# COMMONWEALTH OF PENNSYLVANIA HOUSE OF REPRESENTATIVES

JUDICIARY COMMITTEE HEARING

STATE CAPITOL HARRISBURG, PA

MAIN CAPITOL BUILDING ROOM 140

THURSDAY, JUNE 11, 2015 9:08 A.M.

PRESENTATION ON PENNSYLVANIA'S DEATH PENALTY MORATORIUM

### **BEFORE:**

HONORABLE RONALD MARSICO, MAJORITY CHAIRMAN

HONORABLE RICK SACCONE

HONORABLE JOE PETRARCA

HONORABLE SHERYL DELOZIER

HONORABLE TINA DAVIS

HONORABLE MIKE REGAN

HONORABLE TODD STEPHENS

HONORABLE MIKE VEREB

HONORABLE KATE KLUNK

HONORABLE JASON DAWKINS

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Pennsylvania House of Representatives Commonwealth of Pennsylvania

THOME OF THE COUNTY		
THOMAS DYMEK, COUNSEL EXECUTIVE DIRECTOR JUDICIARY	REPUBLICAN	CAUCUS
SARAH SPEED, COUNSEL EXECUTIVE DIRECTOR JUDICIARY	DEMOCRATIC	CAUCUS

# I N D E X

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RONALD EISENBERG,  DEPUTY DISTRICT ATTORNEY  PHILADELPHIA DISTRICT ATTORNEY'S OFFICE20	
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#### PROCEEDINGS

MAJORITY CHAIRMAN MARSICO: Good morning,
everyone. Just want to welcome everyone here today to this
Public Hearing of the House Judiciary Committee. We have a
very good turnout so far and expect other members as well
to be here to join us today.

Just a few ground rules, if you will. The hearing is scheduled to go to 11:10 so we're going to try to keep it to 11:10 a.m. and so I'm going to ask each -- I'm going to ask the Members to limit their questions to - maybe to one question per Member and then, as you know, you can see the -- this hearing is being recorded. I'd also ask everyone to silence your cell phones.

I'm going to ask the Members to introduce themselves. We'll start down here to my right. Rick?

REPRESENTATIVE SACCONE: Good morning. I'm

Representative Rick Saccone. I represent the southern part

of Allegheny County and the northern part of Washington

County.

CHAIRMAN PETRARCA: Rep. Joe Petrarca, Democratic Chair of the Committee. I would like to thank everyone for being here today. I think this week with Special Meetings and what we did on the floor yesterday, I think we're putting the cart before the horse, so to speak, on some of

1 this but, again, glad everyone's here today and I look 2 forward to the testimony. MAJORITY CHAIRMAN MARSICO: Thanks, Chairman. 3 Sarah, introduce yourself. 4 MS. SPEED: Sarah Speed, Democratic Executive 5 6 Director of the Judiciary Committee. 7 MAJORITY CHAIRMAN MARSICO: Go ahead, Tom. MR. DYMEK: Tom Dymek, Executive Director for the 8 9 Committee. 10 REPRESENTATIVE DELOZIER: Sheryl Delozier, 11 Cumberland County, 88th District. 12 REPRESENTATIVE DAVIS: Tina Davis, Bucks County. 13 REPRESENTATIVE REGAN: Mike Regan, Cumberland and 14 York County. 15 REPRESENTATIVE STEPHENS: Todd Stephens, 16 Montgomery County. 17 REPRESENTATIVE VEREB: Mike Vereb, Montgomery 18 County. 19 REPRESENTATIVE KLUNK: Kate Klunk, York County. 20 REPRESENTATIVE DAWKINS: Jason Dawkins, 21 Philadelphia County. 22 MAJORITY CHAIRMAN MARSICO: That's it. I'm Ron Marsico from Dauphin County, Chair of the Committee. 23 24 This is the second hearings that we're having on

the issue of capital punishment and so in March of this

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year, the Committee was down in Philadelphia to hear from the families of the victims. It was -- that was hearing was devoted to specifically to hearing from those families of murder victims.

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Each of the family members who testified lost a loved one and the perpetrator of each crime sits on Pennsylvania's death row. In fact, one family that testified was the Eng family who lost Trista Eng at the age of 16 at the hands of Hubert Michael whose execution would have taken place last Friday except for a last minute reprieve by Governor Wolf. At that hearing, Committee Members received a glimpse of the effect on those victims left behind, not just the Eng family but the spouses, the children, and other family members of several victims.

We did this because I believe that the true concern for the victims and their family is often lost amid a discussion of capital punishment because I believe that to debate the pros and the cons of the death penalty, we need always to keep in mind what sort of terrifying acts led to the imposition of the death penalty in the first place and the lasting impact that these violent acts have on the families of the victims.

Today, we are joined by members of different experts and advocates, all of whom are very well educated about the capital punishment system. Some support the

death penalty. Others oppose it. We think we have a well -- we have a balanced testifiers today. Some support the death penalty and other oppose it, like I said.

Looking forward to hearing from all of them as well as they explain their positions and then we'll take any questions from Committee Members.

With that, we're going to begin with the testifiers. The first to testify are the Pennsylvania District Attorney's Association, The Honorable Ed Marsico, Dauphin County District Attorney, Honorable Craig Stedman, Lancaster County District Attorney, and Ronald Eisenberg, Deputy District Attorney from the Philadelphia District Attorney's Office. Welcome, and you may proceed when you're ready. Good morning.

MR. MARSICO: Thank you. Good morning, Mr.

Chair. Good morning, members of the Judiciary Committee.

On behalf of the Pennsylvania District Attorney's

Association, I'd like to thank you for the opportunity to

testify here this morning. As you noted, Mr. Chair, I'm

joined by my colleague, Craig Stedman, District Attorney of

Lancaster County, as well as Ron Eisenberg from the

Philadelphia District Attorney's Office.

Our purpose here today is to talk to the Committee about sort of the process that prosecutors go through in determining whether or not a case should be a

capital case, as well as, you know, our collective experience in dealing with the death penalty.

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I've been a prosecutor for 27 years now in the District Attorney's Office in Dauphin County and in that time, we've seen hundreds, literally hundreds, of murder cases but only a few cases where the decision was made to seek capital punishment.

I think what you'll find is District Attorneys across the Commonwealth take these cases, as they should, as seriously as we can. We go through a deliberative process to determine whether or not it's a case that we believe warrants capital punishment under the circumstances. As the Members I'm sure are aware, capital punishment is reserved only for first degree murders and first degree murders are murders that involve the specific intent to kill or premeditation must be proven beyond a reasonable doubt in those first degree murders and not every first degree murder warrants capital punishment. We've had many cases over the years. I tried a case one time where a murderer killed his estranged wife in front of their eight-year old daughter. She was my only witness in the case and I remember getting criticism from individuals saying why wasn't that a death penalty case. Well, there was no aggravating circumstance. So only first degree murders where there's an aggravating circumstance that we

believe can be proven beyond a reasonable doubt are cases where we seek the death penalty.

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At this time, I'm going to let Craig talk a little bit about that process and what prosecutors do in determining whether or not to seek the death penalty.

MR. STEDMAN: Good morning. I think it's important before you do talk about the death penalty to understand the real issue here is what's required to prosecute a death penalty case and to get a death sentence in Pennsylvania in 2015 because that's the issue. issue is not what took place in Georgia or Alabama in 1975 because they are vastly different and after our testimony, I think you'll have a better perspective of how difficult it is, and appropriately difficult it is, to get a death sentence here, the solemn decision that we go through, and DA Marsico talked a little bit about the aggravating circumstances and just so you know, there's limited circumstances that's listed in the Legislature for us to obtain a homicide. A lot of the ones that people read in the newspaper, as he said, they say I cannot believe; why haven't you pursued the death penalty? We take criticism for it. It has to be very specific and those circumstances include things that are just common sense, the killing of a child, the killing of a police officer, torture, multiple homicides, and terrible prior records, convicted of murder

in the past, things like that, and they are very, very limited in nature. So even if you have the circumstances, you also have to look at the law.

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Now, you also -- just because you have an aggravating circumstance as a prosecutor does not mean we're going to file a death penalty. There's a long deliberative process that goes on and it is the most solemn decision that we make and we take more seriously than anything else. There is nothing worse for a prosecutor than -- people forget we are the first public defender and we protect the innocent just as much as we prosecute the guilty and the last thing we ever want to do is convict an innocent person and certainly don't want to send an innocent person on to death row. So we have that obligation under the law, under ethics, and morally to screen that first and foremost and we do that and, as I said, there's nothing that we take more seriously than that.

Having said that, the reality of our deliberations includes whether -- the strength of the case. We are mindful that what we're asking jurors to do is an incredibly difficult and significant decision and just the reality of the Courtroom is they're not going to give you a death sentence until they're -- beyond reasonable doubt, they're going to want more. I don't know whether you would

say beyond all doubt but, in some cases, that may be the case. You know, I've stood in front of juries on death cases and I look in their eyes and I can see the enormous weight on their shoulders. They are not taking this lightly and one thing people forget is they don't know that, in reality, in effect, we don't have a death penalty in Pennsylvania; that no one's really getting executed. No one's been executed I think since '99. The jurors don't know that. They take that seriously. They don't know that really all they're debating is how they're going to be housed and whether they're going to be segregated from the other life prisoners. They think the person will be executed. I don't want to put a time on it but they think probably within a few years, a reasonable time period for the appellate process, and that's how serious they know it. They don't know anything about this case. These are people who are deciding this that have been screened and approved by both sides with a number -- 20 challenges that people can get rid of and, in my experience and I would imagine it's the same across the State, Judges are very liberal in allowing jurors to get struck by the Defense when they want them off because they don't want anything coming back on appeal, and I'm not complaining about that. I'm saying that's the reality of it and it increases the hurdles that we have to get through. So you got 12 people that

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everybody agreed were fine that don't know anything about this case. They can be fair. They're not zealous for the death penalty or against the death penalty. They are the common people, the fabric of America, the foundation of our legal system which is really based on a jury's decision and that's who we're trusting for these things and they do not take it lightly, nor do we.

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The only time -- and we tell them when we go in there, you don't make a decision in the case on the death penalty based on vengeance or based on sympathy, vengeance against the defendant or sympathy for the victim's family or anybody in the case, and that's natural. People have those emotions. But we tell them, and the Judge tells them you cannot do it based on that. And to give a perspective of just how rare it is for us to seek and then actually obtain the death penalty in Pennsylvania, I am told that it is less than one percent of our homicides result in a death sentence. That illustrates, brings home, the statistical, I guess evidence, how difficult it is to get there. And I mentioned before that what's important for everyone to consider is that this is not 1975. It's not 1985. not 1995. What the juries want are a modern prosecution and in order to get the death penalty, I can tell you they want more than what some of the I quess past convictions may have -- and jurors may have demanded. I'm not saying

the old cases are bad cases. I'm saying evaluating what's on the table now and should we continue the death penalty in 2015 going forward and in 2015 going forward, jurors watch CSI. They want more than an identification. They want DNA. They want a fingerprint or a videotape or something more. That's what they want. That's what they demand in order — to get the death penalty. It's not in the law but that's a reality of it. It's a reality that we face as DA's before we decide to go forward with the death penalty because if we go forward on a death penalty case and we have a weak guilt-phase case, we might actually hurt that part of the case and we look at it.

In addition, before we even go on there, one of the critical things for us is talking to the surviving victim's families and where are they at on this decision and we take that very, very seriously and it's -- you know, they tell you how to present cases in law school. They don't prepare you for sitting across the table from a victim's family and telling them how their son, daughter, spouse, or child was murdered and we don't need anybody to tell us how solemn that is and how serious we take their input and I can tell you that I've had a case where the -- really, the only family member was strongly religiously opposed to the death penalty to the point where, you know, we did not pursue the death sentence in that case and we

should have on all other reasons and it's not that the victims dictate one way or the other; that can't be the sole decision, but it's very, very important to us and it's important for you to understand that we take into -- their considerations and we tell those victims up front, listen, the chances of this person actually being executed are almost none. Do you understand if we're going to pursue this sentence, the hard road that we're going to follow of appeal after appeal and it's going to go to Federal Court, back to State Court? It's a nightmare for victims' families who've already suffered the worst thing possible and it goes on and on and on and I'll give you like another tangible example of that.

A lot of the -- and tie into another topic. A lot of the things you see about that death penalties have been overturned on appeal and it's used by people to say how bad it is and there was appellate relief. One case I had, a guy, his estranged wife got a PFA against him for things that he had done. She called him up and said I got a PFA against you. This infuriated him. This was the motive for his homicide. He loaded up his firearm, took an extra clip, went over to her house because he had heard about the PFA, he kicked the door in, he shot her arms and legs purposely so he didn't kill her so she suffered and then loaded up the second clip in front of her while she

begged for her life and then shot her shoulders and then finally, mercifully, killed her. He was barricaded in there. There was no question of guilt. We had hours of conversation on tape with him to surrender. It was abundantly clear. One of the aggravating circumstances in that case was that she was subject -- he was subject to a PFA so that gives you extra protection, a protected class.

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The Appellate Courts overthrew that because they said well, yeah, he was -- he knew about it; that was the motive for the crime, but he wasn't actually served with the PFA. So that became a statistic where there was appellate relief. It wasn't about quilt. He wasn't exonerated; he wasn't innocent, and we didn't pursue that and you know why we didn't pursue a re-trial on it, a resentencing on it was simply because the family said to me they couldn't take it; that it was years of this appellate process, the roller-coaster that they were on and they just said you know what, we want him. He deserves the death penalty but I, the mother, particularly, of the victim, said I cannot take this anymore and she was raising the child. So it sticks with me. I won't forget it. It's just an illustration of how some things can be used by one side to get to a point and most of the relief that you see are on procedural and kind of what I would say technical grounds by that.

So getting back to the DNA and the modern prosecution just briefly, I just want to say that those things that are used -- that you see in the dialog and the discussion for the exonerations that these cases, you know, innocent person let go, keep in mind that case and also keep in mind that those cases didn't have -- most of those cases are old and they didn't have the benefits of the technology and the DNA that we have now. And again, keep in mind we're talking about now and the future, not those old cases and the irony of that is what motivates the people against the death penalty actually validates the death penalty today. What motivates you against the death penalty from the way it was done in the '70s and '80s actually validates the process now and that's -- technology has a lot to do with it.

So I think that the last thing I'll just sort of tie up with is it used to be -- and again, to contrast the old process, it used to be that basically, sentencing hearings were just sentencing hearings. It was kind of thrown up to the jury and said you guys kind of decide and now there's a roadmap. Not only do you have to have the first degree, but they're told look, you've got to find the aggravating circumstance first. If you don't find an aggravating circumstance at all, it's gone. It's life in prison. It's automatic. We tell them that. If you do

find that, then you go on. Then you decide whether you find a mitigating circumstance. To find an aggravating circumstance, the jury has to be unanimous. All 12 of them have to say we find it and we find it beyond a reasonable doubt, the highest burden of proof. A mitigating circumstance can be found by one juror, one alone, 1 out of 12, and that's established by the jury and it's only a preponderance of the evidence. So again, the field is tilted towards the Defense and we have no objection or complaint with that, as it appropriately should be in that hearing, but it gives you an illustration. Only if you have one of each or more of each do they get to the debate and, very clearly, if it's a tie, it's life. aggravating circumstances must outweigh the mitigating for them to legally and lawfully give the death sentence and, of course, it's just common sense as well.

Just a couple last comments and I'll turn it over to my colleagues here. The one thing that I do have a long-term concern about, in addition to the other concerns that I've illustrated is the sense of -- that goal posts will move that when -- if the death penalty is removed, the next target will be life without parole and that will be the target of that's cruel and unusual punishment and does anybody in this room think that all the millions of dollars that go to the Federal Defenders to fight capital

punishment, do you think if we eliminate capital punishment in Pennsylvania, are they going to give that money back and not turn it into fighting the next step? And the one thing that victims' families want to know when we're pursuing the death penalty is to assure that that person will never get out and we can't even assure that. Look at what's going on in New York. Two guys are the worst of the worst, the guys that we would go after. That's -- right there is another reason for keeping the death penalty on the table because maybe -- you know, you can hear about deterrence all you want. But like if they're executed, they're not escaping and they're not hurting anybody else.

So I'll conclude by saying I'm a bit distracted today. I've been up most of the night on a double murder in which a perpetrator broke into a home, killed the mother, killed a child, and tried to kill another child. I don't know whether she's going to make it or not. So that's what I'm coming into today and, again, I apologize for maybe jumping around a little bit more than I normally would.

The last thing I'll say is we don't do this by our choice. These were -- we only get to the death penalty if the defendant makes the choice to kill and have the specific intent to kill. When we get to the death penalty, he's not an innocent man. He's been convicted by 12 jurors

that didn't know anything about the case and the crime is a reflection of that killer. Society has a right to protect itself from certain crimes and there must be accountability and there are just cases that just demand the most extreme punishment.

And the last thing I'll say before I turn it over to some of the appeal things is the one thing that I always keep in mind when we're talking about these cases, and we tend to forget, is that the victims of these crimes, they have no right and no ability to appeal their sentence and they — nor do they have anything quite so formal as a death penalty hearing. Thank you all very much.

MR. MARSICO: I'm going to let Ron talk a little bit about the appeals process which, you know, gets a lot of attention and you hear a lot of words thrown out like exoneration of offenders and things of that nature when, in our experience, most -- the overwhelming majority of cases that are overturned, guilt isn't at issue and most of the appellate litigation involving the death penalty, whether in State Court or in Federal Court involves the sentence, not guilt or innocence in that sense and this is an area where we're outgunned. Millions of dollars are given to the Federal Defenders for this appellate process. Our State Supreme Court has repeatedly criticized the way that is done, this Federal -- these Federal Defenders coming in,

going into counties across the Commonwealth, not counties like Philadelphia where they have adequate resources but a lot of counties, smaller counties, where you're up against it. So, Ron, I'm going to let talk a little bit about the appellate process.

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Thanks. You've heard from the MR. EISENBERG: other District Attorneys how rare the death penalty actually is. No matter how much we talk about it; how much attention is paid to it in public, the truth is it's a tiny percentage of our murder cases. You've heard how difficult it is to make the decision to proceed capitally and to get a death sentence from a jury and I think that it's important to keep in mind in light of that that when advocates ask you, ask a legislative body to abolish the death penalty, what they are saying is that we can never have it. There is no case, not even those rare ones, where we're going to trust a Pennsylvania jury to consider whether the death penalty is appropriate; not the Boston bomber, not the Oklahoma City bomber, not Adolph Eichmann, not the defendant who committed the crime last night that you just heard about from DA Stedman where a defendant who had been -- who was about to stand trial for molesting two little girls broke into their home in the middle of the night, killed one, tried to kill the other, killed the mother, none of those cases can even be considered by a

jury for the death penalty. That's what those opposed to capital punishment are telling you.

Now, I'd like to talk about -- we've heard about the newer cases. I'd like to talk about the older cases. I started working in the Philadelphia District Attorney's Office in 1981, 1981. I'm still working on capital cases that we were working on then. They had already been tried by that time. I'm still working on appeals that were in process then more than 30 years ago. I think that tells you a great deal about the nature of the appeals process in the capital realm.

The defendant, after his trial, can appeal directly to the Pennsylvania Supreme Court. If he loses there, he can ask the United States Supreme Court to consider his case. If he loses there, he can go back to the trial Judge in Pennsylvania and file a Post-Conviction Relief Act Petition. If he loses there, he can appeal again to the Pennsylvania Supreme Court. If he loses there, he can again go to the United States Supreme Court. If he loses there, he often will file yet another Post-Conviction Relief Act Petition. In the meantime, he can also go to a Federal District Court Judge and file a Federal Habeas Petition. If he loses there, he can appeal to the Federal Court of Appeals. And if he loses there, he can appeal again to the United States Supreme Court and

there are many cases where there have been multiple Post-Conviction Relief Act Petitions and sometimes even multiple Federal Habeas Court Petitions that each go through all of those rounds of review. If a case survives that kind of review, that many appeals, then I think the public can be reasonably satisfied that it is appropriate for the execution to take place, for the execution to go forward, despite the fact that that is not being allowed to happen right now.

I can also assure you that when you allow a case to be appealed for 20 or 30 years, it's going to get expensive. We hear a lot of complaints about the cost of the death penalty. The reason isn't anything -- about anything inherent in the trial. Yes, we do have extra proceedings because of the sentencing hearing. We do have extra Counsel. But the real additional cost of the death penalty is the fact that the Courts have allowed the appeals process to be abused by the fact to the point where it never ends and if reforms are made and enforced by the Courts that will give us a reasonable appeals process, the cost will be much less. It doesn't happen this way in every jurisdiction. The appeals process I have described that may take decades isn't true everywhere. The Oklahoma City bomber wasn't on appeal for decades. He was executed within five or six years. The killers in the -- in

- 1 Virginia and Maryland who were on a killing spree several
- 2 years ago, execution took place within six years.
- 3 Executions are -- the appeals process is a much more
- 4 reasonable timeframe in many other jurisdictions in the
- 5 United States.

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And that brings me to another point that's often made about the appeals process by those opposed to capital punishment. They say look how many cases get overturned. Look how many errors there are. There must be something wrong with the death penalty if Appeals Courts are overturning cases all the time. The truth is that that's a very regional phenomenon. The reason that no death penalties happen in Pennsylvania in recent years is because we have Courts here who have thrown out virtually every case. That's not true everywhere and so in jurisdictions where you hear about the capital -- where the executions are actually going forward, and that's, to some degree, in the south, Texas and Mississippi and Florida, but now always. It's also true in Ohio that there have been many There have been more executions in Delaware, in fact. It's because there are Courts that rule differently on this very same constitutional questions. It's the Federal Constitution. It's supposed to be the same everywhere. But when it comes to capital punishment,

it's not the same everywhere. So when you hear from people

that convictions are getting overturned, capital cases are getting overturned all the time and, therefore, there must be something wrong with them, you have to ask yourself well, gee, is there nothing wrong in Texas or Ohio or Florida? Are they so much better there? Are the proceedings so much fairer there? The truth is no one believes that, not even those opposed to the death penalty really believe that you get a fairer trial and a fairer appeal in a place like Texas than you get in Pennsylvania. But your chances of being executed are much higher because there are Courts that are going to treat those cases differently and that's -- and for no other reason than that.

Another issue that we face during this long appeals process often is this idea of innocence. We can't execute people because they might be innocent, and I think the simplest response to that is fine, we don't want to execute innocent people. Let's just execute the guilty ones. The reality is, as DA Marsico mentioned, that there's not even a dispute about guilt in most of these cases and to take the two most prominent examples, the cases in which the Governor just issued reprieves, Hubert Michael that you heard about and a case from Philadelphia named Terrence Williams, no one is claiming that they are innocent. No one is claiming that. And there are many

others in which there is no question of innocence. So if you're worried about innocence, don't execute the innocent people but execute the guilty people. If the basis for being opposed to the death penalty is to protect the innocent, then you have to go ahead with the cases where the defendant is guilty.

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Another claim that we often are seeing on appeals is that the execution process is cruel and unusual because it might -- the lethal injection process might cause pain to the defendant. Well, you'll probably recall that the reason we have lethal injection is because people who were opposed to the death penalty claimed that prior processes of execution were too painful. They advocated for lethal injection. Now we have it and now the people opposed to the death penalty say lethal injection is cruel and unusual and the reason there's even a question about it is because people opposed to the death penalty have fought to withhold the drugs that are most effective, that are most sure to complete the process without any chance of pain to the defendant, the kinds of drugs that are used by surgeons every day in the United States to complete surgery without the patient being in agony during the process and, obviously, that works. Those kinds of drugs are being withheld from the States who are attempting to carry out lethal injection and so the people who say the death

penalty is cruel and unusual are many of the same people who are actually increasing the risk of pain to individual defendants by advocating with drug companies and with governments to withhold those drugs in order to have another argument against the death penalty.

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Another argument that we face when fighting these death penalties over many years is the idea of deterrence. The claim is made that the death penalty doesn't deter and I can only assume that this means that it doesn't always deter. There's still murder even though we have the death penalty. Of course, no punishment always deters and yet we use punishments, penalties, in every facet of our lives throughout human experience. If a child is misbehaving, a little child, we say go sit in the corner. If a kid in school cheats on a test or doesn't do his work, we say you're getting a bad grade. If a grown-up commits -- makes some kind of damage to his neighbor's house, we say you have to pay money for that. And yet we are supposed to believe that the very worst punishment, the very worst penalty, which is the death penalty, has no deterrent value. Of course it has deterrent value. Of course no punishment will be a hundred percent effective but it's -regardless of any study that somebody tries to show you, and they are conflicting, although most of the recent studies have shown that there is deterrent value, there's

no getting around the basic idea that punishment deters and in the case of the death penalty, we know that, aside from general deterrence, there's certainly specific deterrence.

As Mr. Steadman said, if the death penalty had been applied for those worst of the worst murders in New York, they wouldn't have been able to escape. Escape isn't even really the biggest danger for capital horrible murders.

The biggest danger is to all the people that they interact with in the prisons. There have been many instances where people in prison have killed again. They have killed other inmates; they have killed prison guards and there are cases where that risk just shouldn't be taken.

I'd like to make one final note. You're going to hear shortly from Mr. Dunham who is the -- in charge of the Death Penalty Information Center. Despite the neutral sounding name, I think we can all agree that that's an anti-capital punishment organization. They have a very famous list they call their innocence list to show that people on death row are really innocent. There are only a handful of Pennsylvania defendants on that list. But at least for those of you who go back a few years like myself, the one that you're most likely to recognize is the name Jay Smith. Jay Smith is the school Principal who killed the teacher and her two little children. His case was thrown out by the Pennsylvania Supreme Court but on grounds

that had nothing to do, in fact, with guilt or innocence and Jay Smith actually appealed -- not appealed, he sued in Federal Court. He wanted to get money. Once he was out of jail, that wasn't good enough. He wanted to get money so he sued and the Third Circuit, the same Federal Court that overturns almost every death penalty that comes its way, that same Federal Court rejected Jay Smith's lawsuit and it said there was nothing untrustworthy about Smith's conviction for murder. Our confidence in Smith's convictions for the murder of Susan Reinert and her two children is not the least bit diminished. Yet Jay Smith is one of the people on the Death Penalty Information Center innocence list. So even when innocence is claimed, you have to be skeptical about some of the cases. But the more important point really is that there are cases in which no one is claiming innocence. Hubert Michael is not on the innocence list. Terrence Williams is not on the innocence list. Thank you.

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MR. MARSICO: Mr. Chair, we'll be happy to take any questions.

MAJORITY CHAIRMAN MARSICO: Well, thank you very much. That was an excellent explanation of the process and the appeals process as well. So I just want to say thanks for all of you giving a really excellent presentation with that.

I wanted to recognize -- I think that

Representative Barbin is here. Chairman Petrarca, do you have a question?

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CHAIRMAN PETRARCA: Thank you, Chairman. Appreciate you gentlemen being here; appreciate your testimony. Obviously, as I said earlier, we were -- we have been debating death penalty moratorium this week in Committee on the House floor and, you know, if you agree or disagree with I quess the Governor's position to wait for a report that was asked for by the Legislature a number of years ago regarding a number of issues around the death penalty, I quess my question is I certainly heard what Mr. Eisenberg said about the appeals and the appeals issues but for those of us that would say we are proponents or think that the death penalty is something that could or should be used in certain circumstances, what can we do to fix this process? I think everyone would agree that this is flawed. I mean when you look at three people being executed in the last 30 or 40 years, whatever it is, and, you know, the problems and, you know, if those warrants had been signed by the Governor, the two recently that have been discussed, we're not in a position to execute anyone anyway in Pennsylvania I think. So what can we do that will affect the appeal process or anywhere else to improve on an obviously broken system in Pennsylvania?

MR. MARSICO: I'll let Ron talk first and then I'll go real briefly when he's done.

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MR. EISENBERG: Well, first of all, I think that there are reforms that we will be happy to put before the Legislature that I think would rationalize the appeals process, at least in State Court, and may even -- there may even be things we can do with State law that will have an effect on the process in Federal Courts and that may prevent further delays.

Let me talk about the moratorium itself though. You might think that the moratorium would be a way to advance the ball on how to fix this broken process but the truth is it's being used actually exactly the opposite way. The Governor said I'm putting a moratorium on executions, just the execution, just the cases that are ready to get to execution. There's only two so far in Pennsylvania and there aren't hundreds of others waiting for them. But there are many cases that are stuck in the appeals process and that we're trying to get through.

When the moratorium came out, the defense lawyers went to the Federal Court and said oh, you should stop hearing these cases; you should stop working on them; you should stop litigating them. So instead of having cases that would be ready to go when and if the moratorium is lifted, we're going to be a year behind, two years behind,

five years behind, however long the Governor waits, and only at that point will we pick up again with those cases because the Third Circuit which overturns so many death penalties has recently said on yes, Mr. Defense Lawyer, we'll put these cases into suspense and we'll do nothing with them while you go ahead with your study.

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CHAIRMAN PETRARCA: But if he would have signed those warrants, where would we be right now?

MR. EISENBERG: Well, both of those cases have been thoroughly reviewed by the Courts. No one -- or the Governor doesn't claim that there's any error in those particular cases. So I think there's a real question about why a moratorium as to the very cases, the precise cases that have already been upheld after years and decades of appellate review. It would be one thing --

MR. MARSICO: I think --

CHAIRMAN PETRARCA: No, I agree and I think that again, had those warrants been signed, I mean I think we still have a problem in Pennsylvania on how these --

CHAIRMAN PETRARCA: You know, on how to proceed with this.

MR. MARSICO: Well, I think the problem --

MR. MARSICO: The problem then would be, sir, would be I think with the drugs, but as I understood it, Department of Corrections had made efforts to obtain

certain drugs, you know, that could be used and I believe, you know, those strides were being made late last year in the prior Administration and I think Ron hits on that point. You know, these are cases that made it through. You know, these are cases that survived all that appellate scrutiny and now the reprieve is being granted on those. I'm on the Committee that you referenced, Mr. Chairman, and, you know, that Committee, I think we met once a couple years ago when the Committee was first constituted and  $\mathbf{I'}$  ve been on Committees in the Joint State Government Commission before. One of the things of being local is I think you get drafted for that, you know, the Conviction Integrity Commission that we had previously, this Commission, others, and, look, you know, unlike the Interbranch Commission on Juvenile Justice which did some great work here, as well as the recent post-Sandusky Commission that was convened, both of those had a wide array of Representatives involved. think this Committee you see individuals with ideological bents and I'm not saying we don't have an ideological bent, you know, but I think we're up front about ours and that, you know, the way the Committee is constituted, we could have taken a vote day one and known that the vote was going to be let's get rid of the death penalty in Pennsylvania. So, you know, we're going through this process that -- I don't know. I mean I think what you guys are doing is

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great. It's much more important than issuing a reprieve and waiting for a Commission or a Committee where we already know what the pre-ordained result is going to be. You know, you guys should do this. You should delve into the process and see what it is. Governor Rendell, on his way out the door I guess five years ago now, you know, talked about the death penalty process being flawed and he was exactly right. Now, some of it's out of our -- you said what can we ask you guys to do to fix it. I think you guys have done a lot over the years here to make it better. Our State Courts, our State Supreme Court, has much more often routinely upheld convictions, death sentences in recent years. Unfortunately, you guys don't have any power over the Federal Courts so, you know, reigning in that process is a whole different story. But you're seeing cases now that have survived that process where there's no doubt about quilt, no doubt about what happened at trial. Those are the ones that are coming ripe for execution and I think, as Craig said, going forward, the cases we've prosecuted in recent years with, you know, the advent of technology in law enforcement with DNA cases, all of those cases, you know, again, are much more solid and our Courts have been much better in the -- I mean the jury instructions are normally where most of the battle is in a lot of these appellate cases. You know, I think our Courts

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have gotten a lot better and I think you're going to see more cases that survive the process and that's, frankly, why I think the only way to stop it was the reprieve process.

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MAJORITY CHAIRMAN MARSICO: Representative Vereb for question?

REPRESENTATIVE VEREB: Thank you, Mr. Chairman. Thank you for your indulgence. Mr. Stedman, you brought up about the essentially a slippery slope is what we -they're terms we use up here in Harrisburg. We -- as of yesterday, we now use the word hissy-fit too. It's kind of unrelated to the actual death penalty in terms of what we're talking about but let's talk about a murder case, you know. I wrote that resolution and if you want to call it -- some will call it a hissy-fit. It was a hissy-fit for the victims and I want to continue to have a hissy-fit for the victims just like I'm going to have a hissy-fit when I leave here about Mr. Grise who is incarcerated about 600 yards from my house in a mental institution in Norristown that now has received an additional 12 hours a month unsupervised. It's going to be awesome when my people hear about that back home and I think we need to be very cautious of anything that we're doing for this slippery slope, the blockage of the medication, which is exactly why Governor Wolf -- or I'm sorry, Governor Corbett issued a

reprieve for Hubert Michael to begin with because,

mysteriously, medication was not available to execute him.

Other things we heard were, you know, Governor Corbett didn't sign a single death warrant in his term of office and, you know, I guess that's true if you look for him to sign one but he signed 48 and I have a list that I'll be releasing later of all of the former Governors and the amount of death warrants they signed. But I think our approach as a Committee is we want to make sure it's right. But the one thing I think we need to be aware of is that we can't have our cake and eat it too. Those who claim innocent are being killed then complain that we're spending too much money to defend them through this appellate process. We heard a lot of that rhetoric yesterday.

But another thing people say is that some say it's worse for these poor killers to sit in our prison system on a life sentence than the death penalty and I was wondering what your take was on how horrifying it must be to sit in prison for life rather than the death penalty. So that -- after that rambling on, that's my question.

MR. STEDMAN: How horrifying it is for the inmates who are still alive?

REPRESENTATIVE VEREB: That's not my position but that is  $-\!\!-\!\!$  it's nowhere near my position.

MR. STEDMAN: Yeah.

REPRESENTATIVE VEREB: But that's some of the rhetoric that we hear and I just wonder what a DA's position was on the darkness of prison compared to the darkness of the death penalty.

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MR. STEDMAN: Well, the person's or person's lives that they took had hopes, dreams, their futures in front of them and their life taken away by the choices and actions of that defendant who is taken care of, fed, clothed, and continues to simply live. I think we go through death penalty and murder trials, weeks and weeks of it, and it is shocking to victims' families that the victim is almost never mentioned and it just becomes about the defendant and the defendant's rights and it's not so much about the fact that a living, breathing human, just like each one of us, no longer exists because of the choices of a defendant. So I think if you gave them the opportunity to switch places with the victim who was, in my case, the Stallworth case. She was shot 17 times from the feet up. Would you rather have that or would you rather be in prison for the rest of your life, and we all know what the choice is going to be. So it's certainly not, as I said earlier, about vengeance. It cannot be about vengeance. It must be about the law and the right thing but it must also be about accountability and there just are right cases for it, as Mr. Eisenberg talked about.

MR. MARSICO: And you talked about the slippery slope. You know, we already hear about geriatric prisoners and why is that guy still in jail. He's not a threat to kill anybody else. He's over 60. He's over 70. You know, we're paying for his medical bills and it's inhumane to keep that person in jail for life. You know, as Mr. Stedman said earlier, you can do away with the death penalty tomorrow and I guarantee you that the same people that are saying we shouldn't have a death penalty; life imprisonment does the job, will be back here in front of you saying, you know, it's really inhumane to keep people locked up their whole life when they're no longer a threat and the costs of incarcerating these geriatric prisoners is too much. You'll hear the same thing.

MR. STEDMAN: And the resources will go to that very fight. That's where they'll go and I can tell you from experience in dealing with the Federal Defenders in one case. It was an older case and it was -- we had to face a decision whether to re-sentence or not re-sentence and they essentially literally, politely, honestly told me you don't have the resources to combat us. We will do this and pursue this until he's off the death sentence because I mean we're -- you know, we're not the biggest county in the world. We're not the smallest, but we're not the biggest.

But we're defending that. We don't get any extra funds to

fight that appeal process. There's no \$9 million sitting out there of taxpayer money that we get. We get county general funds and in that case, they got what they wanted.

REPRESENTATIVE VEREB: Well, thank you for your testimony and, Mr. Chairman, just -- I just want to be very clear. I mean this -- the things we were hearing on the floor yesterday were certainly not from any member of this Committee, Republican or Democrat, and I thank you for your testimony.

MR. STEDMAN: Thank you, sir.

MAJORITY CHAIRMAN MARSICO: Rick Saccone? Representative Saccone?

REPRESENTATIVE SACCONE: Thank you, Mr. Chairman. Thank you for your compelling testimony. There's a lot of things in here I didn't know. I really appreciate that.

When we're talking about deterrence, I think there are conflicting studies but I think we can all agree that deterrence increases the sooner punishment is delivered, the science and the studies bear us out on that. So the public groans when they hear how long it takes to execute the guilty. So my question, and Chairman Petrarca took some of the steam out of that, but I really want to get down to how can we streamline this process to reduce what you said was appeal abuse and reduce the cost and bring justice more swiftly to the guilty? What specific

legislative language -- do you have some prepared that we can introduce? Can you talk a little bit about that?

MR. EISENBERG: In fact, we do and one of the key features of it would be to basically model our appeal process more after what the Federal Courts themselves do. If you want to file a second Petition, you get your direct appeal. That's the first one. That's what we call the first one. Then you get your Post-Conviction Petition automatic. If you want to file another one, which often happens in these death penalty cases, we would propose that you have to get approval from a higher Court, like the Pennsylvania Supreme Court, as sort of a gatekeeper before you can even do that.

Now, that's not some radical idea. It's exactly what happens in Federal Court. With a Federal Habeas

Corpus Petition, you get one. If you want to file another one, you can't do it. You have to go to the Court of

Appeals, the Third Circuit Court of Appeals, and you have to meet a specific checklist of particular requirements for a second Petition and they'll often say no and the defendant doesn't get to file one. We think doing something like that here in Pennsylvania might help reduce the process too and there are other things that can be done as well.

REPRESENTATIVE SACCONE: I'd love to work on that

with you and I'd be happy to introduce something like that.

I want to just say one thing to close. My comment is that be careful; there is a danger in saying that the process is flawed like Governor Rendell did because when you just say it like that; the death penalty is flawed, the process is flawed in Pennsylvania, it feeds the argument that we're executing the innocent as opposed to the process is flawed because we are delaying justice to the guilty.

MR. MARSICO: Correct.

REPRESENTATIVE SACCONE: So we got to make sure that we're specific when we say the process is flawed because it just feeds the argument to the other side.

MR. MARSICO: You said it much better than I did. The appeals process is flawed is what I should have said.

REPRESENTATIVE SACCONE: There we go. All right. Thank you very much and thank you for your testimony.

MAJORITY CHAIRMAN MARSICO: Representative Barbin?

REPRESENTATIVE BARBIN: Thank you, Mr. Chairman, and thank you, Mr. Marsico and Mr. Stedman for the testimony today. I've read through your testimony and I take the position a little differently. You've provided in your testimony today that there are States that don't spend 30 years deciding whether that a decision that was made by

a local county District Attorney was a good decision and is

-- should be law and the execution should be carried out.

You indicated that Maryland and Delaware I think, Ohio, and some of the southern States have a process which allows for carrying out the execution of sentence within six to a tenyear process.

Our process, no matter how you look at it, had three people executed out of 180 or so that are on death row over the last -- since Governor Thornburgh. Now, your testimony establishes that you have a Federal Court system, system of appeals that has created some delay and our Third Circuit has created some delay. We also have a State system of appeals.

Now, I'm familiar in Ohio, they took all of this into consideration and they appointed State certified attorneys so that there would be no more ineffective assistance of Counsel claims as additional delay tactics and District Attorney Stedman has indicated that in a lot of cases, the counties' budgets really aren't sufficient to keep up with these appeals year after year. So I'm looking at your recommendations. Why isn't this the time to say that our State is going to have a system of appeals that is similar to a State that gets the job done in six years?

MR. EISENBERG: Can I talk a bit about that?

There are many States, as I mentioned, where the appeals

process is much faster than here and they don't all have the system that you've described in Ohio and I'd like to talk a bit about that funding question/ineffective assistance of Counsel question.

We hear a lot that Pennsylvania is one of the only or the only States that doesn't have State funding for capital representation and what that means is literally State, appropriated by you. As we all know, the funding comes through at the county level but there's nothing strange about that. That's how my funding comes through at the county level. Police and prosecutors are funded at the county level too. What's different at the -- beyond the county level is that there's a massive infusion of Federal funding only for the defense side which doesn't occur at all for the prosecution or the police side and that I think is what allows -- one of the things that allows this extreme delay.

It's true that there are many claims of ineffective assistance of Counsel but those are, again, very regional in their effect. In other words, those same claims are made in all of the other States where the death penalty process is much faster but Courts reject them there and they are granted more often here. Again, I don't think anybody really believes that that's proof that lawyers in Pennsylvania are not as good as lawyers in Texas or

Mississippi. I think it's just a different orientation of the Judges who are supposed to be applying the same law about ineffective assistance of Counsel which is a constitutional right.

And there's an additional technical legal reason why you hear that phrase a lot and that is because under Pennsylvania law, if your original lawyer doesn't raise a particular claim, then it's waived. It's forfeited. It's given up and you can't get relief on it. So every new issue that a subsequent lawyer thinks of has to be phrased in terms of ineffective assistance of Counsel and, of course, every time you get a new lawyer, he's going to come up with some new argument. That's the purpose of having the new lawyer. Technically, however, the only way he can get that issue before the Court is to say that the last lawyer was ineffective for not thinking of it himself and so those are the only ways in which a later Appeals Court can reach an issue in order to grant relief.

REPRESENTATIVE BARBIN: All right. Here's what I'm trying to get at and I read your paragraph on what is needed in legislation. I'm interested in leaving this hearing and writing legislation that's reviewed that says we're going to speed up this process.

MR. MARSICO: Okav.

REPRESENTATIVE BARBIN: If I write that

legislation and I was going to follow some State that had a better model than we have, and we obviously have one of the worst, what State can I use as a model to start drafting legislation?

MR. MARSICO: I would look -- I think Ohio. I think Missouri from what I've seen has a process --

MR. STEDMAN: Virginia.

MR. MARSICO: -- that seems to make it through --

MR. STEDMAN: Virginia too.

MR. MARSICO: Virginia has over the years and, you know, those are the ones that come to mind. You know, Texas obviously has a lot of executions but -- and I agree and back to your question. Were you talking about on the prosecution side or the defense side that --

REPRESENTATIVE BARBIN: I want someone that if a District Attorney makes a decision, 180 people out of -- we have 50,000 prisoners and we're talking about 30 years, there are 180 people that might meet the category of Charles Manson. I want a process that says to the family and to the law enforcement that if we make these decisions; we have mitigating factors, we have aggravating factors, you can only be a death penalty if you hit the top of the line on all cylinders, but once that's done, we should have a process that says the Federal appeals have to move on this line. Does our State require a -- the Supreme Court

1 to have an approval for a third Federal appeal to be filed? MR. MARSICO: No. 2 REPRESENTATIVE BARBIN: Okay. Do other States? 3 MR. MARSICO: If it's a Federal yes, but in State 4 5 Court no. 6 REPRESENTATIVE BARBIN: Okay. On our PC -- on 7 our Post-Conviction Relief Act appeals, do we have limits on the number of those appeals and is -- after the second 8 9 appeal, is a higher Court required to sign a Petition for a 10 third Post-Conviction Relief Act appeal for a death penalty 11 case? 12 MR. MARSICO: Yes and no is the question. 13 REPRESENTATIVE BARBIN: All right. So we can 14 change some of that language to maybe streamline the 15 process? 16 MR. MARSICO: Yes. 17 REPRESENTATIVE BARBIN: Okay. MR. MARSICO: There is statutory language but Ron 18 can probably attest, a lot of times it's --19 20 MR. EISENBERG: Well, there's really only a time 21 limit and the defendant can say I come in with it untimely 22 because I got something new. So if the defendant says it's been ten years but I just discovered something, he can file 23 a third or a fourth Petition. 24

REPRESENTATIVE BARBIN: But does a Court -- a

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higher Court have to say that's --1 2 MR. EISENBERG: No. 3 REPRESENTATIVE BARBIN: -- garbage? MR. EISENBERG: Not in Pennsylvania Courts. 4 5 REPRESENTATIVE BARBIN: Okay. So we could put in 6 that requirement? 7 MR. EISENBERG: Absolutely. 8 REPRESENTATIVE BARBIN: Okay. And last, does any 9 other State -- like I noticed in your testimony you said 10 what happens with the Federal Court appeals is the warrants 11 that are signed are timed out. They expire. Is there any 12 State that has the rule that says when the warrant is 13 signed by whoever the Governor is, when the first -- it 14 goes through the first set of the appeals that that one 15 stays in effect until lifted by a Court? 16 MR. EISENBERG: We think there are and whether 17 there are or not, that's an obvious reform that we would be in favor of and that we have drafted language about. 18 19 REPRESENTATIVE BARBIN: And, Mr. Chairman, I 20 conclude my questions. I would appreciate any information 21 being submitted to the Chairman on that particular issue 2.2 because --MR. MARSICO: Sure. We can get information to 23 24 you. 25 REPRESENTATIVE BARBIN: -- I think that could

1 | speed up the process. Thank you, Mr. Chairman.

2 MAJORITY CHAIRMAN MARSICO: Representative 3 Stephens?

REPRESENTATIVE STEPHENS: Thank you, Mr.

Chairman, and thank you DA Stedman, DA Marsico, and DDA

Eisenberg for being here to share your perspectives and insights.

You know, I sincerely appreciate you bringing to the table really the gravity of the decision that you all have to go through in terms of whether or not to even seek the death penalty at the outset. As one who's participated in those discussions and decisions, I appreciate you sharing that perspective with everybody.

I want to sort of expand on that just briefly if I could and if you could maybe elaborate for the Committee and for us about the victim involvement in the case both, you know, pre-trial, during trial, and in terms of what input they have, how much weight do you place on there and put -- I know DA Stedman, you made reference to it and then throughout the process, you know, their input and the effects of the process on them.

MR. MARSICO: Sure. We've had capital litigation courses where we've discussed this very issue, in fact, somewhat recently and I think I can speak pretty broadly across the Commonwealth whether it's Cambria County or

Dauphin County or Montgomery County, I think the process -or Philadelphia County are rather similar. You know, too often in my job one of the worst things, probably the worst thing I do, is I sit across a table like this from a mom whose 18 or 19-year old son, you know, has been killed and that happens routinely in Harrisburg, Lancaster, York, Reading, Norristown, you know, across the Commonwealth and, you know, we're going to talk to those individuals. think we lay out in any case; and again, the majority of our cases are cases where we know going into that meeting with the victim -- you know, we know the night of a homicide sometimes whether it's going to be -- whether it could never be a death penalty case. You know, you -- the case is solved two hours after the crime. You know there's no aggravating factors that are going to surface. So you know right then and there it's not a death penalty case. So a lot of times, you're talking to victims' families that want the death penalty, explaining why it's not a death penalty case from the start, and you're not even going to have the give-and-take about whether or not you're going to seek it because you know you can't. But in those cases where it's plausible and a case that you have some sincere belief warrants the death penalty, you're going to have that discussion and, you know, a lot of times you're going to have more than one discussion with the victim's family.

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You know, I like to say to the victim's family we're going to talk today but we're not going to make any decisions and then we're going to get back together in two weeks and we're going to talk again, you know, about this case and I had a case that I tried myself. A guy stabbed one woman, picked up a 15-month old infant, slit the baby's throat twice in front of a couple of cops as the cops were coming into try and save the victims in the case. The baby died. The cops shot the defendant. He lived. Still lives on death row. Will outlive me I'm sure. And, you know, in a case like that, I had parents of the child where one wanted a death -- wanted us to go after a death penalty. One didn't. You know, so sometimes we're faced with different situations like that. But I would say, you know, the victim's families' input is huge. It can evolve. It can change. And then throughout the trial process, you know, we're constantly talking to the victim's family prior to trial. We have a murder case this week in my Courthouse. It's not a death penalty case but, you know, the victim's family I've met with I think two or three times. You know, the prosecutor that's trying the case has met with them countless more times, victim's advocates have met with them. You know, so the victims are what comes first is a long way of saying that, Representative Stephens.

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REPRESENTATIVE STEPHENS: Sure.

MR. STEDMAN: If I could -- I would just briefly say, I mean it's -- they're paramount to me. You know, we are the victim's voice in that Courtroom. They can't speak for themselves and we have to do that and I tell everybody in the office that we treat them as is if they're some -- you know, a family member and that's how -- because they are somebody's family member and they deserve to be just -- treated just as equally and just as important.

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I can tell you that this process and the death penalty process, it's extremely discouraging to family members and one of the reasons is a lot of -- their main concern is, and rightfully so and it should be everyone's concern, is truth in sentencing. There should be truth in sentencing proportional to the crime and what we have now, it's essentially a farce when it comes to the death There's no truth in sentencing. You've got him sentenced to death and there is no death sentence and it can be very discouraging and disappointing to the family members who don't really know the real situation here and, you know, it's discouraging to us to have those -- on top of telling them how their loved one dies, now we have to tell you that even though it's this death penalty case on paper, strong case, no question of quilt, you know, the jury's going to convict, you know, it's really -- it's not going to happen and it feels like we're betraying them in

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REPRESENTATIVE STEPHENS: I appreciate that In terms of the appellate process, and I know some of my colleagues have weighed in on this process and I share many of their concerns, you know, I know there's many, many instances where, you know, the defendant is granted a new sentencing hearing and the decision is ultimately made; you know what, we'll live with life in prison and take the death penalty off the table and there's some -- obviously some high profile cases where that decision is made and I'm sure it's a very difficult decision, can you share with us whether or not the victims have been, in your mind, sort of worn down by the process? I mean what role do they play in those decisions and what is their -- you know, the impact on them in that regard? MR. EISENBERG: Absolutely worn down. You may have heard of the Mumia Abu-Jamal case from Philadelphia.

REPRESENTATIVE STEPHENS: Once or twice.

MR. EISENBERG: I remember when I started literally in 1981, I was one of the people that was helping to do some research for that case for the trial lawyer and when we finally finished the appeals process in I think it was the year 2012 or 2013, three decades later, the wife of the officer, Maureen Faulkner who had been fighting constantly for the recognition that case properly deserved,

said yeah, I'm worn down and I'm okay with not re-doing this death penalty hearing after all this time and there was no one -- I don't think anyone in the victim community who had been a more staunch advocate for the rights of victims and for their proper consideration than Maureen Faulkner. But in the end, even she agreed that it was, you know, not worth it to her.

MR. STEDMAN: Not just worn down but they start passing away over time. We lose them, the grandparents, the parents. They're no longer even around to have a voice about what took place with their loved one.

REPRESENTATIVE STEPHENS: All right. Thank you very much for your insight and testimony. I appreciate it.

MR. EISENBERG: Thank you.

MAJORITY CHAIRMAN MARSICO: Gentlemen, thank you very much. You certainly have given us a clear outline of the death penalty process and we certainly appreciate your suggestions in improving the system. So, once again, thanks for being here and thanks for your input.

MR. MARSICO: Thank you.

MR. STEDMAN: Thank you, sir.

MAJORITY CHAIRMAN MARSICO: Next to testify is

Robert Dunham, Executive Director of the Pennsylvania Death

Penalty Information -- the Death Penalty Information

Center. Good morning, Mr. Dunham.

MR. DUNHAM: Good morning, sir.

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MAJORITY CHAIRMAN MARSICO: Welcome, and you may proceed when you're ready.

MR. DUNHAM: Good morning, Chairman, Members of the Committee. My name is Robert Dunham. I'm the Executive Director of the Death Penalty Information Center. We are a non-profit organization in Washington that provides services to the media and the public with analysis and information on issues concerning capital punishment.

I think before I get started, I need to make clear, as many of you know, I was a capital defense lawyer here in Pennsylvania for 20 years and I ran the Death Penalty Resource Center for five years and I was a Federal Defender in Philadelphia and later in Harrisburg for 15 years after that. I want to make clear that I don't come to you today as a legal representative of my former clients. I come representing the Death Penalty Information Center. That's not to say that my experiences and the information I gathered in those 20 years are not relevant. In fact, a lot of what I said in my written submission and some of the testimony that I'll give today is based on the information that I gathered during that 20-year period as well as my personal experiences in litigating cases.

I don't want to -- we obviously don't have time to go over everything that's in the testimony and I'd like

also to respond a bit to what the District Attorneys have said but I think we can all start with the same conclusion that everybody agrees with and that's one that should be clear to anyone who's been paying attention to the issue in Pennsylvania and that is that Pennsylvania's death penalty is broken and it has been for many years. It's up to you to decide whether that's irretrievably so and if not, what reforms you think should be carried out.

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There's an inevitable tension in States that have the death penalty between fairness and finality, between ensuring as best as humanly possible that no person is unconstitutionally convicted or sentenced to death and that the law of the Commonwealth, if fairly administered, is carried out. The critical issue is the fairness and reliability of the process because a finality that is achieved by expediting executions that are the product of an unfair or unreliable process is the ultimate miscarriage of justice. Pennsylvania's death penalty is plagued with systemic problems and I've set forth a lot of them in my testimony. One thing we did not hear much about in what the District Attorneys said is the state of defense representation in the Commonwealth of Pennsylvania.

We did hear briefly that Pennsylvania is the only State in the United States that has the death penalty that provides no State funding for indigent defense at any level

of the capital case. The result of that is that the counties are the ones who are doing the funding. The multiple studies that have taken a look at the death penalty, and I've set them out in more detail in my written remarks, have consistently said that Pennsylvania's counties, with near uniformity, fail to provide adequate compensation and adequate resources for indigent defense.

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In fact, the American Bar Association found that only Philadelphia County, the Philadelphia Public Defender portion of the capital representation, complied with the American Bar Association standards; others do not, and we have seen in county after county the insufficiency of the resources that have been available for investigators, that have been available for mental health services, and other expert services and that is only made worse by the fact that the Pennsylvania Supreme Court, when faced with challenges to the denial of resources for mental health experts, has never once held that the United States Supreme Court Opinion of Ake v. Oklahoma, the case that said that if you are indigent, you have a right to mental health resources in a capital case applies in Pennsylvania. Pennsylvania Supreme Court has narrowly read this case to apply only to the question of sanity in the guilt phase of a capital trial and only to psychiatric testimony given in response to future dangerousness arguments by the

Commonwealth. However, in the State of Pennsylvania, future dangerousness is not an aggravating circumstance.

So one cannot imagine a circumstance in which the information would be properly placed in front of the jury that would, in the minds of the Pennsylvania Counts, trigger the constitutional right to the provision of

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experts.

Now, there is a State requirement of experts.

The State law says that a defense is entitled to those experts that are reasonably necessary for the presentation of their case. But that is a decision that is made at the discretion of the local Courts and, as I said, there have been no circumstances thus far in which the Appellate Courts have found a violation.

Now, the prosecutors have suggested to you that cases in Pennsylvania have been reversed largely on technicalities. I would beg to different. The experience is that in the approximately 430 or so instances in which death sentences have been imposed in Pennsylvania, 254 have been reversed. Most of those have been reversed not in the Federal Courts but in Pennsylvania's State Courts. 100 have been reversed — approximately 100 have been reversed on direct appeal by the Pennsylvania Supreme Court, the remainder, in the post-conviction process. That's a State PCRA or Federal habeas corpus. The reversals have been 100

and I believe 3 or 4 reversed in the Pennsylvania State Courts by the Courts of Common Pleas and by the Pennsylvania Supreme Court and slightly more than 50 reversed in the Federal Courts, most of those at the District Court level, some of those affirmed, some reversed by the Third Circuit and, in fact, the Third Circuit is not as the prosecutors have portrayed, a runaway pro-defendant circuit as you can tell from the Decisions it's made in other States that are within the Circuit, as in Delaware. The Third Circuit takes the cases as it sees them based on the quality of representation that has been provided and the issue that have been raised. The problem we have in Pennsylvania is that there is a severe inadequacy in the funding of indigent defense and that produces consequences. The fact that we do these cases, in a vernacular term, on the cheap up front, means that the cases will be reversed down the road.

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The single most frequent basis that capital cases are reversed in the Commonwealth of Pennsylvania is for ineffective assistance of Counsel and that means objectively deficient performance by the lawyers and performance that is sufficiently prejudicial that there's a reasonable probability that the outcome would be different. We're talking about 140 plus cases reversed for ineffective assistance of Counsel and of those ineffective assistance

cases, the single most prominent basis for reversal is ineffective assistance of Counsel for failing to investigate and present reasons for life in the penalty phase. That is 87 cases so far that fall in that category and that is directly attributable to the absence of resources for investigating and presenting the case for life.

Now, we know, we know that Counsel makes a difference and, in fact, Pennsylvania has provided its own unfortunate experiment on that very subject. We don't have to look any further than the City of Philadelphia.

In 1992, prior to 1992, all of the cases that were handled in Philadelphia were done by appointed Counsel. The Philadelphia Public Defender's Office was not involved in those cases. In 1992, the Philadelphia Public Defender's Office received resources to create its Homicide Unit and from that time forward, the Philadelphia Public Defender's Office, through that institutional Defender, has represented 20 percent of the homicide cases in that City. Since that time, 93 people have been sentenced to death. If Counsel made no difference, then one would expect that 20 percent of the people who were sentenced to death in Philadelphia since 1992 would have been Philadelphia Public Defender cases and that would mean about 18 or 19 of those Public Defender clients would have been sentenced to death

if Counsel made no difference. Well, zero of the Philadelphia Public Defender clients have been sentenced to death. All of the other death sentences have been from under-resourced appointed Counsel who also didn't have the institutional expertise and experience to handle capital cases and that is an experience that's been reflected in the results in other States.

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So, for example, in New York when that State reintroduced its death penalty, it did so with statutes that provided for the utmost in procedural safeguards and one of the important procedural safeguards that New York adopted was the creation of a State indigent defense system for capital cases. There was not one death sentence that was imposed in the State of New York that was a Capital Defender case. The only people who were sentenced to death were either defendants who had waived their right to Counsel or defendants who had conflicts and the Capital Defender represented one defendant and so the other defendant was left with who was left.

In New Jersey where there was an indigent defense system that was provided adequate resources, there were very few death sentences that were imposed. And in States that we regard as high death sentencing States; States like Virginia, States like Texas where many people have been sentenced to death and many people have been executed,

after those States created either regional or statewide capital defense systems where they used lawyers who had experience in capital defense, the rate of death sentences in those states plummeted. So we know, historically, that Counsel makes a difference and it makes a difference on the other end as well because when those cases are reversed, all of the resources that went into it first time through are for not. You're in a situation where you either have a do-over or you have to decide many years later whether you're going to go for the death penalty at all.

Now, I have tracked capital cases in Pennsylvania from the post-conviction process from 1994 to the present. There have been 154 cases reversed in the post-conviction process in the history of Pennsylvania's death penalty statute. Of those 154, 115 have gone on to a new final disposition. That is to say either the case was disposed of through a plea or it went on to a re-sentencing. 115 cases have gone on to a new final disposition.

In an arbitrary system, if you flip the coin, the result would be 50/50. In Pennsylvania, the result has been that there are 111 cases in which defendants in this new process afterwards have received sentences of life or less or been freed. There are four of those individuals who are still on death row. That is a 95 plus percent life or less result and, in fact, more have been freed than have

been re-sentenced to death and that speaks serious problems in the administration of Pennsylvania's death penalty.

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You know, on the appellate process, we heard from the prosecutors that most of the relief they say has been on technicalities. I think that's incorrect. I think that's incorrect because I don't think it is a technicality that someone has received objectively deficient representation that was prejudicial to their case. right to Counsel is not a technicality nor was it a technicality in the 40 plus cases that were reversed because of prosecutorial misconduct of one sort or another. Those are not technicalities. The majority of cases are not reduced -- are not reversed because of technicalities. They are reversed because of harmful constitutional errors that are present in a case and that's why it's critical that when one considers reforms, if you decide that you wish to keep the death penalty, that if one considers reforms, you must not, if you want to be fair, place a premium on expediting executions and saying that's what's necessary to get the job done. Getting the job done is getting the case right. Getting the job done is ensuring that people are not sentenced to death in violation of the Constitution; that they have gotten fair process; that we can have more confidence in the outcome of the proceedings. Getting the job done is not just executing people because

if we have executed people unfairly and it's a rush to execution, as I said earlier, that is in itself a significant, significant injustice. And the States that have been pointed to as examples of getting the job done; States like Texas and States like Virginia, are not States that are known for having the most meaningful appellate process. In fact, many people in Virginia were executed not because Courts had confidence in the outcome of the proceedings, but because of technicalities like lawyers missing filing deadlines.

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In the Commonwealth of Virginia, if you miss a filing deadline, you may never present that claim again and so there are individuals whose lawyers, by an hour, by a day, by a week, misread the statute of limitations, miscalculated the time, and filed valid constitutional claims that would have undermined confidence in the outcome of the proceedings and their clients were executed without any Court ever passing judgment on the legitimacy of those claims. An execution that occurs in those circumstances is not an execution that is worthy of respect and not an execution that is worthy of confidence and that is not a path that Pennsylvania should strive to follow.

We heard the prosecution -- the prosecutors who spoke, and eloquently so, about the need to care for victims and I think that there is no fair and no just

system that fails to take into consideration the legitimate needs of victims. These family members have experienced horrible, horrible things and they need to have services to ensure that their psychological needs are met and to ensure that they are not re-traumatized or that the level of re-traumatization in the Pennsylvania procedures are minimized. It is critical that we take care of all of our citizens and especially that we take care of people who are so vulnerable because of the horrific experiences that they have had.

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Having said that, the view of the victim community is not monolithic. One of the first cases in which I provided representation was the case of Keith Zettlemoyer who was the first individual in Pennsylvania to have been executed in the modern era. In that case, I represented Aldona DeVetsco. Aldona DeVetsco was Charles DeVetsco's mother. He was the murder victim in the case. Mrs. DeVetsco wanted to intervene in the case as the next friend to keep Keith Zettlemoyer alive. Now, not all victims have family members who want to do that. We have seen that there is great conflict among victims' family We heard eloquently from the Richards family in members. the Boston Marathon case about they did not want their kids who still survived to be brought up in circumstances in which the death of their brother, the Richards' son, was

re-lived over and over in the media and in the Courts and on the terms relating to the homicide, not the wonderful memories they had from this charming child. Those kinds of things are always a problem in capital cases. Victims' family members are always re-traumatized in capital cases and studies that have been done are instructive because while there is division about what victims' family members say about capital cases and most of them still do say that they would prefer death over other punishments. But studies that have been done show that whether they say they prefer death or they prefer another punishment, the death penalty process is not good for them. The most recent and most publicized study appeared in the Marquette Law Journal and the researchers followed family members of murder victims in Texas, where there is a death penalty, and in Minnesota where there is not, and they followed them from the beginning of the process through the end of the process and what they found was that the murder -- the family members of murder victims in Minnesota, at the end of the day, were physically more healthy, were emotionally more healthy, and were psychologically better off than the family members of murder victims in Texas. The death penalty is not good, is not good, for family members of murder victims. It's the process that just makes it worse than the already horrific experiences that they have

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We heard earlier a bit about deterrence. death penalty deterrent or is it not? The National Academy of Sciences sponsored a study that looked at the deterrent studies and said there was no reliable evidence whatsoever that the death penalty was, in fact, a deterrent and it said that State Legislatures and the Federal Government should not rely on the poorly structured studies that indicated some evidence of deterrence. The most recent study, a respected study done by the Brennan Center on Justice coming out of New York University, looked at numerous factors that could contribute to crime, to the rates of crime, especially the rates of violent crime and murders. One of the factors they looked at was the death penalty and what they found was whether you have a death penalty or don't have a death penalty has no relationship whatsoever to murder rates. That's borne out by the crime rates that we've seen across the country. When homicide rates rose in the United States in the '80s and '90s, the patterns of rising homicide were the same in States that had the death penalty and States that did not. When murder rates dropped thereafter, the rate of dropping was the same in States that had the death penalty and States that did not. The presence of the death penalty played no role whatsoever as a deterrent and, in fact, it's a red herring

because the question isn't even from a public policy perspective. Does the death penalty deter? It is does the death penalty deter appreciably better than other alternatives and there is no evidence whatsoever, none of any kind, that suggests that a death penalty is any more of a deterrent, if it deters at all, than the alterative punishment of life without possibility of parole.

So there is not a legitimate justification that one has been able to ascertain from the studies or any realistic experience based on deterrent nor is the death penalty, according to information from the Bureau of Justice Statistics, a deterrent with respect to the conduct of the inmates in prison. We heard the District Attorneys make reference to possible prison murders. In fact, the evidence from the Bureau of Justice Statistics seems to indicate that there is no difference in prison murder rates in States that have the death penalty and States that do not. So it is not, although superficially it seems like a decent argument, it is not an argument that is borne out by the facts.

There's much more that we could talk about and I don't think that we have really the time to do so but I would briefly like to touch on two issues. One is the question of the death warrants in the Commonwealth of Pennsylvania.

In 1995, this Legislature adopted an automatic death warrant statute and that was based on the perception that Governor Casey had not been signing death warrants and as a result of his failure to do so, people were languishing on death row without their cases moving forward and, in fact, I think it is true to say that in the early cases from the 1980s, very few of them moved into the post-conviction process without some kind of external stimulation to do so. Traditionally, Governor Thornburgh did so by signing warrants and Governor Casey had done so to a much lesser degree.

Well, it turns out that there is another way of address that problem of moving cases into the system and that is by adopting a statute of limitations and this Legislature did so. A one-year statute of limitations was adopted and 110 plus cases moved into the system within the course of a year. That addressed the question of the delayed initiation of proceedings. And when the District Attorneys talked about cases that have languished from the 1980s, one of the things that is frequently overlooked is that most of that languishing, the early part of that languishing, may well have been addressed by the statute of limitations. What you see in reality is that the early cases from the 1980s are proceeding on the same pace as the cases that entered the system from 1994 and beyond. So the

long length of the early cases is an aberration of the part of the system that this Legislature, in fact, corrected in 1995. But at the same time that the one-year statute of limitations was adopted, there was an automatic death warrant statute that was adopted. That statute required Governors, or if a Governor declined to sign, required the Secretary of Corrections to sign death warrants at specific periods in a case.

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The problem was that it did so at periods in the case that were legally premature. Defendants have a right to seek review of their case. They have a right to postconviction review. They have a right to habeas corpus. But under the automatic death warrant statute, a warrant would be signed within 90 days at the completion of direct review. That would raise false hopes among victims of -family members of victims that an execution was imminent and would be about to occur. It also caused the Commonwealth to initiate a dress rehearsal for executions that were not going to occur. They would measure the veins of the defendant to see if they would hold up to a large gauge needle. They would fit the defendant for an execution jumpsuit. They would contact the defendant's family and send them a letter telling them to make arrangements to pick up the body, even though an execution was not going to occur and so we had a process caused by

this automatic death warrant that was going to retraumatize both the family members of homicide victims and the family members of the defendant who had been sentenced to death. These were dress rehearsals for executions that would not occur unless the defendant waived his rights and that is largely why, with the 434 death warrants that have been signed, only three have been carried out, a failure rate in excess of 99 percent.

Well, that's something that this Legislature can do away with. There is a place for death warrants, obviously, in a system that has the death penalty and wishes to carry it out. But there is not a place for death warrants during periods that are legally premature that raise false hopes and that bring about the expectations of an execution where it is not going to occur and that force litigators, both for the Defense and for the State, to go into Court to obtain stays of execution that should be granted as a matter of course. That is a waste of resources. It's also a waste of Department of Corrections resources as they walk along the protocol. That is something this Body can do something about and ought to do something about and that will have no adverse impact on the process at all.

The final matter that I wanted to very briefly talk about is the question of race. In Pennsylvania,

better than two-thirds of the individuals who are on death row are racial minorities. That in itself is not evidence of discrimination because it may be the case that murderers are disproportionately committed by people of color. But, in fact, when you take a look at what the murder rates are, the representation of minorities on death row exceeds in statistically significant ways the homicide rate.

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What we also see is when we take a look at who gets sentenced to death for what types of offenses, that there is a discrepancy in the rates in which death sentences get imposed based upon the race of the accused and the race of the victim, taking a look at data on Pennsylvania death sentences race, by race of victim and offender from 1977 through 2000. The data shows if you adjust this to 1,000 murders, that when a crime involves a black offender and a white victim, the death penalty was returned in 48.6 death sentences per 1,000 murders. it was a black offender with a black victim, the death penalty was returned 1 -- 17.7 death sentences per 1,000 murders. When it was a white offender and a white victim, the death sentences were returned 22.2 death sentences per 1,000 murders. So white-on-white, was less than half the rate for black-on-white. And when it was a white offender and a black victim, the death sentence was returned 11.9 death sentences per 1,000 murders. What we see there is

the same pattern that we saw in the Baldus study in Philadelphia and in many studies across the State -- across the Country and that is that at all levels of severity, at all levels of severity, for every combination of defendant and victim, the combination that is most likely to produce a death sentence is a black defendant and a white victim and that which is least likely is the inverse.

The legal system for whatever the reason is seems to more highly value the lives of white victims and less highly value the lives of defendants of color. That is a problem that has been intractable. That is a problem that needs to be addressed. That is an issue that we have to think seriously about because whether somebody is innocent or somebody is guilty, in the 21st Century in this great Nation, we should not be sentencing people to death based upon the color of their skin and so long as we have the death penalty, if we do not address that intractable problem, that will be an issue that will continue to haunt us. Thank you very much.

MAJORITY CHAIRMAN MARSICO: Thank you.

Representative Saccone for questions?

REPRESENTATIVE SACCONE: Thank you. Thank you, Mr. Chairman. Starting with the deterrence thing, the studies that say, you know, deterrence, there's no conclusive evidence that there's any deterrence. Well,

there won't be any evidence if the punishment isn't delivered swiftly. We do know that when punishment is delivered swiftly, there is deterrence. But if you have a punishment that's not delivered swiftly, as were not in Pennsylvania, then, you know, you're not likely to find as much significance in the amount of deterrence and --

MR. DUNHAM: Yes, and Representative Saccone, that's certainly the case; that you would expect that there would be a greater deterrent value if executions occurred more frequently and if executions occurred more quickly. But when you take a look at the homicide statistics in all the States in the United States that have the death penalty, those rates remain basically -- the patterns remain basically the same whether you have the death penalty or not, whether it's carried out frequently or not.

REPRESENTATIVE SACCONE: And I think part of those variables might be the number of cases. You got to look at the end number if you're going to look at this statistically. But we can go into that a little later. I mean I think one definition of these deterrence researchers is what President Regan used to say about economists.

There's somebody who uses -- sees something happen in practice and wonders if it can occur in theory. I mean we know that deterrence works. It's just a question of why isn't it working in certain cases, as I said, if it's

delayed or not. I know you're not satisfied with the exhaustive appeal process that we have already. It takes decades to get through. But the public isn't satisfied that the process is swift -- is bringing swift justice and it's overly slandered in the favor of criminals. So we have to look at ways to make sure, obviously, that we're giving justice; that we're not executing innocent people but that we make it more swiftly.

And I just want to add that in the statistics you cited about the racial disparities, they don't include, and they need to include, the variable of aggravating circumstances. So when you have black-on-black crime, for example, if you look at, you know, the chances of there being any aggravating circumstances in those might be a lot lower than it is when there's a -- in the case of a black-on-white crime. But if they don't include the variable, you don't know. The data is flawed. You have to look at the study in a more comprehensive sense.

MR. DUNHAM: And, Representative Saccone, one of the -- I think a number of the studies actually do include that. When you take a look at the Philadelphia study that Professor Baldus did, there were over 300 different variables that were considered. Aggravating circumstances were among them and when he calculated what the increased statistical likelihood was of getting death penalty, he was

able to, and did, calculate the presence of particular aggravating circumstances. What he found was that being black, by itself, ranked among the most serious of aggravating circumstances as a variable that would predispose a sentence of death.

REPRESENTATIVE SACCONE: I didn't quite get that. Being black is not an aggravating circumstance?

MR. DUNHAM: That's correct. That is correct.

So that when you --

REPRESENTATIVE SACCONE: So it doesn't apply to the death sentence?

MR. DUNHAM: It should not and so when you do a regression analysis, then the fact that somebody is black, when you do the regression analysis should reduce that as a variable to something that was statistically insignificant and, in fact, the fact that somebody was black ranked up among the most serious of aggravating circumstances as a factor that was most likely — that was more likely to influence the verdict.

So if you had torture as an aggravating circumstance, torture is something you would expect a jury would treat seriously and would increase the likelihood of a death sentence, being black ranked up among -- at the same level of some of the most serious aggravating circumstances as a reason why a person may have been

sentenced to death, as a risk factor, if you will, for a defendant to be sentenced to death.

REPRESENTATIVE SACCONE: It sounds like you're conflating here what statistics is, it was -- with what actually happens. A jury can't consider as an aggravating circumstance whether -- someone's race.

MR. DUNHAM: That's exactly the point.

REPRESENTATIVE SACCONE: You're finding that, statistically, that there's some correlation but that's not an aggravating circumstance.

MR. DUNAHM: That's exactly the point. That's exactly the point. It should not statistically make a difference if it was not something that the juries were, in fact, considering. If it's not something that, subconsciously or otherwise, influenced the juries, then it would not have appeared at that level in the study as a risk factor for a death sentence being imposed.

REPRESENTATIVE SACCONE: So you're concluding that because there is a statistical correlation that juries must be considering race as an aggravating circumstance and I think you're making a very big leap of faith there.

MR. DUNHAM: Whether they're "considering it" or not, it is a factor that has a huge correlation that when you do the regression analysis, it shouldn't have. It shouldn't have if it were not a factor.

REPRESENTATIVE SACCONE: And that may be but you're trying to attach an explanation to that that you can't do. You don't know why. You don't know why that regression might lead to that. I haven't seen that statistic but you don't know why. You're attaching a cause to that that you don't know whether it's the cause or not. You'd have -- you can surmise that but you don't know that. So we'd have to look at that more closely and break that out and see what is the cause of that; why is there a correlation like that?

MR. DUNHAM: Yes. And I think, in fact, you may be able to make that leap but the question really is what is the mechanism by which that occurs and why does that occur?

One of the things that was surmised and one of the reasons that Professor Baldus then did the second study on jury selection practices in Philadelphia was because they had seen during the -- when this study came out, the videotape had been released that indicated that prosecutors in Philadelphia were striking African-Americans from jury service in disproportionate rates and so Professor Baldus did an analysis of that and after getting the race of 14,000 jurors whom the prosecution had the opportunity to accept or strike, and I think being able to identify the race of 12,000 of those, they saw that over the course of

three prosecutorial administrations, black jurors were struck with twice the frequency of other jurors and jurors from integrated neighborhoods, non-black jurors from integrated neighborhoods, were struck with twice the frequency of white jurors from highly segregated neighborhoods and they postulated that the fact that so many African-Americans were excluded from juries may have been one of the factors that led to the apparently disproportionate representation of African-Americans on death row in Philadelphia and the analysis that there was a "excess death rate" that 30 percent of the African-Americans who were sentenced to death, statistically, most likely would not have been sentenced to death but/for their race.

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We don't know with specificity what the particular cause was in a particular case. There are a number of things that give us concern. One is simply what does the defendant look like? There was a study that was done called Looking Death Worthy that took photographs of all of the defendants who had been tried for homicide cases; some sentenced to life and some sentenced to death, and it was examined to see if what the defendants looked like made a difference. What they found is, and they looked for, stereotypically African features. If you had darker skin; if you had a broader nose; if you had thicker

lips, that was a sign, a stereotypically African -- as a stereotypically African feature. If you had lighter skin, thinner nose, thinner lips, that was considered non-stereotypical, more European features. And what they discovered was in instances in which defendant were black and the victims were black, there was no statistical difference in the way in which juries treated those defendants. But in cases of interracial homicide where the defendant was black and the victim was white, a defendant who had stereotypical African features was twice as likely to be sentenced to death as a black defendant who had more European-looking features.

Now, that's not something that shows up in a transcript. That's not something that comes in in any kind of evidence. But that is data that suggests that there is subconscious forms of discrimination that are at work and we have seen evidence across the country of various instances in which being black is perceived to be evidence of future dangerousness, of imminent dangerousness even.

In Texas, there is a case that the Supreme Court has so far let stand, <u>Buck v. Texas</u>, in which there was actually a mental health professional who testified that Mr. Buck was more likely to be a danger in the future because of his race and we see in other areas, such as the use of guns, shooting of civilians by police officers, that

the rate at which police officers shoot armed white civilians is equal to the rate at which they shoot unarmed black civilians. That is additional evidence that really gives you pause and makes you think is it the physical perception of the individual that's creating the perception of future danger.

Juries want to protect society. It is always in their head what verdict I give here, is that going to make a difference? Is that going to protect society? And to the degree that that determination is the product of conscious or unconscious biases, that's a real problem for us, for the United States because, as I said earlier, this is not a time and this is not a country in which a person's race should be the determinate. Whether it is an objective or subjective or conscious or subconscious determinate, it should not be a factor in whether people live or die.

MAJORITY CHAIRMAN MARSICO: Okay. Thank you. Representative Stephens I believe had a question.

REPRESENTATIVE STEPHENS: Thank you. Thank you for your testimony. I want to -- and it was a little -- it early in your testimony so I just want to refer back to it. You were discussing the notion that, in your opinion, many of the sentences that are overturned are done so for -- not for a technical reason, not for a technicality, I guess, and I guess your claim is that many of these folks are, in

fact, factually innocent. Is that accurate? I mean is that an accurate portrayal?

MR. DUNHAM: My statement that the cases have been overturned for reasons other than technicalities, I think stands for the proposition that there were trials and sentencing proceedings that were unfair. That's distinct from the separate question of factual innocence.

REPRESENTATIVE STEPHENS: So what's your position on factual innocence? I mean do you have an opinion as to whether the people in Pennsylvania that are on death row are largely factually innocent?

MR. DUNHAM: Are a majority of the people who are convicted of murder and sentenced to death in Pennsylvania actually innocent of the crimes?

REPRESENTATIVE STEPHENS: The people remaining on death row today.

MR. DUNHAM: I don't think that anyone would say that a majority of the people who are on death row are. I think the criticism is that there is an unacceptable risk that some are and that we don't know how many there are.

REPRESENTATIVE STEPHENS: Okay.

MR. DUNHAM: Now, Mr. Eisenberg made reference to the innocence list that's on the Death Penalty Information website. The innocence list lists six individuals who have been legally exonerated from death row in Pennsylvania. He

is correct when he says that Jay Smith is on that list because a Court barred his capital re-prosecution, barred re-prosecution of any kind. Let me explain briefly how you get on that list.

REPRESENTATIVE STEPHENS: Can I -- I don't want to interrupt you but I know the Chairman is trying to move things along, and I'm not necessarily focused on the list. That's now where I'm going with my question. So I'm happy to talk to you afterwards. I am interested to kind of hear it and --

MR. DUNHAM: Sure.

REPRESENTATIVE STEPHENS: But I know the Chairman does want to move it along because we're running behind.

MR. DUNHAM: Well, let me say very quickly that the list has been criticized both as being over-inclusive and under-inclusive. There are the six people who are on it but people like Fred Thomas who died while the Philadelphia District Attorney's Office was appealing his grant of a new trial, a Judge found that there's almost certainly no way that a jury would have convicted him. He was -- his conviction was the product of a -- of testimony by two informants who had recanted and a police officer who was later convicted of violating someone else's civil rights. But there are also cases like Dennis Counterman where there is almost certainly no crime that occurred. He

1 went to death row because of junk science testimony about arson and he pled no contest to a crime that he didn't 3 commit in order to be released.

REPRESENTATIVE STEPHENS: So let's assume, okay, let's just assume --

MR. DUNHAM: Yes.

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REPRESENTATIVE STEPHENS: -- that there may be someone who deserves a new sentencing hearing or even a new trial. Okay?

MR. DUNHAM: Yes.

REPRESENTATIVE STEPHENS: It's important that they get before a Court for justice. I mean that was the discussion as it related to the speed of our appellate process. I mean you would agree with me that we need to get these folks before a Judge because in these instances that you're pointing to, Judge has made a decision that these people should be granted a new trial or a new sentencing phase.

MR. DUNHAM: Yes.

REPRESENTATIVE STEPHENS: Okay. So would you then -- of course then you would disagree with the Third Circuit's decision not to hear any more cases pending this moratorium because that denies these folks the ability to get before a Judge to be heard on their issues, right?

> MR. DUNAHM: I would think that there are

numerous -- in fact, because I still have an attorney/client relationship, although I am no longer representing people, but the attorney/client relationship continues past the time which you're in the office, but there were instances in which clients that I was representing received an inquiry from the Court as to whether the -- their appeal should be delayed pending resolution of the moratorium or not and my argument was that the case should proceed because in each -- in those instances, you know, when you are on death row in Pennsylvania, you're in solitary confinement. You're talking about a 23-hour per day solitary confinement. had a former client whose death sentence was overturned in I believe it was 2003. He has not yet been re-sentenced and he's been in solitary confinement that entire time without a valid death sentence. So you want your clients to not be subject to those kinds of conditions.

moratorium that led now to this Third Circuit Decision,
equally and to your point, would deny justice for those
that need to get before a Court so that they can have their
claims addressed for ineffective assistance of Counsel or
whatever the -- well, it wouldn't be ineffective.

MR. DUNHAM: No, I'm not aware --

REPRESENTATIVE STEPHENS: But whatever Federal

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claim they have.

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MR. DUNHAM: I'm not aware that there's a Third Circuit Decision that is preventing all cases form moving forward. There are cases that are pending before the Circuit that are capital cases that are continuing in the briefing and that are continuing to oral argument.

REPRESENTATIVE STEPHENS: Okay. So your point is it's not a blanket suspension?

MR. DUNHAM: Right.

REPRESENTATIVE STEPHENS: It's just -- and I see DDA Eisenberg in the back shaking his head no. It is a blanket. Am I -- is it a blanket suspension or just individually?

MR. EISENBERG: It's general. The Court issued an Order saying in light of the Governor's moratorium, these cases will be placed in civil suspense. They haven't issued that in every case yet but every case that has apparently been --

REPRESENTATIVE STEPHENS: Right.

MR. EISENBERG: -- ripe for action that has happened.

REPRESENTATIVE STEPHENS: But I guess my point that I want to make here is, to your credit, you know, defendants deserve a right to be heard before a Court.

They deserve a right to get before a Judge and have their

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       claims addressed and, frankly, so do victims, victims'
       families. You know, they enjoy that same right and so it
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       serves I think everybody purposes, for you to get together
       with the DA's Office and do what you can to try to move the
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       Court off of that position that we're just going to stop
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      hearing these cases. Obviously, it's nothing we can do
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       legislatively, but it seems to me right now everyone is
      being denied justice, the folks that you represent who you
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       say are wrongfully on death row, and the victims' families
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      who want closure to the case. So --
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                MR. DUNHAM: I -- just to clarify. I do not
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       represent anybody at this point.
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                 REPRESENTATIVE STEPHENS: Okay.
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                MR. DUNHAM: The Death Penalty Information Center
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       does not do litigation. We're not involved in this.
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                 REPRESENTATIVE STEPHENS: How about we do this?
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       The folks you advocate for? How's that?
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                MR. DUNHAM: Well --
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                 REPRESENTATIVE STEPHENS: You're here advocating
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       on behalf of folks on death row, right?
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                MR. DUNHAM: Here, I'm advocating for positions
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       on fairness in the death penalty.
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                 REPRESENTATIVE STEPHENS: Fair enough.
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                MR. DUNHAM: And one of the important things with
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the process is that you need to make sure that the process

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that is available is one in which defendants have access to the important evidence. One of the things that we see and one of the lessons that we learn from the innocence cases across the nation is that very frequently evidence of innocence is not available until close to the end of the process and that's because in instances in which prison informants lie, in instances in which other witnesses have given false testimony, in instances of wide ranges of misconduct, that misconduct has been successfully hidden. A number of the defendants who were exonerated from death row over the last year had been on death row for 30 years.

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REPRESENTATIVE STEPHENS: In Pennsylvania?

MR. DUNHAM: No, across the country.

REPRESENTATIVE STEPHENS: Okay.

MR. DUNHAM: And -- but I don't think that you can say that the experience in other States is irrelevant to what happens here.

You know, in the Terry Williams case, while there is clearly not an issue there as to whether he committed the homicide, there are serious issues about whether the death penalty was an appropriate punishment in that case and one of the issues in that case was what did the Philadelphia District Attorney's Office know about the activities of the victim?

REPRESENTATIVE STEPHENS: Were these issues

raised before either the Pennsylvania Supreme Court, any of the Federal Courts, including the U.S. Supreme Court, that reviewed the case? Were these claims presented?

MR. DUNHAM: Some portion of the claims were presented.

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REPRESENTATIVE STEPHENS: All right. And they obviously rendered a Decision.

MR. DUNHAM: They rendered a Decision without having key evidence and the key evidence was not that there was an allegation that the perpetrator — that the victim of the crime had been a sexual predator against young boys, including Mr. Williams, the key evidence was that the Commonwealth itself was aware of that and suppressed that fact and after suppressing that fact, made an argument to the jury based upon the evidence not being before them, portraying the victim as a good Samaritan, as opposed to someone who had a prior abusive relationship with the defendant.

REPRESENTATIVE STEPHENS: Sure. So look, we're not going to be able to re-litigate the Terrence Williams case here --

MR. DUNHAM: Sure.

REPRESENTATIVE STEPHENS: -- but we can agree that a number of different Courts, both State and Federal, have reviewed the case and in all instances have found that

everything was done properly procedurally and substantively and that --

MR. DUNHAM: No, that --

REPRESENTATIVE STEPHENS: -- the death penalty is ready to go.

MR. DUNHAM: That is not correct.

REPRESENTATIVE STEPHENS: Okay.

MR. DUNHAM: A number of Courts had reviewed the case --

REPRESENTATIVE STEPHENS: Um-hum.

MR. DUNHAM: -- without the critical facts. They did, in fact, affirm the conviction of the death sentence. The Philadelphia Court of Common Pleas granted discovery of the prosecutor's file and the homicide file and found evidence there that the Court said was material; said it wouldn't have made a difference with respect to guilt of first degree, although in a prior case, the same prosecutor was aware that Mr. Williams had been sexually victimized by that person that he had killed and the jury had refused to return a first degree murder verdict. But the Court, nonetheless, said that in this instance, she did not believe that that would affect the guilt verdict. She did say that she thought that it would affect the penalty verdict and it could make a very, very important distinction in the minds of the jury about whether Terrence

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       Williams should be sentenced to death or not.
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                 REPRESENTATIVE STEPHENS: Was that reviewed by an
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       Appellate Court?
                MR. DUNHAM: The Pennsylvania Supreme Court
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       reversed that.
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                 REPRESENTATIVE STEPHENS: Okay.
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                MR. DUNHAM: Okay? So it's inaccurate to say
       that no Court had seen it and --
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                 REPRESENTATIVE STEPHENS: Okay.
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                MR. DUNHAM: -- deemed it to be unimportant.
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                 REPRESENTATIVE STEPHENS: All right. As we sit
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      here today, at the highest levels of review by the
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       Appellate Courts, nobody has found any reason to delay
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       Terrence Williams' execution any further, is that right?
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                MR. DUNHAM: The death sentence has been affirmed
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      by the State Courts at all stages. I believe that there is
       still proceedings to reopen the Federal issue based on the
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       fact that the information about the -- what the District
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      Attorney's Office knew was not before the Federal Court.
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                 REPRESENTATIVE STEPHENS: All right. I'm going
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       to try to really make this quick, Mr. Chairman, this last
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       question, sort of series of questions I have. It relates
      to race --
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                MR. DUNHAM:
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                 REPRESENTATIVE STEPHENS: -- and the issue,
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      because that's very troubling to me. The data that you
       cited, you said 1977 to 2000. Is there more recent data,
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       Pennsylvania-specific, available on this subject, do you
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       know?
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                MR. DUNHAM: I don't know. There should be, and
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       I will get it and forward it to you.
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                 REPRESENTATIVE STEPHENS: Well, I'm a member of
       the Sentencing Commission, and I've asked our folks to just
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       send an email over saying do we have data, because I'd like
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       to see that. But you ran through some statistics, and in
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      particular I want to ask a question about those statistics.
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      Am I correct that in terms of murders, most African
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       American murderers murder African-Americans, and white
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      murderers murder whites? Is that accurate?
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                MR. DUNHAM: I believe that's correct.
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                 REPRESENTATIVE STEPHENS: Do you have any idea of
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       the percentage of folks that don't fit in that category?
                MR. DUNHAM: I don't.
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                 REPRESENTATIVE: STEPHENS: Okay. So -- but we
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       can agree that the vast majority of murder cases happen in
       that fashion?
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                MR. DUNHAM: Most races -- most murders are not
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       interracial murders ---
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                 REPRESENTATIVE STEPHENS: Okay.
                                                  So ---
                MR. DUNHAM: -- whether they're committed by
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1 whites or whether they're committed by African Americans. 2 REPRESENTATIVE STEPHENS: So do you have a 3 comparison of -- I know you were rattling off statistics but I didn't hear the numbers of black-on-black defendants, 4 African-American-on-African-American defendants who are 5 6 sentenced to death versus white defendants and white 7 victims sentenced to death. Are you following me there? MR. DUNHAM: Yes. 8 9 REPRESENTATIVE STEPHENS: I heard all the cross, 10 and maybe I missed it. I'm sorry if I did. But -- so do 11 you know those numbers? 12 MR. DUNHAM: I do not. 13 REPRESENTATIVE STEPHENS: Okay. 14 MR. DUNHAM: I do not have that data. 15 REPRESENTATIVE STEPHENS: It seems to me that 16 that is a pretty critical component for -- because that 17 would be -- those would be the numbers that apply to the majority of the cases since that's what we've agreed on. 18 19 MR. DUNHAM: I think the relevant number for 20 comparison -- it's not the raw number that there are ten of this ---21 2.2 REPRESENTATIVE STEPHENS: No, percentages I'm 23 talking about. MR. DUNHAM: Yes, that -- well, it's not 24 25 necessarily the percent who are on death row, although that is instructive. It is the percent of individuals who commit interracial murders who are on death row versus the percent who commit interracial murders. You know, that's the more --

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MR. DUNHAM: Inter. What you want to see is do people who are on death row reflect the category of murder proportionately or disproportionately. If people who commit interracial murders are disproportionately represented among death row, that would be more significant than if you just say that a certain percentage of people who are on death row fall within that category.

REPRESENTATIVE STEPHENS: Okay. So I think you and I are probably going to have to discuss this because I've gone round and round with our statisticians over at the Sentencing Commission trying to get my head around some of the data that's presented, but it just seems to me, and we can discuss this offline, but it seems to me that if you want to make an assertion that race is a factor in a jury's decision to impose the death sentence, that you need to keep the other factors the same so, you know, you need to control for all the other factors —

MR. DUNHAM: That's right.

REPRESENTATIVE STEPHENS: -- and keep them constant.

MR. DUNHAM: That's right.

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REPRESENTATIVE STEPHENS: So that's why I feel like you need to compare the African-American-on-African-American murders and the white-on-white murders so you're comparing apples to apples and not involving other factors.

And I guess the other point that I -- the other concern I have just relative to this is, I mean, you mentioned the jury selection process - -

MR. DUNHAM: Yes.

REPRESENTATIVE STEPHENS: -- as being somehow possibly tainted as it relates to a racial bias and I guess the issue I have with making that contention is that that whole process occurs under the purview of a Judge, and it's very clear. I mean, Batson and its progeny are very clear that race cannot be a -- you know, the factor for striking a juror, and so a Judge, in many cases an African-American Judge, is presiding over these proceedings and I guess I just wonder, you know, if the data that you're talking about is specific to Pennsylvania if there are specific Judges, specific instances, specific locations, something like that, I think that would be interesting to look at. But I think to castigate the entire jury selection process when we have some pretty serious protections in place, you know, is really painting with too broad a brush. So maybe we can follow up with that offline too.

1 MR. DUNHAM: Well, but also, and I think it's 2 important to respond ---

REPRESENTATIVE STEPHENS: Sure.

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MR. DUNHAM: --- to this degree and so the public can hear. The issue with jury selection is not just the exercise of peremptory strikes to disproportionately exclude people of color, and the statistics -- but the statistics in Philadelphia are almost identical to the statistics across North Carolina, the rate at which African-Americans were struck as compared to the rate at which white jurors were struck. It's almost identical to what we saw in North Carolina that the Courts there found to be a violation of their Racial Justice Act and the data is very similar to what the Dallas Morning News found when they did a study of jury selection practices in Texas. the difficulty with capital cases, and the question of fairness of the juries goes beyond simply the question of peremptory challenges. In capital cases, there's a process called life qualification and death qualification.

REPRESENTATIVE STEPHENS: Sure.

MR. DUNHAM: And as a result of that, individuals who say that they would not impose the death penalty or have substantial impairments in their ability to impose the death penalty --

REPRESENTATIVE STEPHENS: Regardless if the law

dictated that?

MR. DUNHAM: --- are excluded. And jurors who say that they would always impose the death penalty are also excluded. What we see from that is that many more jurors are excluded for saying they have views against the death penalty, and in addition, because jurors of color are more likely to say that they have opposition to the death penalty in general, the first process, excluding jurors for cause, substantially reduces the percentage of African-Americans in the general venire. You then --

REPRESENTATIVE STEPHENS: But not because they're African-American. I mean, I think that's at the heart of what Representative Saccone was pointing to earlier. There can be -- you can point to data which may, and I always get these terms incorrect, but you can point to data and not necessarily come to the conclusion that one causes the other. The cause and effect isn't always clear because there is a correlation in data.

MR. DUNHAM: There may be racially neutral reasons for what happens, but what happens has effects that are not racially neutral. So you end up skewing the racial composition and the representation and whether the jury reflects the community. The death qualification process skews that. It eliminates a disproportionate number of jurors of color and then you go on top of that to a system

of peremptory challenges that is also disproportionately used to strike jurors of color. The net product is that in capital cases, the jury that ends up there is not one, as Justice Stevens just recently said in the context of what happened in Boston, the jury that survives that process is not one that reflects the views of the community and we also know that the mere process of life qualification and death qualification has effects on the jury.

I'm not -- I don't remember off the top of my head which study it was that took a look at what the implications were of the death qualification process. What they found was that merely being exposed to those questions, which no one says you shouldn't ask because you do want to make sure the jurors are able to impose the law, to apply the law, but the exposure just to the question about if you find this person guilty, we're going to be considering life or death, that affected jurors and the jurors who were eventually impaneled, the study indicated that ten percent of them had already made up their mind based solely upon exposure to that process that they should convict and that death was the appropriate punishment.

REPRESENTATIVE STEPHENS: And that's fair, and I'll close on this, Mr. Chairman. I guess the one point that I don't want to be left behind is that any one juror,

just one, in the guilt phase or the sentencing phase could stop the guilty verdict or the imposition of the death penalty, any one. So ten percent doesn't -- I mean, ten percent, that's great. You got 90 percent of the jury. You only need one, you need one person to hold things up, and, you know, that's where I think some of these statistics -- we can get bogged down in statistics but I think it's important we remember that any one individual can put a halt to it. Thank you.

MR. DUNHAM: You need to be tried by a fair jury, and if ten percent of the jury is pre-disposed, that undermines confidence in the outcome.

MAJORITY CHAIRMAN MARSICO: Representative Regan?

REPRESENTATIVE REGAN: Thank you, Mr. Chairman.

I cut this way down in Your Honor, Your Honor, but, sir,
thank you for being here, and just a quick question. Mr.
Eisenberg made a I thought a very interesting point that I
know to be true from my past experience in Federal law
enforcement is that someone doing life with parole -- or
life without parole or someone who may be on death row
currently commit murders in prison. So if you're doing
life without parole, what's your motivation not to commit a
murder if there's no penalty for committing murder other
than you just continue your sentence? What do you propose
you do with people who commit murders in prison who can't

be sentenced any further down the road?

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Well, I think that one can learn MR. DUNHAM: from what jurisdictions do. In most States; Pennsylvania doesn't do this and Virginia, for example, doesn't do this, when you're sentenced to death, you get an automatic solitary confinement in what's called administrative custody. But for someone who is not sentenced to death, the prison does an individualized assessment of what their future dangerousness is likely to be, what their adjustment within the facility is likely to be, and there are levels of segregation that the individual can be placed in and after they make a judgment as to where that individual should be placed, they go there. Individuals who get sentenced to life are not automatically placed in solitary confinement, and so the administrative custody, the administrative segregation is something that is an option that prisons can go to. Now, I'm not an expert in prison conditions but that is one -- you know, and I don't purport to have the answers to, you know, to prison condition questions, but that is certainly something that is available and options available to States.

REPRESENTATIVE REGAN: So in your statistics, do you have any data on how often that happens, that someone doing life without parole assaults or commits a homicide within the State institutions?

MR. DUNHAM: I don't know that the Bureau of

Justice statistics keeps that. I have asked them for

additional information but I have not received that, and my

understanding is that they do not have it at that level of

specificity.

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REPRESENTATIVE REGAN: Okay. So would you agree, though, that that situation when there's not a -- there's no further penalty anyone can receive, would you agree that that puts prison guards and other inmates in peril?

MR. DUNHAM: I don't think that there is empirical evidence that supports that. I know that members of the law enforcement community and some prison personnel believe that to be the case, but that does not seem to be a belief that is borne out by available statistics.

REPRESENTATIVE REGAN: Okay. Well, you know, I'm at a loss because I don't know what the State statistics are but I do know in the Federal system that homicides are committed continually in Federal prisons, and they do have the death penalty for that and those trials, I presided over one, so it happens fairly regularly. So I would assume, I know there's a different level of sophistication within the State penitentiaries than there is the Federal penitentiaries with inmates --

MR. DUNHAM: Yeah.

REPRESENTATIVE REGAN: -- but I would be willing

1 to bet that it happens more often than not.

MR. DUNHAM: And I know that in the instance of David Hammer, for example, who was a -- who is a Federal inmate and who was capitally tried and initially sentenced to death for a murder, not of a prison guard but of another inmate while in Federal custody.

REPRESENTATIVE REGAN: There's Michael O'Driscoll too. You know that case?

MR. DUNHAM: Well, Mr. Hammer was just resentenced to life and the explanation that was given during his penalty phase, the Court was provided information about the varying different levels of confinement that were available to keep guards safe and to keep other inmates safe and so I know the Federal system has a series of stricter levels of confinement.

REPRESENTATIVE REGAN: And they also have several institutions which allow for solitary confinement 23 hours a day, so I think it's a little bit different, but thank you very much for your testimony.

MAJORITY CHAIRMAN MARSICO: Thank you. Representative Barbin for questions.

REPRESENTATIVE BARBIN: Thank you, and thank you for your testimony today. I'm not convinced that your statistics show what you say they show, and I particularly view Clemens' approach to statistics as applicable to some

of your conclusions today but the thing that I did like in your testimony was you have raised a valid question that if we do go forward and assume that the public, 80 percent of the public is in favor of the death penalty in certain limited circumstances, what model should we use to ensure that the defense is as capable as the prosecution so that we can slow down or speed up this slow-down process? What States should we be looking at as a model for ensuring that if we're going to have death penalty cases at least someone is certified, handled these type of cases before, so we can eliminate all of the years of appeals that we currently have in our system?

MR. DUNHAM: I think the New York model is probably recognized as the one that was the most thorough and provided the greatest procedural safeguards and that other approaches are what the -- what has been done in the State of Texas in which rather than have a single State institutional Defender, there is a system that individual counties can opt into of Regional Capital Defenders and each county pays a particular amount a year; sometimes it's been described as a kind of insurance policy against the cost of capital representations, and they pay in and that funds individual regional offices.

I think Mr. Bookman is here and he may speak to that more because he, in fact, was a trial lawyer who

handled some of these cases and has more information about that than I do but, at a minimum, I think you're looking at an independent statewide system where you have an institutional Defender that has institutional resources and institutional skills and one that has the opportunity to get into the case from the very beginning and to fully investigate the cases. What we've seen in a number of the cases with institutional Defenders who have that kind of expertise is a lot of negotiation with prosecutors before the cases go to trial and those negotiations often alleviate the need to go to trial and we have seen much higher levels — higher quality of representation as the Philadelphia statistics show.

REPRESENTATIVE BARBIN: Thank you, Mr. Chairman,

REPRESENTATIVE BARBIN: Thank you, Mr. Chairman, and thank you for your testimony.

MR. DUNHAM: Thank you.

MAJORITY CHAIRMAN MARSICO: Representative Klunk.

REPRESENTATIVE KLUNK: Thank you, Mr. Chairman.

Thank you, Mr. Dunham, for joining us today. My question goes to a statement that you make on page 3 of your testimony. You state, "Initially, Pennsylvania's death penalty statute and ten -- had ten aggravating circumstances that has expanded over time to 18, an expansion that calls into question whether the statute

meaningfully identifies the worst of the worst murderers

and the worst of the worst killers." My question back to you, is this a rhetorical question? You -- in your testimony, you really don't provide any suggestions to us, the Legislature, on how to potentially change that. I'm going down through those 18, and I see, you know, children, pregnant women, law enforcement officers as a list of those victims. One that might have been included in the expansion is the death of the victim, if the death of the victim occurred while the defendant was engaged in a hijacking of an aircraft, which I'm assuming is in response to the hijackings on September 11th or maybe even previously. What are your recommendations? I'm going through and reading these, and these were passed by the House and the Senate here in the General Assembly, signed by our Governor, and those do seem to be the worst of the worst murderers and killers here in Pennsylvania that would fall under those categories. What recommendations would you have, or is that just a rhetorical question?

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MR. DUNHAM: No, I think -- you know, and it was interesting, when David - when Professor David Baldus was alive, he once said to me that the larger the number of aggravating circumstances, the more arbitrary the outcomes tend to be because you don't differentiate -- it becomes more difficult to differentiate between who gets the death penalty and who shouldn't get the death penalty. His

recommendation would be to select among types of murders and narrow that down. There are some of the -- some of the aggravating circumstances would, on their face, appear to provide a meaningful basis to distinguish between whether someone should get death and whether they shouldn't but, as applied, are problematic. So, for example, one of the aggravating circumstances that we have is that the defendant has a significant history of felony convictions involving the use or threat of violence and clearly the purpose behind that is to say that someone who commits a murder is closer to the worst of the worst if they have, prior to committing that murder, a history of violence. But the way that aggravating circumstance has been interpreted in Pennsylvania, it is possible and it has happened that individuals have been found to have a significant history of violent felony convictions based solely on burglaries and trespasses that were completely non-violent, and, in fact, involved no person being present in the house at all. So that -- things like that make it so that the jury is led to believe that the individual's history of violence is much different from what it actually is, and that is not a principal basis to say this person deserves to be subject to the death penalty versus somebody who, for example, had committed a prior murder or had been involved in some other acts that actually were violent.

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So I think one of the things that the Legislature certainly could do is to ensure that on an aggravating circumstance like a prior history of violent felonies that the crimes, in fact, are crimes that involve violence so that the jurors are not misled. There are other instances such as the grave risk aggravating circumstance which on its face is something that -- you know, look at Boston. Right? That's a case of grave risk and there are numerous instances in which you can see clear grave risk, but the manner in which it has been sought and the manner in which it has been applied and the manner in which it's been interpreted by the Pennsylvania Supreme Court has been so expansive that it can justify seeking death in virtually any offense, for example, that occurs in public. That's not a meaningful distinction in the -- for whether somebody should live or whether somebody should die.

Now, I can't substitute my judgment for yours as to what the most serious types of murders are. That is a legislative judgment and that's one that's different in every State. But the fact is that in the 1980s, Bradley Bridge from the Philadelphia Public Defenders Office went over to the Court files and looked at all the homicides that had taken place in Philadelphia and which ones had facts that would have supported potentially being included as a death penalty case and he concluded that upwards of 80

percent of the first-degree murders would have qualified, and that's before the aggravating circumstances were expanded. The problem with that is, a statute that is that broad swallows up the narrowness requirement because each individual aggravating circumstance may in itself narrowly circumscribe seeking death, but when you have it 18 different times for 18 different things, the grand total makes it so that it really doesn't principally distinguish.

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REPRESENTATIVE KLUNK: Thank you. I quess we're just going to disagree on this one, but I have looked through the 18, and the will of the House and the will of the Senate and the will of the Governor here in Pennsylvania clearly show that this is the will of the people in Pennsylvania and in addition to the aggravating circumstances, you also have to look at the mitigating circumstances, and it all comes back to the jury. One person can have a question with this and it all goes away and we don't have a death penalty case. So I guess we're just going to disagree on this, but I do think the will of the House and the Senate has spoken on this issue and I do believe the 18 aggravating circumstances are complete. So we're just going to disagree on this, and I thank the Chairman for the time.

MR. DUNHAM: And I would certainly -- you know, that's, of course, the Legislature's prerogative. I would

1 simply say that it increases the risk that the results will 2 be arbitrary. CHAIRMAN PETRARCA: Thank you, Representative 3 Klunk. A few quick questions. One, the Information Center 4 5 that you represent here today, how are you funded? 6 does that funding come from? 7 MR. DUNHAM: We are a nonprofit foundation. 8 receive private funding. 9 CHAIRMAN PETRARCA: Okay. 10 MR. DUNHAM: We receive private funding. 11 Thank you. You said that CHAIRMAN PETRARCA: 12 Pennsylvania was I believe the only State that does not 13 provide any State money for indigent defense. 14 MR. DUNHAM: In capital cases. That's correct. 15 CHAIRMAN PETRARCA: Capital cases. 16 MR. DUNHAM: At any stage of the proceedings. 17 There are other States that provide it at some stages and not others. 18 CHAIRMAN PETRARCA: Okay. I just -- I have, I 19 20 quess, a general question about that. I think it would be 21 difficult to have this Legislature provide funding when the 22 system is as it is today and we're looking at decades before these things can be resolved. But how does this 23 work in other States, if you know that? 24

There are some States that have

MR. DUNHAM:

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State indigent defense systems and so there is essentially the State equivalent of a public defender, and it's State-funded and they have different offices in different parts of the State and it's a centrally funded and centrally organized system of criminal defense.

CHAIRMAN PETRARCA: Great. Thank you and thank you for your testimony. I appreciate your time and testimony today.

MR. DUNHAM: You're welcome.

CHAIRMAN PETRARCA: Our next panel is Pamela

Grosh, Director of Victim and Witness Services in Lancaster

County, and Jennifer Storm, the Victim Advocate from the

Office of the Victim Advocate.

Ladies, you can decide who wants to go first and maybe we're just a little behind, so I appreciate your patience.

MS. GROSH: Good morning. I'm Pamela Grosh. I'm the Program Director of the Lancaster County Victim/Witness Services in the District Attorney's Office and Representative Stedman who spoke earlier is my boss.

I'm also speaking today as a representative of the Crime Victims Alliance, which is a group of victims, survivors, and other Human Service resources around the State and I've included in my testimony some of their recommendations to the Legislature about some of the issues

surrounding the death penalty and so I'll just leave those for your reference as well.

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I've been a victim advocate for 26 years and during that time, all of the cases for which individuals are currently awaiting sentencing on execution cases from Lancaster County were tried during that time. None of the cases are actually approaching resolution. Three of them are still in the post-conviction process and three are in the beginning states of their Federal appeals, and I just included a little chart there so you can see how long some of these cases have persisted in the appeal process. individuals were also removed from death row during that period and each was removed for procedural defects in the case and in two of the cases, the families did face that agonizing decision that was referenced earlier by the Representative of what to do in the face of that, and I'm going to just amplify a little bit the case that DA Stedman mentioned earlier. In that case, and this was the case involving the Protection From Abuse Order. In that case, the victim's mother steadfastly wished that the defendant would accept a plea to life rather than to go to trial because she did not want to hear the details of her daughter's murder and she knew that she would be confronted with it at trial and we literally begged him to take that offer and he refused. We went to trial and the jury gave

him a death sentence. As DA Stedman said, it was overturned because of the manner of service. So then we were faced now with this decision of to go forward on a sentencing hearing alone because the guilt was not challenged by the Court. So to go through a sentencing hearing alone, we would, though have to focus on the most heinous parts of that case and she made that decision partly because she felt that it was highly probable that without the trial, that the jury would find that he should have a life sentence and she felt that it was the last possible remnant of power that she could take in this case was to agree to give him a life sentence and to take that power from him of sort of acquiring a life sentence from a jury. What a convoluted, difficult decision for someone to face, but that's a decision that she made.

But homicide victims are all different. I talked about sort of the individual characteristics of some of the folks here. You know, Janice was a strikingly beautiful young mother. Angelina was also a mother who had just moved with her baby to Lancaster County. Daryl was a shy and simple man who loved to help others. Terry was an inventor, an entrepreneur, and his wife, Lucy, was an elementary school principal. Ray was a very successful businessman, also an inventor, who used his knowledge of water treatment systems to benefit many people around the

world. And Cornell was just a young fellow who was just starting -- on the night of his death, he had -- was performing as a rap performer in a contest and had won the right to do so. So all their lives and all of their deaths are very, very different and all their families are Janice's large, extended family, four generations now including her children, who were three and four at the time of her death, and who discovered their mother's body, they are grown up now with children of their own and they attend every single hearing that we hold. Daryl's family is small, just his mother, his brother, his sister-in-law. So, you know, they -- it's not as though there's a certain commonality. Angelina's family is raising the son that she left behind and they struggle with the words to try to explain what has happened in this case. He doesn't remember his mother or his mother's death. what can we say about her life to this child? They have varying education and income levels. They are mostly multi-racial families. Our county is quite diverse. And they come to us, the criminal justice system. They're trying to understand the complex questions of law and justice while grappling with the deeper issues of grief and loss that is sudden, violent and intentional. Some have never given any thought to the death penalty. Some have a general belief that it's the right outcome. Some have no

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position whatsoever. They prefer to focus on their loss and allow the law to punish the perpetrator. Some believe that it's the only appropriate outcome given the heinousness of the circumstances of the crime, and some are opposed for legal, moral or philosophical reasons, and some believe that it would be inconsistent with the life or the belief of the victims. So there's nothing I could ever say to generalize the opinions, feelings and experiences of families faced with this outcome. So why am I here? What is it I can say?

Well, we can say loudly and clearly and as often as possible in whatever forum that victims in this aspect, as in all aspects of the criminal justice system, that they need to be informed, they need to be heard, and they need to be present. They should not learn via the media that despite a trial, a separate sentencing hearing, multiple appeals and examination of the case by legions of lawyers, law clerks, and Judges, that it is all moot because nothing whatsoever is going to happen. Even if every detail regarding the facts, the law, the process, every bit of it is upheld, the sentence is stayed. They have waited for years, for decades, for the sentence of the Court but it will not come. Now there's nothing further to do but wait.

We can say that victims should also have finality of sentence. Their belief in the integrity of the system

should not be compromised by years and years of waiting in a suspended state to hear the outcome to interminable appeals.

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It may seem that the moratorium makes no difference. After all, one of the first and most certain things I say to families is that no one has been executed in Pennsylvania for 40 years unless they voluntarily abandoned their appeals. Even if the defendant chooses to follow that course, it does not mean that he will not change his mind and simply add another hill or two to the roller-coaster ride through the appeals process, which is exactly what happened in the first case referenced in our chart. We took a three to four-year detour through the appeals process while the defendant engaged in an exercise of deciding whether or not he wished to be executed.

I tell families that it's a quality-of-life issue; that the punishment of life on death row is significantly different than the punishment of natural life served in general population. But this is not the sentence that was given by the Court for the death of their loved one. The defendant was not sentenced to spend 23 hours a day in lockdown. The defendant was sentenced to death.

Closure is a myth. Families do not experience closure because a sentence is determined or because it's fulfilled. Their loved ones are not resurrected because

another person has been held responsible and accountable for their death. However, that does not diminish the meaningfulness of having some predictability about the process. Victims need to be informed, they need to be present, and they need to be heard. They need to believe that the offender has been held accountable for his or her actions. They need to have an assurance that this will not happen to another person at the hands of this offender and those needs translate into different desired outcomes.

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The fact that victims do not speak with one voice regarding the appropriate punishment does not give us the right to ignore or disregard them. We still have the responsibility to honor them and the lives that have been taken by support for their healing, by inclusion at every turn, and by consideration of their needs for justice. Thank you.

CHAIRMAN PETRARCA: Thank you. Ms. Storm.

MS. STORM: Yes. My name is Jennifer Storm. I'm the Victim Advocate of the Commonwealth. I want to thank both the Chairmans for allowing me to be here today to present testimony.

The Office of the Victim Advocate represents the interests of all crime victims in the Commonwealth. We support them, we inform them, we let them know of major events coming up in their case. We empower them with

information. Most importantly, though, we make every feasible attempt we can to ensure that no additional harm comes to them from justice system.

At the Office of Victim Advocate, we understand and respect that all crime victims have different opinions, even in the same cases, as you heard today. It is our duty and job to represent, respect and reflect them all. The OVA does not have a formal stance on the death penalty as we could not accurately respect, reflect, and represent the interests all of our clients if we did.

On February 13, 2015, our office had to make over 400 phone calls to families, friends and loved ones whose loved ones had been brutally murdered, tortured, raped, discarded on roads, dismembered, and ultimately savagely murdered. We called them to let them know that Governor Tom Wolf had issued a moratorium on the death penalty, thus halting an already slow, painful, and arduous path that each of them respectively had been traveling on for years and in many cases, for decades.

In the overwhelmingly majority of those calls, my staff and I did the most important thing we seek not to do and that is that we caused harm. We broke hearts, and we sat while listening to the tears and screams of many of our clients who could not understand why once again our office was calling them with news that was not news they wanted to

hear.

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As you can imagine, crime victims whose offenders are serving death sentences only get calls from our office when an appeal is filed, and that is on the very rare instance that we actually are informed of the appeal before the media is informed, when a warrant is signed and then again ultimately when the warrant is stayed. We make every attempt we can to answer their questions and ease their pain but our efforts are futile as we can't answer their questions. We can't ease their pain. They understand that every time the phone rings, it is just yet another steep or valley on the roller-coaster of emotions that is the death penalty in Pennsylvania.

It's not my role to debate the death penalty with you today, whether or not our system is broken or not.

What I am here to convey are the thoughts, opinions, and desires of the hundreds of hearts that are broken because justice in their respective case has not coming to fruition. Our office endured the hours of calls and heard various input from our clients. We felt strongly that we wanted to quantify their information, their feelings, their thoughts in a way that we could present to the Governor office as well as to the Legislature. Victims' voices matter.

In Pennsylvania, we have a rich history of

valuing the opinions and voices of our crime victims. When we're seeking policy changes that impact crime victims, we must understand the emotional and psychological impact that these policy decisions can have upon them. It is our duty to ensure our system is not inadvertently doing harm where we are seeking to do better.

To that end, our office did conduct a survey to the 418 registered crime victims that are registered within our system whose offenders are serving death sentences. We engaged the research staff of the Department of Corrections to ensure our questions were scientifically sound and that our results were analyzed properly. I'm here today to provide those results to you.

In March of 2015, we did mail out 418 surveys.

Of this total, 29 of them were returned as having inactive addresses. So for the remaining 389 surveys which were delivered, 156 of those were completed and returned. This is a response rate of 40 percent.

In an effort to keep our survey brief, we asked five questions with responses ranging from strongly agree to strongly disagree. We also provided space for comments at the end of the survey. You will find those comments in the actual report that we gave today. I'm just going to go through each question and then the results that we found.

Question 1: I support the death penalty.

Overwhelmingly, the respondents agreed with the statement, "I support the death penalty." 80.1 percent of respondents strongly agreed with that statement, and 91 percent either strongly agreed or agreed with that statement. Only 5.1 percent disagreed or strongly disagreed.

Question 2: I feel the sentence imposed by the Courts in my case should be carried out. Overwhelmingly, the respondents felt that the sentence imposed by the Court, which obviously is the death penalty in these cases, should be carried out. 87.2 percent strongly agreed with that statement; 93.6 percent either strongly agreed or agreed with that statement. Only 3.8 percent either disagreed or strongly disagreed. What's important to note here is that while some of the clients in question 1 stated that they don't support the death penalty, they still supported the sentence being carried out by the Courts.

Question 3: I had the opportunity to voice my wishes during the sentencing phase of my trial regarding the execution of the offender. These answers were more mixed. Approximately 60.7 percent agreed or strongly agreed that they had opportunity to voice their wishes while 23.6 percent disagreed or strongly disagreed. While more mixed, the majority of responses, near two-thirds of respondents, were that they had the opportunity to voice their wishes.

Question 4: I would support abolishing the death penalty if it means the offender would remain separate from general population, i.e., a version of death row would remain in effect and that the offender would receive a life sentence without the possibility of parole. This question was added predominantly because in the majority of the 400some calls that we made, the question of confinement continuously came up and came up and came up. What the families really wanted to know is that these individuals were not going to be taken off of death row and they would not be put in general population, and as Pam expressed, I spent ten years at the local level as a victim advocate doing the same work that she did and I can tell you in every one of these cases, it was confinement was the single most important factor for these families because we understood and knew that the death penalty doesn't necessarily always result in death in Pennsylvania but confinement was significantly important. Answers to this question were mixed as well. 63.2 percent disagreed or strongly disagreed with abolishing the death penalty under these circumstances whereas 28.4 agreed or strongly agreed with replacing the death penalty under these circumstances. While more mixed, the majority of responses, nearly twothirds, were that they would not support abolishing the death penalty if life without parole or a version - - and a

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version of death row replaced it.

The final question, question 5, there should be specific timeframes on the appeals process of death penalty cases. Overwhelmingly, the respondents indicated that there should be a specific timeframe on the appeals process. 91.6 percent agreed and strongly agreed that there should be a specific timeframe whereas only 3.9 percent disagreed or strongly disagreed.

Clearly, by these statistics that I'm giving you today, and these are obviously the voices of the victims in these specific cases that we're discussing today, overwhelmingly, there is support for the death penalty.

Overwhelming, there is support and a need for their voices to be heard.

One of the recommendations that our office would make, and I believe you'll find it in the Crime Victims

Alliance of Pennsylvania's recommendations is that it is a requirement for victims' voices to be heard at the penalty phase of sentencing when it is a death penalty case.

While currently in the Crime Victims Act; crime victims do have the right to give a victim impact statement at the time of sentencing, it's not an explicit right at the time of the sentencing phase in a death penalty case. That really ends up being the choice of who is put on to provide testimony or not. Many cases across the country do

allow for this, and in fact, Oklahoma allows that victims specifically can tell the jury whether they want the death penalty or not in that case, and that was a result of the Oklahoma bombing case. 80 percent, as I indicated, of those surveyed provided written comments. I've provided those for you today. They are completely unedited by our staff. So they are -- if there's grammatical inaccuracies or things that are incorrect, that is -- we did not want to modify in any way the voice of the victims. So we have those for you.

We do hope that the bipartisan Pennsylvania Task
Force and Advisory Commission on Capital Punishment takes
swift action concluding their report in a comprehensive
manner so that a resolution to this moratorium can occur
and that our crime victims are no longer held in legal
limbo as to the status of their offender's case. We do,
however, strongly feel that any policy decision made that
potentially have the ability to impact crime victims should
be fully inclusive of their thoughts, opinions, and voices
and at this time the composition of that Task Force is not
such that it fully compromises -- or fully encompasses the
voice of victims.

I do thank you for inviting me here today, and certainly Pam and I are both open to any questions that you may have.

CHAIRMAN PETRARCA: Thank you. Questions,
Representative Barbin?

REPRESENTATIVE BARBIN: Thank you, and I appreciate your testimony because it makes real clear that aside from the political correctness of whether we should have a death penalty at least for the people that are personally impacted, they've overwhelmingly said you passed the law, execute the law.

MS. STORM: Yeah.

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REPRESENTATIVE BARBIN: And thank you for this information and thank you for the -- if we're going to do legislation, whether the Senate Task Force does anything, we should be including a statement that allows the jury impact to come from the victims because, in general, a jury is allowed to always over -- just on their own decide to throw out the charges.

MS. STORM: Um-hum.

REPRESENTATIVE BARBIN: They have that right.

But juries don't understand what the power really is. It's their power to impose sentence and I think if we had that included in our death penalty and we changed the process so that justice wasn't delayed and therefore denied, that we would have a much better piece of legislation. So thank you for your testimony.

CHAIRMAN PETRARCA: Thank you, Representative

- 1 Barbin. Representative Stephens?
- 2 REPRESENTATIVE STEPHENS: Thank you, Mr.
- Chairman, and thank you both for being here and your
- 4 testimony.
- 5 This is terrific. I mean, my only negative
- 6 reaction is, I wish more than 40 percent would have
- 7 replied, you know, and that's certainly no reflection on
- 8 you but -- and I don't blame them, frankly, for just
- 9 wanting to set aside a system that has unfortunately
- 10 treated them poorly over -- through their experience.
- I want to just touch base on a little bit of the
- victim's role throughout the process and I know that we
- have statutory provisions that require Courts in all
- criminal cases to hear or receive victim impact testimony,
- 15 and I know you touched on a little bit about the lack of
- 16 any requirement for a victim to testify before -- it was
- just in the sentencing phase. Is that --
- MS. STORM: Yes.
- 19 REPRESENTATIVE STEPHENS: And there are States
- 20 | that do allow that?
- MS. STORM: Yes.
- 22 REPRESENTATIVE STEPHENS: Okay. As it relates to
- 23 -- and I know you mentioned the appeals process. We had a
- chance to talk about that and I told you I'll be drafting
- 25 | something along those lines in the near future, but as it

relates to this moratorium, could you expand on when did our victims find out that the Governor was unilaterally going to impose this moratorium? So when did our victims' families become aware of it? Do you know?

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MS. STORM: We became aware of it on -- around 7:30 the morning of the moratorium being issued. moratorium was made public, I believe, at 10 a.m. So we were given roughly two and a half hours heads up, and we literally phone banked, to the best of our ability, trying to call as many of our victims as we possibly could. put a statement on our Facebook page. We put a statement on our website. We put a statement out to the media. it was incredibly troublesome. Many of them ended up inadvertently hearing it from the media or from others. As you can imagine, we left a lot of messages. What was also troubling was it was a holiday weekend. So we knew that we weren't going to be in the office on Monday to receive a lot of the returned calls from the messages that we were leaving, so that put us in a little bit more of a challenging position but -- so they -- we tried to the best of our ability to give them a heads-up but we had a very small window.

REPRESENTATIVE STEPHENS: Do you have any idea whether -- so was it entirely put on you to reach out to the victims' families in this instance or did the

1 Administration connect with any of the -- are you aware, did the Administration reach out to any of the victims' 2 3 families themselves or was it entirely put on you to do 4 that? 5 MS. STORM: Victim information in Pennsylvania is 6 confidential, so any crime victim's phone numbers, 7 addresses, input, anything they provide to the Office of the Victim Advocate is confidential. So our office will 8 9 not and does not share that with anyone. So even if the 10 Governor himself called me today and said I want Terrence 11 Williams' victim's phone number, I would say sir, I'm not 12 allowed to give that to you, per the law. My understanding 13 is I believe that there were attempts to contact specific 14 victims. Those attempts were not successful because the 15 information was not readily obtained and we did not give 16 information out that was requested of us. 17 REPRESENTATIVE STEPHENS: Okay. But so it fell 18 upon you and you learned of it two and a half hours, and 19 you had how many people to notify? 20 MS. STORM: 418 registered victims. 21 REPRESENTATIVE STEPHENS: All right. Well, I can 22 imagine how those calls went. Thank you. CHAIRMAN PETRARCA: Thank you, Representative. 23

MS. SPEED: Hi. Just a data question. So it

Question from Sarah Speed.

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doesn't say on here who the survey was submitted to. Is
this exclusively to clients? How was that defined? Family
members? How far back in your files?

MS. STORM: It should have stated that it was sent to 418 registered crime victims. So in the Commonwealth of Pennsylvania, in order to receive services from the Office of the Victim Advocate, you actually have to register with us so that we can put you in our database and that allows us to make notifications. So it was the 418 victims who are registered with our office on death penalty cases. Sorry. So only those --

MS. SPEED: Exclusively death penalties?

MS. STORM: -- victims whose offenders were serving death penalty sentences, yes.

MS. SPEED: So would a registered victim, would that be -- I mean only the person like immediate family or how far back and broad is that scope?

MS. STORM: So in terms of how far back it goes, and we have cases from the 1970s, so, you know, we're working with victims whose, you know, offenders have been on death row for decades. We -- in terms of how that's defined, homicide survivor is a little bit broader. It's probably one of the broadest definition of victim in law, and it's the immediate family, loved ones. Whoever is kind of immediately impacted by that crime, those rights in the

Crimes Victims Act extend to those persons. So typically it's mom, dad, sister, brother, uncle, grandmother. It really depends upon the dynamics. Sometimes it's a guardian ad litem. It depends upon the situation of the person who was impacted and who is existing in their family.

MS. SPEED: Sure.

CHAIRMAN PETRARCA: Thank you, and thank you for your testimony.

MS. STORM: Yes.

CHAIRMAN PETRARCA: I appreciate your time. Our next testifier is Mark Bookman, Director of the Atlantic Center for Capital Representation.

MR. BOOKMAN: Good afternoon. We've crossed over. My name is Mark Bookman. I'm the Director of the Atlantic Center for Capital Representation. We provide training and consultation with capital defense teams in Pennsylvania and previous to that, I was in the Homicide Unit of the Philadelphia Defender Association from 1993 until 2010. So I appreciate the opportunity to testify here today.

I don't want to be overly repetitive because we've heard a lot. We've heard that there's been over 250 reversals and no involuntary executions since 1962. So there's a sense that you get that it's -- you know, these

overly zealous death penalty opponents that are saying oh, my, God, the system isn't working; it's a disaster. it's not overly zealous capital defense attorneys who are pushing this agenda. The Chief Justice of the Pennsylvania Supreme Court has said that the Pennsylvania death penalty That's the word he used. He's written is in disrepair. Law Review articles pointing out the failures of our system to work so that when the Governor says well, we have a problem here, we ought to take a good look at it, it's a little bit like the scene in Casablanca when everyone throws up their hands and says they're shocked to see gambling going on here. The system's obviously not working. I think a number of Representatives here have said that. When you have more than 250 reversals, when you have no involuntary executions in 53 years, when you have a Chief Justice saying that the system's in disrepair, it's reasonable to conclude that there's something wrong with our system and it needs to be looked at.

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Why is it not working? I'm going to suggest at least two major reasons leaving aside some of the other things that were mentioned. Bad lawyering is one of the most essential reasons it's not working. I don't want to just give you statistics. I want to give you some examples, recent examples, because there was a suggestion that things are better now than they were before. I'll

give you some suggestions -- some examples from this century and then a couple from just in the last couple years. A defense lawyer, and try to imagine when you're hearing these stories that your son, God forbid, is facing a capital -- a death penalty trial and this is your son's lawyer. Just try to imagine that. A lawyer argues to the jury that the phrase "an eye for an eye" only applies when you kill a pregnant woman. He fancies himself a biblical scholar and apparently in the Bible, there's a connection between an eye for an eye and the killing of a pregnant woman. So he argues to the jury that an eye for an eye only applies when you're killing a pregnant woman. forgets is that that's what his client was convicted of, killing a pregnant woman. So, of course, the Courts reverse that, yes, Representative Saccone. If it wasn't so incredibly serious and devastating to everyone involved, it would be humorous. Of course, the Courts have to reverse that. That's the sort of lawyering we're talking about.

Very recently, a lawyer prepared a case for two years, not realizing that his client was under the age of 18 when he was -- when the crime was committed, thereby making him ineligible to be represented. So the lawyer had the case for two years preparing a death penalty case when the client wasn't even eligible to be executed.

I'm working on a case myself right now where the

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defense attorney agreed to an aggravating circumstance that the murder was committed — the homicide was committed during the course of a felony. What the defense attorney did not realize is that it was not in fact a felony; it was a misdemeanor, so that that did not apply. This is the sort of lawyering we're talking about that has hindered the death penalty in Pennsylvania for 40 years.

I want to talk for a minute about a case that just came out of Philadelphia very, very recently, the <a href="Derek White">Derek White</a> case. Derek White received a death sentence. It was reversed by the Pennsylvania Supreme Court. He came back again for another death trial. Literally hours before that second trial was to begin, the Clerk, a one-year Clerk for the Judge, discovered that the aggravating circumstance on which this case had been based did not apply to the <a href="Derek White">Derek White</a> case, and so Mr. White is now serving life without the possibility of parole. Four defense lawyers had that case, did not realize that the aggravating circumstance did not apply in the case. We're talking about a waste of time for victims, for defendants' families, and for the Courts themselves.

Let me talk for a minute about the Terry Williams case. The question is asked, you know, is he the worst of the worst, and I know that there are some Representatives who want to ask questions about this. There's some

questions as to what the Court did and didn't do because the Court didn't rest on the fact that Mr. Williams was the worst of the worse or that the evidence of sexual abuse was not relevant. What it said was, that they applied timing rules to it so that the argument was that in 1998, he made an argument about the fact that he was sexually abused. I'm getting a little ahead of myself; I'll explain that in a second, and then the Court said, well, it was raised in 1998 so we're not going to entertain it again in 2014. That's what the Courts actually held, the Pennsylvania Supreme Court.

But here's what I'm going to say to you. You ask yourself if Terry Williams is the worst of the worst. He was barely 18 years old at the time he killed a man who was sexually abusing him. Now, I'm speaking before Professor Blecker. I don't want to speak on his behalf, but I've read Professor Blecker's material. I don't want to mislead this Committee into thinking that I agree with him. In many, many ways I don't agree with him. But you should ask him if those circumstances would make Mr. Williams the worst of the worst. I think most of us would say that he's not the worst of the worst, a man who's barely 18; you're barely eligible for the death penalty, who kills someone who's been sexually abusing him, an adult who was paying him for sex when he was a juvenile. Anyone would consider

that sexual abuse. So is Mr. Williams, is Terry Williams the worst of the worst? Is our system really working to funnel the worst of the worst? I would suggest not.

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Consider this. Mr. Williams met his lawyer the day before his capital trial. Again, remember, this is your son, God forbid, is facing a capital trial. His lawyer meets him the day before trial; is subsequently disbarred. Is that the sort of representation that we want when we're trying to decide who is, in fact ,the worst of the worst? Who, in fact, should be executed? I suggest not.

We've talked some about the victims in this case, and I think Mr. Dunham makes the most important point, which is there is no monolithic perception about what victims feel and don't feel, and that's important for us to remember. In the <a href="Terry Williams">Terry Williams</a> case, the spouse of the victim has long advocated that she does not want Mr. Williams executed. In fact, she accused some people of political opportunism in suggesting that she did want him executed when she had been very clear on the record saying that she didn't.

I want to address some of the things that were said by the District Attorneys in the beginning because the suggestion was that this is all old news and that we have improved the representation in these cases. I profoundly

disagree. I've already given some almost humorous suggestions of bad lawyering, but I also want to point out something else that's important and that we don't consider very much. Consider the prosecutor's role in these cases. In the case where the felony was actually a misdemeanor, the prosecutor didn't know it either. He assumed that the felony was in fact a felony when it was a misdemeanor. The prosecutor is the one that sought the death penalty and filed Death Penalty Notice for the young man who was not 18 at the time the crime was committed, the fact that the aggravator did not apply and that the four defense lawyers missed it and it took the Judge's Clerk to realize that that aggravator did not apply in that case where there was a prosecutor who was there for two entire trials and the prosecutor's office in Philadelphia sought death against that young man.

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So, you know, we can certainly say that the defense attorneys handling these cases are not doing a competent job but we should also look at the prosecution and the sloppiness in which they are seeking the death penalty. I think these are both profound reasons why we're having so many problems, so many reversals, so few involuntary executions.

We talked a little bit during the course of this morning about whether we should keep the death penalty. If

we don't have the death penalty, then the next group of "zealous" defense attorneys are going to go after life without parole. I don't think that that's a good reason to keep the death penalty. The death penalty is not used as leverage to make defendants plead quilty or waive trial or whatever. That would be an unconstitutional use of the death penalty. We don't decide we're going to keep the death penalty because if we get rid of the death penalty, then we might have to then look closely at life without parole. We don't keep the death penalty as a public policy because of the incredibly rare number of escapes of lifers. The two men that escaped from New York, they were not under a death sentence. They did not receive a death sentence. The fact that they escaped has nothing whatsoever to do with the death penalty. I don't think anyone here is going to advocate that we take everyone that's in prison serving a long term of years and execute them to make sure that no one escapes. I don't think anyone is advocating that. That's not a reason for us to keep a public policy that so far for 40 years has failed.

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I want to suggest that this is not just a Court problem. Our Courts are not runaway Courts that are willy-nilly reversing death sentences. Mr. Dunham pointed out that the State Courts have reversed more cases than the Federal Courts by a significant number. But there's

something more important than that. When we were litigating the fees paid to Court-appointed counsel in Philadelphia, we took a careful look at the last 25 cases that had been reversed in the Philadelphia Court System. Of the last 25 death penalty reversals; this dates back to 2012, 60 percent of them had been agreed to by the District Attorney's Office. 15 out of 25, the District Attorney had conceded needed, at the very least, a new penalty phase 

based on the ineffectiveness of Counsel.

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So this is not zealous advocates pushing an agenda. This is the Chief Justice of Pennsylvania. This is the District Attorney's Office in Philadelphia and in a lot of other places as well. I think that this has been a failed public policy. I do have some suggestions for ways that we could at least rectify the problems that I've identified. If this panel has questions about that, I'm glad to go into that and that's what I have to say today, and I appreciate your time in hearing from me.

CHAIRMAN PETRARCA: Thank you, Mr. Bookman. I certainly appreciate your testimony. In your written testimony, do you have your recommendations included in that testimony?

MR. BOOKMAN: You know, Representative, I'm not 100 percent sure I do. I know it's been discussed. I'll say very briefly, it's been discussed that State regional

offices will raise the level of -- I mean, assuming that everyone wants lawyers that know what their clients have been convicted of, assuming everyone wants a lawyer that knows how old his client is or whether a felony is a felony or a misdemeanor, we can improve the quality of that representation by bringing in State-funded and Stateorganized and State-centered Counsel that are experts in this field. I don't think you have to be an expert to know how old your client is or whether a felony is a felony or a misdemeanor. But it's been proven in Virginia, a State that executed 86 percent of the people that receive death sentences, that when they brought in these regional trial offices with lawyers that were competent; they don't have to be dream team lawyers, they don't have to be highly paid, they just have to be competent lawyers, when Virginia brought in regional offices of competent death penalty lawyers, death sentences plummeted and executions plummeted. There's only one way to look at that, and that is that, as Mr. Dunham said, lawyers matter.

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And I'll tell you one other thing. If you look at the Rand study, the Rand study was cannibalized into the Yale Law Journal. It was a study of homicide cases, 20 percent handled by the Defender Association in Philadelphia, 80 percent handled by the private bar, the private bar under-resourced, undertrained. The Defender

Association, competent Counsel, competent Counsel on a salary, right? What the Rand study found is that; I may get these statistics slightly wrong, 61 percent less likely to be convicted of first degree murder if you're represented by the Defender, 19 percent more likely to be acquitted, 24 percent lower sentences by the Defenderrepresented lawyers, no death sentences. But here's what should be important to you. The Rand study found that competent representation saved over \$200 million in excess incarceration costs. That's a staggering amount of money over an 11-year period. The point being that if we provide competent representation, we can save the taxpayers a huge amount of money. I really encourage everyone to read the Rand study, which is in the Yale Law Journal. If someone asks me for it, I'll be glad to email it to you. That's the bottom line. The bottom line is, it's not zealous representation. It's good, smart lawyering and public policy that can save a lot of money for the taxpayers.

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CHAIRMAN PETRARCA: I appreciate that. I think often times we see that anyone, maybe someone who is convicted or if their trial does not go their way, then of course the claim of incompetent Counsel is raised.

MR. BOOKMAN: Of course.

CHAIRMAN PETRARCA: And obviously that's the other side of what you're saying, but I certainly do

1 understand.

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MR. BOOKMAN: Yes. I'm not telling you that
we're going to eliminate the claim of ineffective
assistance of Counsel. I am telling you that competent
lawyering will dramatically lower the success of those
claims. We do not have runaway Courts in Pennsylvania. As
I pointed out, the State Courts have reversed more than the
Federal Courts that District Attorneys have conceded relief
in over 60 percent of the cases, just in a small section
that we looked at. We're not talking about runaway Courts
here. We're talking about lawyers that don't know how old
their clients are, don't know felonies from misdemeanors,
don't know what their clients were convicted of. We're not
talking about, you know, some dream team defense. We're
talking about competent lawyering here.

CHAIRMAN PETRARCA: And in Virginia, you mentioned Virginia, that is State-funded regional offices?

MR. BOOKMAN: Yes.

MINORITY CHAIRMAN PETRARCA: Thank you. Representative Barbin for questions.

REPRESENTATIVE BARBIN: Thank you, and I appreciate your testimony. I question your conclusions or how you get to your positions.

Regional Counsel does make sense, or at least version of -- someone from maybe the State Attorney

General's Office who can ensure that the aggravating factors are there and the mitigating factors are there, and the same thing with the Defenders Office maybe and maybe we would save money, that \$220 million you're talking about.

I also doubt that it's \$220 million because you're assuming sometimes people who get off on procedural issues and are let out of prison are somehow a savings to the taxpayer.

They're not.

MR. BOOKMAN: I'm --

REPRESENTATIVE BARBIN: Wait. Just let me finish.

MR. BOOKMAN: Okay.

REPRESENTATIVE BARBIN: Let me finish. The idea that we should have competent Counsel, good idea. The idea that we should have less death penalty cases to ensure that before we start the whole process with them that the death penalty factors are there, that makes a whole lot of sense. But it makes no sense to say because we have some death penalty cases that are overturned on appeal or more death penalty cases are overturned on appeal at the State level of the Federal level, none of that matters because to the person who has been strangled or the person who has had their throat slit or has any of those other aggravating factors, they have a right under the law to have the law executed quickly and fairly, but the bigger problem isn't

how long this takes. For the defendant, it's how long this process takes for the victim, and you assume because we have mistakes in the system, because the Chief Justice said that it's in disrepair, that means we should throw it out. We should not throw it out. We should fix it and allow the law to go forward in the way that the public policy of the Commonwealth, as expressed by the Legislature and the Governors at the time, said to carry it out.

You think we have too many death penalty cases, too many people that aren't qualified to defend defendants, maybe that's what we should change. But it shouldn't have any impact on having a death penalty in Pennsylvania or carrying it out when it's appropriate.

MR. BOOKMAN: Well, okay. I don't want to argue back and forth about what, you know, reasonable people can disagree about. I do want to correct one thing. The money that the Rand Corporation is talking about, that study, was not about reversals or anything else. They're talking about the extra years of incarceration by ineffective lawyering that results. Ineffective lawyering does not result in people going free all over the place. It results in people getting death sentences that shouldn't be getting death sentences like someone who's not of age or someone who's -- where the felony is actually a misdemeanor or the lawyer who makes the argument that makes some of us smile

in front of a jury. Ineffective lawyers don't set people free; they put them in custody longer than they're supposed to be. So the Rand study finds that that kind of ineffective lawyering costs more than \$200 million in the extra incarceration time, the length of incarceration. The Allentown Morning Call found that every death-sentenced inmate is \$10,000 more expensive per year than a man serving life without parole. So we're talking about those

REPRESENTATIVE BARBIN: Except here's what you're doing. Here's what you're doing to us. We have a system that doesn't work in the appellate process. You're saying because a national study may save \$220 million, not its effect in Pennsylvania ---

MR. BOOKMAN: No, it ---

sorts of costs, not ---

REPRESENTATIVE BARBIN: --- that we should change our system or get rid of the death penalty when what we really need to do is to say whatever it costs for somebody to be properly tried, for a Judge to properly sentence, for appeals to be properly -- I don't care what that costs, and that's not your decision or the Rand study or anybody else's decision. It's the public elected officials that say we're going to have a death penalty and we're going to execute it.

So your statistics, I really don't care about

them because you can't put a price tag on somebody being on death row because they're taken off the street and now they're protecting everybody else who's left. So the numbers are -- this is a red herring. If you have people that aren't in jail and shouldn't be in jail because of the process, let's change the process. But stop talking about the money and stop talking about how many statistically significant appeals were thrown out for this reason or that reason. Correct the reasons. We pay for the costs because we don't want people like that on the street. I just think your testimony on statistics is really a red herring.

MR. BOOKMAN: Sir, the Rand study took place in Philadelphia.

REPRESENTATIVE BARBIN: I don't care about the Rand study. It's not Pennsylvania.

MR. BOOKMAN: It was a Pennsylvania study. I'm not making it up from Idaho. It happened in Philadelphia.

REPRESENTATIVE BARBIN: Okay. We're not getting anywhere here. I appreciate the fact ---

MR. BOOKMAN: That's fine.

REPRESENTATIVE BARBIN: --- that you think we need additional services at the defense level. That's makes some -- that's helpful. The rest of your conclusions I don't believe are accurate or are based on any other statistics and the kind Mark Twain said are at a lower

level than two other categories.

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CHAIRMAN PETRARCA: Thank you, Representative.

Representative Saccone.

REPRESENTATIVE SACCONE: Thank you, Mr. Chairman, and thank you for your testimony, but I have to just point out that your only - - and various times that I was able to decipher what you were saying, you were only presenting part of the story which is it's not good. It's not good lawyering. It's not good academics. It's -- even -- and I don't want to try the case in here or anything, but even when you were taking about Terrence Williams and you talked about the first case but you didn't talk about the second murder where he lured the guy into the cemetery, made him strip naked and stuffed his own socks in his mouth so that he didn't have to hear him screaming for mercy as he beat him to death with a tire iron, and then to say that somehow well, this guy, you know, he didn't get the right, you know, lawyering and he didn't get this or that, it doesn't carry much weight. You've got to tell the whole story.

But my thing I want to impress upon you is that when you said that it's not overzealous advocates that are out there talking about the death penalty, you know, it's the people within the system, well, it does appear that it's overzealous advocates that are calling for this because of what Representative Barbin said. We all want

good Counsel and so forth. Those things we can correct, but you're never satisfied with that. You always -- no matter what we -- we could have the most heinous crime that if a guy walks into McDonald's and starts shooting it up and we wrestle him to the ground and we have him right there and you'd say no, we don't want the death penalty for that one either because for whatever, whatever reason you'd find, so you just want to throw the baby out with the bathwater, so to speak, because you don't want the death penalty. You're not willing to say that there are cases where we absolutely know this is the right man and we want to execute the death penalty, the law of the land, but you won't be satisfied with that, and that takes credibility away from the argument. Do you want to comment on that? MR. BOOKMAN: Well, you know, I don't know if you're asking me, you know, should Adolph Hitler have gotten the death penalty. I mean, what I'm saying is that when we've had over 250 reversals and no involuntary executions, it doesn't take a brain surgeon to understand that our system isn't working properly. You know, I agree. You know, you listed some Terry Williams facts. None of those facts change the fact that he was barely 18 at the time and killed his sexual abuser. Now, I didn't say other

than that, and I'm not trying to. I don't want to -- you

I don't

know, I think everything I said was accurate.

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think that someone who is barely 18 who kills his sexual abuser under any set of circumstances qualifies as the worst of the worst people that we're trying to target.

That's all I'm saying. And I think -- you know, I don't want to throw a bunch of numbers here. That's why I told those specific stories, all of which happened, you know, in the last ten years. I'm not talking about something from the 1950s. I'm simply saying that our lawyering is bad, the fact that our District Attorneys are not scrutinizing these cases carefully is problematic, and that the facts speak for themselves. The reason we're here is because of all of the reversals and no executions. If those facts weren't those facts, none of us would be here today. So I don't think I'm saying anything all that controversial, frankly.

REPRESENTATIVE SACCONE: Thank you, sir.

REPRESENTATIVE BARBIN: I'd like to say something. You're going to say the facts. The facts are, this wasn't his first murder, okay? He had murdered someone before.

MR. BOOKMAN: Yes, he had, when he was 17, he murdered --

REPRESENTATIVE BARBIN: Well, then when you go with this idea that somehow an 18-year-old, because he's a couple days over being 18 is somehow less culpable, he's 18

and he murdered someone before. So don't say he's not the worst of the worst. He is. And also, don't say that he had proved that there was some sort of sexual molestation because none of that has ever been other than a statement that you make, and defense lawyers come up with those statements to somehow put their clients in a better light. I don't take that as gospel.

CHAIRMAN PETRARCA: Thank you, Representative Barbin. Mr. Bookman, thank you for being here. We appreciate your time and testimony.

MR. BOOKMAN: Thank you.

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CHAIRMAN PETRARCA: Next, we have Professor Robert Blecker from the New York Law School.

MR. BLECKER: Thank you. I appreciate this opportunity. Since my name was already invoked about Terrence Williams, let me make things clear. I was just met by Mr. Bookman and I had not met him before and he said if someone kills their abuser, sexual abuser, would you count that as the worst of the worst and I said no, if that's it and nothing else. So I made it clear at the time and would like to reiterate, I did not study the case. I do not know anything about the case. I'm now told that the first time his sexual abuser came up as his victim was ten years later, that in his first testimony he never even mentioned that his victim was a sexual abuser. So please

do not invoke my name concerning this case about which I'm ignorant.

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What I do have a certain knowledge of in which I hope -- and what I hope will be helpful are in two respects: One, who are the worst of the worst? How can Pennsylvania change its system and refine it, not about process so much but about substance? The question of aggravating circumstances came up.

Let me give you a sense of my background. I've spent thousands of hours inside prisons, maximum security prisons, and on death rows in seven States. Well, actually, now it's eight States because the last two days anticipating that I'd be testifying before you and fearing that someone would say well, is it relevant; has your experience been duplicated in Pennsylvania, with the approval of Secretary Wetzel, I've spent the last two days inside Graterford Prison to see whether the experiences that I've witnessed are relevantly similar in Pennsylvania; that is to say, the life on death row as compared to the life in general population, as compared to the life of those who are serving their time in disciplinary segregation.

I was given carte blanche to interview officers with a directive saying that they should speak to me candidly. I was allowed to interview killers who are

serving life and killers who are on death row with the dictate that the officer stay outside of any reach, ear reach, so that they couldn't hear what was going on, they could just visually protect me in case I needed protection. I was allowed to go anywhere inside Graterford at any time I wanted, see any part of the prison operation, talk to anybody, and stay there for as long as I wanted.

So I'd like to respond to some of the issues that have come up. The first part is reflected in my written statement. The second part is not because this is the result of the last two days inside Graterford and I didn't want to put in my written statement that which I've learned and which is reflected in a book that I wrote that was published recently called The <a href="Death of Punishment:">Death of Punishment:</a>
Searching for Justice among the Worst of the Worst.

I begin and end with the proposition that the punishment should fit the crime and I take it that that's common ground. I also begin and end with the proposition that we can tell the difference between the worst of the worst and less serious criminals and that we can adequately reflect on that and so the statement was made earlier by one of your colleagues that the 18 aggravating circumstances represent the considered wisdom of the people of Pennsylvania and after deep reflection. I would suggest they do not. That, in fact, one of the things that this

committee should be proposing is a refined death penalty statute. Now, I know that there's supposedly a Study Committee Meeting from the Senate. I do not know of any witnesses they've called who favor the death penalty, as I do. Maybe they have called some, but none of my colleagues have been called that I know of. I certainly have not been called. And my guess is if that experience replicates what went on in New Jersey and Connecticut and New York where I've testified before Death Penalty Study Commissions, it's basically predisposed to call for an end to the death penalty and will not even consider changing it, amending it, refining it morally. Well, I would urge you to take that responsibility and do that, and I won't go through in any detail. I just want to spend a minute or two and then get to the part that's not in the written statement about what life is like for convicted killers who are serving life both on death row and inside general population and to answer two of the questions that came up earlier in the questioning period.

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For example, what do we do with a lifer who kills again? Don't we need the death penalty? And also, isn't life without parole worse than death? The answers to those two are actually deeply connected. The answer is no, life without parole is not worse than death. It's not even close. But the answer that they are otherwise

undeterrable, are they deterrable, the answer is yes, lifers are deterrable and the two reasons are connected.

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First, in terms of proposed -- it's reflected very briefly in the written statement and the book itself, The Death of Punishment, gives you a model death penalty statute based upon thousands of hours inside prisons across the country over 30 years now. It's one of the largest, maybe the largest study ever conducted. But some of the things I would ask you to rethink in your aggravating circumstances, and some of them will make it broader and some of them will make it narrower, the death penalty, really to get to the worst of the worst. Among the categories of people, for example, that aggravate of the victims are law enforcement, and I understand that police, Judges, prosecutors. You've left out one that's critical, and it's startling and may be unusual but it's critical, jurors. Anybody who kills a juror in retaliation for the verdict, a juror is the purest person doing the citizens good. Jurors are left off there. They should be added. Killing a witness is an aggravating circumstance, appropriately, but it depends upon -- and one relies upon prosecutorial discretion to weed this out. My view is, not on the basis of protection or deterrence, which I reject. I'm a retributivist. For me, the reason we have, and the only legitimate reason we have the death penalty in the end is because some people deserve to die, and we have an obligation to kill them. But so if we're really focused on the worst of the worst of the worst, I would distinguish, and I would like the statute to distinguish, between killing an innocent witness and killing a snitch. to say, if you go on a robbery and one of your co-felons gives it up to the police, well, we want them to do that and they deserve witness protection, but if you kill that person, you're not in the same moral category as if you're the robber, at least the ones I've come across, and you're in the midst of the robbery and you look up and you notice that someone's looking at you outside the window, from a window, and you go let's see, that's second story, third apartment over, and you break down the door and then go kill them in order to eliminate him as a witness or you eliminate your very victim, robbery victim, as a witness, that's in a different moral category and all other things equal, that deserves death, whereas killing one of your cofelons who understood what the rules of the game were is not, and so I would distinguish that in the statute.

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I would definitely eliminate the drug-dealing aggravator. This may not be popular, but the fact is, once again, if you're in the game and you know what the rules of the game are and you kill a drug dealer, it does not in and of itself, in my view, appropriately aggravate. That

connects, by the way, with the question of race because one of the reasons why there's a disproportionate number of African-Americans on death row who are committing deatheligible crimes does not go to prejudice. It goes to the definition of the crimes themselves. Race correlates very closely with class. Class correlates very closely with drug crimes and robbery and so if you eliminate -- and that's another thing and a key thin, my view is you should eliminate felony murder entirely, but you should definitely eliminate the felony aggravator. Because you've killed in the course of and in furtherance of a felony does not in and of itself and should not make you death-eligible. By the way, I would definitely keep rape as an aggravating circumstance but not because it's a felony but because it's torture and torture unquestionably belongs as a moral aggravator.

I would also add, because this is crucial in determining who the worst of the worst of the worst are, the motive of the killer. I would add the bias aggravator, which is absent from Pennsylvania statute, and in my view really belongs there. That is a person who commits a hate crime, who kills because of the victim's race, religion, national origin, sexual orientation, or gender identity, all other things is worse than someone who does not do that. That should be an aggravating circumstance.

And then in terms of the child under 12, yes, I agree, but that's too narrow. It should be broader. It should be killing especially vulnerable victims, that which should include the elderly, which Pennsylvania does not presently include. It should include people who are mentally or physically disabled. People who prey on vulnerable victims tend to be cowards. By the way, they also tend to, once captured, be the most compliant and best behaved when confronted with overwhelming State power, which will get me to the second part of my comments, which have to do with what's life like inside for those are spared the death penalty or those who are given it.

Two quick other points and then I'll move to that, which is -- this is the closest thing I'll get to talking about process unless you ask me about it in the questioning period. I think your burdens of persuasion are not adequately thought out, though they're very standard across the country and we heard earlier the statement about proof beyond a reasonable doubt from the prosecutors, and they're right, is the maximum burden of persuasion presently. The United States Supreme Court has held in <a href="Kansas v. Marsh">Kansas v. Marsh</a> that the Constitution does not command a burden of persuasion any higher in the penalty phase or any different in the penalty phase that it commends in the guilt phase. That may be true as a matter of

constitutional law. That is not true as a matter, in my view, of moral fact. I believe that the burden of persuasion should be different as death is a different punishment in kind than any other. The burden of persuasion should be different in the penalty phase than it is in the guilt phase. The guestion is different. question in the guilt phase is did he do it. The question in the penalty phase is does he deserve it. And in order to answer that question properly, in my view, the jury should be instructed that they may not have even a lingering doubt, which is a doubt that is not strictly rational but it's real. Something in their gut just isn't sure. They're certain enough to convict and they properly did convict of murder because they're convinced beyond a reasonable doubt. Nevertheless, they still have a real doubt. It's not rational. They can't give a reason for it, but it's real. It's sometimes called the residual doubt; it's sometimes called the lingering doubt and in my view, if a juror has that residual doubt or lingering doubt, though he or she properly convicts of first-degree murder, he or she improperly sentences to death.

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And then finally, I would alter the burden of persuasion in the penalty phase to say that not only must you have no lingering doubt but you also have to be convinced to a moral certainty that he deserves it and

that's on a different nature than strictly rational. That is if a jury that's already been appropriately selected as a death-qualified jury cannot say to a moral certainty that they believe that death is the appropriate punishment, then they ought not to have it.

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I would also add the word "clearly" to the aggravators outweighing the mitigators. Some States do it; some States don't. Again, that's giving the benefit of the doubt to the defendant. On the other hand, I would eliminate the requirement of unanimity on the part of the jury. There are what we call stealth jurors, as many of you know. That is there are jurors who go through the process who say that under the right set of circumstances, I will in fact give the death penalty and they're lying; they simply won't. And because it's an enormous advantage as it's come up in the hearing so far that it only takes one, you know, that's been said two or three times, and of course, that's right. It only takes one holdout juror who may be a stealth juror who will not listen to the evidence. That happened in one of the post-9/11 cases in New York where the jury in a Federal case came back 11-1 with Moussaoui -- I think it was Moussaoui, I may be wrong of the person, came back 11-1 for death. It was clearly qualified as death under any reasonable interpretation, and that was a single stealth juror holdout. I personally

would have 10-2 or 11-1 verdicts for death-be-death verdicts on the grounds that it represents the clear consensus of the jury and that every other advantage still remains to a great degree with the defendant.

Okay. So much then for -- I mean, that just scratches the surface. Again, if you're interested on the basis of 30 years of probing this on what a model death penalty statute would look like, I included it in my written statement. It comes from the book, The Death of Punishment. It's Appendix, I think, B, from that book.

Now I want to talk about stuff that's not in the written statement and respond to some of what's gone on, because here I do bring a certain expertise that I hope will be helpful to you in terms of what is life like inside prison, and specifically what is life like inside Pennsylvania's maximum security prison at Graterford.

Now, I haven't toured all the prisons; I've only toured one, but there' something important about

Graterford. Number one, Graterford is a large prison. It is a maximum security prison. It draws principally from Philadelphia. It is also only one of two prisons in Pennsylvania that has death row, which I toured, so there are only going to be two, and it also gives you the chance to contrast what life is like, and more importantly, what I discovered is after those 15 hours with extraordinary

access to both prisoners and officers is I could sample it and it would become a reliable sample because I heard the same thing that I've been hearing and seeing for 30 years inside prisons in other States.

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The first thing is, if you look at the Mission Statement of the Department of Corrections in Pennsylvania and every other State in the United States, you will not see the word "punishment" in any Mission Statement in any Department of Corrections in the United States or the Federal Government. And then when you talk to the members of the Department of Corrections, and I had occasion in these last two days to talk to the Deputy Administrator, to talk to the Major and to talk to several of the line officers down there inside the prison themselves, and I asked what's your goal, what are you trying to accomplish, and from the top, it's basically this: Safety. I went right from the top and said I want my officers to be able to come into the prison safely and get out of the prison safely at the end of the day; I want to keep the people safe, people out here in Pennsylvania safe from these guys, I want to keep the prisoners safe from each other, and I want to keep staff safe from the prisoners. Safety, safety, safety. And then I asked them, do you know what these guys did? Do you know the killings they committed? Do you know the crimes? And then you get two answers, yes

or no, but you get one answer about the relevance of that, either I don't know; I make a conscious effort not to know, or I do know but I don't let that affect any part of the way that we treat them once inside.

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So their mission is safety. It's not at all punishment, and they say that with one voice. Consequentially, let me tell you a little bit of what life is like. You know, the question came up earlier, some people are saying -- I know it came from a supporter of the death penalty who's not here right now but some people are saying that life without parole is worse than death. me tell you what life without parole is like for the people in Graterford. I saw it over the last two days. On death row, they're in their cell for 23 hours a day and they do not have contact visits, but even that, by the way, solitary confinement; you heard the phrase "solitary confinement," it's solitary confinement in the sense that it's single-celled. It's not solitary confinement in the sense that you don't talk to other people during the day. The cells are open bars. You can communicate with the mirror. You can talk to the people on either side of you, and during rec you can talk to people, and in fact, death row has a basketball court that I was on yesterday, and it allowed -- two at a time are allowed on that. Most of the people on rec on death row are in single cages, but there

is this basketball court.

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But let me contrast that to the lifer, life for the lifer. Let's talk about a well-behaved lifer, the typical coward who preys on vulnerable victims, who rapes and murders a child and does not get the death penalty and gets instead life in Graterford Prison. What's his life like? Well, if he's well behaved, he's going to be on A and B block. If he's less well behaved, he'll be on C and There are slight differences that I'll go through in just a second, but let me tell you their essential life. You know how much they're out of their cells either working or engaged in playing, in recreation? They are out of their cells essentially from 6:30 in the morning until 9 p.m., 6:30 a.m. to 9 p.m. They are either at their jobs, and I went to Industry and I went to the Barber Shop, and in the Barber Shop, I couldn't tell the difference between a Barber Shop and prison, and by the way, they're skilled They're inmate barbers but they go through a barbers. sustained procedure in order to get licensed for it. Or they're in the day room playing cards, playing chess, showering, telephoning 6:30 a.m. to 9 p.m. Now, you ask, you know, are the doors locked? Well, the cell doors on C and D pod, not the honor pod, not A and B pod; by the way which contain hundreds of lifers, let me assure you. They're nothing but an isolated situation. Hundreds of

lifers are living there indiscriminately with non-lifers. Guys who commit burglary or guys who commit murder and are sentenced to life are living together under the same conditions. In A and B block, the honor block, they open their own cell doors when they want, and furthermore, they can lock their cell doors for their own safety or to secure their valuables because they don't want anybody coming into their cells so they open and close their doors at will. They are out essentially, except for a few minutes for a couple of counts, they're out essentially from 6:30 a.m. to 9 p.m. Then after 9 p.m., you might imagine it's lights out, but no, it's not lights out. It's just they're confined to their cells at that point. They have cable television, which can stay on all night. There's no lights-out policy. You can watch TV all night. I also went to the Commissary where you go once a week with a maximum -- I have it written down, I think it's \$78 a week worth of commissary. The bags, the guys are walking out with these huge bags of goodies from the Commissary. And by the way, for all these guys who are never going to see the light of day including death row, if you never see the light of day on death row, how come the commissary list, which I have here, the death row commissary list because I asked for it because I know what to look for, how come the commissary list includes suntan lotion with an SPF factor

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of 30 on it for people who never see the light of day? I wonder why you need suntan lotion with an SPF factor of 30.

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But that's even more so, the volleyball court, which is sand, is also labeled "the beach" where guys hang out and get suntans. I could not believe when I went out on the great yard, the great yard in Graterford is the size of about three football fields. I watched a softball game. There's an A league and a B league. The A league is the equivalent of really good, good softball, and they're into it. During those hours that they're playing softball -- by the way, there's an all-star game. There are guys keeping statistics. There are umpires in uniform. The statistics are posted every month. There are playoffs at the end. So the softball game's going on at a remote corner hundreds of yards away. There's a basketball game, the quality of which was really pretty good going on. There are volleyball games going on. There's bocce going on. There's weight-lifting. There's handball, 6:30 a.m. to 9 p.m.

Now, some of the time they're at their jobs.

Now, in terms of lifers, you know, you might think that those would get the best jobs who committed the least serious crime. It's just the opposite and this is true not just of Pennsylvania. This is true across the board. The people who run Industry would choose to give the lifers the

best jobs because they are the most reliable, they are the most dependable, and so they work their way up pretty soon to the best jobs. So ironically, the people who commit the least serious crimes on the outside have the best lifestyles on the inside in Graterford.

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Now, the Department of Corrections is somewhat remedying that somewhat because of the new Secretary, Secretary Wetzel, is apparently committed very much to rehabilitation and has come to realize that if you allow the best jobs in industry to go to the lifers and they're never getting out, you're not really serving rehabilitation. So the new rule from the Department of Corrections is no more than ten percent of industry may be lifers, thus they're not -- I went to the Weaving Industry yesterday, and the guy in charge of it was saying you know, I wish I could take more lifers but I have way above my quota of ten percent so I can't take some lifers for a while but I look forward to the time when I can and once again have the lifers because they are reliable. By the way, if you're in A block and B block, you can smoke outside. It is a smoke-free facility but you can smoke Oh, not only that, I couldn't believe it. outside. the great yard yesterday there's an ice cream stand and you can buy ice cream. Remember who we're talking about, hundreds of guys who were convicted of murder and spared

the death penalty. And the flavors rotate. The flavors yesterday were strawberry shortcake, chocolate chip cookie dough, birthday cake, and banana daiquiri water ice, two scoops for a dollar.

So I mean, I could go on and on and on. I guess another thing you might be curious about is, I went to the library to see the kinds of books that the library stocks, and again, I mean, I would be astounded except that I've seen it also in Tennessee and I've seen it in I forgot what other State. Believe it or not, they have a true-crime section, a true-crime section; think about that, in the prison library for convicted murderers. Some of the books available — these weren't the most popular ones right now because they were on the shelves, they weren't taken out, but I wrote down some of them: A Rip in Heaven, a Memoir of Murder, I Did It: Confessions of a Killer, Death Scholarship, Run at Destruction: A True Fatal Love

Triangle, Death Benefit, and the librarian told me that the mafia books are especially popular.

So the point about this is, for one, the answer is, is there any way to deter lifers from killing again.

The answer is yes there is. There's an easy way, and that is threaten them with the loss of this lifestyle, which is what's going on. There's very little murder going on among lifers. You should not retain the death penalty under the

illusion that there is no other way to keep lifers in check. First of all, that's not a retributive -- it's not, in my view, about justice. But second, because the United States Supreme Court has made it clear, and rightfully so, that incapacitation alone cannot constitute a legitimate reason for the death penalty. It must only be deterrence or retribution. We have the ability to construct prisons that will keep us safe and we have the obligation to do that. Lifers can be deterred. I would eliminate the lifer aggravator. There are sets of circumstances in which lifers will kill again inside the prison and I understand and it doesn't make them the worst of the worst. threats are constant. Take away their privileges and transfer. Do you want to know what the lifers fear, especially most of these guys who are in Graterford who are coming out of Philadelphia? They fear of being transferred to a prison that's nine hours away when their visitors want to visit them. That's the end of visits. They fear being transferred to disciplinary segregation. I forgot. don't call it disciplinary segregation but it's close to that. There, the lifestyle is pretty grim. It's 23 hours a day. You still can have televisions; you still have radios if you can afford it from the commissary. But again, ironically, the lifestyle in disciplinary segregation, which you can get from illegally possessing

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cigarettes or smoking in your cell or having other kinds of contraband; I'm not talking about weapons, I'm just talking about drugs, et cetera, the lifestyle to which you will be subjected will be much worse, much harsher than the lifestyle that you will get on death row.

So we say let the punishment fit the crime and then we do everything in the administration of the prison system to sever the very connection of the punishment from the crime. We do it through the Mission Statement in the Department of Corrections. We do it in the day-to-day operation of the Department of Corrections.

What can you do about it? There's a lot you can do about it. You can take the step that Connecticut took. It abolished the death penalty, and in my view, that was a terrible step, but even as it did it, and it may be partly as the basis of the same kind of testimony that I've given to you here, I gave it to them there after visiting the Connecticut prisons and seeing what life was like, what they did when they abolished the death penalty was enact a provision that says that anybody serving life without parole shall serve their entire sentence in a separate wing or a separate prison under conditions no better than disciplinary segregation, and I would urge you to think about that; that is reserve the worst punishment for the worst crime. Make life without parole something special,

something different, something extraordinarily unpleasant but only reserve it for those who don't get the death penalty. That's another thing I urge you and beg you to change and it's ironic that Pennsylvania does not have a separate life sentence and life without parole sentence. It's one sentence. I don't know if you knew that. didn't know that. All lifers serve life without parole. That's morally indiscriminate. There's a big difference between, and there should be, between life with parole and life without parole. The same kind of sentence, the same day-to-day experience which is the essence of punishment should not be reserved for those who get a few drug deals stacked on top of each other when no one got hurt as it is for those who murder and rape, et cetera. They exist in different moral universes and the experience should be different.

So to conclude this, why should you keep the death penalty? You should keep the death penalty for a couple of reasons. Number one, you hear the argument made, well, there would be no difference -- it would be no different if Pennsylvania abolished the death penalty because no one's being executed. It would be a huge difference if Pennsylvania abolished the death penalty. If Pennsylvania abolished the death penalty, those guys on death row go into general population. They're going to be

out of their cells from 6:30 a.m. to 9 p.m. playing basketball, playing -- I'm told they play football in the winter. I didn't see that. Playing basketball, playing softball, et cetera, et cetera, et cetera, buying ice cream.

Number two, you should retain the death penalty because it serves one other function that nobody talks about. It serves a function of censuring. It is a very important statement on the part of the Commonwealth of Pennsylvania and the juries who give the death penalty that you have committed such a heinous crime that you simply deserve to die. Whether or not we kill you, you deserve to die. That has a certain sting, by the way, for guys who have been condemned to death. I've interviewed now dozens of condemned killers and I probe them about do you remember the moment when the jury sentenced you to die and how did you feel and how do you feel about that now and it bothers them, as it should, but more importantly, it is a statement that we make, we the citizens make about what they deserve.

And then finally, there's another reason that nobody talks about, and that's because the United States

Supreme Court, three Justices and perhaps four, are just itching to abolish the death penalty on the grounds of it being cruel and unusual. They don't yet have the majority.

But their jurisprudence has been evolving standards of

decency of a maturing society and the way they measure the evolving standards of decency. So the content of the Eighth Amendment of what constitutes cruel and unusual punishment is a function, to some degree, of public opinion and the way they measure that are by jury verdicts of death and by State legislation. There are 31 States with the death penalty right now. Pennsylvania is one of them. The latest jurisprudence out of the United States Supreme Court is saying it's not just the number of States, it's the direction of change so that now seven States with Nebraska have abolished the death penalty, seven State Legislatures. If Pennsylvania were to join them, it gives added fuel to the United States Supreme Court's jurisprudence that the uniform direction of change is a rejection of the death penalty. Even though your Governor and your Courts may presently be blocking the executions, if you as a Legislature were to abolish the death penalty, you would in fact be undermining the ability of those States whose Governors and Courts are not blocking the death penalty and allowing justice to proceed. You would be significantly aiding the abolitionists on the United States Supreme Court to have the fuel that they need to say look, now Pennsylvania, a leading State, has just joined them and the uniform direction of change is to reject death.

So because of justice, because some people

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deserve it, and because the actual alternative to death as it is presently experienced by those who are murdering is so far outside, so far different from what's deserved, and so clearly supported by the mission statement of the Department of Corrections, which is another thing I urge for you to change and I gave you in the written statement what I think a model correction statement should be, it's your power to do that as the Legislature. But because in terms of the reality, because the worst of the worst of the worst who don't get death are presently experiencing the lifestyle they lead, if nothing else, that's a reason to retain the death penalty. But ultimately, the reason is justice. Some people deserve to die and we, you, the people's Representatives, have the obligation to recognize it and do your best to implement the punishment that's deserved. Thank you.

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CHAIRMAN PETRARCA: Thank you, Mr. Blecker. I certainly appreciate your testimony. It sounds like maybe you had a better time at SCI Graterford than you're having here with us today.

But in Pennsylvania, I don't know, I don't believe we're discussing or that the discussion should be abolishing the death penalty. I think that what's going on is this Governor's asked for a moratorium to wait for a report from the Joint State Government Commission that is

due this fall on a number of recommendations relative to a resolution passed by the Senate a few years ago as to where we should go with our death penalty in Pennsylvania. As has been said, many problems, many flaws with that report.

Questions from members? Representative Saccone.

Thank you, Chairman.

I'm shocked, and I know that people back home will be shocked if I relayed this information to them, and I will relay this information to them. But I want to make sure I got this right. So were you saying that all these privileges, the no-lights-out policy, the opening of the doors at will, the cable television, all those things, that was for people with life sentences, not for people on death row?

MR. BLECKER: Correct.

REPRESENTATIVE SACCONE:

REPRESENTATIVE SACCONE: On death row, they are confined 23 hours and ---

MR. BLECKER: They are confined 23 hours a day.

They are not in solitary. They're singled-celled, by the way, which is another privilege that prisoners want.

There's a certain irony. If the solitary is so horrifying, how come they want single cells rather than having a celly?

I perhaps spoke too quickly. A and B pods, who are the best behaved, have control over the locks on their doors.

C and D pods, who are also out from 6:30 a.m. to 9 p.m. --

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      by the way, death row has no lights-out policy and is
       allowed to have televisions all night also. But C and D
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      pods do not control the locks on their cells. All cells
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       are opened at the same time when the officers open them and
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       they're all closed at the same time. A and B, which
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       include hundreds of lifers, have control over their cells
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       from 6:30 a.m. They can lock their cells at any time.
       They can unlock their cells at any time, other than count.
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       When they have to be in their cells at count, they have to
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      be in their cells at count, but that's very brief during
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      the day.
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                 REPRESENTATIVE SACCONE: Could you document this
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       for our Committee saying, you know, people on death row get
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       these privileges; people that are lifers get these
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      privileges so that I can compare them? Because I was
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      taking notes but I want to make sure I get it accurate.
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                MR. BLECKER: Sure. There are probably documents
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       that reflect that from the Department of Corrections.
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                 REPRESENTATIVE SACCONE:
                                          Okav.
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                MR. BLECKER: I'm not ---
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                 REPRESENTATIVE SACCONE: But I was fascinated by
       your testimony. If you could give that to us, I would
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      really appreciate it.
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                MR. BLECKER: By the way, one last point.
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CHAIRMAN PETRARCA:

One point.

MR. DYMEK: If I can just note, this is recorded. You know, the feed's going to be made available and we're going to be transcribing all this testimony, so it will be available.

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MR. BLECKER: Oh, good. I appreciate that. last note. In terms of solitary confinement, you hear about Death Row Syndrome. Solitary confinement -- well, I might have mentioned briefly again unlike disciplinary segregation, these guys can talk to the people on either side of them and even a couple of cells down. Beyond that, roughly 90 days a year, you can be a janitor on death row, which means that you're cleaning up the corridor. You don't go outside the row but you're cleaning up the corridor on the row, and I watched it happen and it's not stopped, and while you're doing that for those 90 days, you have interaction with everybody on your corridor so you can have conversations as you go down and mop and clean. So again, this notion that it's 23 hours a day; they never speak to anybody else; it's solitary confinement and so they go mad is simply not the truth. It is not the actual experience on the row.

REPRESENTATIVE SACCONE: Okay. I know we've taken some tours before but I would love to go see ---

MR. BLECKER: Secretary, I mean you should do it.
You're the Legislature. I was just allowed as an expert

visitor. You're the Legislature. It's more vital that you see what I've seen and not just get the hour-and-a-half tour ---

REPRESENTATIVE SACCONE: Yes.

MR. BLECKER: --- and remove. Go down there. Go into the bows of the prison. Go out on the great field and sit there, as I did, for an hour or stand there for an hour and really soak it up and get the ambience of it.

REPRESENTATIVE SACCONE: Thank you, sir.

CHAIRMAN PETRARCA: Thank you, Representative Saccone. I too would maybe -- again, appreciate your comments. I'd maybe like to hear what the Department of Corrections has to say about your testimony.

Representative Stephens for questions.

REPRESENTATIVE STEPHENS: Thank you, Mr.

Chairman. Thank you so much for your testimony. I got to tell you, I couldn't write fast enough, so fortunately we have a transcript to go off of.

Your testimony is particularly relevant. I know you're here talking about the death penalty. Next week we're going to be considering legislation about the fact that many of those folks who are enjoying all those items from the commissary owe restitution to victims that haven't been made whole yet, yet they're shelling out money at the commissary to get themselves TVs. You mentioned \$78 a

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       week, and I missed what that was.
                MR. BLECKER: I have my notes from yesterday. I
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       can tell you exactly. I know when that came up. That came
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       up when I visited the Commissary, which was the last place,
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       one of the last places I went to and I asked the person in
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       charge of the Commissary how much they were allowed to
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      have. Here it is. I'm sorry. Let me correct that
       statement: $74.90 per week. Sorry.
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                 REPRESENTATIVE STEPHENS: So that's the maximum
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      they're allowed to spend?
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                MR. BLECKER: Correct. $74.90 per week.
                                                           Same
       limits of death row, I have in my notes, on the commissary.
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                 REPRESENTATIVE STEPHESN: So ---
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                MR. BLECKER: --- I have the commissary list, by
15
       the way, for death row if you want to see it.
                REPRESENTATIVE STEPHENS: I have the general
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17
       commissary list. I don't know if it's different.
                MR. BLECKER: It's slightly different.
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19
                REPRESENTATIVE STEPHENS: It's much smaller
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      because the one I have is pretty voluminous.
21
                MR. BLECKER: Yes, this is it. This is the
22
       commissary list for the male capital offenders right here.
       It's voluminous, pages and pages, 14 pages of items.
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24
                 REPRESENTATIVE STEPHENS: And they have the
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       leisure items, poker chips, chess, cards.
                                                 That's all
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1 right. You don't have to look. MR. BLECKER: I haven't yet focused on it. 2 3 That's just material I got yesterday. I was focused on the 4 actual life inside, making the most of those two days inside Graterford. 5 6 REPRESENTATIVE STEPHENS: Sure. Look, I 7 appreciate your testimony. In particular, I had a question about your discussion regarding the aggravating factors, 8 and as it related to ---9 10 MR. BLECKER: By the way, that is in the written 11 statement. 12 REPRESENTATIVE STEPHENS: Yes, and I -- now, Of 13 course, now I lost my train of thought. But talk to me --14 okay. So I know that, at least I think, under our 15 statutes, punishment is one of the goals of sentencing. MR. BLECKER: No, it is not. 16 17 REPRESENTATIVE STEPHENS: I'm not talking about 18 DOC regs. I'm talking about our statutes. 19 MR. BLECKER: Oh, I'm sorry. 20

REPRESENTATIVE STEPHENS: I feel like it's either our statutes or our Court Rules, because I was a prosecutor and I feel like I remember many a time arguing it and a Judge referencing it and I just wonder, I didn't know if you had a chance to look through our statutes too or whether you were just referencing a DOC reg.

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1 MR. BLECKER: It's not so much a reg. It's the
2 Mission Statement ---

REPRESENTATIVE STEPHENS: Okay.

2.2

MR. BLECKER: --- which is relevantly similar to every Mission Statement of every Department of Corrections in the United States.

REPRESENTATIVE STEPHENS: Okay.

MR. BLECKER: The actual Mission Statement is as follows. This is Pennsylvania's Department of Corrections' Mission Statement on their website. "Our mission is to reduce criminal behavior by providing individualized treatment and education to offenders resulting in successful community reintegration through accountability and positive change.

Now, I'm all for rehabilitation for those who are going out, which is another reason why I urge you to rethink that all lifers should be life without parole. They should not be. Some lifers should go out. But many shouldn't. For those who shouldn't, this mission is perverse. It's irrelevant. But I mean, it's even more deeply and generally perverse because again, we say let the punishment fit the crime but in the actual experience of it, Corrections does everything they can to sever it, not because they want to but because it makes sense.

Look, the Warden's Assistant said it best in one

- 1 sentence when I asked her, you know, on death row in
- Oklahoma, and I asked her, you know what these guys did?
- 3 Yes. And you see the lifestyles they're leading? Yes.
- 4 How do you feel about that? And she said we make it easy
- for them because it's easy for us when it's easy for them.
- 6 That's it in a nutshell.

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- 7 REPRESENTATIVE STEPHENS: Sure
- 8 MR. BLECKER: They want to go home at night, and 9 I understand that.
- 10 REPRESENTATIVE STEPHENS: Right.
- 11 MR. BLECKEER: There were five officers for six

  12 unarmed officers. We sat there and we walked around; five

  13 unarmed officers inside with 600 prisoners who have access

  14 to them at any time they want.
- 15 REPRESENTATIVE STEPHENS: Right.
  - MR. BLECKER: They sit in what's called the bubble, this little room, and then they walk around in the day room, 600 guys, 600 prisoners of whom hundreds are lifers, five unarmed officers walking among them. Well, what do you think keeps them alive?
  - REPRESENTATIVE STEPHENS: Sure. And look, I've been to Graterford many times voluntarily. I was always able to leave when I wanted in my life as a prosecutor, but let me ask you this. In your research, have you found any type of correlation between safety for the officers and

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1
       this leisurely lifestyle that these folks lead? Because
       that is obviously I think a concern for most of us.
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 3
       certainly don't want our officers in harm's way.
                MR. BLECKER: Yes, and that should be -- yes, I
 4
       do think there is a correlation and that should be
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 6
       acknowledged, which is if you in fact do revise your
 7
       punishment system, you will make it less safe for
 8
       corrections officers. It's a price you're going to have to
 9
       be willing to pay for justice on the margin and/or you're
10
       going to have to spend more money on corrections and/or
11
       you're going to have to redesign your prisons.
12
                 REPRESENTATIVE STEPHENS: So and I guess that's
13
       the point. More manpower might be able to offset that
14
       safety issue.
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                 MR. BLECKER: If you have 12 officers instead of
       five in the bubble among 600 guys, how are you going to
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       stop them?
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                 REPRESENTATIVE STEPHENS:
                                           Sure.
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                 MR. BLECKER: Unarmed officers, let me add.
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       Unarmed.
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                 REPRESENTATIVE STEPHENS: And we heard a little
2.2
       bit of testimony earlier about this Rand study. Are you
       familiar with that at all?
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MR. BLECKER: No, I'm not. I don't know which one they're talking about. 25

1 REPRESENTATIVE STEPHENS: I mean and this is a little off from your testimony, but have you done any 2 3 research into cost savings that might be available through 4 better representation of defendants charged with murder? 5 MR. BLECKER: Not an expert. 6 REPRESENTATIVE STEPHENS: Okay. 7 MR. BLECKER: Can't intelligently comment. 8 REPRESENTATIVE STEPENS: All right. 9 MR. BLECKER: I can talk about race if you want 10 to go into it but I'm not an expert. 11 REPRESENTATIVE STEPHENS: Well, maybe I'll follow 12 up with you on that because I'm very interested in it but I 13 know the Chairman wants to move us along, but I could 14 follow up. Is that okay if I follow up on that? 15 MR. BLECKER: Sure. 16 REPRESENTATIVE STEPHENS: Thank you very much. 17 appreciate it. 18 CHAIRMAN PETRARCA: Thank you, Representative 19 Stephens. We're talking about the moratorium in 20 Pennsylvania on the death penalty, as I said earlier ---21 MR. BLECKER: Yes. 2.2 CHAIRMAN PETRARCA: --- until this study is 23 released or received, and we have a new Governor in 24 Pennsylvania who has been on the job let's say for six 25 months. These situations that you're talking about or the

lifestyle that you're referring to at Graterford, that is in no way related to this new Administration or the moratorium that you know of is it?

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MR. BLECKER: No, it is not. This is a longstanding thing. A word about the moratorium, because I understand it, and I saw on the news about criticism of the Legislature on the grounds that the Legislature was taking a position that what the Governor did was unconstitutional and some commentators say well, it's not for the Legislature to talk about unconstitutionality. I teach constitutional history as well as constitutional law so I feel qualified to say that that's absolutely wrong. Legislature is very much a legitimate branch to be discussing the constitutionality of its coordinate branches as well as its own behavior and the other thing I would say is I think it's very important and very fine that what Pennsylvania has done in censuring the Governor is a nonpartisan move, that it's a majority that includes Democrats and Republicans and that it should not be partisan. The death penalty should never be a partisan issue and so it doesn't upset me that Nebraska, a conservative Republican State, would be the one to abolish it because that then moves the focus away from partisan politics, although that's an unusual alliance there to what it should be, which is justice and criminal justice system.

CHAIRMAN PETRARCA: Yes, and certainly members of the Legislature have opinions on both sides of that question.

MR. BLECKER: From both parties.

CHAIRMAN PETRARCA: Correct. Follow-up question,
Representative Saccone?

REPRESENTATIVE SACCONE: Yes. Thank you for indulging me, Chairman. I just want to know, in the Commissary when they can spend this money, that's 300 bucks a month basically, are the prices ---

MR. BLECKER: \$74 ---

REPRESENTATIVE SACCONE: --- discounted prices and is it tax-free? I imagine they don't charge any tax in the prison on these things, right?

MR. BLECKER: No, that's -- but to be fair, if their income is a function only of their work, let's know what they're getting paid. The highest payment, the greatest payment in the barber shop for the licensed barbers is 51 cents an hour. In Industry, and this is one of the premier jobs, it was 41 cents an hour. So they're getting paid. If the income comes only from their jobs in the prison, it's relatively meager. On the other hand, I'm just reading arbitrarily the first page; soups, ramen noodles, beef-flavored, three ounces, 28 cents price, non-dairy creamer, 8 ounces, \$1, granulated sugar, 12 ounces,

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       $1.
           So I don't know. A granola bar is eight packs ---
                 REPRESENTATIVE SACCONE: Okay. I get you. But
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       they're not given $75 a week to spend. They have to earn
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       it?
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                MR. BLECKER: No, no, no. I'm sorry. That
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       should be ---
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                 REPRESENTATIVE SACCONE: That's the maximum that
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       they have to earn?
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                MR. BLECKER: Absolutely.
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                REPRESENTATIVE SACCONE: Okay. I just want to
11
      make sure I get it right.
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                MR. BLECKER: Or bring in from the outside.
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                REPRESENTATIVE SACCONE: Or bring in from the
14
       outside? Okay. Thank you very much.
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                 CHAIRMAN PETRARCA: Representative Stephens,
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       follow-up question, then Representative Barbin.
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                 REPRESENTATIVE STEPHENS: Just to follow up on
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       that point. So my understanding is, though, general
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      population inmates, if they make themselves available for
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       the work pool are paid just for being available for the
21
      work pool even if they might not work. Are you familiar
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      with that program at all ---
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                MR. BLECKER: No, I'm not.
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                 REPRESENTATIVES STEPHENS: --- when it applies to
25
       lifers?
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1 MR. BLECKER: I'm not. REPRESENTATIVE STEPHENS: Okay. All right. 2 3 MR. BLECKER: That would surprise me. REPRESENTATIVE STEPHENS: Well, the only reason I 5 know about it is, some guys from the State were addressing 6 some concerns in our county jail and their complaint was 7 they're not getting their stipend while they're in the county jail because -- and so when I looked into it, the 8 9 stipend was if you make yourself available for work, even 10 if you aren't necessarily going to work but you're 11 available for work, you receive a stipend in our State 12 prison and I wasn't sure if that applied to lifers or not, 13 but I can check with DOC on that. 14 MR. BLECKER: That surprises me. I mean, it's 15 contrary to an inference I made, though. No one 16 specifically said that you were right or wrong on that but 17 I inferred that that would not be ---18 REPRESENTATIVE STEPHENS: Okay. 19 MR. BLECK: ER --- the case because they were 20 talking about guys wanting jobs who weren't getting them, 21 and the reason they were wanting the jobs was to get the 22 money to get the commissary, and the irony was that the guys who had the jobs are often the worst criminals ---23

MR. BLECKER: --- who had the best jobs. So it

Right.

REPRESENTATIVE STEPHENS:

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1 was ---

REPRESENTATIVE STEPHENS: Well, they get paid more money by actually working than being available for work but they are nonetheless paid for being available for working ---

MR. BLECKER: I never thought to ask that.

REPRESENTATIVE STEPHENS: --- in the work pool.

 $$\operatorname{MR.}$$  BLECKER: It never came up and I never thought to ask that.

REPRESENTATIVE STEPHENS: Thank you.

CHAIRMAN PETRARCA: Representative Barbin.

REPRESENTATIVE BARBIN: Thank you. My question goes to what other States that aren't operating like us would be ones to take a look at? Because I agree with you that if the death penalty's going to be justified on safety and censure, but if you move to a system that says all right, we're going to look at the life without parole separately from a life term, and where should I be looking? Who has a system of corrections that also has this separate category for the people that somehow escape the death penalty sentence but really shouldn't be with other people?

MR. BLECKER: Only Connecticut, to the best of my knowledge, when they abolished the death penalty.

By the way, just to correct something you said.

I hope I didn't leave the impression, I am not in favor of

1 the death penalty on the grounds of safety. I am in favor of the death penalty only on the grounds of justice. 2 3 REPRESENTATIVE BARBIN: All right. So your ---MR. BLECKER: And censure. You're right about 4 5 censure. REPRESENTATIVE BARBIN: So justice is, there's a 6 7 very narrow group of people ---MR. BLECKER: Who deserve it. 8 9 REPRESENTATIVE BARBIN: --- who should go to --10 all right. That would be Manson and ---11 MR. BLECKER: Oh, well ---12 REPRESENTATIVE BARBIN: --- whoever else met that 13 particular -- and I guess the other question I would have 14 is that when you're trying to make these decisions and 15 you're trying to get who is the really absolute worst and 16 who's the next absolute worst so you get this second 17 category for life without parole as a separate group, should the aggravating or mitigating circumstances that we 18 19 have be the model that's attached in your Appendix or 20 should there be something different for the life without 21 parole than there is for, you know, the people that are 22 entitled to the death penalty but they're going to go 23 through a process? MR. BLECKER: That's a very good question. My 24 25 view is that life without parole, and it should be if it's

done right, should be such a severe sentence that it should require all the due process, super due process, that the death penalty presently requires; that there should be a separate penalty phase and a quilt phase. You can't do it now where all life is life without parole but that it should be a very special sentence. I mean, it's funny because those who are against us retributivists for whom the past counts and accounts independently of future benefits and say abolish the death penalty and instead embrace life without parole don't realize that life without parole is a fundamentally retributive sentence because what it says is no matter how much you mature, no matter how much you acquire skills and values that enable you to become a productive member of society, no matter how much you may feel legitimate and genuine remorse about what you've done, we so commit ourselves at this moment forever keeping you imprisoned. We will never revisit, we will never reconsider because the past will count perpetually. That is essentially a retributive sentence.

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So if you're going to reject retribution, then you should be rejecting life without parole as an alternative sentence. That's why this slippery-slope argument I heard before I think is genuine. The ACLU, for example, when I've confronted them in public discussion, if they abolish the death penalty, will you then also now next

oppose life without parole have implicitly conceded that yes, they will, and you hear that we should follow Europe's lead, let's not forget that Europe has abolished not only the death penalty, and that's the Governments of Europe; the people still support it in most European countries, they have not only abolished the death penalty, they've abolished life without parole. They've abolished life in prison as well as death.

2.2

REPRESENTATIVE BARBIN: All right. And does any other State, including Connecticut, have a C and D category where they're separate from -- right now, our life without parole allows people to be basically general population prisoners. Does any State have a category that keeps the life without parole prisoners in a more restrictive setting?

MR. BLECKER: Not to the best of my knowledge.

REPRESENTATIVE BARBIN: All right. Thank you, and I appreciate your non-political correct answers.

CHAIRMAN PETRARCA: Thank you, Professor. I appreciate your time and testimony. Our final testifier is Kathleen Lucas speaking on behalf of Pennsylvanians for Alternatives to the Death Penalty.

MS. LUCAS: Good afternoon. It's been a long day, and I hear they're having a party outside and they didn't invite us.

Thank you very much for allowing us to talk about this issue. Before I go any further, I just want to acknowledge that there are two people from law enforcement that I just want to point out to you in case anybody would like to speak with them afterwards. Over here to the far right is David Scott. He's a retired Deputy Police Chief. And over here in the second row is David Rose, who had a 34-year career in Corrections.

As you've heard, not all victims' families feel the same way. They don't feel the same way about how the death penalty does or does not impact their healing process. They don't agree whether it means justice for their families. Not all members of law enforcement feel the same way. Not all prosecutors and juries feel the same way.

Under Pennsylvania law, a reasonable doubt is doubt that would cause a person of ordinary sensibility to hesitate or pause before acting in a matter of great concern. There is no matter of greater concern than the State taking the life of one of its citizens. And when you look closely at the problems of Pennsylvania's death penalty, that should certainly give you pause.

In the 35-year history of Pennsylvania's death penalty, when over 400 people have been sentenced to death and more than 250 of those sentences have been reversed,

when the single most likely outcome of a public policy is that the decision will be reversed, that should give you pause.

2.2

In the 35-year history of Pennsylvania's death penalty, Governors have signed 434 death warrants. Only three have actually been carried out.

When a policy fails to do its job over 99 percent of the time, that should give you pause. When the Capital Jury Project supported by the National Science Foundation interviewed jurors who had served in Pennsylvania death penalty cases, the project found that 98.6 percent of them misunderstood the jury instructions they were given and did so in a manner that was harmful to a capital defendant. When jurors who hold the power of life and death are so greatly misinformed, that should give you pause.

Pennsylvania has exonerated six men from death row. Other death row inmates are currently pursuing serious claims of innocence. When by conservative estimates 4 percent of people who are sentenced to death nationwide are in fact innocent and then therefore there are serious doubts of the system's ability to protect the lives of the innocent, that should give you pause.

One fact has become clear, and that is that the single greatest safeguard against unconstitutional or mistaken death sentences is quality defense representation.

When Pennsylvania remains the only State in the country that has the death penalty while providing no State funding for indigent defense in any stage of the proceedings, that should give you pause.

DNA cases nationwide have demonstrated that eyewitness identification is unreliable, that confessions may be false or fabricated, that so-called scientific evidence may be junk or unreliable, that prison informants may lie, that police and prosecutors may misbehave, when a person's life is at stake, that should give you pause.

It's important to understand that DNA is not the panacea we would hope. DNA evidence is unavailable in the overwhelming majority of homicide cases, leaving the possibility of executing an innocent person uncomfortably high.

Finally, let me draw your attention to an event that occurred in Texas just a few days ago. Alfred Dwayne Brown was exonerated after spending ten years on death row. That brings the national number of death row exonerees to a stunning 154 and six of them happened right here in Pennsylvania. Regardless of our individual opinions on the death penalty itself, we all agree that we want our criminal justice system to treat everyone equally regardless of race, geography, socioeconomic status, and other factors. In short, we want it to be fair. Numerous

studies have demonstrated that our current system is anything but. Pennsylvanians care about fairness.

This is not about Hubert Michael and Terry
Williams, their heinous crimes, or about the loved ones of
their victims. This is about a deeply flawed system that
has the very real potential to take innocent lives.

I urge you, the people we have elected and entrusted with protecting the public good, to exercise that responsibility by making decisions based on facts, not opinions. The results of the ongoing study on capital punishment will provide important additional data. We must not continue to follow the path that we know has resulted in so many mistakes. Rather, we must err on the side of caution. Thank you.

CHAIRMAN PETRARCA: Thank you, Ms. Lucas.

Questions from members? Representative Saccone.

REPRESENTATIVE SACCONE: Yes, and thank you, and thank you for coming before us today. Of course, I don't know anyone that wants to execute an innocent person, so but I just want to ask you in the cases that we're absolutely sure, the guy comes into the McDonald's, he's shooting the place up, we wrestle him to the ground, we take him off, we try him, he gets the death penalty. Are you okay with the death penalty in those cases?

MS. LUCAS: No, I'm opposed to the death penalty.

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                 REPRESENTATIVE SACCONE: You're totally opposed
 2
       to the death penalty?
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                MS. LUCAS: PADP is a single-issue organization
       and we are opposed to the death penalty.
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                 REPRESENTATIVE SACCONE: Okay. Yes, I just
 6
       wanted to make sure of that. It wasn't because of the
 7
      possibility of executing an innocent person because when
       we're sure that aren't innocent ---
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 9
                MS. LUCAS: It's for multiple reasons.
10
       one of them but ---
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                 REPRESENTATIVE SACCONE:
                                          Okay.
12
                MS. LUCAS: Yes.
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                 REPRESENTATIVE SACCONE: All right. Well, thank
14
       you very much for that.
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                 CHAIRMAN PETRARCA: Thank you, Representative.
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      We heard the Professor talk about situations of life with
17
      parole, life without parole. Do you have an opinion on
       sentences of that nature?
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                MS. LUCAS: My understanding is that currently in
20
       Pennsylvania, life without the possibility of parole really
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       is life without the possibility of parole and that when
2.2
       that's your sentence, there is no opportunity to be
      released from prison. I don't have an opinion on that. My
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       issue is really around the death penalty.
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                 CHAIRMAN PETRARCA:
                                     Okay.
                                            Thank you very much.
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And seeing no further questions, I would note for the record that the Committee has received testimony from a number of individuals and organizations, and as is the policy, I believe, of this Committee, we will leave the record open for any additional written testimony. With that, this hearing is adjourned. Thank you. (The hearing concluded at 1:15 p.m.) 

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