



pennsylvania

DEPARTMENT OF DRUG AND
ALCOHOL PROGRAMS

2018-19
Request for Resources

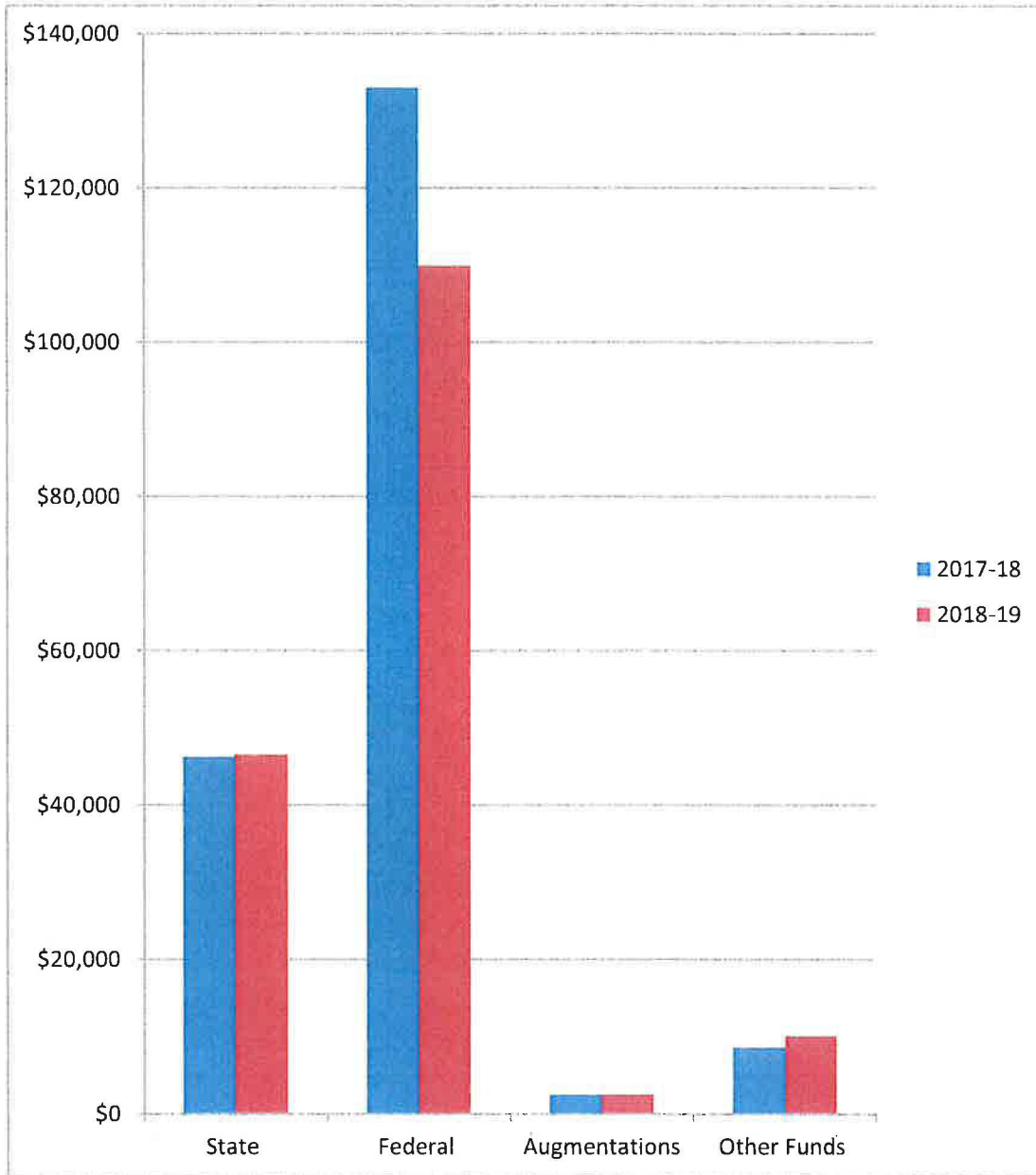
SUMMARY INFORMATION

**DEPARTMENT OF DRUG AND ALCOHOL PROGRAMS
SUMMARY BY FUND AND APPROPRIATION**

(Dollar Amounts in Thousands)

	2016-17 Actual	2017-18 Available	2018-19 Budget
GENERAL FUND:			
General Government:			
General Government Operations	\$2,122	\$1,495	\$1,769
(F) SABG - Administration Operation.....	8,193	8,617	8,327
(F) Substance Abuse Special Projects - Administration & Operation.....	2,228	2,934	3,369
(F) Opioid - State Targeted Response Administration	1,085	2,121	2,180
(F) DUI Intervention Project (EA)	25	-	100
Subtotal	<u>13,653</u>	<u>15,167</u>	<u>15,745</u>
Subtotal - State Funds.....	\$2,122	\$1,495	\$1,769
Subtotal - Federal Funds.....	11,531	13,672	13,976
Total - General Government.....	<u>\$13,653</u>	<u>\$15,167</u>	<u>\$15,745</u>
Grants and Subsidies:			
Assistance to Drug and Alcohol Programs	\$45,482	\$44,732	\$44,732
(F) SABG - Drug and Alcohol Services.....	59,471	59,452	57,775
(F) Substance Abuse Special Projects Grants.....	11,667	14,281	13,844
(F) Opioid - State Targeted Response.....	25,423	45,593	24,326
(A) Community Restitution Payments.....	1	3	3
(A) State Store Funds Transfer (EA).....	2,500	2,500	2,500
Subtotal	<u>144,544</u>	<u>166,561</u>	<u>143,180</u>
Subtotal - State Funds.....	\$45,482	\$44,732	\$44,732
Subtotal - Federal Funds.....	96,561	119,326	95,945
Subtotal - Augmentations.....	2,501	2,503	2,503
Total - Grants and Subsidies.....	<u>\$144,544</u>	<u>\$166,561</u>	<u>\$143,180</u>
STATE FUNDS.....	\$47,604	\$46,227	\$46,501
FEDERAL FUNDS.....	108,092	132,998	109,921
AUGMENTATIONS.....	2,501	2,503	2,503
GENERAL FUND TOTAL	<u>\$158,197</u>	<u>\$181,728</u>	<u>\$158,925</u>
OTHER FUNDS:			
General Fund			
Drug and Alcohol Recovery House Fund.....	\$0	\$0	\$900
Compulsive and Problem Gambling Treatment Fund			
Drug and Alcohol Treatment Services (EA).....	\$3,000	\$3,000	\$3,000
Compulsive and Problem Gambling Treatment (EA).....	\$5,536	\$5,582	\$6,150
COMPULSIVE AND PROBLEM GAMBLING TREATMENT FUND TOTAL	<u>\$8,536</u>	<u>\$8,582</u>	<u>\$9,150</u>
DEPARTMENT TOTAL - ALL FUNDS			
GENERAL FUND.....	\$47,604	\$46,227	\$46,501
SPECIAL FUNDS.....	-	-	-
FEDERAL FUNDS.....	108,092	132,998	109,921
AUGMENTATIONS.....	2,501	2,503	2,503
OTHER FUNDS.....	8,536	8,582	10,050
TOTAL ALL FUNDS	<u>\$166,733</u>	<u>\$190,310</u>	<u>\$168,975</u>

Department of Drug and Alcohol Programs 2017-18 and 2018-19 Budget Comparison



	2017-18	2018-19	Percentage Change
State	\$46,227	\$46,501	0.6%
Federal	132,998	109,921	-21.0%
Augmentations	2,503	2,503	0.0%
Other Funds	8,582	10,050	14.6%
	190,310	168,975	-12.6%

GENERAL GOVERNMENT

**DEPARTMENT OF DRUG AND ALCOHOL PROGRAMS
BUDGET REQUEST FOR FISCAL YEAR 2018-2019
(\$ Amounts in Thousands)**

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Pp. E23-3, E23-6

APPROPRIATION:
General Government Operations

I. SUMMARY FINANCIAL DATA

	2016-2017 Actual	2017-2018 Available	2018-2019 Budgeted
State Funds	\$2,122	\$1,495	\$1,769
Federal Funds Total	\$11,531	\$13,672	\$13,976
Federal Sources Itemized			
<i>SABG - Admin and Operation (70-961)</i>	\$8,193	\$8,617	\$8,327
<i>SA Special Proj - Admin and Oper (70-962)</i>	\$2,228	\$2,934	\$3,369
<i>Opioid - State Targeted Response Administration (71-073)</i>	\$1,085	\$2,121	\$2,180
<i>DUI Intervention Project (80-895)</i>	\$25	\$0	\$100
Other Funds Total	\$0	\$0	\$0
Other Sources Itemized			
<i>N/A</i>	\$0	\$0	\$0
Total	\$13,653	\$15,167	\$15,745

IA. REQUESTED SUPPLEMENTALS (Included above)

State Funds	\$0
Federal Funds Total	\$0
Total	\$0

II. DETAIL BY MAJOR OBJECT
(\$ Amounts in Thousands)

APPROPRIATION:
General Government Operations

	2016-2017 Actual	2017-2018 Available	2018-2019 Budgeted	Change Budgeted vs. Available	Percent Change
PERSONNEL					
State Funds	\$965	\$873	\$1,130	\$257	29.44%
Federal Funds	\$6,439	\$6,543	\$6,253	(\$290)	-4.43%
Other Funds	\$0	\$0	\$0	\$0	0.00%
Total Personnel	\$7,404	\$7,416	\$7,383	(\$33)	-0.44%
OPERATING					
State Funds	\$386	\$622	\$639	\$17	2.73%
Federal Funds	\$2,404	\$3,110	\$4,248	\$1,138	36.60%
Other Funds	\$0	\$0	\$0	\$0	0.00%
Total Operating	\$2,790	\$3,732	\$4,887	\$1,155	30.96%
FIXED ASSETS					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
Total Fixed Assets	\$0	\$0	\$0	\$0	0.00%
GRANT & SUBSIDY					
State Funds	\$200	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
Total Grant & Subsidy	\$200	\$0	\$0	\$0	0.00%
NONEXPENSE					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
Total Nonexpense	\$0	\$0	\$0	\$0	0.00%
BUDGETARY RESERVE					
State Funds	\$571	\$0	\$0	\$0	0.00%
Federal Funds	\$2,393	\$3,958	\$2,487	(\$1,471)	-37.18%
Other Funds	\$0	\$0	\$0	\$0	0.00%
Total Budgetary Reserve	\$2,964	\$3,958	\$2,487	(\$1,471)	-37.18%
UNCOMMITTED					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$0	\$0	\$0	\$0	0.00%
Other Funds	\$0	\$0	\$0	\$0	0.00%
Total Uncommitted	\$0	\$0	\$0	\$0	0.00%
OTHER					
State Funds	\$0	\$0	\$0	\$0	0.00%
Federal Funds	\$295	\$61	\$988	\$927	1519.67%
Other Funds	\$0	\$0	\$0	\$0	0.00%
Total Other	\$295	\$61	\$988	\$927	1519.67%
TOTAL FUNDS					
State Funds	\$2,122	\$1,495	\$1,769	\$274	18.33%
Federal Funds	\$11,531	\$13,672	\$13,976	\$304	2.22%
Other Funds	\$0	\$0	\$0	\$0	0.00%
Total Funds	\$13,653	\$15,167	\$15,745	\$578	3.81%

APPROPRIATION:
General Government Operations

III. HISTORY OF LAPSES (\$ Amounts in Thousands)	<u>2015-2016</u>	<u>2016-2017</u>	<u>2017-18 Estimated</u>
State Funds	\$0	\$0	\$0

IV. COMPLEMENT INFORMATION	<u>12/31/2016</u>	<u>12/31/2017</u>	<u>2018-2019 Budgeted</u>
State/Federally Funded			
<i>Authorized</i>	7	7	9
<i>Filled</i>	7	6	6
Federally Funded			
<i>Authorized</i>	71	69	66
<i>Filled</i>	59	52	52
Other Funded			
<i>Authorized</i>	0	0	0
<i>Filled</i>	0	0	0
Total			
<i>Authorized</i>	78	76	75
<i>Filled</i>	66	58	58
Benefit Rate	0.00%	0.00%	0.00%

V. DERIVATION OF REQUEST / LEGISLATIVE CITATIONS / DISBURSEMENT CRITERIA

Derivation of Request:

Detail on the appropriation request is outlined in Section VI entitled "Explanation of Changes" on the next page.

Legislative Citations:

Disbursement Criteria:

VI. EXPLANATION OF CHANGES
(\$ Amounts in Thousands)

APPROPRIATION:
General Government Operations

	<u>State \$</u>	<u>Federal \$</u>	<u>Other \$</u>	<u>Total</u>
PERSONNEL				
1. Increase due to administrative costs associated with managing the Department of Drug and Alcohol Programs.	\$257	\$0	\$0	\$257
2. Decrease is due to changes in the administrative costs associated with managing the Department of Drug and Alcohol Programs.	<u>\$0</u>	<u>(\$290)</u>	<u>\$0</u>	<u>(\$290)</u>
Subtotal Personnel	<u>\$257</u>	<u>(\$290)</u>	<u>\$0</u>	<u>(\$33)</u>
OPERATING				
1. Increase is necessary to continue administration and operation of substance abuse prevention and treatment.	\$17	\$0	\$0	\$17
2. Increase is due to new Federal grants (CABHI-Bridges to Home, Opioid-STR, and MAT-PDOA) received.	<u>\$0</u>	<u>\$1,138</u>	<u>\$0</u>	<u>\$1,138</u>
Subtotal Operating	<u>\$17</u>	<u>\$1,138</u>	<u>\$0</u>	<u>\$1,155</u>
OTHER				
1. Increase due to the Department of Human Services (DHS) personnel costs and subcontracting services through the Department of Health (DOH) subgrant for PDMP Integration related to the Opioid STR Grant.	<u>\$0</u>	<u>\$927</u>	<u>\$0</u>	<u>\$927</u>
Subtotal Other	<u>\$0</u>	<u>\$927</u>	<u>\$0</u>	<u>\$927</u>
BUDGETARY RESERVE				
1. 2018-19 Budgetary Reserve	<u>\$0</u>	<u>(\$1,471)</u>	<u>\$0</u>	<u>(\$1,471)</u>
Subtotal Budgetary Reserve	<u>\$0</u>	<u>(\$1,471)</u>	<u>\$0</u>	<u>(\$1,471)</u>
TOTAL	<u>\$274</u>	<u>\$304</u>	<u>\$0</u>	<u>\$578</u>

General Government Operations

The General Government Operations (GGO) appropriation is utilized to support personnel associated with the Secretary and Deputy Secretary of the agency, as well as their direct executive staff. Funds under this appropriation also support department operating expenditures, as well as memberships in organizations, such as the National Association of State Alcohol and Drug Abuse Directors, that advocates for states and programs at the federal level.

Federal Appropriations related to General Government Operations:

Substance Abuse Block Grant (SABG) – Administration and Operations

This appropriation is utilized to capture administrative and operational expenditures within the Department of Drug and Alcohol Programs in management of the Substance Abuse Prevention and Treatment Block Grant. Federal block grant statutory requirements restrict administrative funding to five percent (5%) of the total award.

Funding supports implementation and development of service contracts; dissemination of substance abuse educational materials; development of prevention, intervention and treatment protocols for the delivery of services; approval and licensing of freestanding drug and alcohol treatment facilities; and monitoring the performance of county programs through management of forty-seven (47) Single County Authority (SCA) grant agreements, as well as numerous contracts and grant agreements in support of the drug and alcohol programs. None of the funds issued under this appropriation are issued to the SCAs or utilized to contract for program-related services.

Substance Abuse Special Projects – Administration & Operations – Fund 70-962

This appropriation was established to capture administrative and operational expenditures associated with managing the limited term federal contracts and grants also included in Appropriation 70-964. None of the funds issued under this appropriation are issued to SCAs, or utilized to contract for program-related services. Some federal funding is received strictly for administrative functions and entirely captured under this appropriation.

Grants available during the appropriation period are through the Center for Substance Abuse Treatment (CSAT) for the Bridges to Home - Cooperative Agreements to Benefit Homeless Individuals (CABHI) Project, Medication Assisted Treatment – Prescription Drug and Opioid Addiction (MAT-PDOA), and the Screening, Brief Intervention and Referral to Treatment (SBIRT); and the Center for Substance Abuse Prevention (CSAP) for Strategic Prevention Framework Partnerships for Success (SPF-PFS) and the Strategic Framework for Prescription Drugs (SPF Rx).

Funding is also received for the Drug and Alcohol Service Information System (DASIS), the State Outcome Measurement and Management System (SOMMS), the State Epidemiological Outcomes Workgroup (SEOW) agreements with Eagle Technologies, Inc. DASIS is comprised of three components. The first is the Treatment Episode Data Set (TEDS), a nationwide database of clients admitted to publicly funded treatment programs. The Uniform Facility Data Set, the second of the three components, is an annual survey of all active treatment and prevention facilities listed in the National Master Facility Inventory (NMFI). The NMFI is the third component, listing all known substance abuse treatment and prevention facilities in the United States. SOMMS funding is provided for submission of substance abuse client data in TEDS for treatment admissions and discharges. SEOW funding is provided to support a State Epidemiological Outcomes Workgroup and the utilization of various data sources to make programming and funding decisions concerning primary prevention activities.

Additional funding is included to allow for opportunities to be announced during the next grant season and to ensure adequate spending authority if spending of current year grant funds are delayed in the federal fiscal cycle.

Opioid – State Targeted Response (STR) Administration – 21st Century CURES Grant

This appropriation is utilized to capture administrative and operational expenditures in management of the Opioid – State Targeted Response (STR) grant. Federal requirements restrict the administrative funding to five percent (5%) of the total grant award.

Funding will accelerate Pennsylvania's integration of its Prescription Drug Monitoring Program data as well as support data collection, analysis, and data analytic software.

GRANTS AND SUBSIDIES

DEPARTMENT OF DRUG AND ALCOHOL PROGRAMS
BUDGET REQUEST FOR FISCAL YEAR 2018-2019
(\$ Amounts in Thousands)

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APPROPRIATION:
Assistance to Drug and Alcohol Programs

I. SUMMARY FINANCIAL DATA

	2016-2017 Actual	2017-2018 Available	2018-2019 Budgeted
State Funds	\$45,482	\$44,732	\$44,732
Federal Funds Total	\$96,561	\$119,326	\$95,945
Federal Sources Itemized			
<i>SABG - Drug and Alcohol Services (70-963)</i>	\$59,471	\$59,452	\$57,775
<i>SA Special Projects Grants (70-964)</i>	\$11,667	\$14,281	\$13,844
<i>Opioid - State Targeted Response (71-079)</i>	\$25,423	\$45,593	\$24,326
Other Funds Total	\$2,501	\$2,503	\$2,503
Other Sources Itemized			
<i>Community Restitution Payments</i>	\$1	\$3	\$3
<i>State Stores Fund Transfer (EA)</i>	\$2,500	\$2,500	\$2,500
Total	\$144,544	\$166,561	\$143,180

IA. REQUESTED SUPPLEMENTALS (Included above)

State Funds	\$0
Federal Funds Total	\$0
Total	\$0

II. DETAIL BY MAJOR OBJECT (\$ Amounts in Thousands)	APPROPRIATION: Assistance to Drug and Alcohol Programs				
	2016-2017 Actual	2017-2018 Available	2018-2019 Budgeted	Change Budgeted vs. Available	Percent Change
PERSONNEL					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
Total Personnel	\$0	\$0	\$0	\$0	0.00%
OPERATING					
<i>State Funds</i>	\$1,607	\$1,607	\$1,604	(\$3)	-0.19%
<i>Federal Funds</i>	\$1,414	\$2,676	\$2,260	(\$416)	-15.55%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
Total Operating	\$3,021	\$4,283	\$3,864	(\$419)	-9.78%
FIXED ASSETS					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
Total Fixed Assets	\$0	\$0	\$0	\$0	0.00%
GRANT & SUBSIDY					
<i>State Funds</i>	\$43,125	\$43,125	\$43,128	\$3	0.01%
<i>Federal Funds</i>	\$88,413	\$87,239	\$70,475	(\$16,764)	-19.22%
<i>Other Funds</i>	\$2,501	\$2,503	\$2,503	\$0	0.00%
Total Grant & Subsidy	\$134,039	\$132,867	\$116,106	(\$16,761)	-12.61%
NONEXPENSE					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
Total Nonexpense	\$0	\$0	\$0	\$0	0.00%
BUDGETARY RESERVE					
<i>State Funds</i>	\$750	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$2,786	\$21,413	\$14,262	(\$7,151)	-33.40%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
Total Budgetary Reserve	\$3,536	\$21,413	\$14,262	(\$7,151)	-33.40%
UNCOMMITTED					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
Total Uncommitted	\$0	\$0	\$0	\$0	0.00%
OTHER					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$3,948	\$7,998	\$8,948	\$950	11.88%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
Total Other	\$3,948	\$7,998	\$8,948	\$950	11.88%
TOTAL FUNDS					
<i>State Funds</i>	\$45,482	\$44,732	\$44,732	\$0	0.00%
<i>Federal Funds</i>	\$96,561	\$119,326	\$95,945	(\$23,381)	-19.59%
<i>Other Funds</i>	\$2,501	\$2,503	\$2,503	\$0	0.00%
Total Funds	\$144,544	\$166,561	\$143,180	(\$23,381)	-14.04%

APPROPRIATION:
Assistance to Drug and Alcohol Programs

III. HISTORY OF LAPSES (\$ Amounts in Thousands)	<u>2015-2016</u>	<u>2016-2017</u>	<u>2017-2018 Estimated</u>
State Funds	\$0	\$0	\$0

IV. COMPLEMENT INFORMATION	<u>12/31/2016</u>	<u>12/31/2017</u>	<u>2018-2019 Budgeted</u>
State/Federally Funded			
<i>Authorized</i>	0	0	0
<i>Filled</i>	0	0	0
Federally Funded			
<i>Authorized</i>	0	0	0
<i>Filled</i>	0	0	0
Other Funded			
<i>Authorized</i>	0	0	0
<i>Filled</i>	0	0	0
Total			
<i>Authorized</i>	0	0	0
<i>Filled</i>	0	0	0
Benefit Rate	0.00%	0.00%	0.00%

V. DERIVATION OF REQUEST / LEGISLATIVE CITATIONS / DISBURSEMENT CRITERIA

Derivation of Request:

Detail on the appropriation request is outlined in Section VI entitled "Explanation of Changes" on the next page.

Legislative Citations:

Disbursement Criteria:

VI. EXPLANATION OF CHANGES
 (\$ Amounts in Thousands)

APPROPRIATION:
 Assistance to Drug and Alcohol Programs

	<u>State \$</u>	<u>Federal \$</u>	<u>Other \$</u>	<u>Total</u>
PERSONNEL				
Subtotal Personnel	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
OPERATING				
1. Decrease due to costs associated with various DDAP data systems.	(\$3)	\$0	\$0	(\$3)
2. Decrease in operating costs associated with the Department of General Services (DGS) one-time media costs for the Opioid STR Grant.		<u>(\$416)</u>	<u>\$0</u>	<u>(\$416)</u>
Subtotal Operating	<u>(\$3)</u>	<u>(\$416)</u>	<u>\$0</u>	<u>(\$419)</u>
GRANTS & SUBSIDY				
1. Increase due to costs associated with various DDAP data systems.	\$3	\$0	\$0	\$3
2. Decrease due to the Strategic Prevention Framework Performance for Success (SPF-PFS) Grant ending 9/29/18.	<u>\$0</u>	<u>(\$16,764)</u>	<u>\$0</u>	<u>(\$16,764)</u>
Subtotal Other	<u>\$3</u>	<u>(\$16,764)</u>	<u>\$0</u>	<u>(\$16,761)</u>
OTHER				
1. Increase due to subgrant with the Pennsylvania Commission on Crime and Delinquency (PCCD) to support PA Drug Courts. Subgrant is associated with the Opioid STR Grant.	<u>\$0</u>	<u>\$950</u>	<u>\$0</u>	<u>\$950</u>
Subtotal Other	<u>\$0</u>	<u>\$950</u>	<u>\$0</u>	<u>\$950</u>
BUDGETARY RESERVE				
1. 2018-2019 Budgetary Reserve	<u>\$0</u>	<u>(\$7,151)</u>	<u>\$0</u>	<u>(\$7,151)</u>
Subtotal Budgetary Reserve	<u>\$0</u>	<u>(\$7,151)</u>	<u>\$0</u>	<u>(\$7,151)</u>
TOTAL	<u><u>\$0</u></u>	<u><u>(\$23,381)</u></u>	<u><u>\$0</u></u>	<u><u>(\$23,381)</u></u>

Assistance to Drug and Alcohol Programs

This program provides funding for administration of Single County Authorities (SCAs) and the delivery of prevention, intervention, treatment and recovery-oriented services. SCAs were established to plan and implement community-based drug and alcohol services at the county level, with each SCA representing a single county or group of counties. Funds received under this appropriation are issued to the SCAs as grant agreements.

Prevention strategies include information dissemination, education, problem identification, community mobilization, environmental policy modification, and alternative activities. Treatment includes detoxification, outpatient counseling, residential rehabilitation services, and partial hospitalization services. Target groups include pregnant women, women with children, intravenous drug users, Latinos, and adolescents.

Client access to treatment services is controlled through the case management system. The SCA is responsible for ensuring client access to drug and alcohol treatment services through the primary activities of screening, assessment, placement, and continued stay review. Currently, the Pennsylvania Client Placement Criteria (PCPC) is used for Adults and the American Society of Addiction Medicine's Patient Placement Criteria (ASAM PPC-2R) is used for Adolescents as the mandated placement instruments for all SCA-contracted treatment providers. They are also the required instruments for the Department of Human Services' HealthChoices initiative, the managed care program utilized to address behavioral health issues for the Medicaid eligible population in Pennsylvania. The criteria utilized from these documents include admission, continued stay, discharge and referral matrices to promote a seamless system of ongoing care. By July 1, 2018, all Commonwealth agencies will utilize the ASAM Criteria Third Edition for all drug and alcohol level of care placements.

SCAs are also required to provide case coordination services; which include Intensive Case Management (ICM), resource coordination, and recovery support services. Case Coordination is a function of case management through which the SCA ensures that the individual's treatment and non-treatment needs are addressed. Non-treatment needs are needs the individual may have that do not directly impact level of care and placement decisions; however they are issues that need to be addressed as part of the individual's recovery process. In order to assist individuals in the management of their recovery, it is necessary to ensure that resources to address the individual's needs are in place, and that those resources are made available to all clients at the time the needs are identified. Case coordination facilitates the identification of services offered to and utilized by the individual.

Funding under this appropriation supports the Maintenance of Effort applicable to the Substance Abuse Prevention and Treatment Block Grant. The majority of funds under this appropriation are issued to the SCAs to support prevention, intervention, treatment and case management at the local level, as well as the administration of the SCAs. The remainder is used to support SCA use of the State Civil Service in participating civil service status counties, as well as to support information technology systems used by the SCAs and their providers for data management and reporting.

Federal Appropriations related to Assistance to Drug and Alcohol Programs:

Substance Abuse Block Grant - Drug and Alcohol Services

This program is funded by the Substance Abuse Prevention and Treatment (SAPT) Block Grant to provide substance abuse prevention, intervention, and treatment services to the citizens of Pennsylvania.

The Public Health Services Act (Public Law 102-321 of 1992) placed the following minimum requirements on the use of each year's block grant award for programs and activities: a twenty percent (20%) minimum expenditure requirement for primary prevention programs that discourage

the use and abuse of alcohol or drugs; maintenance of expenditures for treatment services designed for pregnant women and women with dependent children; when applicable, a five percent (5%) set-aside of expenditures for HIV early intervention services to areas of high prevalence; and the identification and referral of tuberculosis susceptible clients to appropriate testing and care.

The SAPT Block Grant requires maintenance of a program of continuing education for drug and alcohol professionals and an annual independent peer review to assess the quality, appropriateness, and efficacy of treatment services in the state. Under the Synar Amendment (42 U.S.C. 300x-26), grant recipients can lose up to forty percent (40%) of their SAPT Block Grant if predetermined goals are not met in reducing the percentage of tobacco sales to minors. Pennsylvania is required to reduce and maintain the violation rate for tobacco sales to minors to twenty percent (20%) or less for each year of the block grant application.

Goals for the expenditure of the block grant funds are to:

- establish and maintain a cost-effective system of drug and alcohol services to meet the needs of Pennsylvania's diverse population;
- ensure that a full range of quality drug and alcohol prevention, intervention and treatment services are available to all Pennsylvania residents, regardless of the individual's ability to pay; and
- work with other state agencies to improve and develop coordinated systems of service.

A majority of the funding received is awarded to the SCAs for the provision of prevention, intervention, treatment and case management services at the local level. There are forty-seven (47) SCAs serving the sixty-seven (67) counties of the Commonwealth. Treatment services are funded through residential care, partial hospitalization, and outpatient programs. Treatment often consists of short-term detoxification, followed by a long-term rehabilitation. Inpatient services are rendered in a non-hospital setting. Outpatient services (including pharmacotherapy) may follow discharge from a residential program; however, many persons are initially treated in the outpatient modality. The service system is designed to be responsive to the needs of all residents, but particularly those populations considered at highest risk. Within the system, public dollars are used to underwrite costs of services for persons lacking sufficient financial resources. Funding determinations are based on a client liability process to determine an individual's ability to pay.

Substance Abuse Special Project Grants

This appropriation supports the programmatic aspects of limited term federal contracts and grants, as well as federally funded special projects. Grants and contracts under this appropriation may fund prevention or treatment initiatives and may or may not be issued through the SCAs.

Funds currently carried under this appropriation were awarded by the Center for Substance Abuse Treatment (CSAT) for the Bridges to Home - Cooperative Agreements to Benefit Homeless Individuals (CABHI) Project, Medication Assisted Treatment – Prescription Drug and Opioid Addiction (MAT-PDOA), and the Screening, Brief Intervention and Referral to Treatment (SBIRT); and the Center for Substance Abuse Prevention (CSAP) for Strategic Prevention Framework Partnerships for Success (SPF-PFS) and the Strategic Prevention Framework for Prescription Drugs (SPF Rx), as well as through the State Epidemiological Outcomes Workgroup agreement with Eagle Technologies, Inc.

SEOW funding is provided to support a State Epidemiological Outcomes Workgroup and the utilization of various data sources to make programming and funding decisions concerning primary prevention activities.

The Strategic Prevention Framework Partnerships for Success (SPF-PFS) grant is designed to

address two of the nation's top substance abuse prevention priorities: 1) underage drinking among persons aged 12 to 20; and 2) prescription drug misuse and abuse among persons aged 12 to 25. States that completed a Strategic Prevention Framework State Incentive Grant (SPF-SIG) were eligible for this grant to continue the implementation of the SPF process at the state and community levels. A minimum of 85% of funds awarded through the grant must be allocated to subrecipient communities.

The Screening, Brief Intervention and Referral to Treatment (SBIRT) grant implements SBIRT training and protocols in seven (7) primary care and community health clinic sites. This project uses evidence-based practices to assess patients for harmful alcohol and drug use, and co-occurring symptoms for mental health disorders. Brief interventions are conducted with patients using the brief negotiated interview (BNI) model based on motivational interviewing principles. The project utilizes a Care Coordinator in each site who assists with the "warm-handoff" to treatment centers for patients referred to specialty substance use treatment or medication assisted treatment (MAT). These coordinators also help track and follow-up with patients throughout the course of the project. The project team is partnering with SCAs and local treatment providers to ensure that patients referred during the course of the five-year project are able to access the necessary treatment at the appropriate level of care. The program also integrates health information technology (HIT) in the healthcare practices to ensure uniformity in tracking patients and ensuring their access to treatment. The Program Evaluation and Research Unit (PERU) part of the University of Pittsburgh, School of Pharmacy is leading and facilitating all evaluation efforts required for the project including continuous quality improvement efforts.

The Strategic Prevention Framework for Prescription Drugs (SPF Rx) grant is targeted at reducing prescription drug misuse and opioid overdose deaths with identified high need communities. Young adults are a specific population of focus. The Department has identified several project objectives: 1) increase awareness and knowledge of the dangers of prescription drug misuse; 2) increase prescriber adherence with PA opioid prescribing guidelines; 3) increase prescriber and dispenser utilization of the Prescription Drug Monitoring Program (PDMP); 4) increase prescriber and dispenser knowledge on how to intervene when encountering a patient suspected of prescription drug misuse; and 5) increase incorporation of PDMP data into county level needs assessments. These goals will be achieved through the implementation of strategies such as media campaigns focused on raising awareness of prescription drug misuse; public education activities to increase awareness of medication take back box locations and why it is important to dispose of unused medications; and outreach/training/education to prescribers and dispensers on safe and effective prescribing practices for opioids, how and why to utilize the PDMP, finding and referring patients to treatment, and tips on how to discuss prescription drug misuse with patients. DDAP partners with the Department of Health and Human Services PDMP Office to implement this project. In collaboration with the State Epidemiological Outcomes Workgroup, high need communities to be targeted for this project will be identified and an estimation of the number of people to be served will be developed. The PDMP Office coordinates outreach/education/training for prescribers and dispensers. SCAs serving the selected communities utilize the Strategic Prevention Framework model to plan, implement, and evaluate media campaigns and other public education activities.

The Bridges to Home – Cooperative Agreements to Benefit Homeless Individuals (CABHI) grant introduced a variety of resources inside the Riverside Correctional Facility in Philadelphia, Pennsylvania to facilitate inmates' transition to the community. Services provided include: peer support services, case management, assistance with Supplemental Security Income (SSI) and Social Security Disability Insurance (SSDI) applications, individualized recovery planning, treatment, stable housing, and trauma-informed care. This project serves women who have or will experience homelessness, substance use disorder (SUD), serious mental illness (SMI), serious emotional disturbance (SED), or co-occurring mental and substance use disorders (CODs). The Mental Health Association of Southeastern PA (MHASP) is a subgrantee and serves as the primary service delivery

provider. DDAP works with the Homeless Advocacy Project (HAP), who will provide SSI/SSDI Outreach, Access, and Recovery (SOAR) services.

The Medication Assisted Treatment – Prescription Drug and Opioid Addiction (MAT-PDOA) grant seeks to improve medicated assisted treatment (MAT) access, quality, and outcomes for Pennsylvania through an integrated, flexible, and sustainable hub and spoke model of care for those with opioid use disorder (OUD). The goals of the project are to: 1) increase the number of providers and settings that offer MAT; 2) create an integrated and coordinated continuum of care for individuals with OUD by standardizing MAT assessment and protocols, creating engagement protocols, and increasing the use of peers; 3) increase the quality of MAT services by implementing comprehensive training and quality indicators for providers; and 4) increase the sustainability of MAT services by implementing payment model reform and implementation support. The University of Pittsburgh Medical Center's Western Psychiatric Institute and Clinic acts as the super hub and provides clinical training and oversight to the regional hubs and evaluation of program implementation. The selected Single County Authorities (SCAs) are responsible for regional planning and coordination of all substance use treatment.

Additional funding is included to allow for opportunities to be announced during the next grant season and to ensure adequate spending authority is available for those grant funds.

Opioid – State Targeted Response (STR) – 21st Century CURES Act Funding

Funds from this appropriation will address the opioid crisis by increasing access to treatment, reducing unmet treatment need, and reducing opioid overdose related deaths through the provision of prevention, treatment, and recovery activities for opioid use disorders (OUD). The Opioid STR grant proposes the development of a needs assessment to identify prevalence of opioid misuse and to determine the capacity and gaps in treatment at all levels of care. The funding will provide for public awareness activities such as maintaining the 24/7 hotline number, adding media pieces to the clearinghouse and expand inventory, and develop and publish media messages. Funding will also be targeted for workforce development and cultural competency training, expansion of treatment capacity, provide clinically-appropriate treatment services to uninsured and underinsured individuals; and prevention efforts in schools.

The initial planning includes the Department of Drug and Alcohol Programs (DDAP), Department of Health and Human Services (DHHS), including the Offices of Mental Health and Substance Abuse Services (OMHSAS) and Medical Assistance Programs (OMAP), Department of Aging, Department of Education, and the Pennsylvania Commission on Crime and Delinquency (PCCD). Each department has state and federal dollars that will be leveraged with the Opioid STR funds to implement a comprehensive approach to addressing the goals of the proposed projects.

OTHER FUNDS

**DEPARTMENT OF DRUG AND ALCOHOL PROGRAMS
BUDGET REQUEST FOR FISCAL YEAR 2018-2019
(\$ Amounts in Thousands)**

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APPROPRIATION:
Drug and Alcohol Treatment Services

I. SUMMARY FINANCIAL DATA	2016-2017 <u>Actual</u>	2017-2018 <u>Available</u>	2018-2019 <u>Budgeted</u>
State Funds	\$0	\$0	\$0
Federal Funds Total	\$0	\$0	\$0
Federal Sources Itemized			
<i>N/A</i>	\$0	\$0	\$0
Other Funds Total	\$3,000	\$3,000	\$3,000
Other Sources Itemized			
<i>Drug and Alcohol Treatment Services (EA)</i>	<u>\$3,000</u>	<u>\$3,000</u>	<u>\$3,000</u>
Total	\$3,000	\$3,000	\$3,000
IA. REQUESTED SUPPLEMENTALS (Included above)			
State Funds		\$0	
Federal Funds Total		<u>\$0</u>	
Total		\$0	

II. DETAIL BY MAJOR OBJECT
(\$ Amounts in Thousands)

APPROPRIATION:
Drug and Alcohol Treatment Services

	2016-2017 Actual	2017-2018 Available	2018-2019 Budgeted	Change Budgeted vs. Available	Percent Change
PERSONNEL					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
Total Personnel	\$0	\$0	\$0	\$0	0.00%
OPERATING					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
Total Operating	\$0	\$0	\$0	\$0	0.00%
FIXED ASSETS					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
Total Fixed Assets	\$0	\$0	\$0	\$0	0.00%
GRANT & SUBSIDY					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$3,000	\$3,000	\$3,000	\$0	0.00%
Total Grant & Subsidy	\$3,000	\$3,000	\$3,000	\$0	0.00%
NONEXPENSE					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
Total Nonexpense	\$0	\$0	\$0	\$0	0.00%
BUDGETARY RESERVE					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
Total Budgetary Reserve	\$0	\$0	\$0	\$0	0.00%
UNCOMMITTED					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
Total Uncommitted	\$0	\$0	\$0	\$0	0.00%
OTHER					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
Total Other	\$0	\$0	\$0	\$0	0.00%
TOTAL FUNDS					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$3,000	\$3,000	\$3,000	\$0	0.00%
Total Funds	\$3,000	\$3,000	\$3,000	\$0	0.00%

APPROPRIATION:
Drug and Alcohol Treatment Services

III. HISTORY OF LAPSES (\$ Amounts in Thousands)	<u>2015-2016</u>	<u>2016-2017</u>	<u>2017-2018 Estimated</u>
State Funds	\$0	\$0	\$0

IV. COMPLEMENT INFORMATION	<u>12/31/2016</u>	<u>12/31/2017</u>	<u>2018-2019 Budgeted</u>
State/Federally Funded			
<i>Authorized</i>	0	0	0
<i>Filled</i>	0	0	0
Federally Funded			
<i>Authorized</i>	0	0	0
<i>Filled</i>	0	0	0
Other Funded			
<i>Authorized</i>	0	0	0
<i>Filled</i>	0	0	0
Total			
<i>Authorized</i>	0	0	0
<i>Filled</i>	0	0	0
Benefit Rate	0.00%	0.00%	0.00%

V. DERIVATION OF REQUEST / LEGISLATIVE CITATIONS / DISBURSEMENT CRITERIA

Derivation of Request:

Legislative Citations:

Disbursement Criteria:

VI. EXPLANATION OF CHANGES
(\$ Amounts in Thousands)

APPROPRIATION:
Drug and Alcohol Treatment Services

	<u>State \$</u>	<u>Federal \$</u>	<u>Other \$</u>	<u>Total</u>
PERSONNEL				
Subtotal Personnel	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
OPERATING				
Subtotal Operating	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
GRANT & SUBSIDY				
Subtotal Grant & Subsidy	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
TOTAL	<u><u>\$0</u></u>	<u><u>\$0</u></u>	<u><u>\$0</u></u>	<u><u>\$0</u></u>

Drug and Alcohol Treatment Services

Act 2010-01 was enacted on January 7, 2010, to amend Act 2004-71, The Pennsylvania Race Horse Development and Gaming Act. Inclusive to the new legislation is a requirement to transfer funding in the amount of three million dollars (\$3,000,000) annually from the State Gaming Fund for allocation to the Single County Authorities (SCAs). These funds are to be used to finance drug and alcohol addiction assessments, including drug and alcohol addiction assessments associated or related to compulsive and problem gambling, and for the related addiction treatment in non-hospital residential detoxification facilities, non-hospital residential rehabilitation facilities, and halfway houses licensed to provide non-hospital residential addiction treatment services.

**DEPARTMENT OF DRUG AND ALCOHOL PROGRAMS
BUDGET REQUEST FOR FISCAL YEAR 2018-2019
(\$ Amounts in Thousands)**

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APPROPRIATION:
Compulsive and Problem Gambling Treatment

I. SUMMARY FINANCIAL DATA

	<u>2016-2017 Actual</u>	<u>2017-2018 Available</u>	<u>2018-2019 Budgeted</u>
State Funds	\$0	\$0	\$0
Federal Funds Total	\$0	\$0	\$0
Federal Sources Itemized			
<i>N/A</i>	\$0	\$0	\$0
Other Funds Total	\$5,536	\$5,582	\$6,150
Other Sources Itemized			
<i>Compulsive and Problem Gambling Treatment Fund</i>	<u>\$5,536</u>	<u>\$5,582</u>	<u>\$6,150</u>
Total	\$5,536	\$5,582	\$6,150

IA. REQUESTED SUPPLEMENTALS (Included above)

State Funds	\$0
Federal Funds Total	<u>\$0</u>
Total	\$0

II. DETAIL BY MAJOR OBJECT (\$ Amounts in Thousands)	APPROPRIATION: Compulsive and Problem Gambling Treatment				
	2016-2017 Actual	2017-2018 Available	2018-2019 Budgeted	Change Budgeted vs. Available	Percent Change
PERSONNEL					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$397	\$403	\$367	(\$36)	-8.93%
Total Personnel	\$397	\$403	\$367	(\$36)	-8.93%
OPERATING					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$356	\$731	\$1,154	\$423	57.87%
Total Operating	\$356	\$731	\$1,154	\$423	57.87%
FIXED ASSETS					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
Total Fixed Assets	\$0	\$0	\$0	\$0	0.00%
GRANT & SUBSIDY					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$4,783	\$4,448	\$4,629	\$181	4.07%
Total Grant & Subsidy	\$4,783	\$4,448	\$4,629	\$181	4.07%
NONEXPENSE					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
Total Nonexpense	\$0	\$0	\$0	\$0	0.00%
BUDGETARY RESERVE					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
Total Budgetary Reserve	\$0	\$0	\$0	\$0	0.00%
UNCOMMITTED					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
Total Uncommitted	\$0	\$0	\$0	\$0	0.00%
OTHER					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$0	\$0	\$0	\$0	0.00%
Total Other	\$0	\$0	\$0	\$0	0.00%
TOTAL FUNDS					
<i>State Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Federal Funds</i>	\$0	\$0	\$0	\$0	0.00%
<i>Other Funds</i>	\$5,536	\$5,582	\$6,150	\$568	10.18%
Total Funds	\$5,536	\$5,582	\$6,150	\$568	10.18%

APPROPRIATION:
Compulsive and Problem Gambling Treatment

III. HISTORY OF LAPSES (\$ Amounts in Thousands)	<u>2015-2016</u>	<u>2016-2017</u>	<u>2017-2018 Estimated</u>
State Funds	\$0	\$0	\$0

IV. COMPLEMENT INFORMATION	<u>12/31/2016</u>	<u>12/31/2017</u>	<u>2017-2018 Budgeted</u>
State/Federally Funded			
<i>Authorized</i>	0	0	0
<i>Filled</i>	0	0	0
Federally Funded			
<i>Authorized</i>	0	0	0
<i>Filled</i>	0	0	0
Other Funded			
<i>Authorized</i>	3	3	3
<i>Filled</i>	3	2	3
Total			
<i>Authorized</i>	3	3	3
<i>Filled</i>	3	2	3
Benefit Rate	0.00%	0.00%	0.00%

V. DERIVATION OF REQUEST / LEGISLATIVE CITATIONS / DISBURSEMENT CRITERIA

Derivation of Request:

Legislative Citations:

Disbursement Criteria:

VI. EXPLANATION OF CHANGES
 (\$ Amounts in Thousands)

APPROPRIATION:
 Compulsive and Problem Gambling Treatment

	<u>State \$</u>	<u>Federal \$</u>	<u>Other \$</u>	<u>Total</u>
PERSONNEL				
1. Decrease due to administrative costs associated with managing the Department's Compulsive and Problem Gambling program.	<u>\$0</u>	<u>\$0</u>	<u>(\$36)</u>	<u>(\$36)</u>
Subtotal Personnel	\$0	\$0	(\$36)	(\$36)
OPERATING				
1. Increase in operating costs associated with a bi-annual Compulsive and Problem Gambling Treatment Fund media campaign.	<u>\$0</u>	<u>\$0</u>	<u>\$423</u>	<u>\$423</u>
Subtotal Operating	\$0	\$0	\$423	\$423
GRANT & SUBSIDY				
1. Increase is necessary for the continuation of compulsive and problem gambling treatment services.	<u>\$0</u>	<u>\$0</u>	<u>\$181</u>	<u>\$181</u>
Subtotal Grant & Subsidy	\$0	\$0	\$181	\$181
 TOTAL	 <u><u>\$0</u></u>	 <u><u>\$0</u></u>	 <u><u>\$568</u></u>	 <u><u>\$568</u></u>

Compulsive and Problem Gambling Treatment Fund

Act 71 of 2004, the Pennsylvania Race Horse Development and Gaming Act, established the Compulsive and Problem Gambling Treatment Fund as a mandated annual formula transfer of dollars from the State Gaming Fund. Act 1 of 2010, which amended Act 2004-71, defined the formula as \$2,000,000 or an amount equal to .002 multiplied by the total gross terminal revenue of all active and operating licensed gaming entities, whichever is greater. Dollars transferred to this fund are to be used to maintain a crisis counseling and referral hotline, promote awareness regarding recognition and prevention of compulsive and problem gambling, facilitate the availability of effective assistance programs for problem and compulsive gamblers and affected family members, and conduct studies to identify Commonwealth citizens at risk of becoming problem or problem gamblers. Funding under this appropriation is used to purchase services, as defined by the Act, and to administer planning and oversight of these services. The majority of funds under this appropriation are issued to the SCAs to support these activities.

FINANCIAL STATEMENT

**COMPARATIVE FINANCIAL STATEMENT
DEPARTMENT OF DRUG AND ALCOHOL PROGRAMS
COMPULSIVE AND PROBLEM GAMBLING TREATMENT FUND**

	2015-16 ACTUAL	2016-17 ACTUAL	2017-18 AVAILABLE	2018-19 BUDGET	2019-20 PLAN YR. 2	2020-21 PLAN YR. 3	2021-22 PLAN YR. 4
Beginning Balance	\$7,041	\$6,751	\$6,522	\$5,629	\$4,283	\$4,017	\$2,872
Revenue:							
Transfer from State Gaming Fund	\$4,566	\$4,612	\$4,602	\$4,717	\$4,835	\$4,956	\$5,080
Transfer from State Gaming Fund - Drug and Alcohol	\$3,000	\$3,000	\$3,000	\$3,000	\$3,000	\$3,000	\$3,000
Interest	\$29	\$87	\$87	\$87	\$49	\$49	\$49
Total Funds Available	\$14,636	\$14,450	\$14,211	\$13,433	\$12,167	\$12,022	\$11,001
Expenditures:							
Compulsive and Problem Gambling Treatment	\$4,885	\$4,928	\$5,582	\$6,150	\$5,150	\$6,150	\$5,150
Drug and Alcohol Treatment Services	\$3,000	\$3,000	\$3,000	\$3,000	\$3,000	\$3,000	\$3,000
Expenditures	\$7,885	\$7,928	\$8,582	\$9,150	\$8,150	\$9,150	\$8,150
Ending Balance - June 30	\$6,751	\$6,522	\$5,629	\$4,283	\$4,017	\$2,872	\$2,851

FEDERAL BLOCK GRANT SUMMARY

Substance Abuse Prevention and Treatment

This block grant provides funds to establish and maintain programs to combat alcohol and drug abuse. These programs include individual substance abuse services, community services, drug and alcohol formula grants, and alcoholism treatment and rehabilitation services.

See Block Grant narrative for qualifying and selected activities.

	(Dollar Amounts in Thousands)		
Department/Appropriation	2016-17 Actual Block	2017-18 Available Block	2018-19 Recommended Block
Drug and Alcohol Programs:			
Administration and Operation.....	\$ 8,193	\$ 8,617	\$ 8,327
Drug and Alcohol Services.....	\$ 59,471	\$ 59,452	\$ 57,775
Subtotal:	<u>\$ 67,664</u>	<u>\$ 68,069</u>	<u>\$ 66,102</u>
Corrections:			
Drug and Alcohol Programs.....	<u>\$ 1,965^a</u>	<u>\$ 1,965^a</u>	<u>\$ 1,965^a</u>
Human Services:			
Homeless Services.....	<u>\$ 1,983^a</u>	<u>\$ 1,983^a</u>	<u>\$ 1,983^a</u>
Commission on Crime & Delinquency:			
Pennsylvania Youth Survey (PAYS).....	<u>\$ -^a</u>	<u>\$ 50^a</u>	<u>\$ -^a</u>
Total:	<u><u>\$ 67,664</u></u>	<u><u>\$ 68,069</u></u>	<u><u>\$ 66,102</u></u>

^a Subgrants not added to total to avoid double counting.

MISCELLANEOUS

**EXPENDITURES
ROLLED FORWARD**

(\$ Amounts in Thousands)

There were no 2016-17 expenditures which rolled forward to 2017-18. Additionally, there are no expenditures proposed to be rolled forward from 2017-18 to 2018-19.

PRIOR YEAR APPROPRIATION WAIVER

(\$ Amounts in Thousands)

On March 27, 2017, DDAP requested a waiver to lapsing and encumbrance requirements of Act 146 of 1980 and Management Directive 310.3 for \$750,000 for the following appropriation: General Government Operation (11-028). A waiver was granted thru June 30, 2018 for the SFY 2016-17 funds in order to provide adequate time to process the documents required to encumber and award the funds for the intended purpose.