



pennsylvania

DEPARTMENT OF DRUG AND
ALCOHOL PROGRAMS

2016-17

Request for Resources

Mission Statement

The Department of Drug and Alcohol Programs' mission is to engage, coordinate and lead the Commonwealth of Pennsylvania's effort to prevent and reduce drug, alcohol and gambling addiction and abuse; and to promote recovery, thereby reducing the human and economic impact of the disease.

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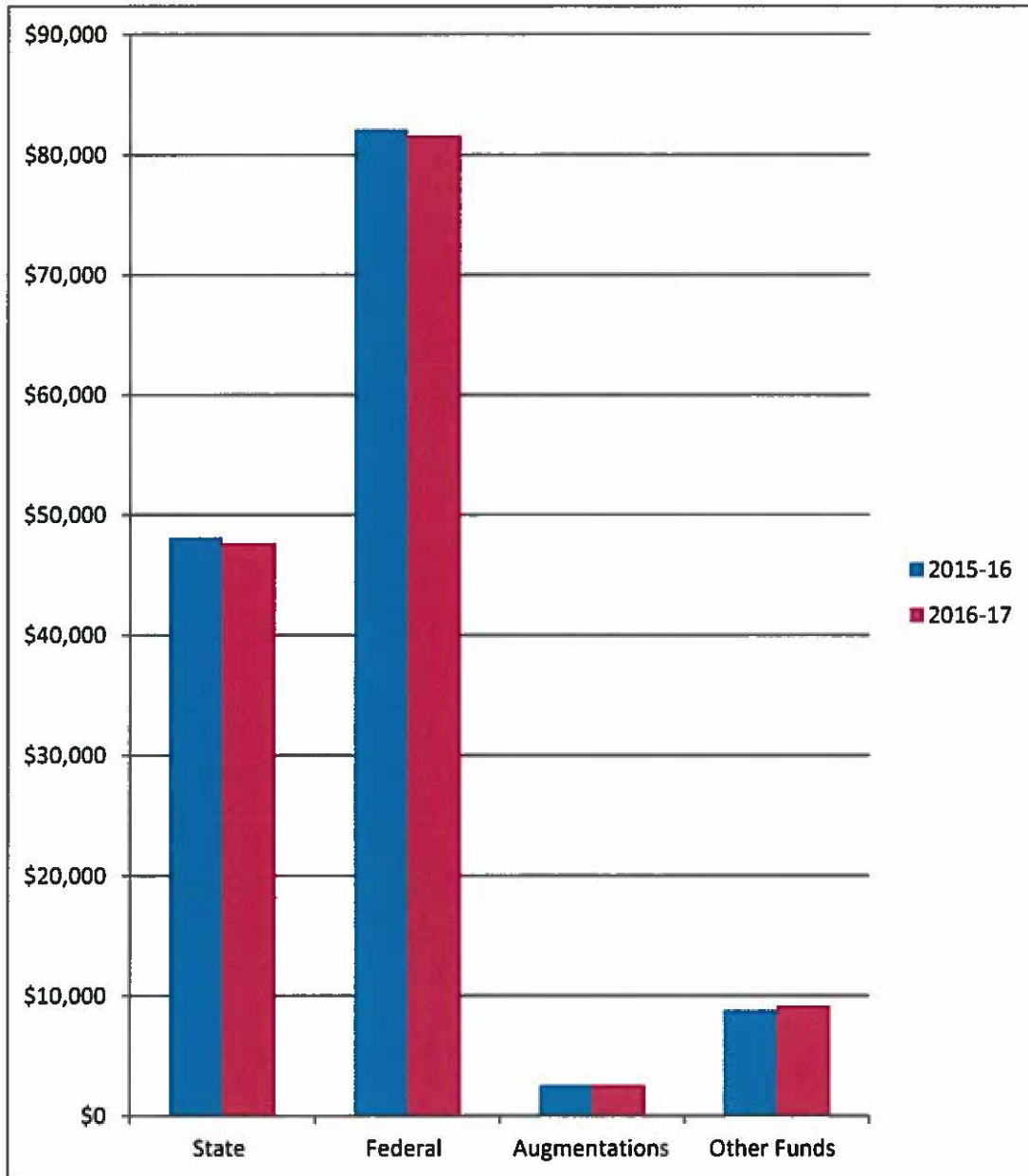
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SUMMARY INFORMATION

**DEPARTMENT OF DRUG AND ALCOHOL PROGRAMS
SUMMARY BY FUND AND APPROPRIATION**

	(Dollar Amounts in Thousands)		
	2014-15 Actual	2015-16 Available	2016-17 Budget
GENERAL FUND:			
General Government:			
General Government Operations.....	\$628	\$1,869	\$1,382
(F) SABG - Administration Operation.....	7,640	7,858	8,193
(F) Substance Abuse Special Projects - Administration & Operation.....	2,480	2,319	2,228
Subtotal	<u>10,748</u>	<u>12,046</u>	<u>11,803</u>
Subtotal - State Funds.....	\$628	\$1,869	\$1,382
Subtotal - Federal Funds.....	10,120	10,177	10,421
Total - General Government.....	<u>\$10,748</u>	<u>\$12,046</u>	<u>\$11,803</u>
Grants and Subsidies:			
Assistance to Drug and Alcohol Programs.....	\$41,232	\$46,232	\$46,232
(F) SABG - Drug and Alcohol Services.....	53,197	59,806	59,471
(F) Substance Abuse Special Projects Grants.....	11,237	12,034	11,667
(F) Access to Recovery.....	2,959	-	-
(A) Community Restitution Payments.....	2	3	3
(A) State Store Funds Transfer (EA).....	2,474	2,500	2,500
Subtotal	<u>111,101</u>	<u>120,575</u>	<u>119,873</u>
Subtotal - State Funds.....	\$41,232	\$46,232	\$46,232
Subtotal - Federal Funds.....	67,393	71,840	71,138
Subtotal - Augmentations.....	2,476	2,503	2,503
Total - Grants and Subsidies.....	<u>\$111,101</u>	<u>\$120,575</u>	<u>\$119,873</u>
STATE FUNDS.....	\$41,860	\$48,101	\$47,614
FEDERAL FUNDS.....	77,513	82,017	81,559
AUGMENTATIONS.....	2,476	2,503	2,503
GENERAL FUND TOTAL.....	<u>\$121,849</u>	<u>\$132,621</u>	<u>\$131,676</u>
OTHER FUNDS:			
Compulsive and Problem Gambling Treatment Fund			
Drug and Alcohol Treatment Services (EA).....	\$3,000	\$3,000	\$3,000
Compulsive and Problem Gambling Treatment (EA).....	\$6,800	\$5,800	\$6,150
OTHER FUNDS TOTAL.....	<u>\$9,800</u>	<u>\$8,800</u>	<u>\$9,150</u>
DEPARTMENT TOTAL - ALL FUNDS			
GENERAL FUND.....	\$41,860	\$48,101	\$47,614
SPECIAL FUNDS.....	-	-	-
FEDERAL FUNDS.....	77,513	82,017	81,559
AUGMENTATIONS.....	2,476	2,503	2,503
OTHER FUNDS.....	9,800	8,800	9,150
TOTAL ALL FUNDS.....	<u>\$131,649</u>	<u>\$141,421</u>	<u>\$140,826</u>

Department of Drug and Alcohol Programs 2015-16 and 2016-17 Budget Comparison



	2015-16	2016-17	Percentage Change
State	\$48,101	\$47,614	-1.0%
Federal	82,017	81,559	-0.6%
Augmentations	2,503	2,503	0.0%
Other Funds	8,800	9,150	3.8%
	141,421	140,826	-0.4%

**GENERAL
GOVERNMENT**

**DEPARTMENT OF DRUG AND ALCOHOL PROGRAMS
2016-17 BUDGET REQUEST**

Page # of Governor's Executive Budget: Pp. A3-2, A3-7, E16-3, E16-5	FUND NAME (NUMBER): General Government Operations (11-028)		
I. SUMMARY FINANCIAL DATA			
(\$ Amounts in Thousands)	<u>2014-15</u> Actual	<u>2015-16</u> Available	<u>2016-17</u> Budgeted
State Funds	\$628	\$1,869	\$1,382
Federal Funds Total	\$10,120	\$10,177	\$10,421
Federal Sources Itemized			
SABG-Admin and Operation (70-961)	\$7,640	\$7,858	\$8,193
SA Special Proj-Admin and Oper (70-962)	\$2,480	\$2,319	\$2,228
Other Funds	\$0	\$0	\$0
Total	\$10,748	\$12,046	\$11,803
II. HISTORY OF LAPSES			
(\$ Amounts in Thousands)	<u>2013-14</u>	<u>2014-15</u>	<u>Estimated</u> <u>2015-16</u>
State Funds	\$0	\$0	\$0
III. COMPLEMENT INFORMATION			
	<u>12/31/2014</u>	<u>12/31/2015</u>	<u>2016-17</u> <u>Budgeted</u>
State Funded - Authorized	4	7	7
- Filled	4	7	7
Federally Funded - Authorized	74	71	69
- Filled	62	63	63
Other Funded - Authorized			
- Filled			
Total - Authorized	78	78	76
- Filled	66	70	70

IV. DETAIL BY MAJOR OBJECT
(\$ Amounts in Thousands)

FUND NAME (NUMBER):
General Government Operations (11-028)

	2014-15 Actual	2015-16 Available	2016-17 Budgeted	Change Budgeted vs. Available	Percent Change
PERSONNEL					
State Funds	\$552	\$965	\$1,012	\$47	4.87%
Federal Funds	\$6,249	\$6,093	\$6,655	\$562	9.22%
Other Funds	\$0	\$0	\$0	\$0	0.00%
Total Personnel	\$6,801	\$7,058	\$7,667	\$609	8.63%
OPERATING					
State Funds	\$76	\$154	\$370	\$216	140.26%
Federal Funds	\$1,622	\$2,193	\$1,979	(\$214)	-9.76%
Other Funds	\$0	\$0	\$0	\$0	0.00%
Total Operating	\$1,698	\$2,347	\$2,349	\$2	0.09%
FIXED ASSETS					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
Total Fixed Assets	\$0	\$0	\$0	\$0	0.00%
GRANT & SUBSIDY					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
Total Grant & Subsidy	\$0	\$0	\$0	\$0	0.00%
OTHER					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$20	\$364	\$181	(\$183)	-50.27%
Other Funds	\$0	\$0	\$0	\$0	0.00%
Total Other	\$20	\$364	\$181	(\$183)	-50.27%
BUDGETARY RESERVE					
State Funds	\$0	\$750	\$0	(\$750)	-100.00%
Federal Funds	\$2,229	\$1,527	\$1,606	\$79	5.17%
Other Funds	\$0	\$0	\$0	\$0	0.00%
Total Budgetary Reserve	\$2,229	\$2,277	\$1,606	(\$671)	-29.47%
TOTAL FUNDS					
State Funds	\$628	\$1,869	\$1,382	(\$487)	-26.06%
Federal Funds	\$10,120	\$10,177	\$10,421	\$244	2.40%
Other Funds	\$0	\$0	\$0	\$0	0.00%
Total Funds	\$10,748	\$12,046	\$11,803	(\$243)	-2.02%

V. EXPLANATION OF CHANGES
(\$ Amounts in Thousands)

FUND NAME (NUMBER):
General Government Operations (11-028)

	<u>State \$</u>	<u>Federal \$</u>	<u>Other \$</u>	<u>Total \$</u>
PERSONNEL				
A. Administrative costs associated with managing the Department of Drug and Alcohol Programs.	\$47			\$47
B. Continue administration and operation of substance abuse prevention and treatment.		\$562		\$562
Subtotal Personnel	<u>\$47</u>	<u>\$562</u>	<u>\$0</u>	<u>\$609</u>
OPERATING				
A. Continue administration and operation of substance abuse prevention and treatment.	\$216			\$216
B. Continue administration and operation of substance abuse prevention and treatment. Cooperative Agreements to Benefit Homeless Individuals grant ends 9/30/16.		(\$214)		(\$214)
Subtotal Operating	<u>\$216</u>	<u>(\$214)</u>	<u>\$0</u>	<u>\$2</u>
FIXED ASSETS				
A. Non-recurring purchase.				\$0
Subtotal Fixed Assets	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
Other				
A. Department of Health personnel directly funded complement providing IT and statistical support to the Department of Drug and Alcohol Programs.		(\$183)		(\$183)
Subtotal Other	<u>\$0</u>	<u>(\$183)</u>	<u>\$0</u>	<u>(\$183)</u>
Budgetary Reserve				
A. 2016-17 Budgetary Reserve	(\$750)	\$79		(\$671)
Subtotal Budgetary Reserve	<u>(\$750)</u>	<u>\$79</u>	<u>\$0</u>	<u>(\$671)</u>
TOTAL	<u>(\$487)</u>	<u>\$244</u>	<u>\$0</u>	<u>(\$243)</u>

General Government Operations – Fund 11-028
[71 P.S. § 613.1]

The General Government Operations (GGO) appropriation provides salary and operational funds to support the Office of the Secretary of Drug and Alcohol Programs.

Federal Appropriations related to General Government Operations:

1. SABG – Administration and Operation – Fund 70-961 [42 U.S.C. 300x et seq.]

This appropriation supports personnel and operating costs funded by the Substance Abuse Prevention and Treatment Block Grant. Federal block grant statutory requirements restrict administrative funding to five percent (5%) of the total award.

Funding supports implementation and development of service contracts; dissemination of substance abuse educational materials; development of prevention, intervention and treatment protocols for the delivery of services; approval and licensing of freestanding drug and alcohol treatment facilities; and monitoring the performance of county programs through management of forty-seven (47) Single County Authority (SCA) grant agreements, as well as numerous contracts and grant agreements in support of the drug and alcohol program.

2. Substance Abuse Special Projects – Admin. & Operation – Fund 70-962 [42 U.S.C. 290aa-4; Public Health Service Act 319(a),516]

This appropriation supports the administrative aspects of limited term grants received from the federal government and designated for a specific project or purpose, as well as contracts and agreements with the federal government or their designated contractor. The programmatic aspects of these arrangements are funded under Substance Abuse Special Project Grants, Fund 70-964.

Grants available during the appropriation period are through the Center for Substance Abuse Treatment (CSAT) for the Cooperative Agreements to Benefit Homeless Individuals (CABHI) and The Center for Substance Abuse Prevention (CSAP) for Strategic Prevention Framework Partnerships for Success (SPF-PFS). The narrative for Fund 70-964 provides a description of the funds awarded under this grant.

Funding is also received for the Drug and Alcohol Service Information System (DASIS), the State Outcome Measurement and Management System (SOMMS) the State Epidemiological Outcomes Workgroup (SEOW) agreements with Synectics, Inc., a contractor to the Substance Abuse and Mental Health Services Administration. DASIS is comprised of three components. The first is the Treatment Episode Data Set (TEDS), a nationwide database of clients admitted to publicly funded treatment programs. The Uniform Facility Data Set, the second of the three components, is an annual survey of all active treatment and prevention facilities listed in the National Master Facility Inventory (NMF I). The NMF I is the third component, listing all known substance abuse treatment and prevention facilities in the United States. SOMMS funding is provided for submission of substance abuse client data in TEDS for treatment admissions and discharges. SEOW funding is provided to support a State Epidemiological Outcomes Workgroup and the utilization of various data sources to make programming and funding decisions concerning primary prevention activities.

Additional funding is included to allow for opportunities to be announced during the next grant season and to ensure adequate spending authorities if spending of current year grant funds are delayed in the federal fiscal cycle.

GRANTS AND SUBSIDIES

**DEPARTMENT OF DRUG AND ALCOHOL PROGRAMS
2016-17 BUDGET REQUEST**

Page # of Governor's Executive Budget: Pp. A3-2, A3-7, E16-3, E16-5, H76	FUND NAME (NUMBER): Assistance to Drug & Alcohol Programs (11-029)		
I. SUMMARY FINANCIAL DATA	2014-15	2015-16	2016-17
(\$ Amounts in Thousands)	<u>Actual</u>	<u>Available</u>	<u>Budgeted</u>
State Funds	\$41,232	\$46,232	\$46,232
Federal Funds Total	\$67,393	\$71,840	\$71,138
Federal Sources Itemized			
SABG - Drug & Alcohol Services (70-963)	\$53,197	\$59,806	\$59,471
SA Special Project Grants (70-964)	\$11,237	\$12,034	\$11,667
Access to Recovery (70-965)	\$2,959	\$0	\$0
Other Funds	\$2,476	\$2,503	\$2,503
Total	\$111,101	\$120,575	\$119,873
II. HISTORY OF LAPSES			Estimated
(\$ Amounts in Thousands)	<u>2013-14</u>	<u>2014-15</u>	<u>2015-16</u>
State Funds	\$0	\$0	\$0
III. COMPLEMENT INFORMATION	<u>12/31/2014</u>	<u>12/31/2015</u>	<u>2016-17</u>
Benefit Factor			<u>Budgeted</u>
State Funded			
- Authorized			
- Filled			
Federally Funded			
- Authorized			
- Filled			
Other Funded			
- Authorized			
- Filled			
Total			
- Authorized	0	0	0
- Filled	0	0	0

IV. DETAIL BY MAJOR OBJECT
(\$ Amounts in Thousands)

FUND NAME (NUMBER):
Assistance to Drug & Alcohol Programs (11-029)

	<u>2014-15 Actual</u>	<u>2015-16 Available</u>	<u>2016-17 Budgeted</u>	<u>Change Budgeted vs. Available</u>	<u>Percent Change</u>
PERSONNEL					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$64	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
Total Personnel	\$64	\$0	\$0	\$0	0.00%
OPERATING					
State Funds	\$840	\$1,611	\$927	(\$684)	-42.46%
Federal Funds	\$1,060	\$1,070	\$1,226	\$156	14.58%
Other Funds	\$0	\$0	\$0	\$0	0.00%
Total Operating	\$1,900	\$2,681	\$2,153	(\$528)	-19.69%
FIXED ASSETS					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
Total Fixed Assets	\$0	\$0	\$0	\$0	0.00%
GRANT & SUBSIDY					
State Funds	\$40,392	\$44,621	\$45,305	\$684	1.53%
Federal Funds	\$66,269	\$64,799	\$64,249	(\$550)	-0.85%
Other Funds	\$2,476	\$2,503	\$2,503	\$0	0.00%
Total Grant & Subsidy	\$109,137	\$111,923	\$112,057	\$134	0.12%
OTHER					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$3,948	\$3,948	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
Total Other	\$0	\$3,948	\$3,948	\$0	0.00%
BUDGETARY RESERVE					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$2,023	\$1,715	(\$308)	-15.22%
Other Funds	\$0	\$0	\$0	\$0	0.00%
Total Budgetary Reserve	\$0	\$2,023	\$1,715	(\$308)	-15.22%
TOTAL FUNDS					
State Funds	\$41,232	\$46,232	\$46,232	\$0	0.00%
Federal Funds	\$67,393	\$71,840	\$71,138	(\$702)	-0.98%
Other Funds	\$2,476	\$2,503	\$2,503	\$0	0.00%
Total Funds	\$111,101	\$120,575	\$119,873	(\$702)	-0.58%

V. EXPLANATION OF CHANGES
 (\$ Amounts in Thousands)

FUND NAME (NUMBER):
 Assistance to Drug & Alcohol Programs (11-029)

	<u>State \$</u>	<u>Federal \$</u>	<u>Other \$</u>	<u>Total \$</u>
PERSONNEL				
A. Access to Recovery Grant ended 9/30/14.				\$0
Subtotal Personnel	\$0	\$0	\$0	\$0
OPERATING				
A. Funding needed for treatment, licensing and prevention software to meet necessary data requirements.	(\$684)	\$156		(\$528)
Subtotal Operating	(\$684)	\$156	\$0	(\$528)
GRANT & SUBSIDY				
A. Increase in funding to address the recent heroin and other opioids crisis in Pennsylvania through a multi-faceted approach, including access to long-term treatment.	\$684	(\$550)	\$0	\$134
Subtotal Grant & Subsidy	\$684	(\$550)	\$0	\$134
OTHER				
A. Available funding for Special Initiative Grants.		\$0		\$0
Subtotal Operating	\$0	\$0	\$0	\$0
BUDGETARY RESERVE				
A. 2016-17 Budgetary Reserve.		(\$308)		(\$308)
Subtotal Budgetary Reserve	\$0	(\$308)	\$0	(\$308)
TOTAL	\$0	(\$702)	\$0	(\$702)

Assistance to Drug and Alcohol Programs – Fund 11-029
[71 P.S. § 1690.101 et seq., 71 P.S. § 613.1]

This program provides funding for administration of Single County Authorities (SCAs) and the delivery of prevention, intervention, treatment and recovery-oriented services. SCAs were established to plan and implement community-based drug and alcohol services at the county level, with each SCA representing a single county or group of counties. Funds received under this appropriation are issued to the SCAs through grant agreements.

Prevention strategies include information dissemination, education, problem identification, community mobilization, environmental policy modification, and alternative activities. Treatment includes detoxification, outpatient counseling, residential rehabilitation services, and partial hospitalization services. Target groups include pregnant women, women with children, intravenous drug users, Latinos, and adolescents.

Client access to treatment services is controlled through the case management system. The Single County Authority (SCA) is responsible for ensuring client access to drug and alcohol treatment services through the primary activities of screening, assessment, placement, and continued stay review. The Pennsylvania Client Placement Criteria (PCPC) for Adults and the American Society of Addiction Medicine's Patient Placement Criteria (ASAM PPC-2R) for Adolescents are the mandated placement instruments for all SCA-contracted treatment providers. They are also the required instruments for the Department of Public Welfare's HealthChoices initiative, the managed care program utilized to address behavioral health issues for the Medicaid eligible population in Pennsylvania. The criteria utilized from these documents include admission, continued stay, discharge and referral matrices to promote a seamless system of ongoing care.

SCAs are also required to provide case coordination services; which include Intensive Case Management (ICM), resource coordination, and recovery support services. Case Coordination is a function of case management through which the SCA ensures that the individual's treatment and non-treatment needs are addressed. Non-treatment needs are needs the individual may have that do not directly impact level of care and placement decisions; however they are issues that need to be addressed as part of the individual's recovery process. In order to assist individuals in the management of their recovery, it is necessary to ensure that resources to address the individual's needs are in place, and that those resources are made available to all clients at the time the needs are identified. Case coordination facilitates the identification of services offered to and utilized by the individual.

Federal Appropriations related to Assistance to Drug and Alcohol Programs:

1. SABG - Drug and Alcohol Services – Fund 70-963 [42 U.S.C. 300X-21 et seq.]

This program is funded by the Substance Abuse Prevention and Treatment Block Grant to provide substance abuse prevention, intervention, and treatment services to the citizens of Pennsylvania.

The Public Health Services Act (Public Law 102-321 of 1992) placed the following minimum requirements on the use of each year's block grant award for programs and activities: a twenty percent (20%) minimum expenditure requirement for primary prevention programs that discourage the use or abuse of alcohol or drugs; maintenance of expenditures for treatment services designed for pregnant women and women with dependent children; a five percent (5%) set-aside of expenditures for HIV early intervention services to areas of high prevalence; and the identification and referral of tuberculosis susceptible clients to appropriate testing and care.

The SAPT Block Grant requires maintenance of a program of continuing education for drug and alcohol professionals and an annual independent peer review to assess the quality, appropriateness, and efficacy of treatment services in the state. Under the Synar Amendment (42 U.S.C. 300x-26), grant recipients can lose up to forty percent (40%) of their SAPT Block Grant if predetermined goals are not met in reducing the percentage of tobacco sales to minors. Pennsylvania is required to reduce and

maintain the violation rate for tobacco sales to minors to twenty percent (20%) or less for each year of the block grant application.

Goals for the expenditure of the block grant funds are to:

- establish and maintain a cost-effective system of drug and alcohol services to meet the needs of Pennsylvania's diverse population;
- ensure that a full range of quality drug and alcohol prevention, intervention and treatment services are available to all Pennsylvania residents, regardless of the individual's ability to pay;
- work with other state agencies to improve and develop coordinated systems of service; and
- develop and maintain a comprehensive management information system that provides a link between the single state agency and the Single County Authorities (SCAs), with the goal of increasing timeliness of data gathering, and reducing costs by eliminating duplication of reporting.

The majority of funds within this appropriation are allocated to SCAs, the local entities responsible for administering substance abuse programming at the local level. There are forty-seven (47) SCAs serving the sixty-seven (67) counties of the Commonwealth.

Treatment services are funded through residential care, partial hospitalization, and outpatient programs. Treatment often consists of short-term detoxification, followed by a long-term rehabilitation. Inpatient services are rendered in a non-hospital setting. Outpatient services (including pharmacotherapy) may follow discharge from a residential program; however, many persons are initially treated in the outpatient modality. The service system is designed to be responsive to the needs of all residents, but particularly those populations considered at highest risk. Within the system, public dollars are used to underwrite costs of services for persons lacking sufficient financial resources. Funding determinations are based on a client liability process to determine an individual's ability to pay.

2. Substance Abuse Special Project Grants – Fund 70-964 [42 U.S.C. 290aa – 4; Public Health Service Act 319 (a), 516]

This appropriation supports the programmatic aspects of limited term grants received from the federal government and designated for a specific project or purpose, as well as contracts or agreements with the federal government or their designated contractor. Funds currently carried under this appropriation were awarded by the Center for Substance Abuse Treatment (CSAT) for the Cooperative Agreements to Benefit Homeless Individuals (CABHI) and The Center for Substance Abuse Prevention (CSAP) for Strategic Prevention Framework Partnerships for Success (SPF-PFS), as well as through the State Epidemiological Outcomes Workgroup agreement with Synectics, Inc., a contractor to the Substance Abuse and Mental Health Services Administration.

SEOW funding is provided to support a State Epidemiological Outcomes Workgroup and the utilization of various data sources to make programming and funding decisions concerning primary prevention activities.

The Strategic Prevention Framework Partnerships for Success (SPF-PFS) grant is designed to address two of the nation's top substance abuse prevention priorities: 1) underage drinking among persons aged 12 to 20; and 2) prescription drug misuse and abuse among persons aged 12 to 25. States that completed a Strategic Prevention Framework State Incentive Grant (SPF SIG) were eligible for this grant to continue the implementation of the SPF process at the state and community levels. A minimum of 85% of funds awarded through the grant must be allocated to subrecipient communities.

The Cooperative Agreements to Benefit Homeless Individuals (CABHI) grant shall provide accessible, effective, comprehensive, coordinated/integrated, and evidence-based treatment services; permanent supportive housing; peer supports; peer navigators; and other critical services to persons who experience chronic homelessness with substance use disorders or co-occurring substance use and mental disorders. The goal of the project is to decrease Pennsylvania's rate of chronic homelessness,

promote collaboration, and increase dissemination of best practice models. The project will build upon administrative structures and best practice models from the city of Philadelphia. Individuals participating in the service portion of the grant will be those suffering from addiction and/or co-occurring mental health disorders and will match the demographics of those experiencing chronic homelessness, which includes an over representation of single males and persons of color. The Mental Health Association of Southeastern PA (MHASP) and the Homeless Advocacy Project (HAP) will be sub grantees and offer peer engagement; navigator services; and SSI/SSDI Outreach, Access and Recovery (SOAR) services. The grant will also partner with the City of Philadelphia, Department of Behavioral Health and Intellectual disAbility Services (DBHIDS) training staff, to disseminate a trauma informed services perspective throughout Peer Services and Homeless Services.

Additional funding is included to allow for opportunities to be announced during the next grant season and to ensure adequate spending authorities if spending of current year grant funds are delayed in the federal fiscal cycle.

OTHER FUNDS

**DEPARTMENT OF DRUG AND ALCOHOL PROGRAMS
2016-17 BUDGET REQUEST**

Page # of Governor's Executive Budget: Pp. E16-3, E16-5, H17, H73		FUND NAME (NUMBER): Drug and Alcohol Treatment Services (20-382)		
I. SUMMARY FINANCIAL DATA (\$ Amounts in Thousands)		2014-15 <u>Actual</u>	2015-16 <u>Available</u>	2016-17 <u>Budgeted</u>
State Funds		\$0	\$0	\$0
Federal Funds Total Federal Sources Itemized		\$0	\$0	\$0
Other Funds		\$3,000	\$3,000	\$3,000
Total		\$3,000	\$3,000	\$3,000
II. HISTORY OF LAPSES (\$ Amounts in Thousands)		2013-14 <u></u>	2014-15 <u></u>	Estimated 2015-16 <u></u>
State Funds		\$0	\$0	\$0
III. COMPLEMENT INFORMATION		12/31/2014 <u></u>	12/31/2015 <u></u>	2016-17 Budgeted <u></u>
Benefit Factor				
State Funded				
- Authorized				
- Filled				
Federally Funded				
- Authorized				
- Filled				
Other Funded				
- Authorized				
- Filled				
Total		0	0	0
- Authorized		0	0	0
- Filled		0	0	0

IV. DETAIL BY MAJOR OBJECT
(\$ Amounts in Thousands)

FUND NAME (NUMBER):
Drug and Alcohol Treatment Services (20-382)

	2014-15 Actual	2015-16 Available	2016-17 Budgeted	Change Budgeted vs. Available	Percent Change
PERSONNEL					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
Total Personnel	\$0	\$0	\$0	\$0	0.00%
OPERATING					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
Total Operating	\$0	\$0	\$0	\$0	0.00%
FIXED ASSETS					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
Total Fixed Assets	\$0	\$0	\$0	\$0	0.00%
GRANT & SUBSIDY					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$3,000	\$3,000	\$3,000	\$0	0.00%
Total Grant & Subsidy	\$3,000	\$3,000	\$3,000	\$0	0.00%
OTHER					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
Total Other	\$0	\$0	\$0	\$0	0.00%
BUDGETARY RESERVE					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
Total Budgetary Reserve	\$0	\$0	\$0	\$0	0.00%
TOTAL FUNDS					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$3,000	\$3,000	\$3,000	\$0	0.00%
Total Funds	\$3,000	\$3,000	\$3,000	\$0	0.00%

V. EXPLANATION OF CHANGES
(\$ Amounts in Thousands)

FUND NAME (NUMBER):
Drug and Alcohol Treatment Services (20-382)

PERSONNEL	<u>State \$</u>	<u>Federal \$</u>	<u>Other \$</u>	<u>Total \$</u>
				\$0
Subtotal Personnel	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
OPERATING				\$0
Subtotal Operating	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
GRANT & SUBSIDY				
Subtotal Grant & Subsidy	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
TOTAL	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>

Drug and Alcohol Treatment Services – Fund 20-382
[Act 1 of 2010]

Act 2010-01 was enacted on January 7, 2010, to amend Act 2004-71, The Pennsylvania Race Horse Development and Gaming Act. Inclusive to the new legislation is a requirement to transfer funding in the amount of three million dollars (\$3,000,000) annually from the State Gaming Fund. The full amount of these funds are to be allocated to the Single County Authorities (SCAs) solely for financing drug and alcohol addiction assessments, including drug and alcohol addiction assessments associated or related to compulsive and problem gambling, and for the related addiction treatment in non-hospital residential detoxification facilities, non-hospital residential rehabilitation facilities, and halfway houses licensed to provide addiction treatment services.

IV. DETAIL BY MAJOR OBJECT
(\$ Amounts in Thousands)

FUND NAME (NUMBER):
Compulsive and Problem Gambling Treatment (26-387)

	<u>2014-15 Actual</u>	<u>2015-16 Available</u>	<u>2016-17 Budgeted</u>	<u>Change Budgeted vs. Available</u>	<u>Percent Change</u>
PERSONNEL					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$319	\$334	\$368	\$34	10.18%
Total Personnel	<u>\$319</u>	<u>\$334</u>	<u>\$368</u>	<u>\$34</u>	<u>10.18%</u>
OPERATING					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$1,246	\$174	\$834	\$660	379.31%
Total Operating	<u>\$1,246</u>	<u>\$174</u>	<u>\$834</u>	<u>\$660</u>	<u>379.31%</u>
FIXED ASSETS					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
Total Fixed Assets	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>0.00%</u>
GRANT & SUBSIDY					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$5,235	\$5,292	\$4,948	(\$344)	-6.50%
Total Grant & Subsidy	<u>\$5,235</u>	<u>\$5,292</u>	<u>\$4,948</u>	<u>(\$344)</u>	<u>-6.50%</u>
OTHER					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
Total Other	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>0.00%</u>
BUDGETARY RESERVE					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
Total Budgetary Reserve	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>0.00%</u>
TOTAL FUNDS					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$6,800	\$5,800	\$6,150	\$350	6.03%
Total Funds	<u>\$6,800</u>	<u>\$5,800</u>	<u>\$6,150</u>	<u>\$350</u>	<u>6.03%</u>

V. EXPLANATION OF CHANGES
(\$ Amounts in Thousands)

FUND NAME (NUMBER):
Compulsive and Problem Gambling Treatment (26-387)

PERSONNEL

A. Administrative costs associated with the Compulsive and Problem Gambling Treatment Fund

	<u>State \$</u>	<u>Federal \$</u>	<u>Other \$</u>	<u>Total \$</u>
	\$0	\$0	\$34	\$34
Subtotal Personnel	<u>\$0</u>	<u>\$0</u>	<u>\$34</u>	<u>\$34</u>

OPERATING

A. Operating costs associated with a bi-annual Compulsive and Problem Gambling Treatment Fund media campaign.

	\$0		\$660	\$660
Subtotal Operating	<u>\$0</u>	<u>\$0</u>	<u>\$660</u>	<u>\$660</u>

GRANT & SUBSIDY

A. Costs are more in line with anticipated revenue.

	\$0		(\$344)	(\$344)
Subtotal Grant & Subsidy	<u>\$0</u>	<u>\$0</u>	<u>(\$344)</u>	<u>(\$344)</u>

TOTAL

	\$0	\$0	\$350	\$350
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Compulsive and Problem Gambling Treatment Fund – Fund 20-367
[Act 71 of 2004]

Act 71 of 2004, the Pennsylvania Race Horse Development and Gaming Act, established the Compulsive and Problem Gambling Treatment Fund to support a compulsive and problem gambling program within the Department of Health. This program provides public education, awareness and training regarding the problem of compulsive and problem gambling and funds localized treatment and prevention programs. Act 1 of 2010 amended the funding for this program by increasing revenues provided based on the greater of \$2 million or .002 multiplied by the gross terminal revenue of all active and operating gaming entities. The amended act also required that a minimum of fifty percent of the annual transfer be awarded to the single county authorities to support localized services.

Act 50 of 2010 created the Department of Drug and Alcohol Programs and requires that all drug and alcohol funding previously allocated to the Department of Health be transferred to the Department of Drug and Alcohol Programs. As a result, beginning in 2012-13, these funds are allocated to the Department of Drug and Alcohol Programs.

**FINANCIAL
STATEMENT**

**COMPARATIVE FINANCIAL STATEMENT
DEPARTMENT OF DRUG AND ALCOHOL PROGRAMS
COMPULSIVE AND PROBLEM GAMBLING TREATMENT FUND**

	2013-14 ACTUAL	2014-15 ACTUAL	2015-16 AVAILABLE	2016-17 BUDGET	2017-18 PLAN YR. 2	2018-19 PLAN YR. 3	2019-20 PLAN YR. 4
Beginning Balance	\$7,717	\$8,381	\$7,188	\$5,974	\$4,524	\$4,191	\$2,978
Revenue:							
Transfer from State Gaming Fund	\$5,242	\$4,384	\$4,566	\$4,680	\$4,797	\$4,917	\$5,040
Transfer from State Gaming Fund - Drug and Alcohol	\$3,000	\$3,000	\$3,000	\$3,000	\$3,000	\$3,000	\$3,000
Interest	\$23	\$20	\$20	\$20	\$20	\$20	\$20
Total Funds Available	\$15,982	\$15,785	\$14,774	\$13,674	\$12,341	\$12,128	\$11,038
Expenditures:							
Compulsive and Problem Gambling Treatment	\$4,601	\$5,597	\$5,800	\$6,150	\$5,150	\$6,150	\$5,150
Drug and Alcohol Treatment Services	\$3,000	\$3,000	\$3,000	\$3,000	\$3,000	\$3,000	\$3,000
Expenditures	\$7,601	\$8,597	\$8,800	\$9,150	\$8,150	\$9,150	\$8,150
Ending Balance - June 30	\$8,381	\$7,188	\$5,974	\$4,524	\$4,191	\$2,978	\$2,888

**FEDERAL BLOCK
GRANT SUMMARY**

Substance Abuse Prevention and Treatment

This block grant provides funds to establish and maintain programs to combat alcohol and drug abuse. These programs include individual substance abuse services, community services, drug and alcohol formula grants, and alcoholism treatment and rehabilitation services.

See Block Grant narrative for qualifying and selected activities.

	(Dollar Amounts in Thousands)		
Department/Appropriation	2014-15 Actual Block	2015-16 Available Block	2016-17 Recommended Block
Drug and Alcohol Programs:			
Administration and Operation.....	\$ 7,640	\$ 7,858	\$ 8,193
Drug and Alcohol Services.....	\$ 53,197	\$ 59,806	\$ 59,471
Subtotal:	<u>\$ 60,837</u>	<u>\$ 67,664</u>	<u>\$ 67,664</u>
Corrections:			
Drug and Alcohol Programs.....	<u>\$ 1,965</u>	<u>\$ 1,965</u> ^a	<u>\$ 1,965</u> ^a
Human Services:			
Homeless Services.....	<u>\$ 1,983</u>	<u>\$ 1,983</u> ^a	<u>\$ 1,983</u> ^a
Total:	<u><u>\$ 64,785</u></u>	<u><u>\$ 67,664</u></u>	<u><u>\$ 67,664</u></u>

^a Subgrant not added to total to avoid double counting.

MISCELLANEOUS

**EXPENDITURES
ROLLED FORWARD**

(\$ Amounts in Thousands)

There were no 2014-15 expenditures which rolled forward to 2015-16. Additionally, there are no expenditures proposed to be rolled forward from 2015-16 to 2016-17.

PRIOR YEAR APPROPRIATION WAIVER

(\$ Amounts in Thousands)

On August 6, 2015, DDAP requested a waiver to lapsing and encumbrance requirements of Act 146 of 1980 and Management Directive 310.3 for all available balances and commitments for the following appropriations: General Government Operation (11-028), Substance Abuse Block Grant – Administration and Operations (70-961), and Substance Abuse Special Projects – Administration and Operation (70-962). A waiver was granted thru June 30, 2016 for the SFY 2014-15 funds in order to cover all requirements and deadlines necessary to manage critical operating functions during the budget impasse.