cancer cases were  
22 occurring.

23           So in terms of the analysis of  
24 the data, at Amoco you had truly outside and

1 independent scientists working independently, and  
2 then fairly quickly publishing their findings in  
3 peer-reviewed scientific journals, meaning journals  
4 where your peers, as a scientist, are reviewing,  
5 are critiquing your work before the work is  
6 published to assure that it was scientifically  
7 valid; that it was conducted appropriately; that it  
8 made sense scientifically; and then the work is  
9 published in the journal. None of that was done in  
10 this case.

11 MR. HAAG: May I add a postscript  
12 to that? Rohm and Haas belongs to the American  
13 Chemical Council. They have guidelines for  
14 conducting such studies. The first recommendation  
15 is do not do it in-house. Get a sound outside  
16 academic association to conduct the study.

17 REPRESENTATIVE MCGEEHAN: Well,  
18 Mr. Haag, your testimony certainly carries a lot of  
19 weight with me. As a scientist, you, at times,  
20 have to use your analytical brain based on facts,  
21 and as a human being, you're responding to these  
22 cases. Many of these people who have died of brain  
23 cancer you knew well and were friends with.

24 My question about Amoco, I think

1 deals with the time line, between the time they  
2 suspected and the time they closed that facility,  
3 because the time line here is troubling for me in  
4 that we first had suspicions in 1996, and it's now  
5 2008. And as Dr. Lewis testified, there's nothing  
6 being done differently today than there was in  
7 1996.

8 Is the Amoco facility still in  
9 operation?

10 And have there been -- do you  
11 know that, Mr. Freiwald, in discovery?

12 MR. FREIWALD: I think it is back  
13 in operation. I wouldn't want to swear to that  
14 today. I'm not sure. I think that the response  
15 there was quick, though, and is distinguishable  
16 here. I'm not going to be the one to say -- I  
17 don't think it's my place to say should Rohm and  
18 Haas have shut down Spring House. That would be a  
19 major decision for this company. It's the -- it's  
20 really the heart and nerve center of its entire  
21 research operations.

22 But I can say that the company  
23 relied on the work it was doing to do in terms of  
24 this epidemiology work even before they started,

1 and after it was completed, to reassure everybody  
2 that Spring House was safe. And that is, I think,  
3 an important distinction between the Amoco  
4 situation, or any other that I'm aware of, to  
5 illustrate that I can point to the experience of  
6 both Dr. Lange and his family and Dr. Hsu and his  
7 family.

8                   The question that the  
9 epidemiology study was meant to answer, if it was  
10 honestly asked, is: Is there a problem at Spring  
11 House?

12                   Is there something we can  
13 identify? That's a question. That's not an  
14 answer. The study is then supposed to try to  
15 answer that question. Both Dr. Lange and his  
16 wife, before Dr. Lange died, and Dr. Hsu and Dr.  
17 Hsu's wife, before Dr. Hsu died, were told, during  
18 the time the study was either being planned for or  
19 during the time the study was actually being  
20 conducted, that their brain cancers -- that is that  
21 Dr. Lange's brain cancer and Dr. Hsu's brain cancer  
22 were not work related. Now, I don't know how that  
23 can be said if the study hasn't been completed.

24                   So the study was used as a way of

1 reassuring everybody, and I don't think there's any  
2 quarreling with doing an epidemiology study, per  
3 se. That's an appropriate thing to do, and a  
4 company that wants to be responsible would want to  
5 do that. But it's got to ask a question and then  
6 proceed to do the work of the study to get an  
7 answer and not start with the answer.

8 REPRESENTATIVE MCGEEHAN: Sir,  
9 you're in the middle of litigation and you're  
10 limited to what you can reveal to the community and  
11 the public, but I was struck, and I think you  
12 referenced, and correct me if I'm wrong, Dr.  
13 Carpenter in that draft press release, is it Dr.  
14 Carpenter that did the draft press release, and he  
15 identified two agents which he suspected were  
16 contributing to this anomaly of brain cancers.

17 Had you had had an opportunity,  
18 got an explanation or find out where that  
19 information had come from, why that was used and  
20 why it was expunged from the official version that  
21 was released to the press and the public and  
22 employees?

23 MR. FREIWALD: No, I haven't. I  
24 can say that this was reported in the Inquirer last

1 year on May 18th, and I think that there's a  
2 comment in the article from the company. But in  
3 terms of any official answer, I don't have one at  
4 this time.

5 MR. HAAG: If I may, I'd like to  
6 make two more points. One is that Dr. Lewis said  
7 the chemists always know what they're working with.  
8 I have to tell you I did a lot of work for many  
9 years on the applications of isothiazolones, paint  
10 mildewcides, emulsion preservatives and so forth.  
11 The fact that I was head of a laboratory, I was  
12 doing a lot of applications where I never knew that  
13 they contained nitroso amenes. I knew I wasn't  
14 supposed to get them in my eye because they were  
15 corrosive. I knew I shouldn't get them on my skin  
16 because they're sensitizers. I didn't know that  
17 they contained substantial amounts of nitroso  
18 amenes, so I shouldn't have been breathing around  
19 them.

20 Also, their second  
21 epidemiological study is partial on its face. They  
22 concluded that Spring House is really a health spa  
23 because it has a below normal rate of death for all  
24 the major causes of death. So apparently, people



1 at Spring House don't get heart attacks or strokes  
2 and so forth, let alone brain cancer.

3 REPRESENTATIVE MCGEEHAN: Mr.  
4 Freiwald, you've given me some information I didn't  
5 know about the initial OSHA investigation, and  
6 obviously, that goes to the heart of our concern,  
7 Representative Sabatina and I, and the Committee's,  
8 about who has jurisdiction, if they don't have  
9 jurisdiction, who should we vest that jurisdiction  
10 in, investigating these types of complaints and  
11 situations. You said based on a NIOSH report that  
12 was provided to OSHA from Rohm and Haas that they  
13 terminated the investigation.

14 Are you aware of anyone that has  
15 asked that an investigation be reopened based on  
16 the debunking of that initial study?

17 MR. FREIWALD: Well, first of  
18 all, I may have misspoken, so I just want to be  
19 clear on one thing. The two points in time that  
20 are referenced in your question are early 2004,  
21 after the company finished its first study, and  
22 that's when an OSHA investigation began, as far as  
23 I know. NIOSH doesn't really come into the story  
24 until 2007.

1                   REPRESENTATIVE MCGEEHAN: I  
2 correct myself, yes. The initial Rohm and Haas  
3 investigation, yes.

4                   MR. FREIWALD: So the question:  
5 Has anybody asked either to OSHA or anyone else  
6 that an investigation be reopened based on the  
7 NIOSH critique? I'm not aware of any. I'm not  
8 aware of any, sir.

9                   REPRESENTATIVE MCGEEHAN: Maybe  
10 that's something we need to be doing in conjunction  
11 with your efforts.

12                  MR. HAAG: Sorry. Most people  
13 tell me to be quiet, but this is a golden  
14 opportunity. But I can tell you honestly what I  
15 went through in trying to do something with this  
16 problem. I tried to work within the company. And  
17 in the end, I found I was lied to, stalled, given  
18 the runaround and brushed off.

19                  I tried going outside the  
20 company. I spoke with a surgeon, a neurosurgeon,  
21 and he recommended I contact the CDC. When I  
22 contacted the CDC, they said: Oh, we have to be  
23 requested to come in by a state. I sent letters to  
24 two state officials. I covered two City Council

1 people in Philadelphia who are known for  
2 environmental concerns.

3                   The chemists, themselves, should  
4 be protected by the American Chemical Society.  
5 This is a professional organization. I talked to  
6 the local chapter of the ACS. They did nothing. I  
7 talked to the editor of the C and E News, which is  
8 the ACS magazine, which is mailed to 150,000  
9 chemists and chemical engineers in the United  
10 States every week. They did nothing. I ran out of  
11 places to go, and I decided the only places I could  
12 go was to the press and to the law before anything  
13 would be done.

14                   REPRESENTATIVE MCGEEHAN: Mr.  
15 Freiwald, in your discovery in this case, do you  
16 have a general idea of where the NIOSH review is  
17 now and whether a report is going to be issued  
18 eminently?

19                   MR. FREIWALD: Well, I do not. I  
20 know what I have heard this morning from Dr. Lewis  
21 and I know what the company is stating in public  
22 announcements. And that's really a function of the  
23 litigation and limitations of what we're being  
24 provided access to at this time. I'm hoping that

1 in the near future we'll be able to get access to  
2 some of those materials, but that's a process  
3 that's working its way through the courts. And  
4 without getting into all the details of that,  
5 simplest to say I don't know anything beyond what I  
6 understand the Committee has been told today as to  
7 the status of the NIOSH investigation.

8 I know Rohm and Haas has enlisted  
9 the University of Minnesota, and it appears that  
10 they are now following more closely the model that  
11 Amoco followed years ago in having an outside  
12 agency conduct this work. I am not under the  
13 impression, as Dr. Lewis testified to earlier, that  
14 it is simply a matter of running a few additional  
15 calculations. I think you don't have to be an  
16 epidemiologist to read the NIOSH letter, which is  
17 publicly available, to see the scope of their  
18 comments and criticisms, and their recommendation  
19 that what Rohm and Haas is now doing years later  
20 needs to be done because the work that has been  
21 done cannot be relied on to say that there is no  
22 explanation identifiable for these brain cancers.

23 So I think it seems that the  
24 University of Minnesota is taking this project on

1 and is, in effect, starting over. I'm not saying  
2 they're not going to build on work that may have  
3 been done. I don't know that. But I don't  
4 perceive this as being a matter of a simple  
5 mathematical calculation or two.

6 REPRESENTATIVE MCGEEHAN: How  
7 difficult has it been to identify those individuals  
8 who have -- or have succumbed to a brain cancer? I  
9 know you spoke of the disseminule moment of Barry  
10 Lange dying and that really opened a lot of  
11 people's eyes about this. And I want to be so  
12 respectful to the families, but we're throwing  
13 around numbers like it doesn't mean anything.  
14 These were men and women who were vital and  
15 succumbed to a very rare and devastating disease,  
16 some 12, 15, 18. I mean, I don't want to be  
17 flippant about that.

18 Do you have a number of  
19 individuals who are being treated now and who have  
20 died of this disease?

21 MR. FREIWALD: Yes.

22 REPRESENTATIVE MCGEEHAN: Dr.  
23 Lewis, I think, wants to be recognized.

24 DR. LEWIS: If I could, the

1 question on NIOSH, the first thing, as a federal  
2 law, any employee may call NIOSH at any time. Mr.  
3 Haag, Mr. Freiwald, anybody can call NIOSH. We  
4 encourage them to do so, if they like.

5           Additionally, it is particularly  
6 important to know that as I testified, we went over  
7 the situation with NIOSH. We offered them to come  
8 to anything that they wanted to do. They asked to  
9 look at the papers, alone. And at the end, they  
10 said their investigation was done.

11           However, again, as I have said,  
12 we'd be very happy to have Mr. Freiwald, Mr. Haag  
13 or anyone contact NIOSH. The federal government is  
14 required, under law, to do an investigation, if  
15 they think there is a problem.

16           REPRESENTATIVE MCGEEHAN: Thank  
17 you, Doctor.

18           MR. FREIWALD: Just briefly on  
19 that before I get to the Representative's question,  
20 I represent families in litigation, and I  
21 appreciate very much Dr. Lewis' suggestion, but I  
22 don't perceive my role as the same as Mr. Haag's  
23 role. So I wouldn't ever consider myself to be the  
24 one who should be requesting an investigation. I

1 work within the confines of the court system and  
2 consider that my role and my place.

3 But I am trying to be careful to  
4 answer the Committee's questions and be helpful,  
5 while also being mindful of these matters being in  
6 pending litigation.

7 Having said that, I do greatly  
8 appreciate what you've said about the individuals  
9 involved. And it was my thought that not only  
10 would my clients and these families be interested  
11 to hear what the Committee was doing, but that they  
12 also do put a human face on this issue very much  
13 so. These are brilliant individuals who have been  
14 lost, and their families, each and every one of  
15 them that I've gotten to know, are I think the best  
16 that we, as a community, have to offer.

17 Brain cancer is a particularly  
18 devastating disease, and glioblastoma multiforme,  
19 in particular, we can quibble about whether it's  
20 only really rare, or it's exceedingly rare, or  
21 however we want to characterize it. It's rare and  
22 it's deadly, in particular, because it almost  
23 always manifests itself outwardly, late in the  
24 stage of the disease when there are limited

1 treatment options. And I'm not going to reopen the  
2 subject of monitoring, but it would be one of the  
3 goals to screen an exposed population to try to  
4 make an earlier diagnosis of what is a cancer that  
5 grows over a long time before it becomes apparent  
6 outwardly with a seizure or some other sign or  
7 symptom.

8                   There are no surviving brain  
9 cancer victims among the Spring House employees  
10 that I'm aware of. There is malignant brain cancer  
11 on the one hand, and then there are, I believe, the  
12 very inaptly named benign brain tumor cases. The  
13 company, in its first study, identified 12  
14 malignant brain cancer cases and three brain tumor  
15 cases for a total of 15.

16                   I'm aware of two -- at least two  
17 further brain cancer cases that were not counted  
18 that we have mentioned, Mr. Charles Hart and Olivia  
19 Ranalli. They were not counted. Well, Mr. Hart  
20 was not counted, though he was aware -- though the  
21 Rohm and Haas researchers were aware of his case  
22 because they determined that he was not assigned to  
23 Spring House, and therefore, wouldn't be counted.  
24 And Dr. Lewis had some comments on that.



1                   Ms. Ranalli was not counted  
2 because she was much more recently diagnosed,  
3 although still diagnosed within the time period of  
4 the company's second study. And I haven't seen any  
5 final product or write up on either study, so I  
6 don't know whether they're intending to count  
7 either of those two cases, but I certainly am aware  
8 of their existence, and that would, to my count,  
9 bring the number to 14, the total of brain cancer  
10 cases. And then I'm aware of at least a few  
11 additional brain tumor cases, including Ms.  
12 Granger's case, so that the number is closer to 20.

13                   But as I mentioned, it's a  
14 devastating disease, brain cancer, with an almost  
15 assured death sentence attached to it.

16                   REPRESENTATIVE MCGEEHAN: Well, I  
17 want to thank you. I don't think Representative  
18 Sabatina has a follow-up question. I want to thank  
19 Mr. Freiwald, and Mr. Haag, and all those who  
20 participated today. This Committee, as I said  
21 before, as opposed to any other committee, is  
22 charged with the duty of workplace safety and we  
23 take that responsibility seriously.

24                   This issue is a complicated one,

1 but I think one that deserves further investigation  
2 from this Committee and from the full House. And  
3 although only three members of the Committee were  
4 here today because of conflicting committee  
5 hearings around the State, that in no way indicates  
6 the seriousness in which this Committee takes this  
7 issue. And we are determined, as a committee, to  
8 get to the truth and to place into law the  
9 mechanism that, pray God, would prevent this from  
10 happening ever again.

11 I'm frustrated, I think as many  
12 of you are, including the company, and the victims'  
13 families, and the attorneys, and others that we  
14 don't seem to have a mechanism in the Commonwealth  
15 to adequately police and investigate the problems  
16 when they occur. If we had, maybe in 1996 we could  
17 have gotten a handle on it. So we're going to be  
18 investigating how best to empower our local  
19 agencies in the State to do a better job of  
20 protecting the health and safety of workers and for  
21 all Pennsylvanians.

22 So I thank you for your  
23 participation. I thank the Chairman's staff. I  
24 thank the Department of Recreation for their help

1 with the sound and the use of the facility, and  
2 thank the Court Reporter for her diligence today.

3                   Having said that, I will adjourn  
4 this official hearing of the House Labor Relations  
5 Committee.

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12                   (Whereupon, the hearing concluded  
13 at 2:59 p.m.)

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1 C E R T I F I C A T E

2

3 STATE OF PENNSYLVANIA

4 COUNTY OF BUCKS

5

6 I, SUSAN L. SINGLAR, a Court  
7 Reporter and Notary Public in and for the State of  
8 Pennsylvania, do hereby certify that the foregoing  
9 transcript of the Public Hearing of the House Labor  
10 Relations Committee, taken on Thursday, May 15,  
11 2008 is true and accurate to the best of my  
12 knowledge, skill and ability.

13

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16 SUSAN L. SINGLAR

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