

DEPARTMENT OF STATE

**FY 2014-2015  
LEGISLATIVE BUDGET**

Carol Aichele  
Secretary of the Commonwealth  
February 2014

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**DEPARTMENT OF STATE  
FY 2014-2015  
Legislative Budget**

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## **Mission Statement:**

Under the leadership of the Secretary of the Commonwealth, the mission of the Department of State is to promote the integrity of the electoral process; to provide the initial infrastructure for economic development through corporate organizations and transactions; and to protect the health, safety and welfare of the public. The department will encourage the highest standards of ethics and competence in the areas of elections, campaign finance, notarization, professional and occupational licensure, charitable solicitation, and professional boxing, wrestling, and mixed martial arts. Through the implementation of the latest technology, the department will provide exceptional public service and will remain a leader in all regulatory and enforcement policies and practices aimed at protecting every resident of the Commonwealth of Pennsylvania.

**DEPARTMENT OF STATE BUDGET REQUEST FOR FY 2014-2015**  
(\$ Amounts in Thousands)

Page # of Governor's Executive Budget:  
Pp. E39.1-E39.8

**APPROPRIATION:**  
General Government Operations

I. SUMMARY FINANCIAL DATA	2012-2013	2013-2014 AVAILABLE	2014-2015 BUDGETED
State Funds	\$3,369	\$3,502	\$3,657
Other Funds Total Augmentations	\$4,626	\$5,002	\$4,958
<b>Total</b>	<b>\$7,995</b>	<b>\$8,504</b>	<b>\$8,615</b>
<b>IA. REQUESTED SUPPLEMENTALS (Included above)</b>			
No supplementals requested			

II. DETAIL BY MAJOR OBJECT (\$ Amounts in Thousands)	APPROPRIATION: General Government Operations				
	2012-2013 ACTUAL	2013-2014 AVAILABLE	2014-2015 BUDGETED	Change Budgeted vs. Available	Percent Change
<b>PERSONNEL</b>					
State Funds	\$2,679	\$2,787	\$2,998	\$211	7.57%
Other Funds - Augs.	\$4,268	\$4,646	\$4,598	(\$48)	-1.03%
<b>Total Personnel</b>	<b>\$6,947</b>	<b>\$7,433</b>	<b>\$7,596</b>	<b>\$163</b>	<b>2.19%</b>
<b>OPERATING</b>					
State Funds	\$690	\$658	\$659	\$1	0.15%
Other Funds - Augs.	\$358	\$356	\$360	\$4	1.12%
<b>Total Operating</b>	<b>\$1,048</b>	<b>\$1,014</b>	<b>\$1,019</b>	<b>\$5</b>	<b>0.49%</b>
<b>BUDGETARY RESERVE</b>					
State Funds	\$0	\$57	\$0	(\$57)	-100.00%
Other Funds - Augs.	\$0	\$0	\$0	\$0	0.00%
<b>Total Budgetary Reserve</b>	<b>\$0</b>	<b>\$57</b>	<b>\$0</b>	<b>(\$57)</b>	<b>-100.00%</b>
<b>TOTAL FUNDS</b>					
State Funds	\$3,369	\$3,502	\$3,657	\$155	4.43%
Other Funds - Augs.	\$4,626	\$5,002	\$4,958	(\$44)	-0.88%
<b>Total Funds</b>	<b>\$7,995</b>	<b>\$8,504</b>	<b>\$8,615</b>	<b>\$111</b>	<b>1.31%</b>

**APPROPRIATION:  
General Government Operations**

III. HISTORY OF LAPSES (\$ Amounts in Thousands)	2011-2012	2012-2013	2013-2014 Estimated
State Funds	\$0	\$0	\$0

IV. COMPLEMENT INFORMATION	12/31/2012	12/31/2013	2014-2015 Budgeted
State/Federally Funded			
<i>Authorized</i>	73	72	69
<i>Filled</i>	70	69	69
Total			
<i>Authorized</i>	73	72	69
<i>Filled</i>	70	69	69

**V. DERIVATION OF REQUEST/ LEGISLATIVE CITATIONS / DISBURSEMENT CRITERIA**

**Derivation of Request:**  
The Fiscal Year 2014-2015 Governor's Executive Budget provides funding for an authorized complement of 69 positions. The funding for operating expenses provides for maintaining ongoing operations.

Detail on the appropriation request is outlined in Section VI, entitled "Explanation of Changes," on the following page.

**Legislative Citations:**  
General Government Operations - 71 P.S. Section 243, Section 703 of the Administrative Code; 71 P.S. Section 271 *et seq.*, Section 8 of the Administrative Code; Elections and Electoral Districts - 25 P.S. Section 1 *et seq.*; Notaries Public - 57 P.S. Section 1 *et seq.*; Solicitation of Funds for Charitable Purposes Act - 10 P.S. Section 162.1 *et seq.*; Institutions of Purely Public Charity Act - 10 P.S. Section 371 *et seq.*

**Disbursement Criteria:**  
This appropriation provides funding for the Executive Offices, the Bureau of Commissions, Elections and Legislation, the BEI Charities - Audit and Investigation Divisions, the Bureau of Finance and Operations, the Human Resource Office, the Bureau of Management Information Systems, and the Statewide Uniform Registry of Electors (SURE) Office. Disbursements are made based on approved positions, established employee benefits, and through invoices submitted for operating and fixed asset expenses incurred from the operation of these programs.



VI. EXPLANATION OF CHANGES (\$ Amounts in Thousands)	APPROPRIATION: General Government Operations			
	State \$	Federal \$	Other \$	Total \$
<b>PERSONNEL</b>				
1. Personnel costs were prepared using instructions and factors provided by the Office of the Budget for a complement of 69.	\$324	\$0	\$35	\$359
2. Reduction of 1 vacant Administrative Officer 2 position within the SURE Division of the Bureau of Commissions, Elections and Legislation.	(\$80)	\$0	\$0	(\$80)
3. Reduction of 1 vacant IT Generalist Administrator 1 position within the Bureau of Management Information Systems.	(\$12)	\$0	(\$83)	(\$95)
4. Pension Collar	(\$38)	\$0	\$0	(\$38)
5. Salary Increase for Management Personnel	\$17	\$0	\$0	\$17
<b>Subtotal Personnel</b>	<b>\$211</b>	<b>\$0</b>	<b>(\$48)</b>	<b>\$163</b>
<b>OPERATING</b>				
1. Increase to maintain ongoing operations:	\$1	\$0	\$4	\$5
<b>Subtotal Operating</b>	<b>\$1</b>	<b>\$0</b>	<b>\$4</b>	<b>\$5</b>
<b>BUDGETARY RESERVE</b>				
1. Removal of funds from prior year budgetary reserve:	(\$57)	\$0	\$0	\$0
<b>TOTAL</b>	<b>\$155</b>	<b>\$0</b>	<b>(\$44)</b>	<b>\$111</b>

VII. Obligations from 2013-2014 rolled to 2014-2015		(\$ Amounts in Thousands)
<u>Funding Source</u>	<u>Appropriation</u>	<u>Amount</u>
No obligations for this appropriation		

## GENERAL GOVERNMENT OPERATIONS

### Program Statement

The Department of State continues to accomplish its mission and seek ways to advance Governor Corbett's goals to enhance Pennsylvania's services. With an approach toward fiscal restraint, the Department is striving to improve service to the public, enhance public safety, and employ technological advances that facilitate access to public information.

The Department also has continued to combat fraudulent charitable solicitations and expand the use of technology to make the Department more accessible. In addition, the Department strives to administer fair, accurate and accessible elections, and it enforces and administers the Commonwealth's campaign finance and lobbying disclosure laws. The FY 2014-2015 budget will enable the Department to continue these efforts and conduct business more efficiently and effectively.

### BEI Charities - Audit and Investigation Divisions

The BEI Charities - Audit and Investigation Division's focus is on investigation of charitable fraud. The Bureau administers and enforces Pennsylvania's Solicitation of Funds for Charitable Purposes Act. This program area is essential to preserve the public's confidence in the charities that solicit funds in the Commonwealth. The requested appropriation gives this program area the sufficient resources to perform their vital role and protect the citizens of the Commonwealth. The Bureau also provides technical assistance to charities to come into compliance with the law. The Bureau opened 197 investigations and closed 227 investigations during the 2012-2013 fiscal year.

### Bureau of Commissions, Elections and Legislation

This Bureau ensures efficiency, honesty and uniformity in the administration of the Election Code. Nomination petitions for statewide and legislative offices are reviewed, as required by the Election Code and the Campaign Expense Reporting Law, and made available to the public for review electronically or through the purchase of hard copies. During FY 2012-2013, the Bureau processed 10,974 campaign expense reports, committee registrations, and authorizations. It also tabulates, publishes and disseminates statistics for voter registration and all election returns. Additionally, the Bureau is the repository of maps and descriptions of the Commonwealth's Congressional, State House and State Senate districts.

Other functions performed by the Bureau include commissioning gubernatorial appointees to boards and commissions and preparing commissions for judges, magisterial district judges, and notaries public. During the 2012-2013 fiscal year, 596 gubernatorial commissions were issued, 22,777 notaries public were commissioned, and 17,197 documents were certified.

The Bureau of Commissions, Elections and Legislation oversees voter registration at driver licensing centers, coordinates voter registration through other governmental agencies, provides for enhanced mail-in registration, and furnishes information and training to county election officials and state agencies on the implementation of recently enacted laws. In connection with the registration of new voters, the Department continues to train county election officials.

For additional information on Department of State Programs, refer to the Governor's Executive 2014-2015 Budget, pp. E39.1 - E39.8, and the FY 2014-2015 Agency Budget Submission.

**DEPARTMENT OF STATE BUDGET REQUEST FOR FY 2014-2015**

(\$ Amounts in Thousands)

Page # of Governor's Executive Budget:  
Pp. E39.1-E39.8

**APPROPRIATION:**  
Federal Election Reform

<b>I. SUMMARY FINANCIAL DATA</b>			
	<u>2012-2013 ACTUAL</u>	<u>2013-2014 AVAILABLE</u>	<u>2014-2015 BUDGETED</u>
Federal Funds Total	\$34,809	\$29,265	\$16,570
Federal Sources Itemized			
Help America Vote Act (HAVA)	<u>\$34,809</u>	<u>\$29,265</u>	<u>\$16,570</u>
Total	\$34,809	\$29,265	\$16,570
<b>IA. REQUESTED SUPPLEMENTALS (Included above)</b>			
No supplementals requested			

<b>II. DETAIL BY MAJOR OBJECT</b>			<b>APPROPRIATION:</b>		
(\$ Amounts in Thousands)			Federal Election Reform		
	<u>2012-2013 ACTUAL</u>	<u>2013-2014 AVAILABLE</u>	<u>2014-2015 BUDGETED</u>	<u>Change Budgeted vs. Available</u>	<u>Percent Change</u>
<b>PERSONNEL</b>					
Federal Funds	<u>\$347</u>	<u>\$307</u>	<u>\$126</u>	<u>(\$181)</u>	<u>-58.96%</u>
Total Personnel	\$347	\$307	\$126	(\$181)	-58.96%
<b>OPERATING</b>					
Federal Funds	<u>\$25,319</u>	<u>\$22,058</u>	<u>\$10,767</u>	<u>(\$11,291)</u>	<u>-51.19%</u>
Total Operating	\$25,319	\$22,058	\$10,767	(\$11,291)	-51.19%
<b>FIXED ASSETS</b>					
Federal Funds	<u>\$143</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>0.00%</u>
Total Fixed Assets	\$143	\$0	\$0	\$0	0.00%
<b>GRANTS &amp; SUBSIDIES</b>					
Federal Funds	<u>\$9,000</u>	<u>\$6,900</u>	<u>\$5,677</u>	<u>(\$1,223)</u>	<u>-17.72%</u>
Total Grants & Subsidies	\$9,000	\$6,900	\$5,677	(\$1,223)	-17.72%
<b>TOTAL FUNDS</b>					
Federal Funds	<u>\$34,809</u>	<u>\$29,265</u>	<u>\$16,570</u>	<u>(\$12,695)</u>	<u>-43.38%</u>
Total Funds	\$34,809	\$29,265	\$16,570	(\$12,695)	-43.38%

<b>APPROPRIATION:</b> Federal Election Reform
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<b>III. HISTORY OF LAPSES</b> (\$ Amounts in Thousands)	<u>2011-2012</u>	<u>2012-2013</u>	<u>2013-2014</u> Estimated
State Funds	\$0	\$0	\$0
This appropriation does not lapse to the General Fund.			

<b>IV. COMPLEMENT INFORMATION</b>	<u>12/31/2012</u>	<u>12/31/2013</u>	<u>2014-2015</u> Budgeted
Federally Funded			
<i>Authorized</i>	5	4	2
<i>Filled</i>	4	4	2
Total			
<i>Authorized</i>	5	4	2
<i>Filled</i>	4	4	2

**V. DERIVATION OF REQUEST/ LEGISLATIVE CITATIONS / DISBURSEMENT CRITERIA**

**Derivation of Request:**

The Fiscal Year 2014-2015 Governor's Executive Budget provides federal funding for an authorized complement of 2 positions, operating expenses, and grants to counties needed for implementation of the Help America Vote Act (HAVA).

Detail on the appropriation request is outlined in Section VI, entitled "Explanation of Changes," on the following page.

**Legislative Citations:**

Help America Vote Act (HAVA), P.L. 107-252 (42 U.S.C. § 15301 *et. seq.*)  
Vital Statistics Law, 35 P.S. § 450.303 (relating to death certificates)

**Disbursement Criteria:**

This appropriation provides funding for the administration and implementation of the Help America Vote Act (HAVA) within the Commonwealth. Disbursements are made based on approved positions, established employee benefits, grants to counties, and through invoices submitted for operating and fixed asset expenses incurred to meet the mandates of the Help America Vote Act.

VI. EXPLANATION OF CHANGES (\$ Amounts in Thousands)	APPROPRIATION: Federal Election Reform			
	State \$	Federal \$	Other \$	Total \$
<b>PERSONNEL</b>				
1. Personnel costs were prepared using instructions and factors provided by the Office of the Budget for a complement of 2:	\$0	\$21	\$0	\$21
2. Reduction of 2 positions within the Division of HAVA:	\$0	(\$202)	\$0	(\$202)
Subtotal Personnel	\$0	(\$181)	\$0	(\$181)
<b>OPERATING</b>				
1. Decreased funds available to DOS:	\$0	(\$11,291)	\$0	(\$11,291)
Subtotal Operating	\$0	(\$11,291)	\$0	(\$11,291)
<b>GRANTS &amp; SUBSIDIES</b>				
1. Decreased funds available to counties:	\$0	(\$1,223)	\$0	(\$1,223)
Subtotal Grants & Subsidies	\$0	(\$1,223)	\$0	(\$1,223)
<b>TOTAL</b>	<b>\$0</b>	<b>(\$12,695)</b>	<b>\$0</b>	<b>(\$12,695)</b>

VII. Obligations from 2013-14 rolled to 2014-2015		(\$ Amounts in Thousands)
<u>Funding Source</u>	<u>Appropriation</u>	<u>Amount</u>
Federal Funds	Approp 710 - SAP Fund 70490	\$5,880

## FEDERAL ELECTION REFORM

### Program Statement

The Department of State continues its efforts to comply with the mandates of the Help America Vote Act of 2002 through modernization of Pennsylvania's voting systems, guaranteeing that all registered electors have the opportunity to vote, and ensuring that voting systems provide equal opportunities and are easy to use. The Department will continue to provide training and educational materials to county election officials and their staff, as well as monitor the need for voter outreach.

### Program Performance

No performance measures currently exist to evaluate this appropriation.

**DEPARTMENT OF STATE BUDGET REQUEST FOR FY 2014-2015**  
(\$ Amounts in Thousands)

Page # of Governor's Executive Budget:  
Pp. E39.1-E39.8

**APPROPRIATION:**  
Professional and Occupational Affairs

<b>I. SUMMARY FINANCIAL DATA</b>			
	<u>2012-2013 ACTUAL</u>	<u>2013-2014 AVAILABLE</u>	<u>2014-2015 BUDGETED</u>
Other Funds Total	\$33,770	\$38,858	\$39,207
<b>Total</b>	<b>\$33,770</b>	<b>\$38,858</b>	<b>\$39,207</b>
<b>IA. REQUESTED SUPPLEMENTALS (Included above)</b>			
No supplementals requested			

<b>II. DETAIL BY MAJOR OBJECT</b> (\$ Amounts in Thousands)			<b>APPROPRIATION:</b> Professional and Occupational Affairs		
	<u>2012-2013 ACTUAL</u>	<u>2013-2014 AVAILABLE</u>	<u>2014-2015 BUDGETED</u>	<u>Change Budgeted vs. Available</u>	<u>Percent Change</u>
<b>PERSONNEL</b>					
Other Funds - Res. Rev.	\$20,700	\$24,754	\$25,825	\$1,071	4.33%
<b>Total Personnel</b>	<b>\$20,700</b>	<b>\$24,754</b>	<b>\$25,825</b>	<b>\$1,071</b>	<b>4.33%</b>
<b>OPERATING</b>					
Other Funds - Res. Rev.	\$13,070	\$14,104	\$13,382	(\$722)	-5.12%
<b>Total Operating</b>	<b>\$13,070</b>	<b>\$14,104</b>	<b>\$13,382</b>	<b>(\$722)</b>	<b>-5.12%</b>
<b>TOTAL FUNDS</b>					
Other Funds - Res. Rev.	\$33,770	\$38,858	\$39,207	\$349	0.90%
<b>Total Funds</b>	<b>\$33,770</b>	<b>\$38,858</b>	<b>\$39,207</b>	<b>\$349</b>	<b>0.90%</b>

**APPROPRIATION:  
Professional and Occupational Affairs**

III. HISTORY OF LAPSES (\$ Amounts in Thousands)	<u>2011-2012</u>	<u>2012-2013</u>	<u>2013-2014 Estimated</u>
State Funds	\$0	\$0	\$0

This appropriation does not lapse to the General Fund.

IV. COMPLEMENT INFORMATION	<u>12/31/2012</u>	<u>12/31/2013</u>	<u>2014-2015 Budgeted</u>
Other Funded			
<i>Authorized</i>	365	361	361
<i>Filled</i>	<u>332</u>	<u>340</u>	<u>361</u>
Total			
<i>Authorized</i>	365	361	361
<i>Filled</i>	332	340	361

**V. DERIVATION OF REQUEST/ LEGISLATIVE CITATIONS / DISBURSEMENT CRITERIA**

**Derivation of Request:**

The Fiscal Year 2014-2015 Governor's Executive Budget provides funding for an authorized of 361 positions. The funding for operating expenses provides for maintaining ongoing operations.

Detail on the appropriation request is outlined in Section VI, entitled "Explanation of Changes," on the following page.

**Legislative Citations:**

Professional and Occupational Affairs - 71 P.S. Section 279.1; 63 P.S. Section 1 *et seq.*  
Navigation Commission for the Delaware River - 55 P.S. § 1 *et seq.*

**Disbursement Criteria:**

This appropriation is funded from augmentations from the Professional Licensure Augmentation restricted revenue account and is funded solely from the fees and fines collected by the current 26 licensing boards and commissions comprising this appropriation. Disbursements are made based on approved positions, established employee benefits, and through invoices submitted for operating and fixed asset expenses incurred in the operation of the program.



VI. EXPLANATION OF CHANGES (\$ Amounts in Thousands)	APPROPRIATION: Professional and Occupational Affairs			
	State \$	Federal \$	Other \$	Total \$
<b>PERSONNEL</b>				
1. Personnel costs were prepared using instructions and factors provided by the Office of Budget for a complement of 361:	<u>\$0</u>	<u>\$0</u>	<u>\$1,071</u>	<u>\$1,071</u>
Subtotal Personnel	\$0	\$0	\$1,071	\$1,071
<b>OPERATING</b>				
1. Reflects decreased funds needed for BPOA's share of operating costs to maintain ongoing operations:	<u>\$0</u>	<u>\$0</u>	<u>(\$722)</u>	<u>(\$722)</u>
Subtotal Operating	\$0	\$0	(\$722)	(\$722)
<b>TOTAL</b>	<u><u>\$0</u></u>	<u><u>\$0</u></u>	<u><u>\$349</u></u>	<u><u>\$349</u></u>

VII. Obligations from 2013-2014 rolled to 2014-2015		(\$ Amounts in Thousands)
<u>Funding Source</u>	<u>Appropriation</u>	<u>Amount</u>
No obligations for this appropriation		

## PROFESSIONAL AND OCCUPATIONAL AFFAIRS

### Program Statement

The mission of the Bureau of Professional and Occupational Affairs (BPOA) is to protect the Commonwealth's consumers through the administration of professional and occupational licensing programs. The current 29 licensing boards and commissions, established by statute, ensure that professionals provide high-quality, safe and ethical services to the citizens of the Commonwealth. To accomplish this, these boards and commissions have been authorized to set regulatory standards for both initial licensure and practice, as well as enforce statutory and board standards through disciplinary proceedings. This appropriation is funded solely from the fees and fines collected by 26 of the 29 licensing boards and commissions. The remaining three boards receive separate appropriations.

### Program Performance

There were 3,203 legal cases closed by adjudication and order or consent agreement. The 3,203 dispositions that became final during the year consisted of 866 Act 48 citation cases, 1,727 conventional final BPOA dispositions, 186 final dispositions in non-BPOA matters, 414 Voluntary Recovery Program (VRP) cases, and 10 Vehicle protest cases.

During the past year, The Bureau of Enforcement and Investigation (BEI) opened 3,967 investigations and closed 4,245 while reducing the length of investigations by 14 days, which is nearly an 11% reduction. Also, with BEI operating at full complement, the number of inspections performed has increased by nearly 38% which ultimately increases the frequency of inspections. This resulted in 24,522 inspections completed over the past fiscal year.

For additional information on the Department of State Programs, refer to the Governor's Executive 2014-2015 Budget, pp E39.1 - E39.8, and the FY 2014-2015 Agency Budget Submission.

### Cost Savings

The Bureau successfully implemented a paperless agenda for 28 of the 29 professional licensing boards. The electronic agendas save the Bureau \$250,000 annually, and substantially reduce their carbon footprint. During FY 2012-2013, the Bureau's licensee population increased by 1.3% to nearly 951,000 active licensees within the Commonwealth.

**DEPARTMENT OF STATE BUDGET REQUEST FOR FY 2014-2015**

(\$ Amounts in Thousands)

Page # of Governor's Executive Budget:  
Pp. E39.1-E39.8

**APPROPRIATION:**  
State Board of Medicine

**I. SUMMARY FINANCIAL DATA**

	<u>2012-2013 ACTUAL</u>	<u>2013-2014 AVAILABLE</u>	<u>2014-2015 BUDGETED</u>
Other Funds Total	\$6,948	\$7,330	\$7,559
Total	\$6,948	\$7,330	\$7,559

**IA. REQUESTED SUPPLEMENTALS (Included above)**

No supplementals requested

**II. DETAIL BY MAJOR OBJECT**  
(\$ Amounts in Thousands)

**APPROPRIATION:**  
State Board of Medicine

	<u>2012-2013 ACTUAL</u>	<u>2013-2014 AVAILABLE</u>	<u>2014-2015 BUDGETED</u>	<u>Change Budgeted vs. Available</u>	<u>Percent Change</u>
<b>PERSONNEL</b>					
Other Funds - Res. Rev.	\$3,990	\$4,167	\$4,419	\$252	6.06%
Total Personnel	\$3,990	\$4,167	\$4,419	\$252	6.06%
<b>OPERATING</b>					
Other Funds - Res. Rev.	\$2,958	\$3,163	\$3,139	(\$23)	-0.74%
Total Operating	\$2,958	\$3,163	\$3,139	(\$23)	-0.74%
<b>TOTAL FUNDS</b>					
Other Funds - Res. Rev.	\$6,948	\$7,330	\$7,559	\$229	3.12%
Total Funds	\$6,948	\$7,330	\$7,559	\$229	3.12%

**APPROPRIATION:**  
**State Board of Medicine**

III. HISTORY OF LAPSES (\$ Amounts in Thousands)	<u>2011-2012</u>	<u>2012-2013</u>	<u>2013-2014 Estimated</u>
State Funds	\$0	\$0	\$0

This appropriation does not lapse to the General Fund.

**IV. COMPLEMENT INFORMATION**

The complement supporting the appropriation is authorized in SAP Fund 16239 (Professional and Occupational Affairs).

**V. DERIVATION OF REQUEST/ LEGISLATIVE CITATIONS / DISBURSEMENT CRITERIA**

**Derivation of Request:**  
 The Fiscal Year 2014-2015 Governor's Executive Budget provides funding for the complement supporting this appropriation, which is authorized in the Professional and Occupational Affairs appropriation. The funding for operating expenses provides for maintaining ongoing operations.

Detail on the appropriation request is outlined in Section VI, entitled "Explanation of Changes," on the following page.

**Legislative Citations:**  
 Professional and Occupational Affairs - 71 P.S. Section 279.1; 63 P.S. Section 1 *et seq.*

**Disbursement Criteria:**  
 This appropriation is funded from augmentations from the State Board of Medicine's restricted revenue account, solely from the fees and fines collected. Disbursements are made through the Board's share of distributed costs and through invoices submitted for operating and fixed asset expenses incurred from the operation of this program.

VI. EXPLANATION OF CHANGES (\$ Amounts in Thousands)	APPROPRIATION: State Board of Medicine			
	State \$	Federal \$	Other \$	Total \$
<b>PERSONNEL</b>				
1. Reflects an increase in the State Board of Medicine's share of personnel costs based on timesheet data:	\$0	\$0	\$252	\$252
<b>Subtotal Personnel</b>	<u>\$0</u>	<u>\$0</u>	<u>\$252</u>	<u>\$252</u>
<b>OPERATING</b>				
1. Reflects a decrease in the State Board of Medicine's share of operating costs needed to maintain ongoing operations:	\$0	\$0	(\$23)	(\$23)
<b>Subtotal Operating</b>	<u>\$0</u>	<u>\$0</u>	<u>(\$23)</u>	<u>(\$23)</u>
<b>TOTAL</b>	<u>\$0</u>	<u>\$0</u>	<u>\$229</u>	<u>\$229</u>

VII. Obligations from 2013-2014 rolled to 2014-2015	(\$ Amounts in Thousands)	
<u>Funding Source</u>	<u>Appropriation</u>	<u>Amount</u>
No obligations for this appropriation		

## STATE BOARD OF MEDICINE

### Program Statement

The narrative for Professional and Occupational Affairs encompasses this appropriation.

### Program Performance

The narrative for Professional and Occupational Affairs encompasses this appropriation.

**DEPARTMENT OF STATE BUDGET REQUEST FOR FY 2014-2015**

(\$ Amounts in Thousands)

Page # of Governor's Executive Budget:  
Pp. E39.1-E39.8

**APPROPRIATION:**  
State Board of Osteopathic Medicine

**I. SUMMARY FINANCIAL DATA**

	<u>2012-2013 ACTUAL</u>	<u>2013-2014 AVAILABLE</u>	<u>2014-2015 BUDGETED</u>
Other Funds Total	\$1,006	\$1,323	\$1,250
<b>Total</b>	<b>\$1,006</b>	<b>\$1,323</b>	<b>\$1,250</b>

**IA. REQUESTED SUPPLEMENTALS (Included above)**

No supplementals requested

**II. DETAIL BY MAJOR OBJECT**  
(\$ Amounts in Thousands)

**APPROPRIATION:**  
State Board of Osteopathic Medicine

	<u>2012-2013 ACTUAL</u>	<u>2013-2014 AVAILABLE</u>	<u>2014-2015 BUDGETED</u>	<u>Change Budgeted vs. Available</u>	<u>Percent Change</u>
<b>PERSONNEL</b>					
<i>Other Funds - Res. Rev.</i>	\$696	\$963	\$939	(\$24)	-2.49%
<b>Total Personnel</b>	<b>\$696</b>	<b>\$963</b>	<b>\$939</b>	<b>(\$24)</b>	<b>-2.49%</b>
<b>OPERATING</b>					
<i>Other Funds - Res. Rev.</i>	\$310	\$360	\$311	(\$49)	-13.61%
<b>Total Operating</b>	<b>\$310</b>	<b>\$360</b>	<b>\$311</b>	<b>(\$49)</b>	<b>-13.61%</b>
<b>TOTAL FUNDS</b>					
<i>Other Funds - Res. Rev.</i>	\$1,006	\$1,323	\$1,250	(\$73)	-5.52%
<b>Total Funds</b>	<b>\$1,006</b>	<b>\$1,323</b>	<b>\$1,250</b>	<b>(\$73)</b>	<b>-5.52%</b>

**APPROPRIATION:**  
**State Board of Osteopathic Medicine**

III. HISTORY OF LAPSES (\$ Amounts in Thousands)	<u>2011-2012</u>	<u>2012-2013</u>	<u>2013-2014 Estimated</u>
State Funds	\$0	\$0	\$0

This appropriation does not lapse to the General Fund.

**IV. COMPLEMENT INFORMATION**

The complement supporting the appropriation is authorized in SAP Fund 16239 (Professional and Occupational Affairs).

**V. DERIVATION OF REQUEST/ LEGISLATIVE CITATIONS / DISBURSEMENT CRITERIA**

**Derivation of Request:**

The Fiscal Year 2014-2015 Governor's Executive Budget provides funding for the complement supporting this appropriation, which is authorized in the Professional and Occupational Affairs appropriation. The funding for operating expenses provides for maintaining ongoing operations.

Detail on the appropriation request is outlined in Section VI, entitled "Explanation of Changes," on the following page.

**Legislative Citations:**

Professional and Occupational Affairs - 71 P.S. Section 279.1; 63 P.S. Section 1 *et seq.*

**Disbursement Criteria:**

This appropriation is funded from augmentations from the State Board of Osteopathic Medicine's restricted revenue account, solely from the fees and fines collected. Disbursements are made through the Board's share of distributed costs and through invoices submitted for operating and fixed asset expenses incurred from the operation of this program.



VI. EXPLANATION OF CHANGES (\$ Amounts in Thousands)	APPROPRIATION: State Board of Osteopathic Medicine			
	State \$	Federal \$	Other \$	Total \$
<b>PERSONNEL</b>				
1. Provides for a decrease in the State Board of Osteopathic Medicine's share of personnel costs based on timesheet data:	\$0	\$0	(\$24)	(\$24)
Subtotal Personnel	\$0	\$0	(\$24)	(\$24)
<b>OPERATING</b>				
1. Reflects a decrease in the State Board of Osteopathic Medicine's share of operating costs needed to maintain ongoing operations:	\$0	\$0	(\$49)	(\$49)
Subtotal Operating	\$0	\$0	(\$49)	(\$49)
<b>TOTAL</b>	<b>\$0</b>	<b>\$0</b>	<b>(\$73)</b>	<b>(\$73)</b>

VII. Obligations from 2013-2014 rolled to 2014-2015	(\$ Amounts in Thousands)	
<u>Funding Source</u>	<u>Appropriation</u>	<u>Amount</u>
No obligations for this appropriation		

## STATE BOARD OF OSTEOPATHIC MEDICINE

### Program Statement

The narrative for Professional and Occupational Affairs encompasses this appropriation.

### Program Performance

The narrative for Professional and Occupational Affairs encompasses this appropriation.

**DEPARTMENT OF STATE BUDGET REQUEST FOR FY 2014-2015**

(\$ Amounts in Thousands)

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Pp. E39.1-E39.8

**APPROPRIATION:**  
State Board of Podiatry

<b>I. SUMMARY FINANCIAL DATA</b>					
	<u>2012-2013 ACTUAL</u>	<u>2013-2014 AVAILABLE</u>	<u>2014-2015 BUDGETED</u>		
Other Funds Total	\$198	\$209	\$225		
<b>Total</b>	<b>\$198</b>	<b>\$209</b>	<b>\$225</b>		
<b>IA. REQUESTED SUPPLEMENTALS (Included above)</b>					
No supplementals requested					
<b>II. DETAIL BY MAJOR OBJECT</b>			<b>APPROPRIATION:</b>		
(\$ Amounts in Thousands)			State Board of Podiatry		
	<u>2012-2013 ACTUAL</u>	<u>2013-2014 AVAILABLE</u>	<u>2014-2015 BUDGETED</u>	<u>Change Budgeted vs. Available</u>	<u>Percent Change</u>
<b>PERSONNEL</b>					
Other Funds - Res. Rev.	\$134	\$140	\$157	\$17	12.14%
<b>Total Personnel</b>	<b>\$134</b>	<b>\$140</b>	<b>\$157</b>	<b>\$17</b>	<b>12.14%</b>
<b>OPERATING</b>					
Other Funds - Res. Rev.	\$64	\$69	\$68	(\$1)	-1.45%
<b>Total Operating</b>	<b>\$64</b>	<b>\$69</b>	<b>\$68</b>	<b>(\$1)</b>	<b>-1.45%</b>
<b>TOTAL FUNDS</b>					
Other Funds - Res. Rev.	\$198	\$209	\$225	\$16	7.66%
<b>Total Funds</b>	<b>\$198</b>	<b>\$209</b>	<b>\$225</b>	<b>\$16</b>	<b>7.66%</b>

**APPROPRIATION:**  
**State Board of Podiatry**

III. HISTORY OF LAPSES (\$ Amounts in Thousands)	2011-2012	2012-2013	2013-2014 Estimated
State Funds	\$0	\$0	\$0

This appropriation does not lapse to the General Fund.

**IV. COMPLEMENT INFORMATION**

The complement supporting the appropriation is authorized in SAP Fund 16239 (Professional and Occupational Affairs).

**V. DERIVATION OF REQUEST/ LEGISLATIVE CITATIONS / DISBURSEMENT CRITERIA**

**Derivation of Request:**

The Fiscal Year 2014-2015 Governor's Executive Budget provides funding for the complement supporting this appropriation, which is authorized in the Professional and Occupational Affairs appropriation. The funding for operating expenses provides for maintaining ongoing operations.

Detail on the appropriation request is outlined in Section VI, entitled "Explanation of Changes," on the following page.

**Legislative Citations:**

Professional and Occupational Affairs - 71 P.S. Section 279.1; 63 P.S. Section 1 *et seq.*

**Disbursement Criteria:**

This appropriation is funded from augmentations from the State Board of Podiatry's restricted revenue account, solely from the fees and fines collected. Disbursements are made through the Board's share of distributed costs and through invoices submitted for operating and fixed asset expenses incurred from the operation of this program.

VI. EXPLANATION OF CHANGES (\$ Amounts in Thousands)	APPROPRIATION: State Board of Podiatry			
	State \$	Federal \$	Other \$	Total \$
<b>PERSONNEL</b>				
1. Provides for an increase in the State Board of Podiatry's share of personnel costs based on timesheet data:	\$0	\$0	\$17	\$17
Subtotal Personnel	\$0	\$0	\$17	\$17
<b>OPERATING</b>				
1. Reflects a decrease in the State Board of Podiatry's share of operating costs needed to maintain ongoing operations:	\$0	\$0	(\$1)	(\$1)
Subtotal Operating	\$0	\$0	(\$1)	(\$1)
<b>TOTAL</b>	<u>\$0</u>	<u>\$0</u>	<u>\$16</u>	<u>\$16</u>

VII. Obligations from 2013-2014 rolled to 2014-2015	(\$ Amounts in Thousands)	
<u>Funding Source</u>	<u>Appropriation</u>	<u>Amount</u>
No obligations for this appropriation		

## STATE BOARD OF PODIATRY

### Program Statement

The narrative for Professional and Occupational Affairs encompasses this appropriation.

### Program Performance

The narrative for Professional and Occupational Affairs encompasses this appropriation.

**DEPARTMENT OF STATE BUDGET REQUEST FOR FY 2014-2015**

(\$ Amounts in Thousands)

Page # of Governor's Executive Budget:  
Pp. E39.1-E39.8

**APPROPRIATION:**  
State Athletic Commission

I. SUMMARY FINANCIAL DATA				2012-2013 <u>ACTUAL</u>	2013-2014 <u>AVAILABLE</u>	2014-2015 <u>BUDGETED</u>
Other Funds Total				\$509	\$509	\$536
Total				\$509	\$509	\$536
IA. REQUESTED SUPPLEMENTALS (Included above)						
No supplemental requested						
II. DETAIL BY MAJOR OBJECT (\$ Amounts in Thousands)				APPROPRIATION: State Athletic Commission		
	<u>2012-2013 ACTUAL</u>	<u>2013-2014 AVAILABLE</u>	<u>2014-2015 BUDGETED</u>	<u>Change Budgeted vs. Available</u>	<u>Percent Change</u>	
<b>PERSONNEL</b>						
Other Funds - Res. Rev.	\$355	\$372	\$399	\$27	7.26%	
Total Personnel	\$355	\$372	\$399	\$27	7.26%	
<b>OPERATING</b>						
Other Funds - Res. Rev.	\$154	\$137	\$137	\$0	0.00%	
Total Operating	\$154	\$137	\$137	\$0	0.00%	
<b>TOTAL FUNDS</b>						
Other Funds - Res. Rev.	\$509	\$509	\$536	\$27	5.30%	
Total Funds	\$509	\$509	\$536	\$27	5.30%	

**APPROPRIATION:**  
State Athletic Commission

III. HISTORY OF LAPSES (\$ Amounts in Thousands)	2011-2012	2012-2013	2013-2014 Estimated
State Funds	\$0	\$0	\$0
This appropriation does not lapse to the General Fund.			

IV. COMPLEMENT INFORMATION	12/31/2012	12/31/2013	2014-2015 Budgeted
Other Funded			
<i>Authorized</i>	5	5	5
<i>Filled</i>	5	5	5
Total			
<i>Authorized</i>	5	5	5
<i>Filled</i>	5	5	5

**V. DERIVATION OF REQUEST/ LEGISLATIVE CITATIONS / DISBURSEMENT CRITERIA**

**Derivation of Request:**  
 The Fiscal Year 2014-2015 Governor's Executive Budget provides funding for the authorized complement of 5 positions. The funding for operating expenses provides for maintaining ongoing operations.

Detail on the appropriation request is outlined in Section VI, entitled "Explanation of Changes," on the following page.

**Legislative Citations:**  
 State Athletic Code - 5 Pa.C.S. Section 101 *et seq.*  
 Athletic Agent Registration Act - 5 Pa.C.S. Section 3101 *et seq.*

**Disbursement Criteria:**  
 This appropriation is funded from augmentations from the State Athletic Commission's restricted revenue account for the ongoing administration of this program. Revenue is collected from license fees, fines, gross receipt taxes and pay-per-view broadcast taxes. Disbursements are made based on approved positions, established employee benefits, and through invoices submitted for operating expenses incurred from the operation of the Commission.



VI. EXPLANATION OF CHANGES (\$ Amounts in Thousands)	APPROPRIATION: State Athletic Commission			
	<u>State \$</u>	<u>Federal \$</u>	<u>Other \$</u>	<u>Total \$</u>
<b>PERSONNEL</b>				
1. Personnel costs were prepared using instructions and factors provided by the Office of the Budget for a complement of 5:	<u>\$0</u>	<u>\$0</u>	<u>\$27</u>	<u>\$27</u>
Subtotal Personnel	\$0	\$0	\$27	\$27
<b>OPERATING</b>				
1. No change needed to maintain ongoing operations:	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
Subtotal Operating	\$0	\$0	\$0	\$0
<b>TOTAL</b>	<u>\$0</u>	<u>\$0</u>	<u>\$27</u>	<u>\$27</u>

VII. Obligations from 2013-2014 rolled to 2014-2015		(\$ Amounts in Thousands)
<u>Funding Source</u>	<u>Appropriation</u>	<u>Amount</u>
No obligations for this appropriation		

## STATE ATHLETIC COMMISSION

### **Program Statement**

The State Athletic Commission monitors and regulates professional and amateur boxing, kickboxing, professional wrestling, and mixed martial arts. The Commission licenses all persons who participate in these events. The Commission also registers and regulates Athletic Agents doing business in the Commonwealth. There are thirteen categories of licenses issued by the Commission. These categories include announcer, boxer, MMA boxer, judge, manager, matchmaker, physician, promoter (including those promoting professional wrestling), referee, second, timekeeper, trainer and athletic agent.

The FY 2014-2015 budget provides funding for the ongoing administration of this program. All revenue collected from license fees, gross receipt taxes and pay-per-view broadcast tax receipts funds the operation of this Commission.

### **Program Performance**

During fiscal year 2012-2013, 462 sanctioned events were held in the Commonwealth. The Commission has a website that includes a calendar of events, licensing forms and materials, the athletic agent registration law and regulations, and all registered athletic agents.

Currently, there are 127 athletic agents registered with the Commission.

For additional information on the Department of State Programs, refer to the Governor's Executive 2014-2015 Budget, pp. E39.1 - E39.8, and the FY 2014-2015 Agency Budget Submission.

**DEPARTMENT OF STATE BUDGET REQUEST FOR FY 2014-2015**  
(\$ Amounts in Thousands)

Page # of Governor's Executive Budget:  
Pp. E39.1-E39.8

**APPROPRIATION:**  
**Bureau of Corporations and Charitable Organizations**

<b>I. SUMMARY FINANCIAL DATA</b>					
	<u>2012-2013 ACTUAL</u>	<u>2013-2014 AVAILABLE</u>	<u>2014-2015 BUDGETED</u>		
Other Funds Total	\$6,500	\$5,533	\$5,781		
<b>Total</b>	<b>\$6,500</b>	<b>\$5,533</b>	<b>\$5,781</b>		
<b>IA. REQUESTED SUPPLEMENTALS (Included above)</b>					
No supplementals requested					
<b>II. DETAIL BY MAJOR OBJECT</b> (\$ Amounts in Thousands)			<b>APPROPRIATION:</b> <b>Bureau of Corporations and Charitable Organizations</b>		
	<u>2012-2013 ACTUAL</u>	<u>2013-2014 AVAILABLE</u>	<u>2014-2015 BUDGETED</u>	<u>Change Budgeted vs. Available</u>	<u>Percent Change</u>
<b>PERSONNEL</b>					
Other Funds - Res. Rev.	\$3,093	\$3,236	\$3,484	\$248	7.66%
<b>Total Personnel</b>	<b>\$3,093</b>	<b>\$3,236</b>	<b>\$3,484</b>	<b>\$248</b>	<b>7.66%</b>
<b>OPERATING</b>					
Other Funds - Res. Rev.	\$3,407	\$2,297	\$2,297	\$0	0.00%
<b>Total Operating</b>	<b>\$3,407</b>	<b>\$2,297</b>	<b>\$2,297</b>	<b>\$0</b>	<b>0.00%</b>
<b>TOTAL FUNDS</b>					
Other Funds - Res. Rev.	\$6,500	\$5,533	\$5,781	\$248	4.48%
<b>Total Funds</b>	<b>\$6,500</b>	<b>\$5,533</b>	<b>\$5,781</b>	<b>\$248</b>	<b>4.48%</b>

**APPROPRIATION:**  
**Bureau of Corporations and Charitable Organizations**

III. HISTORY OF LAPSES (\$ Amounts in Thousands)	2011-2012	2012-2013	2013-2014 Estimated
State Funds	\$0	\$0	\$0
This appropriation does not lapse to the General Fund.			

IV. COMPLEMENT INFORMATION	12/31/2012	12/31/2013	2014-2015 Budgeted
Other Funded			
<i>Authorized</i>	47	47	47
<i>Filled</i>	45	46	47
Total			
<i>Authorized</i>	47	47	47
<i>Filled</i>	45	46	47

**V. DERIVATION OF REQUEST/ LEGISLATIVE CITATIONS / DISBURSEMENT CRITERIA**

**Derivation of Request:**  
The Fiscal Year 2014-2015 Governor's Executive Budget provides funding for the authorized complement of 47 positions. This personnel and operating funding is provided to maintain ongoing operations.

Detail on the appropriation request is outlined in Section VI, entitled "Explanation of Changes," on the following page.

**Legislative Citations:**  
The Associations Code - 15 P.S. Section 101 *et seq.*  
Article 9 of the Uniform Commercial Code (UCC) - 13 Pa.C.S. Section 91401 *et seq.*  
Names - 54 Pa.C.S. Chapters 1, 3, 11, 13 & 15

**Disbursement Criteria:**  
This appropriation is funded from augmentations from the Bureau of Corporations & Charitable Organizations restricted revenue account. Disbursements are made based on approved positions, established employee benefits, and through invoices submitted for operating and fixed asset expenses incurred from the operation of the Bureau.

VI. EXPLANATION OF CHANGES (\$ Amounts in Thousands)	APPROPRIATION: Bureau of Corporations and Charitable Organizations			
	<u>State \$</u>	<u>Federal \$</u>	<u>Other \$</u>	<u>Total \$</u>
<b>PERSONNEL</b>				
1. Personnel costs were prepared using instructions and factors provided by the Office of the Budget for a complement of 47:	<u>\$0</u>	<u>\$0</u>	<u>\$248</u>	<u>\$248</u>
Subtotal Personnel	\$0	\$0	\$248	\$248
<b>OPERATING</b>				
1. Reflects no change needed to maintain ongoing operations:	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
Subtotal Operating	\$0	\$0	\$0	\$0
<b>TOTAL</b>	<u><u>\$0</u></u>	<u><u>\$0</u></u>	<u><u>\$248</u></u>	<u><u>\$248</u></u>

VII. Obligations from 2013-2014 rolled to 2014-2015		(\$ Amounts in Thousands)
<u>Funding Source</u>	<u>Appropriation</u>	<u>Amount</u>
No obligations for this appropriation		

## **Bureau of Corporations & Charitable Organizations**

### **Program Statement**

The Bureau of Corporations and Charitable Organizations is responsible for both profit and nonprofit business incorporation filings, submission of Uniform Commercial Code (UCC) filings, and registration of charitable organizations, professional fundraisers and solicitors, as well as regulation of the actual solicitation and distribution of collected funds. The Bureau also serves as the repository for registration information pursuant to the Institutions of Purely Public Charity Act 10 P.S. 371, et seq.

### **Program Performance**

As of December 31, 2013, there were approximately 2.6 million corporate entities, 10,808 charities, and 466 professional solicitors and fund raising counsels registered in the database. In the 2012/2013 fiscal year, the Bureau processed approximately 108,412 corporate filings, 120,577 UCC filings and 11,281 charity registrations. The primary goals of the Bureau of Corporations and Charitable Organizations are to make the registration process as efficient as possible, enhance public access in order to promote a more favorable business climate within the commonwealth, to promote transparency and disclosure by charities, educate consumers, and aggressively pursue fraudulent solicitation activities.

Act 198 of 1980 established a restricted account with funding levels to be executively authorized by the Governor to fund the Bureau of Corporations and Charitable Organizations.

Act 55 of 1997 increased the number of charities required to register with the Department of State and established a system of mandatory arbitration to resolve complaints of unfair competition filed by small businesses against charities.

For additional information on the Department of State Programs, refer to the Governor's Executive 2014-2015 Budget, pp. E39.1 - E39.8, and the FY 2014-2015 Agency Budget Submission.

**DEPARTMENT OF STATE BUDGET REQUEST FOR FY 2014-2015**

(\$ Amounts in Thousands)

Page # of Governor's Executive Budget:  
Pp. E39.1-E39.8

**APPROPRIATION:**  
Statewide Uniform Registry of Electors (SURE)

<b>I. SUMMARY FINANCIAL DATA</b>					
	<u>2012-2013 ACTUAL</u>	<u>2013-2014 AVAILABLE</u>	<u>2014-2015 BUDGETED</u>		
State Funds	\$4,257	\$4,257	\$4,045		
Total	\$4,257	\$4,257	\$4,045		
<b>IA. REQUESTED SUPPLEMENTALS (Included above)</b>					
No supplemental requested					
<b>II. DETAIL BY MAJOR OBJECT</b> (\$ Amounts in Thousands)			<b>APPROPRIATION:</b> Statewide Uniform Registry of Electors (SURE)		
	<u>2012-2013 ACTUAL</u>	<u>2013-2014 AVAILABLE</u>	<u>2014-2015 BUDGETED</u>	<u>Change Budgeted vs. Available</u>	<u>Percent Change</u>
<b>OPERATING</b>					
State Funds	\$4,257	\$4,257	\$4,045	(\$212)	-4.98%
Total Operating	\$4,257	\$4,257	\$4,045	(\$212)	-4.98%
<b>TOTAL FUNDS</b>					
State Funds	\$4,257	\$4,257	\$4,045	(\$212)	-4.98%
Total Funds	\$4,257	\$4,257	\$4,045	(\$212)	-4.98%

**APPROPRIATION:  
Statewide Uniform Registry of Electors (SURE)**

III. HISTORY OF LAPSES (\$ Amounts in Thousands)	<u>2011-2012</u>	<u>2012-2013</u>	<u>2013-2014 Estimated</u>
State Funds	\$0	\$0	\$0

**IV. COMPLEMENT INFORMATION**

No complement for this appropriation.

**V. DERIVATION OF REQUEST/ LEGISLATIVE CITATIONS / DISBURSEMENT CRITERIA**

**Derivation of Request:**  
 The Fiscal Year 2014-2015 Governor's Executive Budget provides funding for operating expenses to provide the resources for the ongoing support of the Statewide Uniform Registry of Electors (SURE) system.

Detail on the appropriation request is outlined in Section VI, entitled "Explanation of Changes," on the following page.

**Legislative Citations:**  
 Act 2002-3 (Relating to Voter Registration); 25 Pa.C.S. § 1101 et seq.  
 Help America Vote Act (HAVA), P.L. 107-252 (42 U.S.C. § 15301 et. seq.)

**Disbursement Criteria:**  
 This appropriation provides funding for the SURE project. Disbursements are made through invoices submitted from operating expenses incurred through ongoing support of the SURE system.



VI. EXPLANATION OF CHANGES (\$ Amounts in Thousands)	APPROPRIATION: Statewide Uniform Registry of Electors (SURE)			
	State \$	Federal \$	Other \$	Total \$
<b>OPERATING</b>				
1. Reduction of an IT Consultant:	<u>(\$212)</u>	<u>\$0</u>	<u>\$0</u>	<u>(\$212)</u>
Subtotal Operating	(\$212)	\$0	\$0	(\$212)
<b>TOTAL</b>	<u>(\$212)</u>	<u>\$0</u>	<u>\$0</u>	<u>(\$212)</u>

VII. Obligations from 2013-2014 rolled to 2014-2015	(\$ Amounts in Thousands)	
<u>Funding Source</u>	<u>Appropriation</u>	<u>Amount</u>
No obligations for this appropriation		

## STATEWIDE UNIFORM REGISTRY OF ELECTORS (SURE)

### Program Statement

Act 3 of 2002 requires the department to develop and maintain a Statewide Uniform Registry of Electors (SURE) - an integrated voter registration database containing all electors in the Commonwealth, and further requires that all costs of operating this system must be borne by the commonwealth. The Help America Vote Act (HAVA) of 2002 required the development of a centralized voter registration system to be implemented with various enhancements by January 2006. The SURE System has met both of these requirements and is implemented in all 67 counties. The ongoing support includes the system hosting costs, county hardware support, and help desk availability to support all county users as well as individual citizen system users.

### SURE Support

Continued support of the SURE system is essential to the success of the SURE application and its ongoing costs that include continued improvement and enhancement of the application based on advisory board input, commonwealth wide level 1 help desk tracking incident reporting and remediation from all counties, and level 2 help desk activities, which ensure the health of the application. The funding also provides for participation in the commonwealth's outsourced hosting facility to ensure system availability, maintenance, and support of all hardware associated with the main voter registration system within all counties, including the terminal devices, scanners, printers and barcode readers. These costs also include the support of the SURE Portal application.

### Program Performance

No performance measures exist to evaluate this appropriation.

For additional information on the Department of State Programs, refer to the Governor's Executive 2014-2015 Budget, pp. E39.1-E39.8, and the FY 2014-2015 Agency Budget Submission.

**DEPARTMENT OF STATE BUDGET REQUEST FOR FY 2014-2015**  
(\$ Amounts in Thousands)

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Pp. E39.1-E39.8

**APPROPRIATION:**  
Voter Registration

**I. SUMMARY FINANCIAL DATA**

	<u>2012-2013 ACTUAL</u>	<u>2013-2014 AVAILABLE</u>	<u>2014-2015 BUDGETED</u>
State Funds	\$451	\$2,506	\$455
Total	\$451	\$2,506	\$455

**IA. REQUESTED SUPPLEMENTALS (Included above)**

No supplementals requested

**II. DETAIL BY MAJOR OBJECT**  
(\$ Amounts in Thousands)

**APPROPRIATION:**  
Voter Registration

	<u>2012-2013 ACTUAL</u>	<u>2013-2014 AVAILABLE</u>	<u>2014-2015 BUDGETED</u>	<u>Change Budgeted vs. Available</u>	<u>Percent Change</u>
<b>PERSONNEL</b>					
State Funds	\$187	\$218	\$232	\$14	6.42%
Total Personnel	\$187	\$218	\$232	\$14	6.42%
<b>OPERATING</b>					
State Funds	\$264	\$2,288	\$223	(\$2,065)	-90.25%
Total Operating	\$264	\$2,288	\$223	(\$2,065)	-90.25%
<b>TOTAL FUNDS</b>					
State Funds	\$451	\$2,506	\$455	(\$2,051)	-81.84%
Total Funds	\$451	\$2,506	\$455	(\$2,051)	-81.84%

**APPROPRIATION:  
Voter Registration**

III. HISTORY OF LAPSES (\$ Amounts in Thousands)	2011-2012	2012-2013	2013-2014 Estimated
State Funds	\$155	\$62	\$0

IV. COMPLEMENT INFORMATION	12/31/2012	12/31/2013	2014-2015 Budgeted
State/Federally Funded			
<i>Authorized</i>	3	3	3
<i>Filled</i>	3	3	3
Total			
<i>Authorized</i>	3	3	3
<i>Filled</i>	3	3	3

**V. DERIVATION OF REQUEST/ LEGISLATIVE CITATIONS / DISBURSEMENT CRITERIA**

**Derivation of Request:**

The Fiscal Year 2014-2015 Governor's Executive Budget provides funding for an authorized complement of 3 positions. The funding for operating expenses provides for maintaining ongoing operations of the Voter Registration Appropriation.

Detail on the appropriation request is outlined in Section VI, entitled "Explanation of Changes," on the following page.

**Legislative Citations:**

Act 2002-3 (Relating to Voter Registration) - 25 Pa.C.S. § 1101 *et seq.*

**Disbursement Criteria:**

This appropriation funds the Voter Registration program in the Department of State. Disbursements are made based on approved positions, established employee benefits, and through invoices submitted for operating expenses and fixed assets incurred from the operation of the program.

VI. EXPLANATION OF CHANGES (\$ Amounts in Thousands)	APPROPRIATION: Voter Registration			
	State \$	Federal \$	Other \$	Total \$
<b>PERSONNEL</b>				
1. Personnel costs were prepared using instructions and factors provided by the Office of the Budget for a complement of 3:	\$16	\$0	\$0	\$16
2. Pension Collar:	(\$3)	\$0	\$0	(\$3)
3. Salary Increase for Managemnt Personnel:	\$1	\$0	\$0	\$1
Subtotal Personnel	\$14	\$0	\$0	\$14
<b>OPERATING</b>				
1. Decrease in funding needed for Voter Education:	(\$2,065)	\$0	\$0	(\$2,065)
Subtotal Operating	(\$2,065)	\$0	\$0	(\$2,065)
<b>TOTAL</b>	<b>(\$2,051)</b>	<b>\$0</b>	<b>\$0</b>	<b>(\$2,051)</b>

VII. Obligations from 2013-2014 rolled to 2014-2015		(\$ Amounts in Thousands)
<u>Funding Source</u>	<u>Appropriation</u>	<u>Amount</u>
No obligations for this appropriation		

## VOTER REGISTRATION

### **Program Statement**

The Bureau of Commissions, Elections and Legislation oversees voter registration at drivers' license centers, coordinates voter registration at government agencies, provides for enhanced mail-in registration, and furnishes information and training to county election officials and state agencies on the implementation of the recent laws. In connection with the registration of new voters, the Department continues to train state agencies and county election officials.

For additional information on the Department of State Programs, refer to the Governor's Executive 2014-2015 Budget, pp. E39.1-E39.8, and the FY 2014-2015 Agency Budget Submission.

### **Program Performance**

No performance measures exist to evaluate this appropriation.

**DEPARTMENT OF STATE BUDGET REQUEST FOR FY 2014-2015**  
(\$ Amounts in Thousands)

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Pp. E39.1-E39.8

**APPROPRIATION:**  
Elections Assistance - Grants to Counties

<b>I. SUMMARY FINANCIAL DATA</b>					
	<u>2012-2013 ACTUAL</u>	<u>2013-2014 AVAILABLE</u>	<u>2014-2015 BUDGETED</u>		
Federal Funds Total	\$1,808	\$1,589	\$990		
Total	\$1,808	\$1,589	\$990		
<b>IA. REQUESTED SUPPLEMENTALS (Included above)</b>					
No supplementals requested					
<b>II. DETAIL BY MAJOR OBJECT</b> (\$ Amounts in Thousands)			<b>APPROPRIATION:</b> Elections Assistance - Grants to Counties		
	<u>2012-2013 ACTUAL</u>	<u>2013-2014 AVAILABLE</u>	<u>2014-2015 BUDGETED</u>	<u>Budgeted vs. Available</u>	<u>Percent Change</u>
<b>PERSONNEL</b>					
<i>Federal Funds</i>	\$78	\$67	\$72	\$5	7.46%
Total Personnel	\$78	\$67	\$72	\$5	7.46%
<b>OPERATING</b>					
<i>Federal Funds</i>	\$5	\$5	\$5	\$0	0.00%
Total Operating	\$5	\$5	\$5	\$0	0.00%
<b>GRANTS &amp; SUBSIDIES</b>					
<i>Federal Funds</i>	\$1,725	\$1,517	\$913	(\$604)	-39.82%
Total Grants & Subsidies	\$1,725	\$1,517	\$913	(\$604)	-39.82%
<b>TOTAL FUNDS</b>					
<i>Federal Funds</i>	\$1,808	\$1,589	\$990	(\$599)	-37.70%
Total Funds	\$1,808	\$1,589	\$990	(\$599)	-37.70%

**APPROPRIATION:**

Elections Assistance - Grants to Counties

III. HISTORY OF LAPSES (\$ Amounts in Thousands)	2011-2012	2012-2013	2013-2014 Estimated
State Funds	\$0	\$0	\$0
This appropriation does not lapse to the General Fund.			

**IV. COMPLEMENT INFORMATION**

This appropriation allows for funding of a complement of one. The actual complement resides under Federal Election Reform (70490).

**V. DERIVATION OF REQUEST/ LEGISLATIVE CITATIONS / DISBURSEMENT CRITERIA****Derivation of Request:**

Grants are issued by the U.S. Department of Health and Human Services to assist with the implementation of Title II requirements by providing election assistance for individuals with disabilities.

Detail on the appropriation request is outlined in Section VI, entitled "Explanation of Changes," on the following page.

**Legislative Citations:** Title II, Subtitle D, Part 2, Section 261 of Help America Vote Act, Public Law 107-252

**Disbursement Criteria:**

U.S. Department of Health and Human Services grants will be disbursed to counties to provide election assistance to individuals with disabilities.



VI. EXPLANATION OF CHANGES (\$ Amounts in Thousands)	APPROPRIATION: Elections Assistance - Grants to Counties			
	State \$	Federal \$	Other \$	Total \$
<b>PERSONNEL</b>				
1. Personnel costs were prepared using instructions and factors provided by the Office of the Budget for a complement of 1:	\$0	\$5	\$0	\$5
Subtotal Personnel	\$0	\$5	\$0	\$5
<b>OPERATING</b>				
1. Reflects no change needed to maintain ongoing operations:	\$0	\$0	\$0	\$0
Subtotal Operating	\$0	\$0	\$0	\$0
<b>GRANTS &amp; SUBSIDIES</b>				
1. Reflects a decrease in funds for grants to counties.	\$0	(\$604)	\$0	(\$604)
Subtotal Grants & Subsidies	\$0	(\$604)	\$0	(\$604)
<b>TOTAL</b>	<u>\$0</u>	<u>(\$599)</u>	<u>\$0</u>	<u>(\$599)</u>

VII. Obligations from 2013-2014 rolled to 2014-2015		(\$ Amounts in Thousands)
<u>Funding Source</u>	<u>Appropriation</u>	<u>Amount</u>
Federal Funds	Approp 705 - SAP Fund 70562	\$180

## ELECTIONS ASSISTANCE - GRANTS TO COUNTIES

### Program Statement

Grants issued by Department of Health and Human Services to assist with the implementation of Title II requirements by providing election assistance for individuals with disabilities.

### Program Performance

No performance measures exist to evaluate this appropriation.

**DEPARTMENT OF STATE BUDGET REQUEST FOR FY 2014-2015**  
(\$ Amounts in Thousands)

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Pp. E39.1-E39.8

**APPROPRIATION:**  
Lobbying Disclosure

<b>I. SUMMARY FINANCIAL DATA</b>					
	<u>2012-2013 ACTUAL</u>	<u>2013-2014 AVAILABLE</u>	<u>2014-2015 BUDGETED</u>		
State Funds	\$562	\$492	\$295		
Other Funds Total	\$300	\$300	\$500		
<b>Total</b>	<b>\$862</b>	<b>\$792</b>	<b>\$795</b>		
<b>IA. REQUESTED SUPPLEMENTALS (Included above)</b>					
No supplementals requested					
<b>II. DETAIL BY MAJOR OBJECT</b> (\$ Amounts in Thousands)			<b>APPROPRIATION:</b> Lobbying Disclosure		
	<u>2012-2013 ACTUAL</u>	<u>2013-2014 AVAILABLE</u>	<u>2014-2015 BUDGETED</u>	<u>Budgeted vs. Available</u>	<u>Percent Change</u>
<b>PERSONNEL</b>					
State Funds	\$323	\$285	\$182	(\$103)	-36.14%
Other Funds	\$30	\$30	\$153	\$123	410.00%
<b>Total Personnel</b>	<b>\$353</b>	<b>\$315</b>	<b>\$335</b>	<b>\$20</b>	<b>6.35%</b>
<b>OPERATING</b>					
State Funds	\$239	\$190	\$113	(\$77)	-40.53%
Other Funds	\$270	\$270	\$347	\$77	28.52%
<b>Total Operating</b>	<b>\$509</b>	<b>\$460</b>	<b>\$460</b>	<b>\$0</b>	<b>0.00%</b>
<b>BUDGETARY RESERVE</b>					
State Funds	\$0	\$17	\$0	(\$17)	-100.00%
<b>Total Budgetary Reserve</b>	<b>\$0</b>	<b>\$17</b>	<b>\$0</b>	<b>(\$17)</b>	<b>-100.00%</b>
<b>TOTAL FUNDS</b>					
State Funds	\$562	\$492	\$295	(\$197)	-40.04%
Other Funds	\$300	\$300	\$500	\$200	66.67%
<b>Total Funds</b>	<b>\$862</b>	<b>\$792</b>	<b>\$795</b>	<b>\$3</b>	<b>0.38%</b>

**APPROPRIATION:  
Lobbying Disclosure**

III. HISTORY OF LAPSES (\$ Amounts in Thousands)	<u>2011-2012</u>	<u>2012-2013</u>	<u>2013-2014 Estimated</u>
State Funds	\$79	\$98	\$0

IV. COMPLEMENT INFORMATION	<u>12/31/2012</u>	<u>12/31/2013</u>	<u>2014-2015 Budgeted</u>
State/Federally Funded			
<i>Authorized</i>	5	4	4
<i>Filled</i>	5	4	4
Total			
<i>Authorized</i>	5	4	4
<i>Filled</i>	5	4	4

**V. DERIVATION OF REQUEST/ LEGISLATIVE CITATIONS / DISBURSEMENT CRITERIA**

**Derivation of Request:**

The FY 2014-15 Governor's Executive Budget provides funding for an authorized complement of 4 positions. This appropriation registers and monitors lobbying activities. The funding for operating expenses provides for maintaining ongoing operations.

Detail on the appropriation request is outlined in Section VI, entitled "Explanation of Changes," on the following page.

Legislative Citations: Lobbying Disclosure Law - 65 Pa.C.S. § 1301-A *et. seq.*

**Disbursement Criteria:**

This appropriation provides funding for the monitoring of lobbying activities. Disbursements are made based on approved positions, established employee benefits, and through invoices submitted for operating expenses from the operation of the program.

VI. EXPLANATION OF CHANGES (\$ Amounts in Thousands)	APPROPRIATION: Lobbying Disclosure			
	State \$	Federal \$	Other \$	Total \$
<b>PERSONNEL</b>				
1. Personnel costs were prepared using instructions and factors provided by the Office of Budget for a complement of 4:	\$22	\$0	\$0	\$22
2. Funding change due to new fee proposal:	(\$123)	\$0	\$123	\$0
3. Pension Collar:	(\$2)	\$0	\$0	(\$2)
Subtotal Personnel	(\$103)	\$0	\$123	\$20
<b>OPERATING</b>				
1. Funding change due to new fee proposal:	(\$77)	\$0	\$77	\$0
Subtotal Operating	(\$77)	\$0	\$77	\$0
<b>BUDGETARY RESERVE</b>				
1. Removal of funds from prior year budgetary reserve:	(\$17)	\$0	\$0	(\$17)
<b>TOTAL</b>	<b>(\$197)</b>	<b>\$0</b>	<b>\$200</b>	<b>\$3</b>
VII. Obligations from 2013-2014 rolled to 2014-2015 (\$ Amounts in Thousands)				
No obligations for this appropriation				

## LOBBYING DISCLOSURE

### Program Statement

Act 134 of 2006, Lobbying Disclosure Act, assigns registration and monitoring duties to the department. The act requires that the department receive filings and reports from lobbyists, make this information available to the public, have the information on a website, produce annual reports and a biennial directory, conduct audits, and provide administrative assistance to the regulating committee.

### Lobbying Disclosure Fee Increase

Section 13A08(j) of the lobbying disclosure act (65 Pa.C.S. § 13A08(j)), relating to administration, provides that the Department of State may adjust the filing fee established under section 13A10 of the act (relating to registration fees; fund established; system; regulations) by regulation, if the Department determines that a higher fee is needed to cover the costs of carrying out the provisions of the act. Proposed rulemaking would provide for an increase to the biennial registration fee for individuals and entities required to be registered under the lobbying disclosure act from \$200 to \$400, effective in January 2015. The FY2014-2015 general fund budget request has been reduced in anticipation of this regulation.

### Program Performance

No performance measures exist to evaluate this appropriation.

**DEPARTMENT OF STATE BUDGET REQUEST FOR FY 2014-2015**

(\$ Amounts in Thousands)

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Pp. E39.1-E39.8

**APPROPRIATION:**  
Publishing Constitutional Amendments (EA)

**I. SUMMARY FINANCIAL DATA**

	<u>2012-2013 ACTUAL</u>	<u>2013-2014 AVAILABLE</u>	<u>2014-2015 BUDGETED</u>
State Funds	\$0	\$0	\$5,341
<b>Total</b>	<b>\$0</b>	<b>\$0</b>	<b>\$5,341</b>

**IA. REQUESTED SUPPLEMENTALS (Included above)**

No supplementals requested

**II. DETAIL BY MAJOR OBJECT**  
(\$ Amounts in Thousands)

**APPROPRIATION:**  
Publishing Constitutional Amendments (EA)

	<u>2012-2013 ACTUAL</u>	<u>2013-2014 AVAILABLE</u>	<u>2014-2015 BUDGETED</u>	<u>Change Budgeted vs. Available</u>	<u>Percent Change</u>
<b>OPERATING</b>					
State Funds	\$0	\$0	\$5,341	\$5,341	0.00%
<b>Total Operating</b>	<b>\$0</b>	<b>\$0</b>	<b>\$5,341</b>	<b>\$5,341</b>	<b>0.00%</b>
<b>TOTAL FUNDS</b>					
State Funds	\$0	\$0	\$5,341	\$5,341	0.00%
<b>Total Funds</b>	<b>\$0</b>	<b>\$0</b>	<b>\$5,341</b>	<b>\$5,341</b>	<b>0.00%</b>

**APPROPRIATION:  
Publishing Constitutional Amendments (EA)**

III. HISTORY OF LAPSES (\$ Amounts in Thousands)	<u>2011-2012</u>	<u>2012-2013</u>	<u>2013-2014 Estimated</u>
State Funds	\$0	\$0	\$0

**IV. COMPLEMENT INFORMATION**

No complement for this appropriation.

**V. DERIVATION OF REQUEST/ LEGISLATIVE CITATIONS / DISBURSEMENT CRITERIA**

**Derivation of Request:**

The Fiscal Year 2014-2015 Governor's Executive Budget provides funding for operating expenses relating to the publishing of three joint resolutions that have passed both chambers of the General Assembly.

**Legislative Citations:**

Article XI of the Constitution of Pennsylvania Article XI - Amendments.

**Disbursement Criteria:**

This program is required by Article XI of the Constitution of Pennsylvania Article XI - Amendments. The Constitution requires that the Secretary of the Commonwealth publish the text of the proposed constitutional amendments in newspapers in all counties of the Commonwealth.



VI. EXPLANATION OF CHANGES (\$ Amounts in Thousands)	APPROPRIATION: Publishing Constitutional Amendments (EA)			
	State \$	Federal \$	Other \$	Total \$
<b>OPERATING</b>				
1. Required advertising and printing costs to publish constitutional amendments:	<u>\$5,341</u>	<u>\$0</u>	<u>\$0</u>	<u>\$5,341</u>
Subtotal Operating	\$5,341	\$0	\$0	\$5,341
<b>TOTAL</b>	<u>\$5,341</u>	<u>\$0</u>	<u>\$0</u>	<u>\$5,341</u>

VII. Obligations from 2013-2014 rolled to 2014-2015	(\$ Amounts in Thousands)	
<u>Funding Source</u>	<u>Appropriation</u>	<u>Amount</u>
No obligations for this appropriation		

## **PUBLISHING CONSTITUTIONAL AMENDMENTS (EA)**

### **Program Statement**

The Secretary of the Commonwealth is required by the Pennsylvania Constitution to publish the three proposed constitutional amendments passed by the General Assembly during this legislative session in each of the three months prior to the election at which the amendments are to be voted on by the electorate. In addition to the three joint resolutions that have been approved and are required to be published, there are seven additional amendments pending. This request provides funding for advertising three constitutional amendments during the 2014-2015 fiscal year.

### **Program Performance**

No performance measures exist to evaluate this appropriation.

**DEPARTMENT OF STATE BUDGET REQUEST FOR FY 2014-2015**

(\$ Amounts in Thousands)

Page # of Governor's Executive Budget:  
Pp. E39.1-E39.8

APPROPRIATION:  
Electoral College

<b>I. SUMMARY FINANCIAL DATA</b>			
	<u>2012-2013 ACTUAL</u>	<u>2013-2014 AVAILABLE</u>	<u>2014-2015 BUDGETED</u>
State Funds	\$10	\$0	\$0
Total	\$10	\$0	\$0
<b>IA. REQUESTED SUPPLEMENTALS (Included above)</b>			
No supplemental requested			

<b>II. DETAIL BY MAJOR OBJECT</b> (\$ Amounts in Thousands)		APPROPRIATION: Electoral College			
	<u>2012-2013 ACTUAL</u>	<u>2013-2014 AVAILABLE</u>	<u>2014-2015 BUDGETED</u>	<u>Change Budgeted vs. Available</u>	<u>Percent Change</u>
<b>OPERATING</b>					
State Funds	\$10	\$0	\$0	\$0	100.00%
Total Operating	\$10	\$0	\$0	\$0	100.00%
<b>TOTAL FUNDS</b>					
State Funds	\$10	\$0	\$0	\$0	100.00%
Total Funds	\$10	\$0	\$0	\$0	100.00%

**APPROPRIATION:**  
Electoral College

<b>III. HISTORY OF LAPSES</b> (\$ Amounts in Thousands)	<u>2011-2012</u>	<u>2012-2013</u>	<u>2013-2014</u> Estimated
State Funds	\$0	\$4	\$0

**IV. COMPLEMENT INFORMATION**

No complement for this appropriation.

**V. DERIVATION OF REQUEST/ LEGISLATIVE CITATIONS / DISBURSEMENT CRITERIA**

**Derivation of Request:**

The Fiscal Year 2012-2013 Governor's Executive Budget provided funding for cost reimbursements to presidential electors for travel and contingent expenses of the Electoral College. The Fiscal Year 2014-2015 budget does not require funding due to the non-presidential election year.

Detail on the appropriation request is outlined in Section VI, entitled "Explanation of Changes" on the following pages.

**Legislative Citations:**

This program is required by Section 1504 of the Pennsylvania Election Code, 25 P.S. § 3194, which provides for cost reimbursement to presidential electors for travel and contingent expenses of the Electoral College. The Electoral College meets every four years in conjunction with the presidential election. The Electoral College convened on December 17, 2012.

**Disbursement Criteria:**

Disbursements are made through travel expense vouchers and invoices submitted for operating expenses incurred from the ceremonial procedures of the Electoral College.

VI. EXPLANATION OF CHANGES (\$ Amounts in Thousands)	APPROPRIATION: Electoral College			
	<u>State \$</u>	<u>Federal \$</u>	<u>Other \$</u>	<u>Total \$</u>
<b>OPERATING</b>				
1. No funding needed for this appropriation due to the non-presidential election year:	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
Subtotal Operating	\$0	\$0	\$0	\$0
<b>TOTAL</b>	<u><u>\$0</u></u>	<u><u>\$0</u></u>	<u><u>\$0</u></u>	<u><u>\$0</u></u>

VII. Obligations from 2013-2014 rolled to 2014-2015		(\$ Amounts in Thousands)
<u>Funding Source</u>	<u>Appropriation</u>	<u>Amount</u>
No obligations for this appropriation		

## ELECTORAL COLLEGE EXPENSES

### Program Statement

This program is required by Section 1504 of the Pennsylvania Code which provides for cost reimbursement to presidential electors for travel and contingent expenses of the Electoral College. The Electoral College meets every four years in conjunction with the presidential election. The Electoral College convened on December 17, 2012.

### Program Performance

No performance measures exist to evaluate this appropriation.

For additional information on the Department of State Programs, refer to the Governor's Executive 2014-2015 Budget, pp. E39.1-E39.8, and the FY 2014-2015 Agency Budget Submission.

**DEPARTMENT OF STATE BUDGET REQUEST FOR FY 2014-2015**

(\$ Amounts in Thousands)

Page # of Governor's Executive Budget:  
Pp. E39.1-E39.8

**APPROPRIATION:**  
Voting of Citizens in Military Service

**I. SUMMARY FINANCIAL DATA**

	<u>2012-2013 ACTUAL</u>	<u>2013-2014 AVAILABLE</u>	<u>2014-2015 BUDGETED</u>
State Funds	\$60	\$20	\$20
Total	\$60	\$20	\$20

**IA. REQUESTED SUPPLEMENTALS (Included above)**

No supplementals requested

**II. DETAIL BY MAJOR OBJECT**  
(\$ Amounts in Thousands)

**APPROPRIATION:**  
Voting of Citizens in Military Service

	<u>2012-2013 ACTUAL</u>	<u>2013-2014 AVAILABLE</u>	<u>2014-2015 BUDGETED</u>	<u>Change Budgeted vs. Available</u>	<u>Percent Change</u>
<b>GRANTS &amp; SUBSIDIES</b>					
State Funds	\$60	\$20	\$20	\$0	0.00%
Total Grants & Subsidies	\$60	\$20	\$20	\$0	0.00%
<b>TOTAL FUNDS</b>					
State Funds	\$60	\$20	\$20	\$0	0.00%
Total Funds	\$60	\$20	\$20	\$0	0.00%

**APPROPRIATION:**

Voting of Citizens in Military Service

III. HISTORY OF LAPSES (\$ Amounts in Thousands)	2012-2013	2013-2014	2014-2015 Estimated
State Funds	\$33	\$45	\$0

**IV. COMPLEMENT INFORMATION**

No complement for this appropriation.

**V. DERIVATION OF REQUEST/ LEGISLATIVE CITATIONS / DISBURSEMENT CRITERIA****Derivation of Request:**

The Fiscal Year 2014-2015 Governor's Executive Budget provides the required funding to reimburse County Boards of Election at a rate of \$0.60 for each military and overseas absentee ballot cast during an election.

Detail on the appropriation request is outlined in Section VI, entitled "Explanation of Changes," on the following page.

**Legislative Citations:** Section 305 (c) of the Pennsylvania Code

**Disbursement Criteria:**

Disbursements are made to reimburse County Boards of Election.



VI. EXPLANATION OF CHANGES (\$ Amounts in Thousands)	APPROPRIATION: Voting of Citizens in Military Service			
	State \$	Federal \$	Other \$	Total \$
<b>GRANTS &amp; SUBSIDIES</b>				
1. Reflects no change needed to maintain ongoing operations:	\$0	\$0	\$0	\$0
Subtotal Grants & Subsidies	\$0	\$0	\$0	\$0
<b>TOTAL</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>

VII. Obligations from 2013-2014 rolled to 2014-2015		(\$ Amounts in Thousands)
<u>Funding Source</u>	<u>Appropriation</u>	<u>Amount</u>
No obligations for this appropriation		

## VOTING OF CITIZENS IN THE MILITARY SERVICE

### Program Statement

This program is required by Section 305 (c) of the Pennsylvania Election Code. The Department of State is required to reimburse the County Boards of Elections at a rate of \$.60 for each military and overseas absentee ballot cast during an election.

### Program Performance

No performance measures exist to evaluate this appropriation.

**DEPARTMENT OF STATE BUDGET REQUEST FOR FY 2014-2015**

(\$ Amounts in Thousands)

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**APPROPRIATION:**  
County Election Expenses

<b>I. SUMMARY FINANCIAL DATA</b>					
	<u>2012-2013 ACTUAL</u>	<u>2013-2014 AVAILABLE</u>	<u>2014-2015 BUDGETED</u>		
State Funds	\$400	\$375		\$400	
<b>Total</b>	<b>\$400</b>	<b>\$375</b>		<b>\$400</b>	
<b>IA. REQUESTED SUPPLEMENTALS (Included above)</b>					
No supplementals requested					
<b>II. DETAIL BY MAJOR OBJECT</b> (\$ Amounts in Thousands)			<b>APPROPRIATION:</b> County Election Expenses		
	<u>2012-2013 ACTUAL</u>	<u>2013-2014 AVAILABLE</u>	<u>2014-2015 BUDGETED</u>	<u>Change Budgeted vs. Available</u>	<u>Percent Change</u>
<b>GRANTS &amp; SUBSIDIES</b>					
State Funds	\$400	\$375	\$400	\$25	6.67%
<b>Total Grants &amp; Subsidies</b>	<b>\$400</b>	<b>\$375</b>	<b>\$400</b>	<b>\$25</b>	<b>6.67%</b>
<b>TOTAL FUNDS</b>					
State Funds	\$400	\$375	\$400	\$25	6.67%
<b>Total Funds</b>	<b>\$400</b>	<b>\$375</b>	<b>\$400</b>	<b>\$25</b>	<b>6.67%</b>

**APPROPRIATION:**  
**County Election Expenses**

III. HISTORY OF LAPSES (\$ Amounts in Thousands)	<u>2011-2012</u>	<u>2012-2013</u>	<u>2013-2014 Estimated</u>
State Funds	\$92	\$169	\$0

**IV. COMPLEMENT INFORMATION**

No complement for this appropriation.

**V. DERIVATION OF REQUEST/ LEGISLATIVE CITATIONS / DISBURSEMENT CRITERIA**

**Derivation of Request:**  
 The Fiscal Year 2014-15 Governor's Executive Budget provides funding for this program to reimburse County Boards of Election for the cost of conducting special elections to fill vacancies in the General Assembly and mandated recounts.

Detail on the appropriation request is outlined in Section VI, entitled "Explanation of Changes," on the following page.

**Legislative Citations:**  
 PA Election Code - 25 P.S. § 2645(a)4 - 25 P.S. § 3154(g) - 25 P.S. § 3261(b) and 3262(a.1)

**Disbursement Criteria:**  
 Disbursements are made to reimburse County Boards of Election for expenses related to special elections and mandated recounts.

VI. EXPLANATION OF CHANGES (\$ Amounts in Thousands)	APPROPRIATION: County Election Expenses			
	State \$	Federal \$	Other \$	Total \$
<b>GRANTS &amp; SUBSIDIES</b>				
1. Increase in funding for payments to counties for special elections and recounts:	\$25	\$0	\$0	\$25
Subtotal Grants & Subsidies	\$25	\$0	\$0	\$25
<b>TOTAL</b>	<u>\$25</u>	<u>\$0</u>	<u>\$0</u>	<u>\$25</u>

VII. Obligations from 2013-2014 rolled to 2014-2015		(\$ Amounts in Thousands)
<u>Funding Source</u>	<u>Appropriation</u>	<u>Amount</u>
No obligations for this appropriation		

## COUNTY ELECTION EXPENSES

### Program Statement

This program provides for reimbursement of expenses to County Boards of Election which are required to conduct special elections to fill vacancies in the General Assembly as well as costs associated with mandated recounts.

### Program Performance

No performance measures exist to evaluate this appropriation.

**DEPARTMENT OF STATE BUDGET REQUEST FOR FY 2014-2015**

(\$ Amounts in Thousands)

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Pp. E39.1-E39.8

**APPROPRIATION:**  
Real Estate Recovery Fund

<b>I. SUMMARY FINANCIAL DATA</b>					
	<u>2012-2013 ACTUAL</u>	<u>2013-2014 AVAILABLE</u>	<u>2014-2015 BUDGETED</u>		
Other Funds Total	\$253	\$150	\$150		
<b>Total</b>	<b>\$253</b>	<b>\$150</b>	<b>\$150</b>		
<b>IA. REQUESTED SUPPLEMENTALS (Included above)</b>					
No supplementals requested					
<b>II. DETAIL BY MAJOR OBJECT</b> (\$ Amounts in Thousands)			<b>APPROPRIATION:</b> Real Estate Recovery Fund		
	<u>2012-2013 ACTUAL</u>	<u>2013-2014 AVAILABLE</u>	<u>2014-2015 BUDGETED</u>	<u>Change Budgeted vs. Available</u>	<u>Percent Change</u>
<b>GRANTS &amp; SUBSIDIES</b>					
Other Funds	\$253	\$150	\$150	\$0	0.00%
<b>Total Grants &amp; Subsidies</b>	<b>\$253</b>	<b>\$150</b>	<b>\$150</b>	<b>\$0</b>	<b>0.00%</b>
<b>TOTAL FUNDS</b>					
Other Funds	\$253	\$150	\$150	\$0	0.00%
<b>Total Funds</b>	<b>\$253</b>	<b>\$150</b>	<b>\$150</b>	<b>\$0</b>	<b>0.00%</b>

**APPROPRIATION:****Real Estate Recovery Fund**

III. HISTORY OF LAPSES (\$ Amounts in Thousands)	<u>2011-2012</u>	<u>2012-2013</u>	<u>2013-2014 Estimated</u>
State Funds	\$0	\$0	\$0
This appropriation does not lapse to the General Fund.			

**IV. COMPLEMENT INFORMATION**

No complement for this appropriation.

**V. DERIVATION OF REQUEST/ LEGISLATIVE CITATIONS / DISBURSEMENT CRITERIA****Derivation of Request:**

This fund was established in 1980 to reimburse aggrieved persons any amounts unpaid from final judgements against any person licensed by the Real Estate Commission.

Detail on the appropriation request is outlined in Section VI, entitled "Explanation of Changes," on the following page.

**Legislative Citations:** Real Estate Recovery Fund 63 P.S. Section 455.801 *et. seq.*

**Disbursement Criteria:**

This appropriation is funded from fees paid by licensees, with interest on investments being retained by the fund. Disbursements are made from court ordered judgements.



VI. EXPLANATION OF CHANGES (\$ Amounts in Thousands)	APPROPRIATION: Real Estate Recovery Fund			
	State \$	Federal \$	Other \$	Total \$
<b>GRANTS &amp; SUBSIDIES</b>				
1. Reflects no change needed to maintain ongoing operations:	\$0	\$0	\$0	\$0
Subtotal Grants & Subsidies	\$0	\$0	\$0	\$0
<b>TOTAL</b>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>

VII. Obligations from 2013-2014 rolled to 2014-2015		(\$ Amounts in Thousands)	
<u>Funding Source</u>	<u>Appropriation</u>	<u>Amount</u>	
No obligations for this appropriation			

## REAL ESTATE RECOVERY FUND EXPENSES

### Program Statement

This fund was established in 1980 to reimburse aggrieved persons any amounts unpaid from final judgments against any person licensed by the Real Estate Commission. Revenue is derived from additional fees paid by licensees, with interest on investments being retained by the fund. If the balance of the fund goes below \$300,000, it is the responsibility of the Bureau of Professional and Occupational Affairs to assess current licensees an additional fee, up to \$10, to restore the account to \$500,000. With multiple large payouts in the prior two fiscal years, the balance of the fund has dropped to approximately \$138,000. An assessment of \$10 per licensee will be assessed during the Real Estate Commission renewal cycle in May 2014.

Payouts from this fund are court ordered and payment is due within 30 days of being presented to the Department of State. Annually, the Department of State requests spending authority in the amount of \$150,000 to make payment on said judgments.

### Program Performance

No performance measures exist to evaluate this appropriation.

# Real Estate Recovery Fund

This fund was established in 1980 to reimburse aggrieved persons any amounts unpaid from final judgements against any person licensed by the Real Estate Commission. Revenue is derived from additional fees paid by licensees, with interest on investments being retained by the fund.

## Statement of Cash Receipts and Disbursements:

(Dollar Amounts in Thousands)

	FY 2008-09 Actual	FY 2009-10 Actual	FY 2010-11 Actual	FY 2011-12 Actual	FY 2012-13 Actual
<b>Cash Balance, Beginning .....</b>	<b>\$ 463</b>	<b>\$ 445</b>	<b>\$ 499</b>	<b>\$ 372</b>	<b>\$ 316</b>
<b>Receipts:</b>					
Additional License Fees.....	\$ 41	\$ 36	\$ 33	\$ 29	\$ 53
Interest .....	-26	48	36	7	-4
Total Receipts .....	15	84	69	36	49
<b>Total Funds Available .....</b>	<b>\$ 478</b>	<b>\$ 529</b>	<b>\$ 568</b>	<b>\$ 408</b>	<b>\$ 365</b>
<b>Disbursements:</b>					
State.....	\$ 33	\$ 30	\$ 196	\$ 92	\$ 252
Total Disbursements .....	-33	-30	-196	-92	-252
<b>Cash Balance, Ending .....</b>	<b>\$ 445</b>	<b>\$ 499</b>	<b>\$ 372</b>	<b>\$ 316</b>	<b>\$ 113</b>