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SENATE OF PA  
SECRETARY'S OFFICE

THE GOVERNOR

MEMBER OF THE PENNSYLVANIA SECURITIES COMMISSION



COMMONWEALTH OF PENNSYLVANIA  
OFFICE OF THE GOVERNOR  
HARRISBURG

November 14, 2011

To the Honorable, the Senate  
of the Commonwealth of Pennsylvania:

In conformity with law, I have the honor hereby to nominate for the advice and consent of the Senate, The Honorable Vincent J. Gastgeb, 5791 Glen Ora Drive, Bethel Park 15102, Allegheny County, Thirty-seventh Senatorial District, for appointment as a member of the Pennsylvania Securities Commission, to serve until the third Tuesday of January 2015, and until his successor is appointed and qualified, vice The Honorable Thomas A. Michlovic, North Braddock, whose term expired.

A handwritten signature in black ink that reads "Tom Corbett".

TOM CORBETT  
Governor

# STATEMENT OF FINANCIAL INTERESTS

PLEASE PRINT NEATLY

01 LAST NAME FIRST NAME MI SUFFIX

GASTGEB VINCENT J

02 ADDRESS City State Zip Code Area Code Phone

5191 Glen Ora Bethel Park PA 15102-4112 833-2462

NOTE: IF YOU ARE INCLUDING ATTACHMENTS, DO NOT INCLUDE ANYTHING THAT BEARS YOUR SOCIAL SECURITY NUMBER OR FINANCIAL ACCOUNT NUMBERS.

03 STATUS Check applicable block or blocks, more than one block may be marked. (See instructions on page 2)

A  Candidate (including write-in) C  Public Official (Current) D  Public Employee (Current) E  Check this block if you are filing as a solicitor

B  Nominee C  Public Official (Former) D  Public Employee (Former)

Check this block if you are amending an original filing

04 PUBLIC POSITION OR PUBLIC OFFICE (administrator, member, Commissioner, job title, etc.)  seeking  hold  held

A ALLEGHENY COUNTY COUNCIL  seeking  hold  held

B COMMUNITY DEVELOPMENT

05 GOVERNMENTAL ENTITY in which you are/were an Official, Employee, Candidate or Nominee (e.g., dept, agency, authority, borough, board, commission, county, school district, twp, etc.)

A ALLEGHENY COUNTY

B PA SECURITIES COMMISSION

06 OCCUPATION OR PROFESSION (This may be the same as block 4) 07 YEAR (The information in blocks 8 through 15 below represents financial interests for the PRIOR calendar year indicated)

Sales Director 2010

08 REAL ESTATE INTERESTS (See instructions on page 2) IF NONE, check this box.

09 CREDITORS (See instructions on page 2) Creditor (Name and Address) IF NONE, check this box.

1 Bank of America Address: PO Box 15019 Wilmington DE 19886 Interest Rate 13.9%

2 City Bank Address: PO Box 6500 Sioux Falls SD 57117 Interest Rate 9%

3 Bank of America Address: PO Box 15220 Wilmington DE 19886 Interest Rate 2.9%

10 DIRECT OR INDIRECT SOURCES OF INCOME including (but not limited to) all employment. (See instructions on pg. 2) ONLY IF NONE, check this block.

Name: Sara Lee Foods Address: 3500 Lacey Rd Downer Grove IL 60515

Name: Allegheny County Address: 436 Grant St Pgh PA 15219

Name: Municipality of Bethel Park Address: 5100 W Liberty Ave Bethel Park PA 15102

11 GIFTS (See instructions on page 2) IF NONE, check this box.

Source of Gift Value of Gift

Address of Source of Gift Circumstances (including description) of Gift

12 TRANSPORTATION, LODGING, HOSPITALITY (See instructions on page 2) IF NONE, check this box.

Source (Name and Address) Value

13 OFFICE, DIRECTORSHIP OR EMPLOYMENT IN ANY BUSINESS (See instructions on page 2) IF NONE, check this box.

Business Entity (Name and Address) Position held

Name: Sara Lee Foods Address: 3500 Lacey Rd, Downer Grove IL 60515 Position held: Sales Director

14 FINANCIAL INTEREST IN ANY LEGAL ENTITY IN BUSINESS FOR PROFIT (See instructions on page 2) IF NONE, check this box.

Name and Address of Business Interest held

15 BUSINESS INTERESTS TRANSFERRED TO IMMEDIATE FAMILY MEMBER (See instructions on page 2) IF NONE, check this box.

Business (Name and Address) Relationship Date transferred

The undersigned hereby affirms that the foregoing information is true and correct to the best of said person's knowledge, information and belief, said affirmation being made subject to the penalties prescribed by 18 Pa.C.S. §904 (unsworn falsification to authorities) and the Public Official and Employee Ethics Act, 65 Pa.C.S. §1109(b).

Signature Vincent J Gastgeb Enter Current Date 11-22-11

STATE ETHICS COMMISSION

NOV 29 2011

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